

STATE BOARD OF ELECTIONS

STATE OF ILLINOIS

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EXECUTIVE DIRECTOR
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BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

AGENDA STATE BOARD OF ELECTIONS BOARD MEETING Monday, March 17, 2014 9:30 a.m.

James R. Thompson Center – Room 14-612
Chicago, Illinois
and via videoconference
2329 S. MacArthur Blvd.
Springfield, Illinois

Roll call.

1. Approval of the minutes from the February 19 meeting. (pgs.1-7)
2. Report of the General Counsel
 - a. Campaign Disclosure;
Motion for reconsideration
 - 1) *SBE v. Citizens to Elect Tanya Watkins, 25026*, 13MQ257; (pgs.8-10)
Request for settlement offer
 - 2) *SBE v. Friends of Howland, 19201*, 13JQ083 & 13AD106; (pgs.11-16)
Appeals of campaign disclosure fines – hearing officer recommendation appeal be denied - carryover from February
 - 3) *SBE v. Disability Party of Illinois, 25398*, 13SQ205; (pgs.17-25)
Appeals of campaign disclosure fines – hearing officer recommendation appeals be granted
 - 4) *SBE v. Boone County Republican Central Committee, 749*, 13SQ006; (pgs.26-28)
 - 5) *SBE v. Lisle Township Democratic Organization, 1119*, 13SQ010; (pgs.29-32)
 - 6) *SBE v. Peoples Economy Party, 4300*, 13SQ014; (pgs.33-43)
 - 7) *SBE v. Citizens for Karen McConnaughay, 7875*, 13AM012; (pgs.44-48)
 - 8) *SBE v. Concerned Citizens for America, 11321*, 13DQ249; (pgs.49-55)
 - 9) *SBE v. Committee for a Better Berwyn, 14895*, 13SQ039; (pgs.56-58)
 - 10) *SBE v. Schwab for Waukegan, 22195*, 13AJ061; (pgs.59-63)
 - 11) *SBE v. SouthWestern Illinois Democratic Women, 24511*, 13DQ245; (pgs.64-72)
 - 12) *SBE v. Citizens for a Healthy Safe and Secure Middle School, 24691*, 12JQ182; (pgs.73-75)
Appeals of campaign disclosure fines – hearing officer recommendation appeals be denied
 - 13) *SBE v. Fifth Ward Regular Democratic Organization, 14992*, 13AD098; (pgs.76-78)
 - 14) *SBE v. Citizens for Dean Argiris, 16747*, 13JQ069; (pgs.79-81)
 - 15) *SBE v. Friends of George A. Cardenas, 17290*, 13MA004; (pgs.82-89)
 - 16) *SBE v. Citizens to Elect Judge Lorna Propes, 23627*, 13MQ124; (pgs.90-92)

- 17) *SBE v. Northwestern Illinois Building & Construction Trades Council*, 23781, 13JQ146; (pgs.93-97)
 - 18) *SBE v. Committee to Elect Jennifer Pritchett*, 24563, 13DQ248; (pgs.98-100)
 - 19) *SBE v. Progressive Action Party*, 24740, 13SQ220; (pgs.101-103)
 - 20) *SBE v. Committee to Elect Mike Basil*, 24762, 13JQ186; (pgs.104-110)
 - 21) *SBE v. Dion Simpson for 21st Century Schools*, 25074, 13AM102; (pgs.111-123)
 - 22) *SBE v. Frank Flores, Jr. for College of DuPage Trustee*, 25106, 13AM104; (pgs.124-127)
 - 23) *SBE v. Friends of Kelvin Oliver*, 25189, 13MA106; (pgs.128-130)
 - 24) *SBE v. Moore for District 200*, 25574, 13MA110; (pgs.131-135)
- Complaints following public hearing – hearing officer recommendation complaints be dismissed and referred to staff for assessment of civil penalties
- 25) *SBE v. Citizens to Elect Eric J. Kellogg*, 13CD145; (pgs.136-139)
 - 26) *SBE v. Friends of Brendan Appel*, 13CD169; (pgs.140-143)
 - 27) *SBE v. Marc Landers*, 13CD236; (pgs.144-147)
- Complaints following public hearing - hearing officer recommendation complaints be upheld and issue a Board order requiring the delinquent report(s) be filed within 30 days of the order or face a fine up to \$5,000
- 28) *SBE v. First Ward Organization*, 13CD024; (pgs.148-151)
 - 29) *SBE v. Committee to Re-elect Mike Slape*, 13CD139; (pgs.152-155)
 - 30) *SBE v. Citizens for Leys*, 13CD150; (pgs.156-159)
 - 31) *SBE v. Citizens to Elect Alvin Parks, Jr.*, 13CD161; (pgs.160-163)
 - 32) *SBE v. Re-Elect Eric Leys*, 13CD172; (pgs.164-167)
 - 33) *SBE v. Brady PAC-Illinois*, 13CD173; (pgs.168-171)
 - 34) *SBE v. Equal Justice Party (EJP)*, 13CD176; (pgs.172-175)
 - 35) *SBE v. Friends of Evonne Fleming*, 13CD183; (pgs.176-179)
 - 36) *SBE v. Citizens for Burch*, 13CD184; (pgs.180-183)
 - 37) *SBE v. Friends of Dick Breckenridge*, 13CD190; (pgs.184-187)
 - 38) *SBE v. Tammy Baer for Douglas County State’s Attorney*, 13CD197; (pgs.188-191)
 - 39) *SBE v. Committee to Elect Lorinda Beaman*, 13CD198; (pgs.192-195)
 - 40) *SBE v. Newport Firefighters Association*, 13CD204; (pgs.196-199)
 - 41) *SBE v. Citizens for Rudd*, 13CD206; (pgs.200-203)
 - 42) *SBE v. Taking Back America*, 13CD207; (pgs.204-207)
 - 43) *SBE v. Friends of Tom Gooch*, 13CD225; (pgs.208-211)
 - 44) *SBE v. VOTESHAW2013*, 13CD231; (pgs.212-215)
 - 45) *SBE v. Friends of Christina Campos*, 13CD235; (pgs.216-219)
 - 46) *SBE v. Citizens to Elect 169 Write-ins*, 13CD244; (pgs.220-223)
 - 47) *SBE v. Friends of Donald Luster*, 13CD248; (pgs.224-227)
 - 48) *SBE v. Citizens for a Better Fox Valley*, 13CD255; (pgs.228-231)
- Other campaign disclosure items
- 49) Assessments/Final Board Orders; (pgs.232-233)
 - 50) Payment of civil penalties – informational; (pg.234)
- Complaints following closed preliminary hearing (separate packet)
- 51) *Streit v. Sandra Bury for Mayor of Oak Lawn*, 13CD263; (pgs.1-22)
 - 52) *Reyes v. First Ward Organization*, 14CD005; (pgs.23-28)
 - 53) *Reyes v. 1st Ward Democratic Committeeman’s Fund*, 14CD006; (pgs.29-33)
 - 54) *Zurek v. Citizens for Safe Streets – Vote Yes on Franklin Park Sales Tax Referenda*, 14CD007; (pgs.34-47)
 - 55) *Zurek v. Barrett Pedersen, et. al.*, 14CD008. (pgs.48-67)

3. Report of the Executive Director

- a. Preparations for the March 18, 2014 General Primary Election;
 - 1) Election Day staff assignments; (pg.235)
 - 2) Voting Systems pre-testing; (pgs.236-237)
 - 3) Election judge training schools – informational; (pgs.238-239)

- b. **Legislative update; (oral report)**
 - c. **Paperless Online Voter Application update/timeline; (pgs.240-242)**
 - d. **IVRS update – informational; (pgs.243-246)**
 - e. **Census data versus registered voters; (pg.247)**
 - f. **FY12/13 Compliance Audit report; (pgs.248-302)**
 - g. **Fiscal status reports – informational;**
 - 1) **FY14 – month ending February 28; (pgs.303-210)**
 - 2) **FY14 – Help Illinois Vote Fund; (pgs.311-316)**
 - h. **Two year plan of staff activity for the months of March & April – informational. (pgs.317-321)**
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- 4. **Follow up. (pg.322)**
 - 5. **Comments from the general public. (pg.322)**
 - 6. **Next regular Board meeting at 10:30 a.m. on Friday, April 18, 2014 in Chicago. (pg.322)**
 - 7. **Executive session. (pgs.323-329)**

STATE BOARD OF ELECTIONS
Regular Meeting
Wednesday, February 19, 2014

MINUTES

PRESENT:

Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers, Member
Betty J. Coffrin, Member
Ernest L. Gowen, Member
William M. McGuffage, Member
Bryan A. Schneider, Member
Casandra B. Watson, Member

ALSO PRESENT:

Rupert Borgsmiller, Executive Director
James Tenuto, Assistant Executive Director
Steve Sandvoss, General Counsel
Amy Calvin, Administrative Assistant II

The meeting convened at 10:30 a.m. via videoconference with seven Members present in Chicago. Member Watson held Member McGuffage's proxy until his arrival at 10:35 a.m.

The Chairman opened the meeting by leading everyone in the pledge of allegiance.

Vice Chairman Scholz moved to recess the State Board of Elections and convene as the State Officers Electoral Board to approve the minutes from the January 9 and 16 SOEB meetings. Member Gowen seconded the motion which passed unanimously. The meeting recessed shortly after 10:30 a.m. and reconvened at 10:32 a.m.

The Executive Director presented an update on the ES&S DS200, M100 & M650 voting equipment approval and indicated that Kyle Thomas was present to discuss the matter. Mr. Thomas noted that representatives from ES&S were also present via teleconference. Mr. Thomas explained that in August he submitted an approval request for the above noted voting systems. The report also discussed issues associated with jumpers contained in some of the M100 machines. Since that report Mr. Thomas was informed that the firmware has no bearing on the issue with the jumpers and the equipment settings. Incorrect adjustment of the Digital to Analog Conversion (DAC) settings caused the counting errors during the test. Once the DAC settings were properly adjusted the ballots were rerun and the counts came out as expected. Mr. Thomas said the amended report reflecting this correction was included in the board packet and also recommended the DAC settings be checked in all machines every two years. Two additional recommendations were also contained in Mr. Thomas' amended report. Member Gowen moved to accept Mr. Thomas' amended report. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8-0.

Member Byers moved to approve the minutes from the January 9 and 16 meetings. Vice Chairman Scholz seconded the motion which passed unanimously.

The General Counsel presented an appeal of campaign disclosure fines for Agenda item 2.a.4, *SBE v. Illinois Road Builders PAC*, 372, 13SQ003 and did not concur with the hearing officer recommendation to grant the appeal. He recommended the appeal be denied because he felt the electronic filing defense was not applicable in this situation. No one was present of behalf of the respondent committee. Member McGuffage moved to accept the recommendation of the General Counsel and deny the appeal. Member Watson seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.15, *SBE v. Friends of Stacy Gall*, 24935, 13SQ181 and did not concur with the hearing officer recommendation to grant the appeal. He recommended the appeal be denied for lack of an adequate defense. No one was present on behalf of the respondent committee. Vice Chairman Scholz moved to accept the recommendation of the General Counsel and deny the appeal. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.17, *SBE v. Friends of Ted Mesiacos*, 25228, 13SQ201 and did not concur with the hearing officer recommendation to grant the appeal. He recommended the appeal be denied because he felt the electronic filing defense was not applicable in this situation. No one was present on behalf of the respondent committee. Member Gowen moved to accept the recommendation of the General Counsel and deny the appeal. Member McGuffage seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented the following appeals where he concurred with the hearing officer recommendation to grant the appeals for Agenda items 2.a.1-3, 5-14, 16 & 18:

- 1) *SBE v. Cass County Democratic Central Committee*, 54, 13AJ002;
- 2) *SBE v. Evanston Regular Republican Organization*, 221, 13JQ010;
- 3) *SBE v. Illinois Home Builders Political Education Committee*, 295, 13AJ006;
- 5) *SBE v. Boone County Democratic Central Committee*, 610, 13AS002;
- 6) *SBE v. Mercer County Republican Central Committee*, 5166, 13AS009; (
- 7) *SBE v. Bremen Township Republican Organization*, 5989, 13SQ019;
- 8) *SBE v. Citizens for Sara Feigenholtz*, 9783, 12AS014;
- 9) *SBE v. Citizens for Thaddeus Jones for 3rd Ward Alderman*, 12697, 13AD025;
- 10) *SBE v. Local Union 792 Political Action Fund*, 23707, 13AJ030;
- 11) *SBE v. Will County Liberty Club*, 23962, 13AM76;
- 12) *SBE v. Friends to Elect Danny Hartline*, 24089, 13SQ146;
- 13) *SBE v. Custom Coating Innovations, Inc/Free Market State PAC*, 24284, 13SQ154;
- 14) *SBE v. Committee to Elect Mike Verbic for Mayor*, 24734, 13AJ064;
- 16) *SBE v. Naperville Professional Firefighters PAC*, 25041, 13SQ186;
- 18) *SBE v. Friends for Owens J. Shelby*, 25568, 13SQ217.

John Fogarty was present on behalf of Agenda item 2.a.2 and indicated he concurred with the hearing officer recommendation. No one was present on behalf of the remaining respondent committees. Vice Chairman Scholz moved to accept the recommendation of the General Counsel for the above noted appeals. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented the following appeals where he concurred with the hearing officer to deny the appeals for Agenda items 2.a.20-25, 27, 29-31, 33-38, 41-43 & 45-47:

- 20) *SBE v. Jefferson County Democratic Central Committee*, 674, 13JQ017;
- 21) *SBE v. Communication Workers of America District 4 PEC*, 763, 13AS006;
- 22) *SBE v. IUOE Local 399 Political Education Fund*, 1157, 13AS007;
- 23) *SBE v. Morgan County Republican Women's Club*, 1196, 13SQ012;
- 24) *SBE v. Rockford PAC for Education*, 5578, 13SQ017;
- 25) *SBE v. Electrical Contractors' Association of City of Chicago, Inc PAC (ECA PAC)*, 6336, 13JQ041;
- 27) *SBE v. Citizens to Elect John Jung, Jr.*, 10328, 13JQ048;
- 29) *SBE v. Committee to Elect Tom Templeton*, 13402, 13AS016;
- 30) *SBE v. North Central Democrats*, 13816, 13JQ057; (pgs.122-124)
- 31) *SBE v. Oakton Community College Faculty Association PAC*, 14157, 13JQ059;

- 33) *SBE v. UA Local 160 Plumbers & Pipefitters Cope Fund*, 15500, 13SQ041;
- 34) *SBE v. Phoenix PAC*, 19148, 12AS082;
- 35) *SBE v. Friends of ECC*, 19379, 13SQ059;
- 36) *SBE v. Friends of Bonnie Hester*, 19979, 13SQ068;
- 37) *SBE v. Walk Bike Transit*, 23281, 13JQ134;
- 38) *SBE v. Citizens for Better Education*, 23710, 13AJ031;
- 41) *SBE v. Citizens for Ronald Duebbert*, 24169, 12AS040;
- 42) *SBE v. Citizens for Juan Ochoa*, 24244, 13AD088;
- 43) *SBE v. Building on Excellence – Yes for Referendum for Ball-Chatham Schools*, 24572, 13SQ162;
- 45) *SBE v. 44th Ward GOP*, 24717, 13AD104;
- 46) *SBE v. Friends of Rob Hanlon*, 24742, 13AD107;
- 47) *SBE v. Citizens for Mowris*, 25057, 13JQ243;
- 48) *SBE v. Disability Party of Illinois*, 25398, 13SQ20.

As to Agenda item 2.a.25, the General Counsel noted the report as issue was filed five days late, not three as listed in the hearing officer report. No one was present on behalf of the respondent committees. Member Coffrin moved to accept the recommendation of the General Counsel for the above noted appeals. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.19, *SBE v. Illinois Republican Party*, 292, 13JQ012 & 13AJ005 and concurred with the hearing officer recommendation to deny the appeal. Mr. Fogarty was present on behalf of the respondent committee and said both errors occurred during a change in leadership of the republican party. He asked for leniency and then offered a settlement of \$1,300. Member McGuffage moved to accept the recommendation of the General Counsel to deny the appeal and accept the settlement offer of \$1,300. Member Schneider seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.28, *SBE v. Bridge Structural & Ornamental Ironworkers Local 111 PAC*, 11308, 13SQ027 and did not concur with the hearing officer recommendation to deny the appeal. He recommended the appeal be granted because he felt the electronic filing defense was appropriate in this matter. No one was present on behalf of the respondent committee. Member Byers moved to accept the recommendation of the General Counsel. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.26, *SBE v. Citizens for Moffitt*, 7982, 13AJ015 and concurred with the hearing officer recommendation to deny the appeal. Mr. Fogarty was present on behalf of the respondent committee and said the treasurer was overwhelmed by personal issues and corrected the error as soon as she discovered it. The General Counsel noted that the assessment of \$6,000 was incorrect and should be reduced to \$600 (10%) and the committee has substantial amount of funds available to pay the penalty. Member Coffrin moved to accept the recommendation of the General Counsel. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.32, *SBE v. Building Owners and Managers Association PAC*, 14879, 13AM063 and concurred with the hearing officer recommendation to deny the appeal. Steve Morrill was present on behalf of the respondent committee and indicated that notices of previous fines were not received and the non-filings were an unintentional oversight. The General Counsel also recommended that all of the violations be treated as one and a 10% assessment of \$3,900 should be levied. Mr. Morrill stated he agreed with the assessment recommended by the General Counsel. Member McGuffage moved to accept the recommendation of the General Counsel. Member Gowen seconded the motion which passed by roll call vote of 8-0.

Agenda item 2.a.39, *SBE v. Northwestern Illinois Building & Construction Trades Council*, 23781, 13JQ146 was pulled and will be placed on a future meeting Agenda.

The General Counsel presented Agenda item 2.a.40, *SBE v. Worth Township Democratic Party*, 23929, 13MA054 and concurred with the hearing officer recommendation to deny the appeal. James Nally was present on behalf of the respondent committee and said an accountant was responsible for the filings and experienced computer and internet issues. He indicated the committee had a funds balance of \$346 and offered that amount as a settlement. He also asked the violations be treated as one since they occurred within a very short timeframe. The General Counsel noted that a reduction to 10% of the penalty would total \$320. Member McGuffage moved to accept the recommendation of the General Counsel, treat the violations as a first violation and reduce the penalty to \$320. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.44, *SBE v. Alliance of Illinois Taxpayers NFP*, 24625, 13AJ035 and concurred with the hearing officer recommendation to deny the appeal. Steve Avalos was present on behalf of the respondent committee and a law clerk was responsible for the filings and made an inadvertent error. Once the late filings were discovered the reports were immediately filed. Since this was a first violation, Mr. Avalos asked the Board to waive the fine. Member Gowen moved to accept the recommendation of the Hearing Officer and General Counsel to deny the appeal and not waive the fine. Member Byers seconded the motion which passed by roll call vote of 8-0.

Agenda item 2.a.48, *SBE v. Disability Party of Illinois*, 25398, 13SQ205 was presented and it was suggested that the committee be informed of their option to file a final report due to their lack of activity. It was agreed that staff would notify the committee in writing utilizing a similar font size as noted in previous correspondence received from the committee. This matter will be placed on the March Meeting Agenda for consideration.

The General Counsel presented the following committees who have failed to comply with a Board order for Agenda items 2.a.49-53:

- 49) *SBE v. Committee to Re-Elect Mike Slape*, 1068, 13CD009; (pg.199)
- 50) *SBE v. Citizens to Elect Alvin Parks, Jr.*, 19743, 13CD031; (pg.200)
- 51) *SBE v. Friends of Dick Breckenridge*, 23617, 13CD069; (pg.201)
- 52) *SBE v. Tammy Baer for Douglas County*, 24201, 13CD081; (pg.202)
- 53) *SBE v. Friends of Tom Bucher*, 24290, 13CD084.

He recommended the above committees be assessed a civil penalty of \$5,000 for failure to file the required reports. No one was present nor was any communication received on behalf of the respondent committees. Member McGuffage moved to accept the recommendation of the General Counsel for the above noted matters. Member Gowen seconded the motion which passed by roll call vote of 7-0 with Member Byers voting present.

A listing of civil penalty assessments necessitating a final board order was presented. The General Counsel noted that the following committees should be removed from the listing because they have paid their fine: Committee to Elect Judge Marilyn Johnson, 20595, Committee for Chris Kacharoubas, 7533, Kane County Republican Central Committee, 615 and Committee to Re-Elect Chuck Jefferson, 16136. Vice Chairman Scholz moved to issue final board orders for those remaining committees listed on pages 204-210 of the board packet. Member Gowen seconded the motion which passed by roll call vote of 8-0.

A listing of civil penalty payments was presented for informational purposes.

Member Schneider moved to recess to executive session to consider complaints following closed hearing, litigation and personnel matters. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8-0. The meeting recessed at 11:35 a.m. and reconvened at 12:55 p.m.

After a short break another roll call was taken with all Members present.

As to Agenda item 2.a.56, *Skillicorn v. Show You Care Kane*, 13CD260, Member Schneider moved to find that complaint was filed on justifiable grounds; there is no basis for a public hearing; order the committee to file the amended reports as detailed in the hearing officer report by the close of business on March 5; and referral to staff for imposition of civil penalties if necessary. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8-0.

As to Agenda items 2.a.57-58, *Zurek v. Friends of Barrett F. Pederson, et al.*, 13CD261 and *Zurek v. Your Village Your Voice Party, et al.*, 13CD262, Member Schneider moved that the parties having been advised of the hearing today, that the complaint be dismissed with prejudice for want of prosecution. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

Agenda item 2.a.59, *Streit v. Sandra Bury for Mayor of Oak Lawn*, 13CD263 was pulled and will be placed on a future Board Meeting Agenda.

As to Agenda item 2.a.60, *Shearer v. Citizens for Bruce Rauner, Inc., et al.*, 14CD003, Member Schneider moved to find that the complaint was filed on justifiable grounds; however, explicitly noting the Board did not make a determination that a violation occurred; that the filing of the amended reports renders the matter moot; and that no further action was ordered. Member McGuffage seconded the motion which passed by roll call vote of 7-1 with Member Byers voting in the negative.

As to Agenda item 2.a.61, *Pickens v. Bellar*, 14CD004, Member Schneider moved that the parties having been advised of the hearing today, the complaint be dismissed with prejudice for want of prosecution. Vice Chairman Scholz seconded the motion which passed by roll call vote of 8-0.

Member Schneider moved to authorize the Executive Director to enter into a contract with Steve Flowers for contractual services based on the terms and conditions discussed in executive session. Member Gowen seconded the motion which passed by roll call vote of 8-0.

The Executive Director began his report with preparations for the March 18, 2014 General Primary Election. He said that staff assignments for election day were included in the board packet and said the Springfield office will be open from 5:30 a.m. to midnight and the Chicago office from 6:00 a.m. to 11:00 p.m. The East St. Louis Board of Election Commissioners has requested staff assistance on election day as well. The Executive Director also noted that two press releases for the close of voter registration and early voting were distributed last week.

The General Counsel discussed the provisional balloting rules and said the rulemaking was submitted to the Secretary of State's Office Index Division on January 23 in two parts. One was emergency rulemaking that would be in place for the general primary election and the other submitted as a proposed permanent rulemaking with a publication date of February 7 in the Illinois Register. He noted that it was published on February 14 which commenced the first notice period. The Joint Committee on Administrative Rulemaking conducted a meeting yesterday and no comments were received regarding the rulemaking.

The Executive Director indicated that the Division of Voting and Registration will be conducting pre-testing of voting equipment in Clay, Crawford, DeWitt, Henderson and Whiteside Counties and that a report would be given at the next meeting. Kyle Thomas said he will be closely monitoring the DAC settings in the M100's in Henderson and Clay Counties as well.

A listing of election judge training schools was presented for informational purposes and it was noted that inclement weather has not affected any of the scheduled classes.

The Executive Director presented the process for statewide constitutional initiatives and said a summary was included in the board packet. Eric Donnewald reviewed that steps to determine if a petition contains the required number of signatures to be placed on the ballot. These include inventory of the petition, entering of inventory data, scanning the petition, entering of signature lines, selection of a random sample and validation of sample signatures. In the event only one petition is filed, Mr. Donnewald predicted it would take approximately two weeks to process the sampling. The filing of two petitions could possibly be an issue because staff resources will be stretched with other required activities and projects. The Executive Director said staff could be pulled from Chicago and other individuals could be acquired from a temporary staffing agency if needed. Discussion ensued regarding appropriate standards in terms of certification of initiatives to the ballot.

The Executive Director indicated that the Advisory Committee Meeting held on January 22 was well attended. Topics discussed included the upcoming primary election, provisional ballot rules, military ballots and the 45 day report, online voter registration and electronic canvassing update. The next meeting is scheduled for May 19, 2014.

Next was an informational item regarding the counting of State Employee Retirement Systems election ballots. The Executive Director said Election Information staff participated in the tabulation of 172 General Assembly Annuitant Trustee election ballots and Daniel Pierce was declared the winner.

The Executive Director presented the Presidential Commission on Election Administration report and said the Introduction and Key Recommendations was included in the board packet. These areas include voter registration, access to the polls, polling place management and voting technology. This report was for informational purposes and a copy of the full report was available upon request.

Cris Cray began the legislative update noting that an updated printout of election legislation was distributed to the Board. She explained that two pieces of legislation contain dueling language. One removes all schools from functioning as polling places and the other mandates that all schools can be used as polling places. There is also an omnibus election bill that includes clean up language regarding Section 10-10. Ms. Cray said the senate appropriations hearing is scheduled for April 11 and there will be two separate house appropriations hearings. The first one will highlight mandates such as election judge reimbursements, county clerk stipends, online voter registration and federal grants. The second will cover the agency appropriation. The Governor's Annual Budget address that was schedule for today was rescheduled for March 26.

The Executive Director presented the Paperless Online Voter Application (POVA) update and indicated that a detailed report was sent to the Governor and General Assembly as required by P.A. 98-115. The text of the report and master project schedule was included on pages 244-250 of the board packet. Kyle Thomas indicated that staff is continuing with the testing phase and the POVA pages are now included on the test site in IVRS. Responses are being received from the vendors however many of them have not completed the programming to interact with the system. Even without the vendor's interaction, the election jurisdictions can still access the applications through IVRS. The testing of the user interface is almost completed and language regarding the e-

mail message and some of the user screens was sent to the legal department for their final review. One new function that was implemented allows a user without an e-mail address to return to the system using a unique identification code to check the status of their registration. Users with an e-mail address will receive this code through an e-mail message after completing all of the data entry screens. Kevin Turner added that the master project schedule was fluid based on needs and the date for implementation of testing with the election jurisdictions was pushed back one week to work on some minor bugs. Although SBE has no control over the vendors nor the election authority's progress on their end of the system, Mr. Turner felt the project was approximately 65-70% completed. He also noted that staff is in constant contact with the election authorities and vendors and closely monitoring their progress as well. The Executive Director indicated that staff regularly attends county clerk zone meetings and Mr. Thomas and Mr. Turner will attend to discuss POVA as well.

Mr. Thomas discussed the IVRS update and said duplicate matches have decreased as expected due to the upcoming election. As to the census data versus registered voters, those numbers are where they are expected to be as well. Jersey County remains slightly over 100% but that will change after the election when they can finish cleaning up their voter rolls.

The Executive Director presented the EAC Election Data Collection Grant Audit Report contained on pages 256-277 of the board packet and said there were two findings related to policy. One pertained to a separation of duties and the other related to federal grant procedures. The EAC accepted the staff explanation of the findings and the recommendations contained in the report have been resolved and implemented.

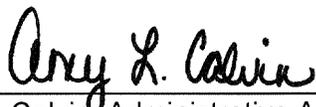
The FY14 fiscal status reports for the month ending January 31 and two year plan of staff activity for the months of February and March were presented for informational purposes. The Executive Director noted that FY14 expenditures are on target and are being closely monitored.

A request to address the Board under comments from the general public was received from Sharon Meroni of Defend the Vote. Ms. Meroni discussed her thoughts regarding the recruitment and selection of election judges in Cook County and the City of Chicago.

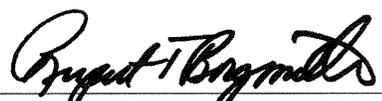
Discussion ensued regarding the location of the March 17 Board Meeting. It was agreed by all to hold the meeting in Chicago beginning at 9:30 a.m. with a videoconference connection to the Springfield office.

With there being no further business before the Board, Member Byers moved to adjourn until Monday, March 17, 2014 at 9:30 a.m. Member Watson seconded the motion which passed unanimously. The meeting adjourned at 2:30 p.m.

Respectfully submitted,



Amy Calvin, Administrative Assistant II



Rupert T. Borgsmiller, Executive Director

14 MAR -3 PM 4:05

Tanya Watkins
29 W. 141st Street
Riverdale, IL 60827

March 3, 2014

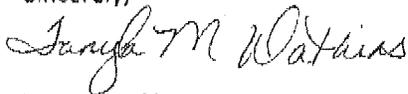
Steve Sandvoss
General Counsel
Illinois State Board of Elections
2329 S. MacArthur Blvd.
Springfield, IL 62704
FAX #: 217-782-5959

Dear Mr. Sandvoss:

REQUEST FOR MOTION TO RECONSIDER FINAL ORDER ID# 25026

I am writing this letter in regards to case number 13 MQ 257. I received a Final Order from the Illinois State Board of Elections from the person living at the address 13931 S. Michigan. This address was initially used when I started a campaign in 2012 for a Riverdale election in 2013. The person who was responsible for assisting with the campaign paperwork did not forward any of the correspondence to me and I was unaware that there was even a court date on February 19, 2014. Additionally, I was under the impression that all reports had been filed in a timely manner and within the guidelines required. I was denied ballot access and currently have had no activity for Citizens to Elect Tanya Watkins. I am asking that you please re-consider your order and allow me an opportunity to appear before the Board. Additionally, I am asking that you please send all correspondence to my home address listed above. Thank you for your time and consideration.

Sincerely,



Tanya Watkins

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
Complainant(s),)
)
Vs.) 13 MQ 257
)
Citizens to Elect Tanya Watkins)
Respondent(s).)

FINAL ORDER

TO: Citizens to Elect Tanya Watkins ID# 25026
13931 S. Michigan
Riverdale, IL 60827

This matter coming to be heard this 19th day of February, 2014 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

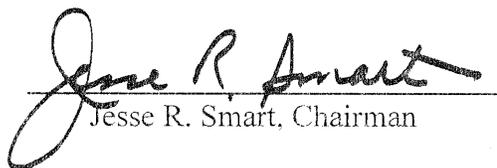
THE BOARD FINDS

1. In case number 13 MQ 257, a \$2250.00 civil penalty was assessed against the Respondent for the delinquent filing of the March 2013 Quarterly report; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee filed a Final report on August 21, 2013.

IT IS ORDERED:

1. A civil penalty in the amount of \$2250.00 is imposed, stayed as a first violation and will be abated on February 24, 2016 if the committee remains dissolved until that time without forming a Successor committee pursuant to Rules and Regulations 100.110(b); and
2. The effective date of this Order is February 24, 2014 and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 2/24/2014


Jesse R. Smart, Chairman

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 6, 2014

Citizens to Elect Tanya Watkins
E Brandi Horton
13931 S. Michigan
Riverdale, IL 60827

ID# 25026

7012 3460 0001 3856 9731

Dear Citizens to Elect Tanya Watkins:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: March Quarterly Report of Campaign Contributions and Expenditures
Report Period: January 1, 2013 through March 31, 2013
Filing Period: April 1, 2013 through April 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 8/21/2013, 90 days late. As such, this committee has been assessed a fine of \$2250.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2013 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: Im
Enclosure(s): appeal packet

19201-2
-139Q083
-13AD106

AMANDA D. HOWLAND, M.A., M.S., J.D.

21707 Old Barn Lane
Lake Zurich, IL 60047
Office: (312)543-2332

635 North Dearborn, Unit 1805
Chicago, IL 60654
Fax: (847)438-9337

[e-mail:aahowland@earthlink.net](mailto:aahowland@earthlink.net)

STATE BOARD OF ELECTIONS
14 MAR -3 AM 8:40

February 28, 2014

To: Illinois State Board of Elections
Campaign Disclosure
Attn: Ms. Sharon Steward
Via Facsimile: 217-782-5959

Dear Ms. Steward:

I recently received a judgment against my campaign account because in 2012 I mistakenly filed a \$1000 contribution on my D2 instead of on the proper form. The currently penalty is \$195.

My campaign account only has \$246.95 in it and that penalty will essentially deplete the account. I still have an outstanding loan amount in excess of \$27,000 due from this account. In addition, I am not actively fundraising until late fall of this year in order to run for re-election as a College Trustee in March of 2015.

I would like to settle my account and pay a reduced portion of my fine if the committee will allow it.

Thank you in advance for your consideration.


Amanda D. Howland, Esq.

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
Complainant(s),)
)
Vs.) 13 JQ 083
)
Friends for Howland)
Respondent(s).)

FINAL ORDER

TO: Friends for Howland ID# 19201
21707 W Old Barn Ln
Lake Zurich, IL 60047-1312

This matter coming to be heard this 18th day of November, 2013 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

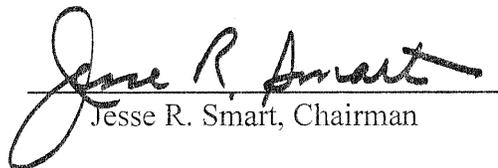
THE BOARD FINDS

1. In case number 13 JQ 083, a \$125.00 civil penalty was assessed against the Respondent for the delinquent filing of the June 2013 Quarterly report; and
2. An appeal of the civil penalty was not submitted by the committee.

IT IS ORDERED:

1. A civil penalty in the amount of \$125.00 is imposed and is stayed as a first violation; and
2. The effective date of this Order is November 20, 2013, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 11/20/2013



Jesse R. Smart, Chairman

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jessie R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013

Friends for Howland
Amanda Howland
21707 W Old Barn Ln
Lake Zurich, IL 60047-1312

ID# 19201

7011 2970 0003 6441 5792

Dear Friends for Howland:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

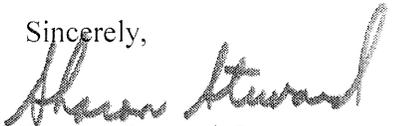
Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 7/22/2013, 5 days late. As such, this committee has been assessed a fine of \$125.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold 217-782-1543.

Sincerely,


Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
Complainant(s),)
)
Vs.) 13 AD 106
)
Friends for Howland)
Respondent(s).)

FINAL ORDER

TO: Friends for Howland ID# 19201
21707 W Old Barn Ln
Lake Zurich, IL 60047-1312

This matter coming to be heard this 19th day of February, 2014 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

THE BOARD FINDS

1. In case number 13 AD 106, a \$700.00 civil penalty was initially assessed against the Respondent for failing to file a Schedule A-1 during the fourth quarter of 2012; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$125.00, which was stayed as a first violation, for the delinquent filing of the June 2013 quarterly report (13 JQ 083). This assessment was not appealed, and
4. Pursuant to section 9-10 of the Code, the assessment is reduced to \$70.00 (10% of the value of the delinquently reported contributions).

IT IS ORDERED:

1. A civil penalty in the amount of \$70.00 is imposed and the stay is lifted from the previously assessed penalty of \$125.00. The total amount of \$195.00 is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is February 24, 2014, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 2/24/2014



Jesse R. Smart, Chairman

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
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Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 6, 2014

Friends for Howland
Amanda Howland
21707 W Old Barn Ln
Lake Zurich, IL 60047-1312

ID# 19201

7012 3460 0001 3856 9908

Dear Friends for Howland:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Susan Boller	11/1/2012	1400	*	44	\$700

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$700.00 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$70.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
4/1/2013 through 6/30/2013	Quarterly 1350 083	\$125
TOTAL AMOUNT NOW DUE		\$195

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

13 SQ 205

Disability Party of Illinois ID# 25398
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2013 Quarterly Report

The Report was received by the Board on October 17, 2013, 2 days late, resulting in a civil penalty assessment of \$100. Additionally, the Committee had previously been assessed a \$225 civil penalty (not appealed, stayed) for delinquent filing of the June 2013 Quarterly Report. The total assessment is \$325.

Andrew U.D. Straw, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Straw states that he has mental and physical disabilities that cause him to forget some things and have some limitations. He also says financial burdens, such as this assessment, cause emotional and mental problems for him and he is in fact going through bankruptcy now due to a heavy weight of medical bills. Mr. Straw adds that the Committee has yet to be active either financially or politically, making it difficult to remember to file zero-activity reports.

I sympathize with Mr. Straw's difficulties, but I must recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay will be lifted from the previous penalty and the total assessment of \$325 will be due and owing. As a result of a suggestion from the Board at its February 2014 meeting, Mr. Straw was sent an e-mail and letter, explaining that since the Committee has yet to raise or spend money, it may wish to consider filing a Final Report and closing until such time as it is financially active. The letter explained that

doing so would not affect the current assessments against the Committee, but would alleviate the need for continued filings until and unless the Committee reactivates. Following this letter, the Committee filed a Final Report on 2/28/14. Therefore, I also recommend that should the Committee remain dissolved for a period of two years following the Board Order imposing the \$325 fine, the fine be abated. (As of 2/28/14, this Committee reported a funds available balance of \$0.)

A handwritten signature in cursive script, appearing to read "Tom Newman", written in black ink. The signature is positioned above a horizontal line.

Tom Newman – Hearing Officer
March 3, 2014

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S. MacArthur Blvd
Springfield, Illinois 62704-4503
217/782-4141 TTY: 217/782-1518
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph St, Ste 14-100
Chicago, Illinois 60601-3232
312/814-6440 TTY: 312/814-6431
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

BOARD MEMBERS
Jesse R. Smart, Chairman
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Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Disability Party of Illinois
Andrew Straw, Chairman
241A Brittany Dr
Streamwood, IL 60107

February 28, 2014

Dear Mr. Straw,

At its meeting on 2/19/14, the State Board of Elections postponed a decision on the appeal submitted by your committee regarding late filing of the September 2013 Quarterly Report. Board members wished to be sure that you understood an option mentioned in my hearing officer's report. Specifically, if your committee is not currently raising or spending any funds it may wish to consider filing a Final Report at this time and then reactivate at some later date when it is actively raising or spending funds.

Please understand that while this option would mean the committee would no longer be required to file disclosure reports until it reactivated, it would not have an immediate impact on any fines or penalties assessed against the committee. Any outstanding fines would remain on the committee's record, and would only be abated if the committee remained out of existence for two years. If the committee filed a Final Report, then reactivated in less than two years, the outstanding fines would remain due and owing.

Based on the information submitted in your appeal, I understand that it is your intention for the committee to become more active at some time in the future. Filing a Final Report would not prevent this goal, but would alleviate the need for you to continue filing reports at this time. Let me be clear that you are not required to file a Final Report – you can certainly keep the committee open if you so wish. Remember that if the committee remains open, it will continue to be required to file Quarterly Reports regardless of whether it has any activity to report.

Please let me know if you intend to keep the committee open or not. I can be reached by telephone at 217-782-1558 or by email at tnewman@elections.il.gov.

Sincerely,

Tom Newman
Deputy Director, Campaign Disclosure

State of Illinois)

County of: Cook)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

14 FEB -4 AM 11:18

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 1350205

Disability Party of Illinois)
Respondent(s))

APPEAL AFFIDAVIT

I, Andrew W.D. Straw, the Chairman + Treasurer of the
(Name) (Chairman/Treasurer)

Disability Party of Illinois
(Name of the Committee)

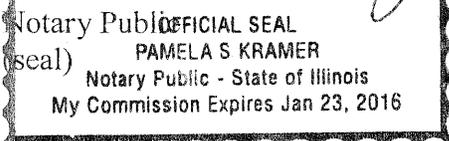
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attached letter in support of this appeal,
incorporated here by reference.

Andrew W.D. Straw
Signature of Chairman/Treasurer

Signed and Sworn to by:

[Signature]
before me this 31 Day of January, 2014



Andrew U. D. Straw
241A Brittany Drive
Streamwood, IL 60107
574-971-0131
andrew@andrewstraw.com

STATE BOARD OF ELECTIONS

14 FEB -4 AM 11:18

Director Sharon Steward
State Board of Elections
Campaign Disclosure Division
2329 S. MacArthur Blvd.
Springfield, IL 62704

January 13, 2014

Dear Illinois State Board of Elections,

My name is attorney Andrew Straw and I am the founder of the Disability Party (ID 25398). In Illinois, I am its chairman and treasurer. I am responding to the letter of January 6, 2014, in which you announced fines levied against the Disability Party due to late reports. This is our statement supporting appeal of these fines, as referenced on the appeal form.

As the name of our party implies, it is a party of and for disabled people in Illinois. It is no secret that disabled people are at the bottom of every measure of socioeconomic status. For instance, I am a lawyer, but I have mental and physical disabilities that are severe enough to qualify me for Social Security Disability Insurance (SSDI). This is my main source of income and it is \$966 per month. From time to time my mental disability causes me to forget things, and have some limitations. Financial burdens

are difficult for me not just because I am short money, but because it causes other problems emotionally and mentally.

This is the first reason I ask that the State Board of Elections for the State of Illinois waive or forgive the fines. It would be an extreme hardship for me to pay them. I am going through bankruptcy now due to the weight of medical bills that I could not pay for even with Medicare.

The second reason is the inactivity of the Disability Party in terms of elections, fundraising, expenditures, and candidates. We have no candidates in Illinois. We have raised no money. We have spent no money as a party. We have given no money to any candidates outside our party. The reports you have show we exist in Illinois, but are not financially active or electorally active. Given the many things I work on as a disability rights advocate, remembering to file reports that show no activity is also a burden. Other parties will have a constant stream of things to report and reason to remember. We do not.

I will attempt to find better ways to ensure I remember this requirement each quarter. I thought I was going to get email reminders, but they are not timed very well. I got the only one for 1/15/2014 on 11/26/2013. A reminder 7 weeks prior to the deadline is much too early. I could use multiple official reminders and closer to the deadline. Ideally, we

will become more active and the reports will actually show something, so that activity will also be a reminder.

I want to thank you in advance for your understanding, and I pray the Board will forgive these fines.

Sincerely,

A handwritten signature in black ink that reads "Andrew D. Straw". The signature is written in a cursive style with a prominent horizontal line at the end.

Andrew Straw

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

January 6, 2014

ID# 25398

Disability Party of Illinois
Andrew U D Straw
241 A Brittany Dr
Streamwood, IL 60107

7012 3460 0001 3856 9311

Dear Disability Party of Illinois:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: September Quarterly Report of Campaign Contribution and Expenditures
Report Period: July 1, 2013 through September 30, 2013
Filing Period: October 1, 2013 through October 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/17/2013, 2 day(s) late. As such, this committee has been assessed a fine of \$100.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
April 1 through June 30, 2013	Quarterly	\$225
TOTAL AMOUNT NOW DUE		\$325

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

13 SQ 006

Boone County Republican Central Committee
Respondent

ID# 749

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2013 Quarterly Report

The Report was received by the Board on October 17, 2013, 2 days late, resulting in a civil penalty assessment of \$150. Additionally, the Committee had previously been assessed a \$50 civil penalty (not appealed, paid) for delinquent filing of the June 2013 Quarterly Report, a \$50 civil penalty (not appealed, paid) for delinquent filing of the September 2012 Quarterly Report, a \$25 civil penalty (not appealed, paid) for delinquent filing of the March 2012 Quarterly Report, and a \$25 civil penalty (not appealed, paid) for delinquent filing of the December 2010 Semi-Annual Report. The total assessment is \$150.

George Crawford, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Crawford states that the Committee used to do its filings with State Representative Ron Wait's committee. He says the new Committee Treasurer saved the Committee's data on a flash drive so he could file from home instead, but when he attempted to file on October 15 he was unable to locate the data on the flash drive. Mr. Crawford says the Committee then had to wait until the State Rep returned from vacation so they could retrieve their data from his computer and file the Report.

This Committee, particularly with its history of previous late filings, should have known better than to wait until the last day of filing to attempt to complete its Report. However, having said that, without the saved data I understand how difficult it would have been to file the Report. In order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously used such a defense, I recommend the appeal be granted. (As of 12/31/13, this Committee reported a funds available balance of \$381.67.)



Tom Newman – Hearing Officer
March 4, 2014

State of Illinois)
County of: Boone)

CHICAGO

2014 FEB -6 PM 12:58

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

STATE BOARD OF ELECTIONS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
BOONE COUNTY REPUBLICAN CENTRAL)
Respondent(s). Committee)

Case No. 13500010
749

APPEAL AFFIDAVIT

I, GEORGE F. CRAWFORD, the CHAIRMAN of the
(Name) (Chairman/Treasurer)
BOONE COUNTY REPUBLICAN CENTRAL COMMITTEE
(Name of the Committee)

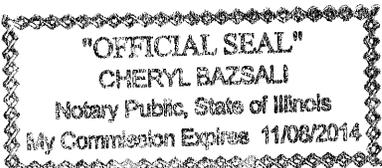
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

OUR TREASURER IS NEW, AND WE USE TO DO OUR FORMS WITH RUN WAIT - STATE REP. SO OUR
TREASURER STORED INFORMATION ON HIS FLASH DRIVE SO WE COULD DO THIS FROM HOME. WHEN WE WENT
TO DO THIS ON OCT. 15, 2013 HE LOST THE STUFF ON THE FLASH DRIVE & THROUGH YOUR WEBSITE
WE COULD NOT GET IN TO 2.0 VERSION TO GET TO 3.0 VERSION. WE HAD TO WAIT UNTIL THE STATE REP.
CAME BACK FROM VACATION TO GO INTO HIS COMPUTER & RETRIEVE OUR INFORMATION TO SWITCH
IT TO THE NEW VERSION 3.0. I NEVER FIGHT THINGS IF WE ARE WRONG, BUT THIS TIME
PLEASE HELP US. COMMITTEE GOING THROUGH MONEY CRUNCH TIME
THANK YOU FOR LISTENING TO WHAT HAPPENED.

George F. Crawford
Signature of Chairman/Treasurer

Signed and Sworn to by:
Cheryl Bazsali
before me this 3rd Day of Feb, 2014

Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 6, 2014
ID# 749

Boone County Republican Central Cmte
George Crawford/Jeremy Crawford
301 Bear Dusk Way
Belvidere, IL 61008

7011 2970 0003 6442 0406

Dear Boone County Republican Central Cmte:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: September Quarterly Report of Campaign Contribution and Expenditures
Report Period: July 1, 2013 through September 30, 2013
Filing Period: October 1, 2013 through October 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/17/2013, 2 day(s) late. As such, this committee has been assessed a fine of \$150.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
April 1 through June 30	Quarterly	\$50
TOTAL AMOUNT NOW DUE		\$200

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

13 SQ 010

Lisle Twp Democratic Org
Respondent

ID# 1119

REPORT OF HEARING OFFICER

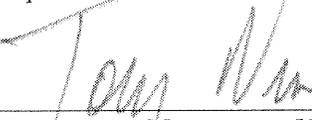
Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2013 Quarterly Report

The Report was received by the Board on October 16, 2013, 1 day late, resulting in a civil penalty assessment of \$50. Additionally, the Committee had previously been assessed a \$325 civil penalty (appealed, denied, stayed) for delinquent filing of the June 2012 Quarterly Report. The total assessment is \$375.

Joseph Heneghan, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Heneghan includes a letter from the former Treasurer of the Committee, Frank Kennedy, stating that he moved twice over the summer and in the process had to change his e-mail address. Mr. Kennedy says he checked the change of address box on the June Quarterly Report and included a new email address, but although Board postal mailings went to the new street address, the e-mail address apparently did not get updated. As a result, he says the reminder e-mail for the September Quarterly Report did not reach him.

Committees have the option of receiving Board notices and reminders via e-mail. When such an e-mail is returned as undeliverable, the Committee is instead sent a paper mailing instead. In this case, although the e-mail notification was not returned to the Board it is still possible it was sent to the wrong address. While it is ultimately the Committee's responsibility to be aware of filing deadlines and make sure all reports are submitted in timely fashion, due to the circumstances in this instance, including the Committee's quick action to rectify the late filing once it was discovered, I recommend the appeal be granted. If this recommendation is accepted by the Board, the stay would be returned to the previous \$325 civil penalty. (As of 12/31/13, this Committee reported a funds available balance of \$717.49.)



Tom Newman – Hearing Officer
March 3, 2014

State of Illinois)

County of: DuPage)

STATE BOARD OF ELECTIONS

14 JAN 27 PM 2: 34

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)

Vs.)
)
Liste Township Democratic Organization)
Respondent(s).)

Case No. 135G010

APPEAL AFFIDAVIT

I, Joseph Heneghan, the Chairman of the
(Name) (Chairman/Treasurer)
Liste Township Democratic Organization
(Name of the Committee)

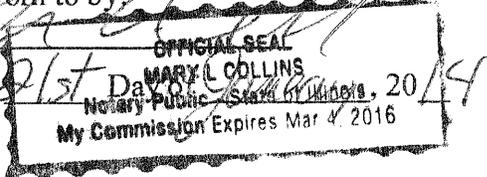
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

see attached letter from former Treasurer
Joe Heneghan phone: 630-985-6624

Joseph Heneghan
Signature of Chairman/Treasurer

Signed and Sworn to by:

before me this
Notary Public
(seal)



STATE BOARD OF ELECTIONS

To Whom it May Concern:

14 JAN 27 PM 2: 05

The purpose of this note is to explain why I was one day late in filing the 2013, 3rd quarter report for the Lisle Township Democratic Organization.

I moved twice over the summer and I moved out of the Lisle Township. In the process of these moves I had to change my email address. On the 2nd quarter report, I checked the change of address box and noted my new email address. The Board sent mail to the new address but did not change the email address. Therefore, I did not receive electronic notification of the upcoming report deadline.

I am sorry I missed the filing date by one day. I have stepped down as Treasurer and I am asking for some forgiveness with this infraction.

Thank you,

Frank Kennedy

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

January 6, 2014

ID# 1119

Lisle Twp Democratic Org
Robert Sacks
641 Sunset Dr
Naperville, IL 60540

7011 2970 0003 6442 0420

Dear Lisle Twp Democratic Org:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2013 through September 30, 2013
Filing Period:	October 1, 2013 through October 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/16/2013, 1 day(s) late. As such, this committee has been assessed a fine of \$50.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
April 1, 2012 through June 30, 2012	Quarterly	\$325
TOTAL AMOUNT NOW DUE		\$375

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

13 SQ 014

Peoples Economy Party ID# 4300
Respondent

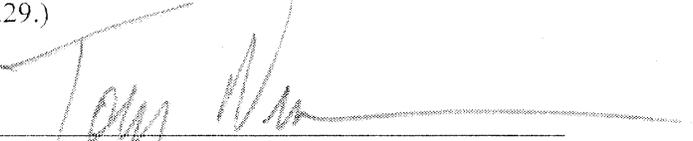
REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2013 Quarterly Report

The Report was received by the Board on October 23, 2013, 6 days late, resulting in a civil penalty assessment of \$300. Additionally, the Committee had previously been assessed a \$175 civil penalty (not appealed, stayed) for delinquent filing of the September 2011 Quarterly Report. The total assessment is \$475.

Kit Ketchmark, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Ketchmark states that at the start of the filing period for the Report, the Committee Treasurer was diagnosed with cancer and given six months to live, but told Committee officials he was healthy enough to take care of the filing requirements. Unfortunately, that turned out to not be the case, as he was hospitalized during much of the filing period and died three weeks after the diagnosis. Mr. Ketchmark says as a result, the Committee was unable to access the data on the Treasurer's computer. On October 11, Committee member C.P. Hall contacted the Board for assistance in setting up a new account on the IDIS v3.0 system to allow the Committee to file. However, due to a series of misunderstandings about the electronic filing system, they were unable to successfully file the Report until October 23. Mr. Ketchmark includes copies of a series of e-mails with Board staff, documenting the various issues and problems encountered.

The electronic filing problems experienced by the Committee are not uncommon for first-time electronic filers, and the series of emails presented as evidence seem to show a good faith effort to solve those problems in prompt fashion. As a result, and in order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously used such a defense, I recommend the appeal be granted. If this recommendation is accepted by the Board, the stay would be returned to the previous \$175 civil penalty. (As of 12/31/13, this Committee reported a funds available balance of \$3,906.29.)



Tom Newman – Hearing Officer
March 4, 2014

State of Illinois)
County of: COOK)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **14 FEB -6 AM 11:25**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
PEOPLES ECONOMY PARTY)
Respondent(s).)

Case No. 1330014

APPEAL AFFIDAVIT

I, KIT P. KETCHUM, the CHAIRMAN of the
(Name) (Chairman/Treasurer)
PEOPLES ECONOMY PARTY
(Name of the Committee)

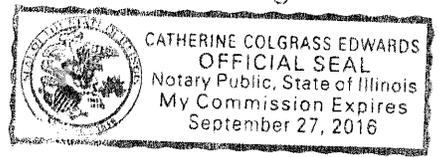
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

PLEASE SEE ATTACHED.


Signature of Chairman/Treasurer

Signed and Sworn to by:
Catherine Colgrass Edwards
before me this 2nd Day of February, 2014

Notary Public
(seal)



The Peoples Economy Party, a political committee, Respondent, hereby request a (Waiver of Appearance OR Request for Hearing at the Chicago Office?) for the civil penalty proposed against our committee, dated January 6th, 2014.

Reasons and Defenses

On Tuesday, October 1st, 2013, committee member C.P. Hall hand delivered the Peoples Economy Party's quarterly financial data of contributions and expenditures to the committee's treasurer and State Board of Elections reporting officer, Mr. Eric Wahlstrom. The next day, October 2nd, Mr. Wahlstrom informed Mr. Hall that he had been diagnosed with cancer and given six months to live. In response Mr. Hall offered to make alternative arrangements, yet Mr. Wahlstrom insisted that he was healthy enough to perform the committee filing requirements with the ISBE in a timely manor, a task he had previously performed for many years. During much of the filing period of October 1st to October 15th, Mr. Wahlstrom would be hospitalized. Tragically Mr. Wahlstrom would pass away less than three weeks after his initial diagnosis. [Obituary is enclosed].

As detailed on the ISBE webpage labeled, "Getting Started with IDIS v3.0 Website," the very first step in filing reports in IDIS v3.0 is to transfer data from IDIS 2.0. Mr. Wahlstrom kept those previous filings on his personal computer. Due to Mr. Wahlstrom's extended illness, the committee was unable at the time (and in fact remains unable) to gain access to his computer. Eric did not realize how sick he was, nor was he in the right frame of mind at the end, and thus did not provide anyone with his most recent passwords to his device.

On Friday, October 11th, Mr. C.P. Hall spoke via phone with Mr. Clinton Jenkins, a campaign finance specialist for the Illinois State Board of Elections to apprise him of our committee's situation. During this call, Mr. Jenkins told Mr. Hall that although he could not provide the committee with our password for the IDIS v3.0 system, he could change it. Mr. Hall gave Mr. Jenkins a desired password, which Mr. Jenkins said he would enter into the system, thus allowing us to access the website. The filing due on October 15th, 2013, would be the first time our committee would be required to use the new web based platform, a method which had heretofore not been available.

After numerous attempts and using all conceivable iterations, the committee was unable to access IDIS online. After repeated attempts to contact ISBE staff by phone and e-mail, Mr. Hall was able to made contact with Mr. Jenkins on Tuesday, October 15th. At that point a correction was made by Mr. Jenkins and the committee was immediately able to access IDIS v3.0, using the same user name and password that the committee had attempted to use prior.

After gaining access to IDIS v3.0 on October 15th, the committee was able to input all of the receipts and expenses for the quarter, and the report was validated, the step just prior to filing. Our roadblock came when we attempted to file the report. The system listed unfiled reports for us going back to 1999, which would need to be filed before the one that was due today. We attempted to "re-file" the oldest missing report, but were

unable to do so. We were also unclear how we could use the website to input our cash on hand and our outstanding debts and obligations. Mr. Hall emailed Mr. Jenkins that same day, October 15th, with an explanation and screen captures documenting our validation.

In a series of subsequent e-mails over the next few days between the committee and Mr. Jenkins, all filing issues were eventually resolved, often requiring the use of a “bypass” of the system (Mr. Jenkins’ own term). The report was filed on October 23rd. A copy of that email chain is included.

In conclusion, considering that this was the first time that political committees in Illinois were required to file using IDIS v3.0, that a good faith effort was made by the Peoples Economy Party to file in a timely manor (and would have if not for a series of one time only technical issues), and due to the unique situation that our committee treasurer was unable to perform his filing duties due to an illness that eventually claimed his life, we are asking for a waiver of the proposed civil penalty.

Charles CP Hall II
Phone 708-485-2847

-----Original Message-----

From: brianoberhauser <brianoberhauser@aol.com>
To: CJenkins <CJenkins@elections.il.gov>
Sent: Wed, Oct 23, 2013 3:09 pm
Subject: Re: Failure to File

Thanks, Clinton! It worked.

-----Original Message-----

From: Jenkins, Clinton <CJenkins@elections.il.gov>
To: 'brianoberhauser@aol.com' <brianoberhauser@aol.com>
Cc: cphall2 <cphall2@comcast.net>
Sent: Wed, Oct 23, 2013 10:44 am
Subject: RE: Failure to File

Yes, your user name is “fid2853.”
But don’t worry about the “Committee ID” box that cant be change.
The area you are looking for says “User Name.”

From: brianoberhauser@aol.com [<mailto:brianoberhauser@aol.com>]
Sent: Wednesday, October 23, 2013 10:30 AM
To: Jenkins, Clinton
Cc: cphall2@comcast.net
Subject: Re: Failure to File

Is is 2853? That is the user name that logs us on (fid2853).

When I go to the committee details section, it has 4300 in the Comittee ID box. If it is anything other then 4300, can you tell me how to change it? The box is protected.

Thanks!

Brian

-----Original Message-----

From: Jenkins, Clinton <CJenkins@elections.il.gov>
To: 'brianoberhauser@aol.com' <brianoberhauser@aol.com>
Cc: cphall2 <cphall2@comcast.net>
Sent: Wed, Oct 23, 2013 9:36 am
Subject: RE: Failure to File
Because that not the username. Mr. Hall should have the correct username.

From: brianoberhauser@aol.com [<mailto:brianoberhauser@aol.com>]
Sent: Tuesday, October 22, 2013 3:56 PM
To: Jenkins, Clinton
Cc: cphall2@comcast.net
Subject: Re: Failure to File

... And one more problem, when I attempt to file.

"Membership Error: There is no account in the database for user name 4300."

Brian

-----Original Message-----

From: Jenkins, Clinton <CJenkins@elections.il.gov>
To: brianoberhauser <brianoberhauser@aol.com>
Cc: cphall2 <cphall2@comcast.net>
Sent: Tue, Oct 22, 2013 3:43 pm
Subject: RE: Failure to File
Well there is no way around that one, technically.
But I'd say you can just a date that is within the 8 years but just note somewhere that the "true" original was changed from 2005 & 2005 to bypass the system.

From: brianoberhauser@aol.com [<mailto:brianoberhauser@aol.com>]
Sent: Tuesday, October 22, 2013 2:48 PM
To: Jenkins, Clinton
Cc: cphall2@comcast.net
Subject: Re: Failure to File

Clinton - hopefully our last issue. Two of our three debts are not accepted by the system, one from 2004 and the other from 2005. I receive the error message of:

"Transactions more than 8 years old may not be added, changed, or deleted."
Can you put them in for us? If so, they are:

1. Storino Ramello & Durkin (already entered as an entity for our committee), \$17,500.00 (Balance), 7/1/2004 (Date incurred), \$23,028.59 (original amount)
2. First National Bank of Brookfield (also entered entity), \$7.50 (Balance), 5/20/2005 (Date incurred), \$7.50 (original amount)

Creditor	Address	Balance	Date Incurred	Original Amount
	First National Bank of 9136 Washington	\$7.50	5/20/2005	\$7.50

Brookfield	Ave. Brookfield, IL 60513 9501 W. Devon				
Storino Ramello & Durkin	Ave. Rosemont, IL 60018 9501 W. Devon	\$2,672.43	12/31/2009	\$2,672.43	
Storino Ramello & Durkin	Ave. Rosemont, IL 60018	\$17,500.00	7/1/2004	\$23,028.59	

Creditor	Address	Balance	Date Incurred	Original Amount	
First National Bank of Brookfield	9136 Washington Ave. Brookfield, IL 60513	\$7.50	5/20/2005	\$7.50	
Storino Ramello & Durkin	9501 W. Devon Ave. Rosemont, IL 60018	\$2,672.43	12/31/2009	\$2,672.43	
Storino Ramello & Durkin	9501 W. Devon Ave. Rosemont, IL 60018	\$17,500.00	7/1/2004	\$23,028.59	
First National Bank of Brookfield	9136 Washington Ave. Brookfield, IL 60513	\$7.50	5/20/2005	\$7.50	
Storino Ramello & Durkin	9501 W. Devon Ave. Rosemont, IL 60018	\$2,672.43	12/31/2009	\$2,672.43	
Storino Ramello & Durkin	9501 W. Devon Ave. Rosemont, IL 60018	\$17,500.00	7/1/2004	\$23,028.59	
First National Bank of Brookfield	9136 Washington Ave. Brookfield, IL 60513	\$7.50	5/20/2005	\$7.50	
Storino Ramello & Durkin	9501 W. Devon Ave. Rosemont, IL 60018	\$2,672.43	12/31/2009	\$2,672.43	
Storino Ramello & Durkin	9501 W. Devon Ave. Rosemont, IL 60018	\$17,500.00	7/1/2004	\$23,028.59	

Brian

-----Original Message-----

From: Jenkins, Clinton <CJenkins@elections.il.gov>

To: 'brianoberhauser@aol.com' <brianoberhauser@aol.com>

Cc: cphall2 <cphall2@comcast.net>

Sent: Tue, Oct 22, 2013 9:02 am

Subject: RE: Failure to File

Oh ok, outstanding debt have to be enter on the "Debt & Obligations" page (the IOU icon along the left side). When there go to the top to the word "edit" & select "obligations" then "add." Enter in each outstanding loan balance, individually, from last report but use the original loan date. That should square things away.

Clinton

From: brianoberhauser@aol.com [mailto:brianoberhauser@aol.com]

Sent: Monday, October 21, 2013 8:35 PM

To: Jenkins, Clinton

Cc: cphall2@comcast.net

Subject: Re: Failure to File

Dear Clinton:

This works! Thank you.

One issue remains however, as was mentioned in the previous e-mail of October 15th. How do we enter in our older, itemized debt balances, which are unchanged from our last D-2 filing for 4/1 to 6/30? The system appears to only allow me to adjust pre-entered debts, none of which are listed when I click on the "Debts" button.

Brian

-----Original Message-----

From: Jenkins, Clinton <CJenkins@elections.il.gov>

To: cphall2@comcast.net

Cc: Oberhauser, Brian <brianoberhauser@aol.com>

Sent: Mon, Oct 21, 2013 2:42 pm

Subject: RE: Failure to File

Ok. I see your problem.

The program believes that your committee is filing from the beginning of its existence.

We need to by-pass that.

Go to the reports icon, when it comes up click on the word "actions" on the top left.

Then click on "change reporting begins date." Then in the area at the bottom enter in the date of "7/01/2013," also in the amount area enter the funds balance as of that date for your committee. SAVE that. That should bring your reporting requirements to the present.

Clinton J. Jenkins

Campaign Finance Specialist

State Board of Elections

(312)814-6464

From: cphall2@comcast.net [mailto:cphall2@comcast.net]

Sent: Tuesday, October 15, 2013 10:34 PM

To: Jenkins, Clinton

Cc: Oberhauser, Brian

Subject: Fwd: Failure to File

Clinton Jenkins,

Brian Oberhauser and I have tried without success to submit our report on October 15, 2013. The results of our efforts are, presumably, on the Board of Elections server, however, Brian has prepared the attachments in case that is inaccessible to you. Our problems include:

*The program would allow access to "Debt adjustment" but would not allow us to enter old debt balances.

*The program would not accept entry of a "Beginning balance".

*The program declines to allow us to file. Either the problem is a lack of "User name and password", or past reports which are declared to be "unfiled" and must be filed in order? "File report option" is not available to us.

I shall try to contact you by phone looking for further clarification on Wednesday, October 16, 2013.

sincerely,

-CPH-

C.P. Hall II

Peoples Economy Party File #4300



IDIS v3.0

Illinois State Board of Elections

Peoples Economy Party
Logged in as: fid2853
[Logout](#)

File View Actions Help

[View Report](#)

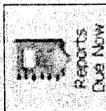
[Validate Report](#)

[File Report](#)

[Print Filing Receipt](#)

[Cancel Amendment](#)

[Change Reporting Begins Date](#)



Reports Due Now

Report	Reporting Period	Filing Period	Original Filed
	1/29/2007 - 3/18/2007	3/19/2007 - 4/2/2007	
	1/1/2007 - 6/30/2007	7/2/2007 - 7/20/2007	
	7/1/2007 - 12/31/2007	1/2/2008 - 1/22/2008	
	1/1/2008 - 6/30/2008	7/1/2008 - 7/21/2008	
	7/1/2008 - 10/5/2008	10/6/2008 - 10/20/2008	
	7/1/2008 - 12/31/2008	1/2/2009 - 1/20/2009	
	1/1/2009 - 1/25/2009	1/26/2009 - 2/7/2009	
	1/26/2009 - 3/8/2009	3/9/2009 - 3/23/2009	
	1/1/2009 - 6/30/2009	7/1/2009 - 7/20/2009	
	7/1/2009 - 12/31/2009	1/4/2010 - 1/20/2010	
	1/1/2010 - 6/30/2010	7/1/2010 - 7/20/2010	
	7/1/2010 - 10/3/2010	10/4/2010 - 10/18/2010	
	7/1/2010 - 12/31/2010	1/3/2011 - 1/20/2011	
	1/1/2011 - 3/31/2011	4/1/2011 - 4/15/2011	
	4/1/2011 - 6/30/2011	7/1/2011 - 7/15/2011	
	7/1/2011 - 9/30/2011	10/1/2011 - 10/17/2011	
	10/1/2011 - 12/31/2011	1/1/2012 - 1/17/2012	
	1/1/2012 - 3/31/2012	4/1/2012 - 4/16/2012	
	4/1/2012 - 6/30/2012	7/1/2012 - 7/16/2012	
	7/1/2012 - 9/30/2012	10/1/2012 - 10/15/2012	
	10/1/2012 - 12/31/2012	1/1/2013 - 1/15/2013	
	1/1/2013 - 3/31/2013	4/1/2013 - 4/15/2013	
	4/1/2013 - 6/30/2013	7/1/2013 - 7/15/2013	
	7/1/2013 - 9/30/2013	10/1/2013 - 10/15/2013	



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Illinois State Board of Elections

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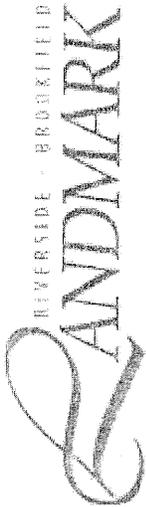


Peoples Economy Party
Logged in as: fid2853
[Logout](#)

Warnings and errors - Quarterly report - 7/1/2013 to 9/30/2013

- Reports Due Now
- Future Reports Due
- Previously Filed Reports

The Quarterly report - 7/1/2013 to 9/30/2013 has no warnings or errors.



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Home News Articles Eric T. Wahlstrom, 51

Eric T. Wahlstrom, 51 Owned Brookfield business

Tuesday, October 29th, 2013 10:00 PM

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43

Wahlstrom, 51, of Brookfield, died Oct. 22, 2013 in LaGrange.

Wahlstrom was very active in Brookfield as a businessman and as a public servant. He owned Wahlstrom and Doors on Ogden Avenue and served in a variety of capacities on appointed village commissions, the Brookfield Zoning Board of Appeals and the Brookfield Plan Commission.

He was also a member of the Brookfield Recycling Program and ran unsuccessfully with the backing of the PEP Party for a seat on the Brookfield Public Library Board of Trustees in 2001.

In addition, Mr. Wahlstrom was a former Nation Chief of the Indian Guides and Princesses program and was a member of the Merchandise Execution Team at Home Depot.

Mr. Wahlstrom was the husband of Patricia "Trish" Wahlstrom, the father of Carl and Anna Wahlstrom, the brother of the late Sharon (Lloyd) Bonecutter, the uncle of Amanda (Dave) Harrison and Bobby Onysio, the brother-in-law of Cindy (Walter) Onysio, and the nephew and cousin of many.

Services have been held. Memorial donations are appreciated to the family for the education of Mr. Wahlstrom's children.

Hallowell and James Funeral Home, Countryside, handled arrangements.

Reader Comments

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BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

13 AM 012

Citizens for Karen McConnaughay (ID 7875)

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for the Failure to File a Schedule A-1 Report

On January 23, 2013, the Committee received a \$1,000 contribution and failed to file a Schedule A1- Report, resulting in a \$500 civil penalty assessment. Additionally, the Committee was assessed a \$4,470 civil penalty (not appealed, reduced, paid) for the failure to file four Schedule A-1's during the General Primary of 2008; a \$1,000 civil penalty (appealed, denied, reduced, paid) for failing to file a Schedule A-1 in the General Election of 2008; a \$1,000 civil penalty (not appealed, reduced, paid) for delinquently filing two Schedule A-1 reports during the 4th Quarter of 2011; and a \$1,000 civil penalty (appealed, denied, reduced, paid) for delinquently filing 2 Schedule A-1 Reports during the 4th Quarter of 2012. Since this is the Committee's third or subsequent delinquent Schedule A-1 filing, the civil penalty is assessed at 100% or \$500.

Mitchell Belon, Treasurer, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on August 6, 2013.

Attorney Andrew Raucci, filed an appearance on behalf of the Committee. Mr. Raucci submitted an appeal affidavit in support of the following defense. On February 1, 2011 and March 28, 2011, the Committee expended two political donations to of \$500 to Hultgren for Congress. The receipt of \$1,000 received on January 23, 2013 is the refund of these combined contributions from the Hultgren for Congress Committee. As per Section 5/9-1.4(C), refund transactions do not qualify as a contribution, therefore a Schedule A-1 Report filing is not required. In error, the Committee reported the refund as a Transfer Out on the 2013 March Quarterly Report filed on April 1, 2013. On April 15, 2013, the Committee filed an Amended 2013 March Quarterly Report listing the amount in part 4, Other Receipts.

In support of this defense, the Respondent submitted the following documents: a.) copies of the checks of the original contribution to Hultgren for Congress; b.) a copy of the refund check from Hultgren; c.) a letter of correspondence from Hultgren for Congress indicating the check represents a contribution refund; and d.) the Committee's April 15, 2013 amended filing as reflected on the Board's website.

Based upon the aforementioned reasons and supporting documentation, I recommend that the appeal be granted. (As of 12/31/13, this Committee reported a funds available balance of \$ \$86,890.02).



Tara Molnar – Hearing Officer
January 22, 2014

2013 JUN 27 AM 11:00

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant,)
)
versus)
)
CITIZENS FOR KAREN McCONNAUGHAY,)
)
Respondent.)

NO. 13AM012

APPEAL AFFIDAVIT

I, MITCHELL BELON, the Treasurer of CITIZENS FOR KAREN McCONNAUGHAY, first being duly sworn, deposes and states that he represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

1. On June 3, 2013, the State Board of Elections sent an Assessment Letter to CITIZENS FOR KAREN McCONNAUGHAY (hereinafter "Committee") alleging that the Committee had failed to timely file a Schedule A-1 for what was alleged to be the following "contribution" :

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A 1 Received</u>	<u>Days Late</u>	<u>Date of Contribution</u>
Hultgren for Congress	1/23/2013	\$1000	*	40	\$500

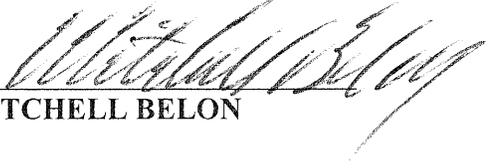
2. In fact, the \$1,000 check was a refund of prior contributions and not a contribution. A copy of a letter from Hultgren for Congress confirming that the check was a refund and not a contribution is attached as Exhibit "A".

3. In an amended First Quarter 2013 Report filed April 1, 2013, the check was erroneously reported as a "Transfer In".

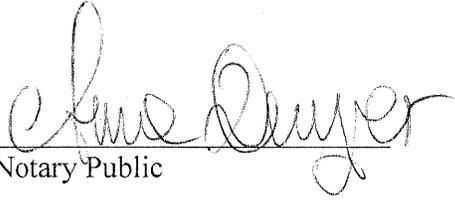
4. On April 15, 2013, an amended First Quarter 2013 Report was filed which correctly reported the check as a "Refund".

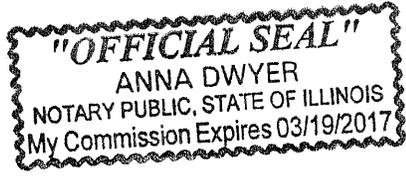
5. Refunds do not require the filing of a Schedule A-1.

6. The matters not properly reported were inadvertent and not intentional.


MITCHELL BELON

SUBSCRIBED & SWORN TO BY:
MITCHELL BELON
Before me this 25th of June, 2013


Notary Public



ANDREW M. RAUCCI
Attorney for Citizens for Karen McConnaughay
3000 North Sheridan Road
Suite 18C
Chicago, IL 60657-5580
Phone & Cell: 312/203-2700
Fax: 773/348-3524
Email: ilobby30n@aol.com

RANDY
HULTGREN
Republican for Congress

Citizens for Karen McConaughay
PO Box 631
St. Charles, IL 60174

Dear Friends,

Thank you so much for your continued support of Randy Hultgren for Congress. It is supports like you that are the strength of our organization.

This letter serves to verify that the following check written to your committee from Randy Hultgren for Congress was intended as a refund, and not as a contribution, nor any other type of expense.

Check Date: 12/19/2012
Check Number: 2266
Amount: \$1,000.00

Should you require any other information, please feel free to contact us at (706) 534-7780. Again, thank you for your support.

Sincerely,

Randy Hultgren for Congress

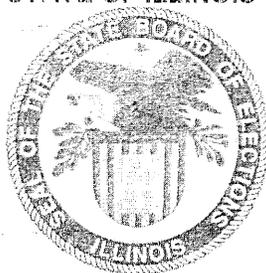
EXHIBIT "A"

www.HultgrenforCongress.com
P.O. Box 717 St. Charles, Illinois 60174
Paid for by Hultgren for Congress Committee

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



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Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2013
ID# 7875

Citizens for Karen McConnaughay
902 S Randall Rd, #295
Saint Charles, IL 60174-1554

7011 2970 0003 6442 6248

Dear Citizens for Karen McConnaughay:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
Hultgren for Congress	1/23/2013	\$1000	*	40	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the third or subsequent delinquent Schedule A-1 filing by your committee, the civil penalty will be \$500, (100% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The fine will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 3, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
October 1 through December 31 2012	Schedule A-1	\$1875 (appeal pending)
TOTAL AMOUNT NOW DUE		\$2375 (appeal pending)

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

13 DQ 249

Concerned Citizens for America
Respondent

ID# 11321

REPORT OF HEARING OFFICER

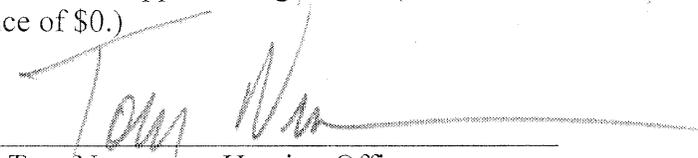
Appeal of Civil Penalty Assessment for Delinquent Filing of the
December 2012 Quarterly Report

The Report was received by the Board on January 18, 2013, 3 days late, resulting in a civil penalty assessment of \$150. Additionally, the Committee had previously been assessed a \$25 civil penalty (not appealed, paid) for delinquent filing of the December 2011 Quarterly Report. The total assessment is \$150.

Leland Freberg, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Freberg states that the Report was mailed by the Committee on January 10, 2013. He adds that it is unbelievable that the Report was not received by the Board until January 18, and also points out that his organization is no longer in existence and has no remaining funds.

The Report was received by the Board in an envelope that did not have a postmark. Section 100.125(b) of the Board's Rules and Regulations states in part that if the envelope containing a report received late does not show a postmark, "*as part of the committee's appeal of the civil penalty assessment, it is alleged by the treasurer, chairman or candidate on a signed and notarized affidavit verifying that the report was mailed more than 72 hours prior to the filing deadline, and this is the first time the committee has made this claim as part of its appeal, the presumptive date of receipt will be rebutted by the testimony contained in the affidavit and the report will be deemed to have been timely received.*" Since this Committee had not previously made such a claim, I recommend the appeal be granted. (As of 12/31/13, this Committee reported a funds available balance of \$0.)



Tom Newman – Hearing Officer
March 3, 2014

State of Illinois)
County of: SANGAMON)

STATE BOARD OF ELECTIONS

14 FEB -3 PM 2:32

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)

Vs.)
)
CONCERNED CITIZENS FOR AMERICA)
Respondent(s).)

Case No. _____

APPEAL AFFIDAVIT

I, LELAND FREBERG, the TREASURER of the
(Name) (Chairman/Treasurer)
CONCERNED CITIZENS FOR AMERICA
(Name of the Committee)

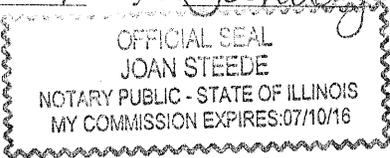
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

SEE ATTACHED COVER LETTER WITH EXPLANATION.
FORM WAS FILED WELL IN ADVANCE OF DEADLINE, USPS TOOK 8 DAYS TO DELIVER.
ORGANIZATION NO LONGER IN EXISTENCE.

Leland Freberg
Signature of Chairman/Treasurer

Signed and Sworn to by:
Joan Steede
before me this 29 Day of January 2014

Notary Public
(seal)



STATE BOARD OF ELECTIONS

14 FEB -3 PM 2:32

6072 Brynwood Drive, Suite 202
Rockford, Illinois 61114-5829

Phone (815) 637-1800
Fax (815) 637-1812

January 28, 2014

State Board of Elections
Attn: Campaign Disclosure
2329 S. Mac Arthur Blvd.
Springfield, IL 62704

Re: Concerned Citizens for America
ID# 11321

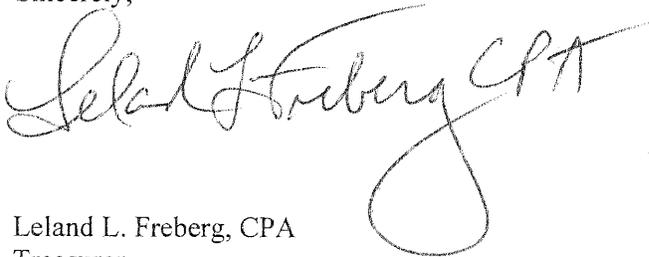
Dear State Board of Elections,

This letter is in response to your notice dated January 6, 2014. A copy of this notice is enclosed.

Our appeal affidavit is enclosed. A copy of our final Form D-2 is enclosed for reference. The report was dated and mailed January 10, 2013. Per my phone discussion with Sharon Steward, the Board of Election records apparently show receipt of the form as January 18, 2013. This is unbelievable.

Our organization is no longer in existence and no funds remain in our checking account pursuant to the report filed. We respectfully ask that the \$150 fine assessed be abated.

Sincerely,



Leland L. Freberg, CPA
Treasurer
Concerned Citizens for America



FORM D-2	REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES <i>(CHECK APPROPRIATE BOXES) (PLEASE TYPE OR PRINT IN BLACK INK)</i>	FOR OFFICE USE ONLY
	<input type="checkbox"/> Quarterly Report: <i>(check one)</i> <input type="checkbox"/> 1 st , <input type="checkbox"/> 2 nd , <input type="checkbox"/> 3 rd , <input checked="" type="checkbox"/> 4 th <input checked="" type="checkbox"/> Final Report <input type="checkbox"/> Amendment of the Report Indicated Above	

Full name and complete mailing address of Political Committee:
 Concerned Citizens for America
 PO Box 7996
 Rockford, IL 61126-7996

CHECK IF ADDRESS CHANGE

POLITICAL COMMITTEE
 Committee ID: 11321
 13

IDENTIFICATION No.

e-mail address:

REPORTING PERIOD 6-1-12 12-31-12 FROM THRU	CASH AVAILABLE AT THE BEGINNING OF THE REPORTING PERIOD: \$ <u>217.37</u> Repeat this amount in SECTION D line (A).	ALL POLITICAL COMMITTEES RETURN TO: STATE BOARD OF ELECTIONS PO BOX 4187 1020 S Spring St SPRINGFIELD, IL 62708-4187 OR STATE BOARD OF ELECTIONS JAMES R. THOMPSON CENTER 100 W RANDOLPH ST, STE 14-100 CHICAGO, IL 60601-3232
---	--	---

COMPLETE ALL SECTIONS FOR QUARTERLY AND FINAL REPORTS.

SECTION A - RECEIPTS

1. Individual Contributions

a. Itemized (from Schedule A): \$ _____ (1a)
 b. Not-Itemized: \$ 300.00 (1b)

2. Transfers In

a. Itemized (from Schedule A): \$ _____ (2a)
 b. Not-Itemized: \$ _____ (2b)

3. Loans Received

a. Itemized (from Schedule A): \$ _____ (3a)
 b. Not-Itemized: \$ _____ (3b)

4. Other Receipts

a. Itemized (from Schedule A): \$ _____ (4a)
 b. Not-Itemized: \$ _____ (4b)

TOTAL RECEIPTS (1a thru 4b) \$ 300.00

SECTION B - EXPENDITURES

6. Transfers Out

a. Itemized (from Schedule B): \$ _____ (6a)
 b. Not-Itemized: \$ _____ (6b)

7. Loans made

a. Itemized (from Schedule B): \$ _____ (7a)
 b. Not-Itemized: \$ _____ (7b)

8. Expenditures

a. Itemized (from Schedule B): \$ 487.50 (8a)
 b. Not-Itemized: \$ 29.87 (8b)

9. Independent Expenditures

a. Itemized (from Schedule B-9): \$ _____ (9a)
 b. Not-Itemized: \$ _____ (9b)

TOTAL EXPENDITURES (6a thru 9b) \$ 517.37

SECTION C - DEBTS AND OBLIGATIONS

(Include previously reported unpaid debts)

10. a. Itemized (from Schedule C): \$ _____ (10a)
 b. Not-Itemized: \$ _____ (10b)

TOTAL DEBTS & OBLIGATIONS: \$ 0

SECTION D - CASH BALANCE

Cash available at the beginning of the reporting period: \$ 217.37 (A)

Total Receipts from Section A: \$ 300.00 (B)

Total Cash (A) plus (B): \$ 517.37 (C)

Total Expenditures from Section B: \$ 517.37 (D)

Funds available at the close of the reporting period (C) minus (D): \$ 0 (E)

INVESTMENTS TOTAL: \$ 0 (F)

5. In-Kind Contributions

a. Itemized (from Schedule I): \$ _____ (5a)
 b. Not-Itemized: \$ _____ (5b)

TOTAL IN-KIND (5a+5b) \$ 0

Name & address of person submitting this report if other than the committee's chairman or treasurer:

VERIFICATION

I DECLARE THAT THIS QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES (INCLUDING ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE, CORRECT AND COMPLETE REPORT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE REPORT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO \$5000.

NAME OF POLITICAL COMMITTEE:

REPORTING PERIOD

10-1-12 | 12-31-12
FROM THRU

FOR OFFICE USE ONLY

SCHEDULE A RECEIPTS

CHECK THE PART OF FORM D-2, SECTION A, BEING ITEMIZED:

- PART #1- INDIVIDUAL CONTRIBUTIONS INCLUDING TICKETS AND RAFFLE SALES PART #3- LOANS RECEIVED INCLUDING ENDORSER
- PART #2- TRANSFERS IN POLITICAL COMMITTEE CONTRIBUTIONS INCLUDING TICKET AND RAFFLE SALES PART #4- OTHER RECEIPTS

POLITICAL COMMITTEE
IDENTIFICATION No.

11321

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

ITEMIZED RECEIPTS FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE RECEIVED	AMOUNT OF EACH RECEIPT	AGGREGATE AMOUNT FOR THIS REPORTING PERIOD
		\$	\$
		EMPLOYER:	OCCUPATION:
		\$	\$
		EMPLOYER:	OCCUPATION:
		\$	\$
		EMPLOYER:	OCCUPATION:
		\$	\$
		EMPLOYER:	OCCUPATION:
		\$	\$
		EMPLOYER:	OCCUPATION:
		\$	\$
		EMPLOYER:	OCCUPATION:
		\$	\$
		EMPLOYER:	OCCUPATION:

SEE A SEPARATE SCHEDULE A FOR EACH PARTS 1, 2, 3, & 4

TOTAL THIS PERIOD \$ 0

CHECK IF THIS IS THE LAST PAGE OF THIS PART ONLY

NAME OF POLITICAL COMMITTEE:

REPORTING PERIOD

FOR OFFICE USE ONLY

10-1-12 FROM 12-31-12 THRU

SCHEDULE B EXPENDITURES

CHECK THE PART OF FORM D-2 BEING ITEMIZED:

[] PART #6 TRANSFERS OUT

EXPENDITURES TO POLITICAL COMMITTEES - INCLUDING TICKET & RAFFLE PURCHASES

[] PART #7 LOANS MADE

[x] PART #8 EXPENDITURES

POLITICAL COMMITTEE IDENTIFICATION No.

11321

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

Table with 6 columns: ITEMIZED EXPENDITURES (ALL NAME, MAILING ADDRESS, AND ZIP CODE), DATE OF EXPENDITURE, PURPOSE, BENEFICIARY, AMOUNT OF EACH EXPENDITURE THIS REPORTING PERIOD, AGGREGATE AMOUNT THIS REPORTING PERIOD. Row 1: STATE BOARD of ELECTIONS, 12-24-12, FINE SETTLEMENT, 487.50, 487.50.

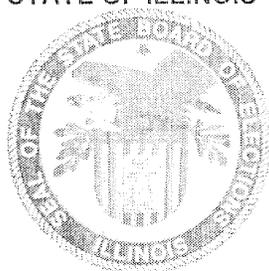
SEPARATE SCHEDULE B FOR EACH PARTS 6, 7, & 8

TOTAL THIS PERIOD \$ 487.50 [] CHECK IF LAST PAGE OF THIS SCHEDULE

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

January 6, 2014

ID# 11321

Concerned Citizens for America
Sherri Cultra
PO Box 7996
Rockford, IL 61126-7996

7012 3460 0001 3856 9793

Dear Concerned Citizens for America:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 1, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 1/18/2013, 3 day(s) late. As such, this committee has been assessed a fine of \$150.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.*

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

13 SQ 039

Committee for a Better Berwyn ID# 14895
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2013 Quarterly Report

The Report was received by the Board on October 16, 2013, 1 day late, resulting in a civil penalty assessment of \$75. Additionally, the Committee had previously been assessed a \$75 civil penalty (appealed, denied, paid) for delinquent filing of the September 2012 Quarterly Report, a \$50 civil penalty (appealed, denied, paid) for delinquent filing of the June 2012 Quarterly Report, and a \$25 civil penalty (not appealed, paid) for delinquent filing of the June 2011 Quarterly Report. The total assessment is \$75.

Frank Marzullo, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Marzullo states that he attempted to file the report electronically on 10/15/13, but was unable to get the filing program to open. He says he tried rebooting the computer several times, but this failed to fix the issue and since it was after hours he was unable to seek assistance from Board staff. Mr. Marzullo says he called the next day, and with staff assistance was able to correct the problem and file the Report.

While situations like this show why it is best to not wait until the last day to attempt to file a report, Mr. Marzullo did seek assistance as soon as possible and was therefore only one day late in filing. In order to be consistent with prior Board decisions where an electronic filing defense is raised, and since this Committee has not previously used such a defense, I recommend the appeal be granted. (As of 12/31/13, this Committee reported a funds available balance of \$3,501.40, with additional investments of \$12,804.03.)



Tom Newman – Hearing Officer
March 4, 2014

State of Illinois)

County of: COOK)

STATE BOARD OF ELECTIONS

14 FEB 10 AM 1:10

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 135Q039

COMMITTEE FOR A BETTER)
Respondent(s). BERWYN)

14895

APPEAL AFFIDAVIT

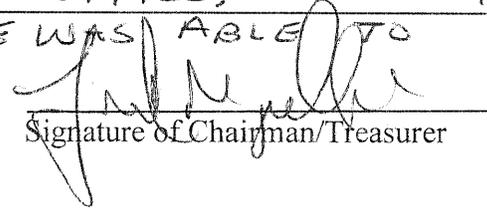
I, FRANK MARZULLO, the _____ of the
(Name) (Chairman/Treasurer)

COMMITTEE FOR A BETTER BERWYN

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

WHEN I WENT TO FILE THE REPORT ON 10/15/13
THE PROGRAM WOULD NOT OPEN. I TRIED RE-BOOTING
THE COMPUTER SEVERAL TIMES BUT WAS UNABLE
TO FIX THE ISSUE & IT WAS EVENING TIME SO NO
ONE WAS IN THE BOARD OF ELECTIONS OFFICE, THE NEXT
DAY I SPOKE W/ANDY NAUMAN & HE WAS ABLE TO
WALK ME THROUGH FIXING THE
COMPUTER ISSUE.

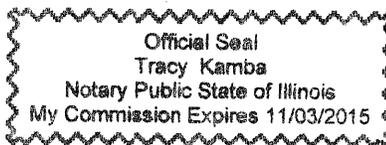

Signature of Chairman/Treasurer

Signed and Sworn to by:

Tracy Kamba

before me this 6TH Day of FEB., 2014

Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

January 6, 2014

ID# 14895

Committee for a Better Berwyn
Tracy Kamba
1901 E 31st St, Ste B
La Grange Park, IL 60526-1374

7011 2970 0003 6442 0550

Dear Committee for a Better Berwyn:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2013 through September 30, 2013
Filing Period:	October 1, 2013 through October 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/16/2013, 1 day(s) late. As such, this committee has been assessed a fine of \$75.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation for delinquent filing it is required to be paid by the committee within 30 days of the issuance of the Final Board Order:

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink that reads "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

13 AJ 061

Schwab for Waukegan ID# 22195
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to File a Schedule A-1 Report

The Committee received a \$2,500 contribution on 4/5/13, and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$1,250. Additionally, the Committee had previously been assessed a \$75 civil penalty (not appealed, stayed) for delinquent filing of the December 2012 Quarterly Report. The total assessment is \$1,325.

William Sultan Jr., the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Sultan states that the contribution in question was an in-kind contribution from Haapanen Graphics for some campaign literature and posters. He says the company originally told him that the donation would be valued at a few hundred dollars and they would provide the details later. Mr. Sultan says about the time he was preparing the Committee's Quarterly Report, he received notice from Haapanen Graphics that the actual value of the in-kind was \$2,500, so he included that information on the Report and did not submit a separate A-1.

I contacted Mr. Sultan to see if he could provide documentation of when the Committee received notice of the value of the in-kind, but he indicated the notice was only verbal. However he did attest to the fact that the verbal notice was received at approximately the same time the Report was prepared, and he believes that the contribution was therefore reported within the 5 business days required by statute. Because date of receipt of an in-kind contribution is considered to be the date the Committee receives notice of the in-kind, and based on Mr. Sultan's testimony, I believe the in-kind contribution was reported in timely fashion on the Quarterly Report, and therefore no separate A-1 was required. I therefore recommend the appeal be granted. If this recommendation is accepted by the Board, the stay would be returned to the previous \$75 civil penalty. (As of 12/31/13, this Committee reported a funds available balance of \$835.)



Tom Newman – Hearing Officer
March 4, 2014

State of Illinois)

County of: Lake)

STATE BOARD OF ELECTIONS

14 FEB -6 AM 11:25

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Schwab for Waukegan)
Respondent(s).)

13A9061

ID#

Case No. 22195

APPEAL AFFIDAVIT

I, William W. Sultan, Jr, the Treasurer of the
(Name) (Chairman/Treasurer)

Schwab for Waukegan
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Our contention is that the timing for submission
is correct base on the date notified by contributor
of the value of the contribution

See Attach letter for further details

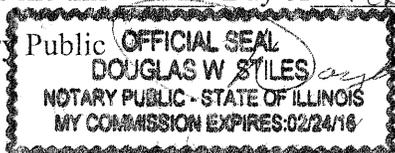
Contact information is on the letter

[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:
William W. Sultan Jr

before me this 3 Day of February, 2014

Notary Public (seal) [Signature]



January 28, 2014

To: Board of Election, State of Illinois

Subject: Reporting of campaign contributions of greater than \$1000.00

Respondent: Schwab for Waukegan

ID #: 22195

Dear Board:

We have been fined \$125.00 plus and earlier waived fine for \$75.00 as a result of an assumed late submission of a Schedule A-1. The total fine assessed is \$200.00. We are requesting a waiver of this fine based on the following:

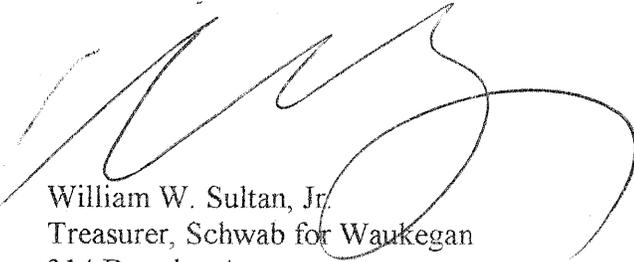
The reason for the timing of the submission of the Schedule A-1 is due to the timing of the receipt of the details of an in-kind donation from Haapanen Graphics. We were originally told by Haapanen Graphics the donation would be valued at a few hundred dollars, and they would provide the details later. As a result, we did not submit a separate Schedule A-1 outside the normal quarterly submission.

When we received the actual details from Haapanen the value of the In-Kind donation was \$2,500. This information was received coincidental to the time for the normal quarterly submission. As a result, the Schedule A-1 was submitted with the quarterly report within the required time of the Illinois Campaign Disclosure Act.

Since we did not have knowledge that the donation was going to exceed the \$1,000 requirement when the work was done, there was not a reason to submit a Schedule A-1 separate from the quarterly report.

As a result, we request the fine be waived.

Thanks for your consideration.



William W. Sultan, Jr.
Treasurer, Schwab for Waukegan
314 Douglas Ave.
Waukegan, IL 60085
847-263-0800 (Work)/847-609-7140 (Mobile)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 6, 2014

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Schwab for Waukegan
William W Sultan Jr/John Roger Schwab
314 Douglas Ave
Waukegan, IL 60085

ID# 22195

7012 3460 0001 3857 0430

Dear Schwab for Waukegan:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Haapanen Graphics	4/5/2013	2500	*	64	\$1250

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$1250.00 for delinquent filing Schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$125.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
10/1/2012 through 12/31/2012	Quarterly	\$75
TOTAL AMOUNT NOW DUE		\$200

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

13 DQ 245

SouthWestern Illinois Democratic Women
Respondent

ID# 24511

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
December 2012 Quarterly Report

The Report was received by the Board on October 31, 2013, 199 days late, resulting in a civil penalty assessment of \$5,000. Additionally, the Committee had previously been assessed a \$75 civil penalty (not appealed, stayed) for delinquent filing of the March 2013 Quarterly Report. The total assessment is \$5,075.

Barb Brown, the Chairman of the Committee, filed a Request for Hearing, and submitted an Appeal Affidavit.

The hearing was held on March 4, 2014 in Springfield, with Ms. Brown and Committee Treasurer Rena Sabatino present. At the hearing, both women confirmed information included on the affidavit, specifically that Ms. Sabatino originally mailed the Report on January 11, 2013. She says after receiving notice from the Board that the Report was not received, she mailed a second copy on February 7, which also apparently never made it to the Board. Due to some confusion related to additional Board mailings for the previous assessment and personal family issues during the year, Ms. Sabatino says she did not realize that the second mailing was also not received until October of 2013, at which time she sent a third copy of the Report, this time by certified mail.

Section 100.125(b) of the Board's Rules and Regulations states:

"If the envelope containing the quarterly report is not received by the Board, the envelope is received but does not have a postmark printed by the United States Postal Service, or if the postmark is illegible, the report will either be deemed to have not been received or be deemed to have been received on the date the envelope officially arrives in the office of the State Board of Elections. However, if the political committee is assessed a civil penalty for failing to file or delinquent filing the report and, as part of the committee's appeal of the civil penalty assessment, it is alleged by the treasurer, chairman or candidate on a signed and notarized affidavit verifying that the report was mailed more than 72 hours prior to the filing deadline, and this is the first time the committee has made this claim as part of its appeal, the presumptive date of receipt will

be rebutted by the testimony contained in the affidavit and the report will be deemed to have been timely received."

This Committee appears to have had a stretch of very bad luck in regards to the US Postal Service, with several mailings both to and from the Board not received by one party or the other. Based on the Committee's sworn assertion that the Report in question was originally mailed on January 11, well before the filing deadline, and since this Committee has not previously made such a claim under 100.125(b), I recommend the appeal be granted. If this recommendation is accepted by the Board, the stay would be returned to the previous \$75 civil penalty. (As of 12/31/13, this Committee reported a funds available balance of \$1,419.38.)

A handwritten signature in cursive script, appearing to read "Tom Newman", written over a horizontal line.

Tom Newman – Hearing Officer
March 4, 2014

State of Illinois)
County of: St Clair)

STATE BOARD OF ELECTIONS

14 FEB -5 AM 10:59

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
South Western Ill. Democratic Women)
Respondent(s).)

Case No. 13DQ245
~~13CD208~~

APPEAL AFFIDAVIT

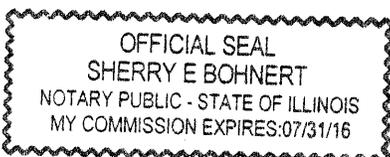
I, Barb Brown, the Chairman of the
(Name) (Chairman/Treasurer)
South Western Illinois Democratic Women
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please See Attached

Barb Brown
Signature of Chairman/Treasurer

Signed and Sworn to by:
Sherry E Bohnert
before me this 3 Day of February, 2014



Notary Public
(seal) Sherry E Bohnert

SouthWestern Illinois Democratic Women is an organization that formed in 2006 to give Democratic women in the Madison, St. Clair, Monroe and Randolph County area the opportunity to come together to learn more about the Democratic Party and public policy issues, to participate in political activities like campaigns and issue advocacy, and to socialize with others who share similar political views and value systems.

Many of the women who belong to the organization have never been active in a political organization before. They are not candidates or office holders, they have not held formal positions in a party organization or campaigns, they join because they support the Democratic Party and want to be helpful. Such is the case with our treasurer, Rena Sabatino.

We have never held a fundraiser for our organization. We collect dues and ask for sponsors when we host events. Some sponsors have been so generous that, in August of 2012, we had enough money in the bank that we met the threshold for filing with the State Board of Elections. Our treasurer, elected by the membership, was completely unfamiliar with the process of filing and the role of the State Board of Elections. I worked with her to help her understand the basic things that were required of us. She filed the D-1 State of Organization in August, 2012, but did not receive a packet from the State Board for filing of the 3rd quarter report. In checking with her to see if she had filed, I realized that she did not have the necessary forms and I provided them for her.

Aware that she did not receive the packet for the 3rd quarter filing, I checked with Rena to make sure that she had received the packet for the 4th quarter filing. She told me she had and that the report was done and she had it ready to send. She is a very careful and responsible treasurer and I had no reason—and still have no reason—to believe that she did not put the report in the mail as she indicated.

When we received the notice that the 4th quarter report had not been filed, I followed up with Rena and she indicated that she had spoken to the State Board of Elections and that she had done what she was instructed to do. She was eager to comply and to resolve the matter and felt that all was taken care of.

When I received the mailing indicating that there was to be a hearing on Wednesday, November 6, 2013, in Springfield, I was confused and called the State Board of Elections. My call was routed to an attorney in Chicago. He explained to me the nature of the problem—that the 2012 4th quarter report had not been received. I was to be gone out of the country for a long planned trip and asked if it was sufficient for our treasurer to appear without me. He assured me that she could represent us without me being present. I contacted Rena to let her know how important it was for her to appear and to explain the circumstances—I left with the understanding that she would attend. Upon my return, I contacted her to find out what had happened and she told me about the communication with Mr. Levin and his call to let her know she did not need to attend the meeting. She indicated to me that she had not attended and that everything was taken care of.

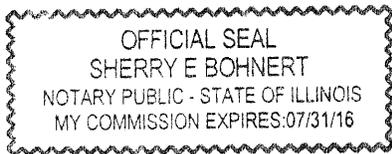
Receiving a notice that we were being assessed a \$5075 fine was a complete shock. I always understood that there would be penalties for not submitting reports in a timely fashion. I had believed that we had complied and only learned that the 4th quarter had never been received when I read the letter concerning the Nov. 6 hearing. I had left on my trip believing that we would be represented at that hearing and that the Board would learn at that time that we had only earnest intentions and intended no deceit or had any reluctance about honoring the deadlines set by the Board. What we are guilty of is confusion, miscommunication and negligence but no ill intent. We are a struggling organization that exists in an environment that does not particularly welcome women's political organizations and a fine of this magnitude will certainly mean the end of our organization.

We stand ready to pay whatever fine the Board feels necessary but we ask for an opportunity to seek the Board's consideration of the fact that we have a very small bank account and that we are not likely to ever command many resources. It is not easy for us to raise money so having to pay a \$5000 fine when we don't even have half of that amount in our treasury dooms any chance we have of continuing. Both Rena and I stand prepared to step down from our positions as officers if the Board wishes us to do so, but we hope our ineptitude will not force the organization to bear such an onerous penalty. We ask for a hearing on this matter.

Barb Brown
Barb Brown, SWIDW President

2-3-14
Date

*Subscribed and sworn before me this 3rd day
of February, 2014.*



Sherry E. Bohnert
Notary Public

I would like to explain in a time line what has transpired since our group of women formed a political committee with the State of Illinois.

August of 2012 we filed a D-1 Statement of Organization

October 15, 2012 I filed our first quarterly report for the third qtr of 2012.

On Friday January 11, 2013 I mailed our 4th qtr of 2012 . As usual I made a copy of the report and noted the mail date for our files.

In late January 2013 I received a letter stating that I failed to file a 4th qtr report. During this time my mothers' health was failing. From December 2012 on, her health was up and down and I was told numerous times that I needed to get to the nursing home. On February 6, 2013 I called Sharon Steward (Dir. Of Campaign Discloure) who had signed the letter. I explained that I had a copy of the report that I had mailed on 01-11-2013. She instructed me to send a copy of the report again. So, on February 7, 2013 I made a copy of the report I had on file and mailed it to Springfield again.

The 1st qtr of 2013 report copy shows that I completed it on April 12, 2013. I received a notice dated 4/23/13 that the report was not received until 04/18/2013 with a postmark date of 04/15/2013. Honestly I cannot remember the date I mailed this report. Again I apologize but my mothers' health continued to spiral down and she did pass. As her chief care giver my life was quite a blur from December 2012 through about May due to her death, burial arrangements and estate obligations.

Since I had received a letter in late January 2013 that you did not receive the 4th qtr 2012 report and then a letter stating that the 1st qtr report was received but late I assumed the copy of the 4th qtr report was received that was mailed on February 7, 2013 after my phone call to Sharon Steward since I did not receive anything to the contrary.

Sometime later I did receive a notice that a \$75.00 fine could be levied since the 1st qtr 2013 report was filed late. The person that I talked to on the telephone then explained that since it was our first late report they would waive the fine but

that it would be imposed if a report was filed late again. At this time nothing was mentioned that our 4th qtr 2012 was not received.

On July 9, 2013 I filed our 2nd qtr report.

On October 8, 2013 I filed our 3rd qtr report.

While visiting our youngest daughter in Alabama my husband suffered a heart attack. When we finally arrived back home there was a letter in the mail stating a hearing was to be held on Wednesday Nov. 6, 2013 at 9:00 am in Springfield, IL. It stated it was because we failed to file our 4th qtr 2012 report. I was really confused since I thought this had been taken care of back in February or perhaps they were talking about the the 3rd qtr report I had mailed on October 8, 13 prior to leaving for Alabama. I sent a letter and another copy of the report to Mr John Levin explaining we had been out of town and that I would have my daughter take my husband to his referral appointed cardiologist in St. Louis on Wed. November 6 so I could make the meeting. This time I sent everything via certified mail so I do know that it was received on 10/31/13. To my surprise on Monday morning November 4, 2013 Mr Levin called me and said it was not necessary for me to attend the meeting and that I would receive further information at a later date.

I received a document dated December 4, 2013 stating that Mr Levin had submitted a report and that a hearing was to be held in Chicago on December 17, 13.

Just prior to Christmas I received a document dated 12/19/2013 that a report was made and would be reviewed.

On January 9, 2014 I received a notice that we were being fined \$5000.00 for not filing our 4th qtr 2012 report until October 31, 2013. To say the least, I was totally shocked since our group usually has a balance less than \$2000.00 per month and that I thought this was all settled back in February 2013. I was out of town on a business trip but since home I have poured over my records. What I have compiled in this statement is exactly what transpired since our group was formed. I sincerely ask that all of this information be reviewed and will attend a meeting to answer any questions that you may require.

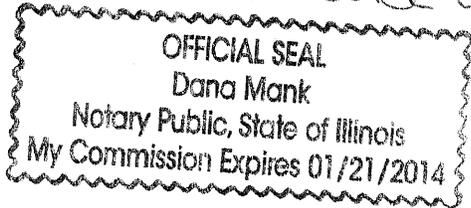
Our 4th qtr 2013 was sent via certified mail on Friday January 10, 2013 and the signed return card indicates that it was received on Wednesday January 15, 13.. I have no idea why certified mail took six days to deliver.

Rena Sabatino 2-1-14

RENA SABATINO

618-660-6811

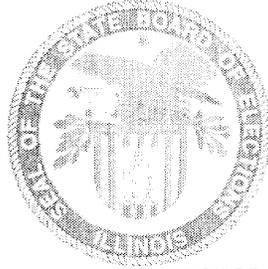
Dana Mank 2/1/14



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

January 6, 2014
ID# 24511

SouthWestern Illinois Democratic Women
PO Box 114
Lebanon, IL 62254-0114

7012 3460 0001 3856 9809

Dear SouthWestern Illinois Democratic Women:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 1, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/31/2013, 199 day(s) late. As such, this committee has been assessed a fine of \$5000.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
1/1/2013 through 3/31/2013	Quarterly	\$75
TOTAL AMOUNT NOW DUE		\$5075

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

12 JQ 182

Citizens for a Healthy Safe and Secure Middle School 24691

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Delinquently Filing the June 2013 Quarterly Report

The Report was received by the Board on 7/26/13, 7 days late, resulting in a civil penalty assessment of \$450. In addition, this Committee had previously been assessed a \$750 civil penalty (not appealed, stayed) for delinquently filing the December 2012 Quarterly Report. The total assessment is \$1,200.

Todd Lindquest, the treasurer of the Committee and Terrence Campbell, the co-chairperson of the Committee, both called the hearing officer at different times concerning this matter.

Mr. Lindquest stated that he has an Apple computer and he could not run the IDIS program without installing an additional program on his computer. Therefore, the program was installed on Mr. Campbell's computer. Mr. Campbell stated that he called the State Board of Elections for assistance for every report that the Committee filed electronically. He also stated that the Committee was off \$29 for more than a year and he could not get the system to reconcile. The \$29 was believed to be from the expense of opening a PO Box however Mr. Campbell was not sure how to reconcile the \$29 in the IDIS program. Mr. Campbell stated that the report was delinquent because he had problems working with the IDIS program and he could not get the program to reconcile. The committee has since filed a Final Report.

It is unfortunate that Mr. Campbell had difficulty using the IDIS program and I do not know why it took him 7 days beyond the filing period to file the report when he regularly contacts the State Board of Elections for assistance in filing reports however in order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously used such a defense, I recommend the appeal be granted. If this recommendation is accepted by the Board the \$750 civil penalty from the December 2012 Quarterly Report will continue to be stayed. (The Committee has filed a Final Report.)



Andy Nauman – Hearing Officer

February 18, 2014

State of Illinois)
County of : _____)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

13 OCT 10 AM 11:40

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs. CITIZENS FOR A HEALTHY)
SAFE & SECURE MIDDLE SCHOOL)
Respondent(s).)

Case No. 1390182

APPEAL AFFIDAVIT

I, Todd Lindquest, the TREASURER of the
(Name) (Chairman/Treasurer)
CITIZENS FOR A HEALTHY, SAFE & SECURE MIDDLE SCHOOL
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

① THE STATE SOFTWARE WOULD NOT LOAD OR
WORK PROPERLY ON MY APPLE COMPUTER.

② WE LOADED ON TERRENCE A. CAMPBELL'S COMPUTER.

③ WE COULD NOT GET THE SYSTEM TO RECONCILE
\$29 USED TO OPEN A POST OFFICE BOX AFTER
SEVERAL TRIES & CALLS TO THE
BOARD OF ELECTIONS.

Todd Lindquest
Signature of Chairman/Treasurer

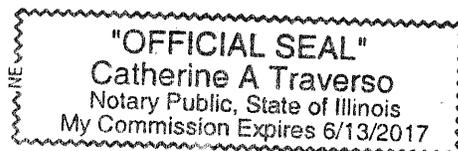
④ WE HAVE RECORDS OF EXPENDITURES AND DESIRE
TO CLOSE THIS COMMITTEE WHEN RECONCILED.

Signed and Sworn to by:

Catherine A. Traverso

before me this 8TH Day of October, 2013

Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

September 11, 2013

Citizens for a Healthy Safe and Secure Middle School ID# 24691
Todd Lindquist
139 E Rose St
Glenwood, IL 60425-1760

7011 2970 0003 6441 6904

Dear Citizens for a Healthy Safe and Secure Middle School:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contribution and Expenditures
Report Period: April 1, 2013 through June 30, 2013
Filing Period: July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/26/2013, 9 day(s) late. As such, this committee has been assessed a fine of \$450.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
10/1/2012-12/31/2012	Quarterly	\$750
TOTAL AMOUNT NOW DUE		\$1200

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

13 AD 098

Fifth Ward Regular Democratic Org 14992
Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Failing to File a
Schedule A-1 in the 4th Quarter of 2012

This committee received a \$1,500 contribution on 10/5/12 and failed to report the contribution on a Schedule A-1, resulting in a civil penalty assessment of \$750. Additionally, the Committee had previously been assessed a \$150 civil penalty (not appealed, reduced, stayed) for delinquently filing a Schedule A-1 in the 4th Quarter of 2011. The total assessment is \$900.

Gerald McCarthy, the treasurer of the Committee, appeared on January 23, 2013 for the appeal hearing.

Mr. McCarthy stated that he has kept the books for 15 years and the Committee has rarely missed a filing deadline. The problem with this particular contribution was that a volunteer deposited the check and then failed to notify Mr. McCarthy of the deposit. Mr. McCarthy stated that the failure to report was not intentional and the contribution was not discovered until bank reconciliation. He also stated that during the 4th Quarter of 2012 the Committee's records were kept by volunteers and the report was prepared by volunteers. The Respondent requests that the fine be waived.

Mr. McCarthy stated that the records were kept by volunteers and that volunteers prepared the quarterly report; however, the treasurer of a political committee is responsible for keeping the records and filing appropriate reports. In the opinion of the hearing officer the failure of a volunteer to inform the treasurer that a contribution was deposited is an internal matter that has no bearing on the responsibility of timely filing Schedule A-1 reports. I recommend that the appeal be denied for lack of an adequate defense. However, since there is no indication that the Schedule A-1 violation was anything other than inadvertent and unintentional, and since the Schedule A-1 violation is considered a second violation, I also recommend that the civil penalty be reduced to 50% of the original assessment, or \$375. If this recommendation is accepted by the Board, the stay will be lifted from the previously assessed \$150 civil penalty and the total civil penalty of \$525 will be due and owing. (As of 12/31/13, this Committee reported a funds available balance of \$2,798.04.)



Andy Nauman – Hearing Officer

February 14, 2014

State of Illinois)
County of: Cook)

CHICAGO

2013 OCT 16 AM 8:35

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Fifth Ward Regular Democratic Org)
Respondent(s).)

Case No. 13AD098

APPEAL AFFIDAVIT

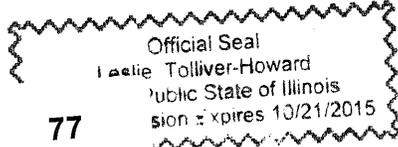
I, Gerald S. McCarthy, the Treasurer of the
(Name) (Chairman/Treasurer)
Fifth Ward Regular Democratic Organization
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

We are requesting a waiver of the fine assessed in the amount of \$750.00 on the October 5, 2012
contributed by Robin Hill Group, Inc. We request the waiver because the notice of the
deposit was not communicated to Treasurer. Deposit was not discovered until bank
reconciliation. During this reporting period, the records and reports are prepared by volunteers.

[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:
[Signature]
before me this 11th Day of September, 2013
Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Cassandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013

Fifth Ward Regular Democratic Org
PO Box 498441
Chicago, IL 60649-8441

ID# 14992

7011 2970 0003 6441 8410

Dear Fifth Ward Regular Democratic Org:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Robin Hill Group, Inc	10/5/2012	\$1500	*Not Filed	120	\$750

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$750.00 for delinquent filing schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$375.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
2012	A-1	\$150
TOTAL AMOUNT NOW DUE		\$525

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs.

13JQ069

Citizens for Dean Argiris 16747
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing the June 2013 Quarterly Report

The Report was received by the Board on 7/18/13, 3 days late, resulting in a civil penalty assessment of \$150. In addition, this Committee had previously been assessed a \$50 civil penalty (not appealed, stayed) for delinquently filing the September 2011 Quarterly Report. The total assessment is \$200.

Dean Argiris, the Candidate, called the hearing officer and the hearing was conducted over the telephone.

Dean Argiris stated that he is confident that he placed the envelope containing the June Quarterly Report in the box outside of the Wheeling Post Office on Friday 7/12/13. He went on to say that the Wheeling Post Office is notorious for its late delivery service. Mr. Argiris believes that it is not his fault that the employees at the Post Office did not collect the mail out of the box and process the envelope until Monday, 7/15/13. The Respondent requests that Board consider the report filed timely because he placed the envelope in the mail more than 72 hours prior to the deadline which would have met the requirement of a timely filing if the employees of the post office would have collected and processed the mail on 7/12/13.

Section 100.125 (b) of Board Rules and Regulations reads: *"If the envelope containing either of the Reports named in subsection (a) of this Section is not received by the Board, the envelope is received but does not have a postmark printed by the United States Postal Service, or if the postmark is illegible, the report will either be deemed to have not been received or deemed to have been received on the date the envelope officially arrives in the office of the State Board of Elections. However, if the political committee is assessed a civil penalty for failing to file or delinquently filing the report and, as part of the committee's appeal of the civil penalty assessment, it is alleged by the treasurer, chairman or candidate on a signed and notarized affidavit verifying that the report was mailed more than 72 hours prior to the filing deadline, and this is the first time the committee has made this claim as part of its appeal, the presumptive date of receipt will be rebutted by the testimony contained in the affidavit and the report will be deemed to have been timely received.* I understand the frustration Mr. Argiris has in this matter however the Rule as written does not apply to this situation because the postmark on the envelope was 7/15/13. Therefore, I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay will be lifted from the previously assessed \$50 civil penalty and the total civil penalty of \$200 will be due and owing. (As of 12/31/13, this Committee reported a funds available balance of \$1,529.38.)



Andy Nauman – Hearing Officer

February, 6, 2014

State of Illinois)
County of: COOK)

STATE BOARD OF ELECTIONS
13 OCT 11 PM 2:23

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs. CITIZENS FOR)
DEAN S. ARGIRIS)
Respondent(s).)

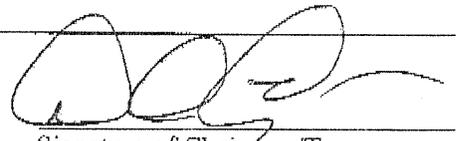
Case No. 13J0069

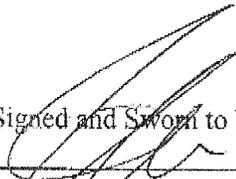
APPEAL AFFIDAVIT

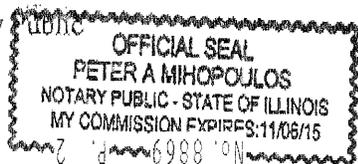
I, DEAN S. ARGIRIS, the TREASURER of the
(Name) (Chairman/Treasurer)
CITIZENS FOR DEAN S. ARGIRIS
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

POSTMARK OF REPORT AS ALWAYS HAS BEEN
DONE ON THE DEADLINE DATE. I HAVE
DONE THIS NOW FOR OVER 10 YEARS
I FEEL BEING FINED IS NOT FAIR


Signature of Chairman/Treasurer

Signed and Sworn to by:

before me this 10 Day of OCT, 2013

Notary Public (seal)


STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013
ID# 16747

Citizens for Dean S Argiris
Dean Argiris
122 Berkshire Dr
Wheeling, IL 60090-3955

Dear Citizens for Dean S Argiris:

7011 2970 0003 6441 5440

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contribution and Expenditures
Report Period: April 1, 2013 through June 30, 2013
Filing Period: July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/18/2013, 3 day(s) late. As such, this committee has been assessed a fine of \$150.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
7/1/2011-9/30/2011	Quarterly	\$50
TOTAL AMOUNT NOW DUE		\$200

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

13 MA 004

Friends of George A. Cardenas 17290

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failing to File Schedule A-1's
During the 1st, 2nd and 3rd Quarters of 2012

The Committee received 62 contributions totaling \$131,900 between 1/5/12 and 7/31/12, and failed to report these contributions on a Schedule A-1, resulting in a civil penalty of \$65,950. Additionally, the Committee had previously been assessed a \$50 civil penalty (not appealed, paid) for delinquently filing the June 2003 Semi-Annual Report; two \$100 civil penalties (not appealed, paid) for delinquently filing a Schedule A-1 for the 2003 Consolidated Primary; a \$100 civil penalty (appealed, denied, reduced, paid) for delinquently filing the December 2003 Semi-Annual Report; a \$1,800 civil penalty (not appealed, paid) for delinquently filing the June 2004 Semi-Annual Report; a \$4,300 civil penalty (not appealed, stayed, expired) for delinquently filing the December 2008 Semi-Annual Report; a \$50 civil penalty (not appealed, paid) for delinquently filing the June 2011 Quarterly Report; civil penalties totaling \$425 (not appealed, paid) for failing to file 4 contributions on a Schedule A-1 during the 1st Quarter of 2011; a \$175 civil penalty (not appealed, paid) for delinquently filing the December 2011 Quarterly Report; civil penalties totaling \$6,735 (appealed, denied, reduced, paid) for failing to file 35 contributions on a Schedule A-1 during the 3rd Quarter of 2011; civil penalties totaling \$3,212.50 (appealed, denied, reduced, paid) for failing to file 19 contributions on a Schedule A-1 during the 4th Quarter of 2011; a \$2,500 civil penalty (appealed, denied, reduced, paid) for delinquently filing the June 2012 Quarterly Report; civil penalties totaling \$23,250 (not appealed, not paid) for failing to file 24 contributions on a Schedule A-1 during the 3rd Quarter of 2012; civil penalties totaling \$1,850 (not appealed, not paid) for failing to file 3 contributions on a Schedule A-1 during the 4th Quarter of 2012; and civil penalties totaling \$28,750 (not appealed, not paid) for delinquently filing 30 contributions on a Schedule A-1 during the 1st Quarter of 2013. The total assessment is \$119,800.

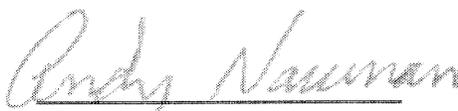
On 5/22/13 the Board entered a Final Order imposing a \$65,950 civil penalty after the Respondent failed to file an appeal within 30 days of the 3/15/13 assessment notice. On 7/29/13 the Respondent submitted a Motion for Reconsideration on the basis that the Board mailed the Final Order to an address that they changed with the State Board of Elections 6/12/13. The Respondent requested the matter be sent to a hearing officer for a hearing. On 11/20/13 the Board entered a Final Order that granted the Motion for Reconsideration and ordered the Respondent to file an appeal within 30 days of the effective date of the Order. On 12/13/13 the appeal affidavit and the request for a hearing was submitted to the State Board of Elections.

The Respondent was represented by attorney James P. Nally at the March 4th appeal hearing. Also in attendance was the candidate, George Cardenas, and the treasurer's assistant, Anabel Abarca.

James Nally stated that the failure to file Schedule A-1 reports is a continuation of sorts of violations that the Committee had in 2011 that were appealed in a similar appeal hearing with the same hearing officer. He stated that the Committee was not aware the Schedule A-1 filing requirements changed on 1/1/11 and they were not made aware of the change until 2013. The treasurer is now aware of the Schedule A-1 requirements and a Memo (attached) was submitted to the hearing officer which outlines the steps the

Committee has taken to avoid any future violations. Mr. Nally stated that the violations were inadvertent and noted that all of the contributions were disclosed on the Committee's quarterly reports. He said the Committee was not trying to hide the contributions in any way; they just didn't know the requirements. Additionally, Mr. Nally stated that the Committee moved in early 2013 and all of the notices the State Board of Elections would have mailed went to the old address which was an unoccupied building and the notices were never received by the Committee. Furthermore, Mr. Cardenas stated that personnel changes took place during this period of time with his Chief of Staff and the next person did not acclimate fast enough, and was not properly trained or informed of their filing responsibilities. Plus, Mr. Cardenas was dealing with personal issues as he was going through a divorce. He said that this all added up to a perfect storm that has since been addressed by the measures the Committee has taken as outlined in the attached Memo. Mr. Nally stated the Committee has had no violations since these measures have been implemented and they ask the Board for leniency because the violations were inadvertent, not intentional, the Committee was unaware of the law change, the Committee did not receive notices, the Committee had personnel changes, and the candidate was going through a divorce. In closing Mr. Cardenas stated that the Rules are very important and it is clear to him that good staff is critical and he is very serious about his filings.

It is the opinion of the hearing officer that the treasurer of the Committee not completely understanding the filing requirements, turnover in personnel, not timely updating an address change with the State Board of Elections, and the candidate going through a divorce are not an adequate defense for failing to file Schedule A-1 reports. Additionally, the Committee sporadically filed Schedule A-1 Reports in 2011 and 2012 therefore the hearing officer believes they had some form of knowledge regarding the year round filing requirements for Schedule A-1 reports. Plus, the committee uses the IDIS program and the program notifies the data entry person that a Schedule A-1 needs to be filed when a contribution of \$1,000 or more is entered into the system. Furthermore, the Committee should have known of the "new" Schedule A-1 reporting requirements; the Board sent mailings to all committees and additionally posted information on the Board website to notify and educate committees about the changes of the law. I recommend the appeal be denied for lack of an adequate defense and I also recommend that the penalty not be reduced since these would be considered as fourth, fifth, and sixth violations. If this recommendation is accepted by the Board the assessment for the Schedule A-1 violations for the 1st quarter of 2012 would be \$31,450, the 2nd quarter of 2012 would be \$32,000 and the 3rd quarter of 2012 would be \$2,500, for a total of \$65,950, and the total assessment of \$119,800 would be due and owing. (As of 12/31/13, this Committee reported a funds available balance of \$32,785.46.)


Andy Nauman – Hearing Officer
March 4, 2013

2013 DEC 13 AM 9:57

STATE BOARD OF ELECTIONS

State of Illinois)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of George A. Cardenas)
Respondent(s).)

Case No. 13MA004/13MA067

APPEAL AFFIDAVIT

I, George A. Cardenas, the Chairman of the
(Name) (Chairman/Treasurer)

Friends of George A. Cardenas

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Mitigating Circumstances

Signed and Sworn to by:

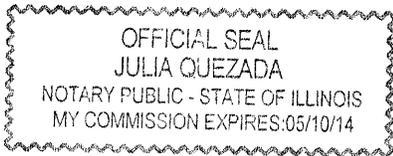
Julia Quezada

before me this 12 Day of

December, 2013

Julia Quezada
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



Memo

From: Anabel Abarca

To: Friends of George Cardenas Campaign Committee

Re: Reporting and Deposit Procedures

Effectively immediately, Friends of George Cardenas will implement stricter reporting and deposit procedures. Additionally, the following changes were made:

- 1) All mailing addresses were updated to the current address of 3757 S. Campbell Ave, Chicago, IL 60632.
- 2) Hiring of a full-time political staff at campaign office who is in charge of checking mail on a daily basis.
- 3) Only 1 person is allowed to make deposits into the committee account (treasurer assistant).

The following is the new procedure for reporting and depositing:

- 1) Treasurer Assistant is contacted when a check has been received at the campaign office.
- 2) Treasurer Assistant will pick up check(s) after 5pm on Fridays on their own time, not to interfere with their outside employment.
- 3) In the event that the Treasurer or political staffer is out of the office, Treasurer Assistant has access to mailbox.
- 4) Treasurer Assistant collects all checks to deposit and:
 - a. Scans via computer each check into one file to later be sent to Treasurer.
 - b. Makes a physical copy of each check to keep for FOGC records
 - c. Alerts Treasurer that a deposit will be made the following day (Saturday).
 - d. Treasurer Assistant does this for all checks regardless of amount.
- 5) Treasurer files A1's over the weekend immediately following the deposit and never later than 5 days after the deposit.
 - a. If the deposit falls within 30 days of any election, A1's are reported within 2 days.
- 6) Treasurer Assistant keeps a quarterly record of all expenditures and provides the information to the Treasurer via an Excel spreadsheet within 7 days of the reporting period filing deadline.
 - a. If Treasurer Assistant is unable to do so, Treasurer has direct access to all of the information.
- 7) Treasurer files quarterly reports before the filing deadline of each quarter.

Contingency measures:

In the event that the Treasurer is not able to file a quarterly report, Treasurer Assistant has the login information for IDIS and will file the quarterly reports.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
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Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 15, 2013
ID# 17290

Friends of George A Cardenas
2829 W Cermak Rd
Chicago, IL 60623-3513

7011 2970 0003 6442 9423

Dear Friends of George A Cardenas:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act during the first quarter of 2012:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
2454-60 W 38 th St LLC	1/23/2012	\$1400	*		\$700
Active Roofing Co Inc	1/5/2012	\$5000	*		\$2500
Auto Parts Service Inc DBE Frank's West	1/19/2012	\$1000	*		\$500
C&C Midway Partners Inc	1/18/2012	\$1000	*		\$500
Chicago Acceptance LLC	1/18/2012	\$1000	*		\$500
Chicago Indoor Sports LLC	1/17/2012	\$2500	*		\$1250
Cristina Foods	1/18/2012	\$1000	*		\$500
Fred B Barbara Investments	1/19/2012	\$1500	*		\$750
Al Giudice	2/3/2012	\$1000	*		\$500
Gutierrez Contractors Inc	1/18/2012	\$1000	*		\$500
Crane Harris Kenney	1/18/2012	\$1000	*		\$500
Marque Medicos Management Service	1/17/2012	\$5000	*		\$2500
Edward McMahon	1/17/2012	\$5000	*		\$2500
Midwest Generation EME LLC	1/6/2012	\$3000	*		\$1500
Midwest Generation EME LLC	2/6/2012	\$1500	*		\$750
Christopher M Novy	1/18/2012	\$1000	*		\$500
James Novy	1/18/2012	\$1000	*		\$500

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Recycling Systems inc	1/18/2012	\$1500	*		\$750
Kurson Reyes	1/31/2012	\$1500	*		\$750
John J Rock	1/18/2012	\$2000	*		\$1000
Rose Worldwide Inc	1/18/2012	\$5000	*		\$2500
RTC	2/3/2012	\$1000	*		\$500
Shadow Metals Inc	1/18/2012	\$3500	*		\$1750
Tropical Optical Corp	1/18/2012	\$1000	*		\$500
Wirtz Beverage Illinois	1/5/2012	\$1000	*		\$500
Chicago Latino Public Affairs Cmte	1/25/2012	\$5000	*		\$2500
Friends of Martin Sandoval	3/20/2012	\$1500	*		\$750
Friends of Martin Sandoval	1/17/2012	\$5000	*		\$2500
Peoples Gas – PAC	1/23/2012	\$1000	*		\$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$31,450 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the fourth delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$31,450, (100% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

In addition, this committee also failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act during the second quarter:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
4700 Pete's Market inc	6/27/2012	\$1000	*		\$500
7-D Construction	6/13/2012	\$2500	*		\$1250
Acme Refining Scrap Iron & Metal Co	6/4/2012	\$1500	*		\$750
Active Roofing Co Inc	6/4/2012	\$5000	*		\$2500
Richard Barr	6/4/2012	\$1000	*		\$500
Basilica de Guadalupe Foundation Inc	5/30/2012	\$1500	*		\$750

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
CACV LLC	6/4/2012	\$1000	*		\$500
Chicago Indoor Sports LLC	5/23/2012	\$2500	*		\$1250
Coca Cola Bottling Co	6/13/2012	\$1000	*		\$500
Kroger	5/18/2012	\$1000	*		\$500
Howard Labkon	6/4/2012	\$5000	*		\$2500
Luise Inc	6/4/2012	\$2500	*		\$1250
Robin Marnell	6/25/2012	\$1000	*		\$500
Marque Medicos Management Service	5/18/2012	\$5000	*		\$2500
Guy Medaglia	4/20/2012	\$1000	*		\$500
Mexico market Place	6/4/2012	\$5000	*		\$2500
NewTec Window & Door	5/30/2012	\$1000	*		\$500
Plaza Azteca	6/13/2012	\$1500	*		\$750
Kathleen P Ragusa	4/17/2012	\$1000	*		\$500
Sanchez Group	6/4/2012	\$2500	*		\$1250
Solano-De Carrier Management Co LLC	6/6/2012	\$1000	*		\$500
Mike Starr	6/4/2012	\$1500	*		\$750
Supermercado El Guero #10	5/18/2012	\$1000	*		\$500
Tropical Optical Corp	6/4/2012	\$1000	*		\$500
View Chicago LLC	4/27/2012	\$2000	*		\$1000
Viva Entertainment Network Inc	5/30/2012	\$1500	*		\$750
Wal-Mart Stores Inc	5/18/2012	\$6000	*		\$3000
James T Weiss	6/4/2012	\$1000	*		\$500
Chicago Latino Public Affairs Committee	6/4/2012	\$4000	*		\$2000
Friends of Michael Alvarez	4/20/2012	\$1500	*		\$750

Your committee is subject to a fine of \$32,000 for delinquently filing Schedule A-1 reports.

Since this is the fifth delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$32,000, (100% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

In addition, this committee also failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act during the third quarter:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
D-Media LLC	7/5/2012	\$1000	*		\$500
Lindahl Brothers Inc	7/31/2012	\$1500	*		\$750
PJ Wells Inc DBA O'Brien's Restaurant	7/31/2012	\$2500	*		\$1250

Your committee is subject to a fine of \$2500 for delinquent filing Schedule A-1 reports.

Since this is the sixth delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$2500, (100% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all assessments in this letter is \$65,950.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
July 1 through September 30 2011	Schedule A-1	\$33,675 (appeal pending)
October 1 through December 31 2011	Schedule A-1	\$16,062.50 (appeal pending)
April 1 through June 30 2012	Quarterly	\$5000 (appeal pending)
July 1 through September 30 2012	Quarterly	\$200 (appeal pending)
TOTAL AMOUNT NOW DUE		\$120,888

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Jenny Ronimous at 217-782-1543.

Sincerely,



Sharon Steward
 Director, Campaign Disclosure Division

SS: jr
 Enclosures: appeal packet

* This contribution was reported on the March, June, or September Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs.

13 MQ 124

Citizens to Elect Judge Lorna Propes (ID23627)
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the 2013 March Quarterly Report

The report was received by the Board on May 7, 2012, 7 days late, resulting in a \$800 civil penalty assessment. Additionally, this Committee was assessed a \$150 civil penalty (appealed, denied, stayed) for delinquently filing the 2012 March Quarterly Report. The total assessment is \$950.

Lorna Propes, Candidate, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on August 7, 2013.

Attorney Michael Kasper appeared on behalf of the Respondent. Mr. Kasper stated that the Quarterly report was inadvertently filed late and that the committee is in the process of closing and filing a final report.

I recommend that the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, a \$950 civil penalty will be due. Since the Committee filed a Final Report, I further recommend that the assessment will be abated two years following the effective date of the Final Board Order if the committee remains dissolved until that time without forming a Successor committee pursuant to Rules and Regulations 100.110(b). (As of 7/29/13, this Committee reported a funds available balance of \$0.)



Tara Molnar – Hearing Officer

February 20, 2014

State of Illinois)
County of: Cook)

STATE BOARD OF ELECTIONS

13 JUN 24 PM 12: 22

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
CITIZENS TO ELECT JUDGE)
Respondent(s). LORNA PROPEZ)

Case No. 13 MCP 124

APPEAL AFFIDAVIT

I, LORNA PROPEZ, the CANDIDATE of the
(Name) (Chairman/Treasurer)
CITIZENS TO ELECT JUDGE LORNA PROPEZ
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

My treasurer, who is not a campaign professional, had returned all of the books and materials to me. The oversight was mine, entirely unintended. There had not been a single transaction in the entire reporting period. The committee is presently ready to be closed.

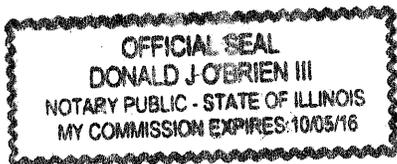
Lorna Propez
Signature of Chairman/Treasurer

Candidate

Signed and Sworn to by:
D J O'Brien III

before me this 19th Day of June, 2013

Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
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Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2013
ID# 23627

Citizens to Elect Judge Lorna Propes
1549 W Jackson Blvd
Chicago, IL 60607-5303

7011 2970 0003 6442 4787

Dear Citizens to Elect Judge Lorna Propes:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: March Quarterly Report of Campaign Contribution and Expenditures
Report Period: January 1, 2013 through March 31, 2013
Filing Period: April 1, 2013 through April 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on May 7, 16 day(s) late. As such, this committee has been assessed a fine of \$800.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 3 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
January 1 through March 31 2012	Schedule A-1	\$150
TOTAL AMOUNT NOW DUE		\$950

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

13 JQ 146

Northwestern Illinois Building & Construction Trades Council 23781

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for the Delinquent Filing of the
June 2013 Quarterly Report

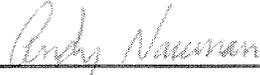
The June 2013 Quarterly Report was received by the Board on 7/17/13, 2 days late, resulting in a \$400 civil penalty. Additionally, the Committee had previously been a \$5,000 civil penalty (appealed, denied, stayed) for delinquently filing the Statement of Organization; a \$5,000 civil penalty (appealed, denied, stayed) for delinquently filing the March 2011 Quarterly Report; and a \$5,000 civil penalty (appealed, denied, stayed) for delinquently filing the June 2011 Quarterly Report. The total civil penalty assessment is \$15,400.

The Respondent was represented by attorney John Nelson at the January 8th appeal hearing. Also attending was Tom Sink, the treasurer of the Committee and Robin Perez an employee that works in a local IBEW office.

John Nelson stated that the \$400 that has been assessed on the Committee is not the issue because the Committee was delinquent in filing the June 2013 Quarterly Report. The issue he said was that the previous stay on the prior fines being lifted. Ms. Perez stated that she had completed most of the data entry prior to the filing deadline and on the last day to file she was preparing the report when she was interrupted. It was not until 2 days later that Ms. Perez realized that the report had not been filed. She immediately contacted the State Board of Elections and was informed to file the report and that after the report was filed the Committee would be assessed a fine for delinquently filing the report. The Committee was expecting a fine but a civil penalty in the amount of \$15,400 for a report that was two days late was an eye opener that they believe is not fitting of the "crime". Mr. Nelson went on and stated that the \$15,000 amount was stayed by the Board because the previous failure to comply was not deliberate but was a result of limited oversight, failure to have systems in place to comply, and inexperience and ignorance with campaign disclosure laws, and they promised to do better. The Respondent believes that they have been better however they realized that they made a clerical error by inadvertently filing the June 2013 Quarterly Report two days late. The organization used to have an executive director and a secretary but due to the poor economy they can no longer have those positions and they have been doing more with less. The Committee believes that they have had a good record of compliance since the original stay, minus this one infraction and they would be forever grateful if the Board were to extend the stay of \$15,000 instead of giving them what would essentially be a death penalty

I understand the situation the Committee would be in if the \$15,000 stay was lifted from the previous civil penalties however in the opinion of the hearing officer the Respondent has not provided a sufficient reason to grant the appeal. I believe the delinquent filing was inadvertent however this would have no bearing on the Committee's responsibility to timely file a quarterly report. I recommend the appeal be denied for lack of an adequate defense. Furthermore, in relation to the request for the previously assessed stay of the \$15,000 civil penalty to not be lifted I recommend the request be denied. If this

recommendation is accepted by the Board the civil penalty totaling \$15,400 will be due and owing. (As of 12/31/13, this Committee reported a funds available balance of \$22,395.32.)



Andy Nauman
Andy Nauman – Hearing Officer

February 4, 2014

STATE BOARD OF ELECTIONS
13 OCT 15 PM 12:59

STATE OF ILLINOIS)
) SS
WINNEBAGO COUNTY)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:)
)
ILLINOIS STATE BOARD OF ELECTIONS)
)
Complainant)
)
Vs.)
)
NORTHWESTERN ILLINOIS BUILDING)
AND CONSTRUCTION TRADES)
COUNCIL,)
)
Respondent)

Case No. 1390146

APPEAL AFFIDAVIT

I, Tom Sink, the Treasurer of the Northwestern Illinois Building & Construction Trades Council, Committee, first being duly sworn, deposes and states that he represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The Committees defenses are as follows: First, whether a typographical error, or not, the July 17, 2013 filing of the June quarterly report was not 400 days late, but two days late. I am the newly elected Secretary/Treasurer of the Committee, and I am also the new Business Agent for IBEW Local 364. I have assigned an employee in our IBEW local office, first name, Robin to do our actual filing. She is ordinarily very efficient, and well organized. She reported to me that she had missed the July 15th deadline, but had filed the report on July 17th as she had caught the mistake. She told me there would be a fine because we were two days late. I advised her to take extra measures to make sure any future reports were filed on time. In interviewing her for

this affidavit she indicated she was interrupted in her preparation of the quarterly report on July 15, 2013 and completed it as soon as she got to it. I indicated, she reported the error immediately.

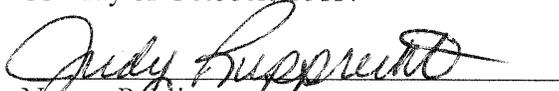
Quite frankly, a \$15,400 fine seems excessive for a report that was filed inadvertently late by two days. There was never any intent but to comply with the law, and the special circumstances relating to the prior problems with the Northern Illinois Building & Construction Trades Council have not been repeated. The reason for the late filing in this case is clerical error. A \$400.00 fine is arguably unfair, but understandable.

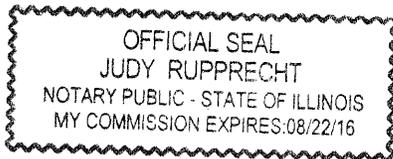
We would argue, the election law substantial compliance with the election law in that while the Committee's July 15, 2013 due date was not met the report was submitted voluntarily within a very short period of time thereafter. Any stayed fines from prior cases should remain stayed as a result. The Committee is in so much better shape in terms of its reporting obligations. We seek relief from the September 11, 2013 assessment of civil penalty

Further affiant sayeth not.


Tom Sink - Treasurer

Subscribed and sworn to before me this
11th day of October, 2013.


Notary Public



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
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James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
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Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

September 11, 2013

Northwestern Illinois Building & Construction Trades Council ID# 23781
Brad Long
212 S 1st St, Ste 106
Rockford, IL 61104-2076

7011 2970 0003 6441 6348

Dear Northwestern Illinois Building & Construction Trades Council:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contribution and Expenditures
Report Period: April 1, 2013 through June 30, 2013
Filing Period: July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/17/2013, ~~40~~ 2 day(s) late. As such, this committee has been assessed a fine of \$400.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2011 2-11	Multi-Assessment	\$5000
2011 6-11	Multi-Assessment	\$5000
2011 3-11	Multi-Assessment	\$5000
TOTAL AMOUNT NOW DUE		\$15400

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,


Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

13 DQ 248

Committee to Elect Jennifer Pritchett ID# 24563
Respondent

REPORT OF HEARING OFFICER

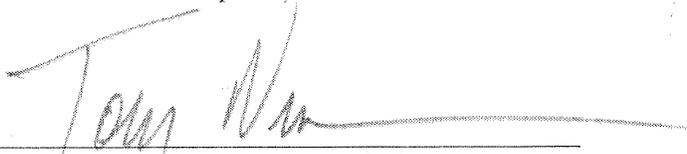
Appeal of Civil Penalty Assessment for Delinquent Filing of the
December 2012 Quarterly Report

The Report was received by the Board on November 6, 2013, 203 days late, resulting in a civil penalty assessment of \$5,000. Additionally, the Committee had previously been assessed a \$66 civil penalty (not appealed, unpaid) for failure to file a Schedule A-1 in the third quarter of 2012, and a \$125 civil penalty (not appealed, unpaid) for delinquent filing of the September 2012 Quarterly Report. The total assessment is \$5,191.

Steve Pritchett, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Pritchett states that this was the first time dealing with the election process for the candidate and Committee. He says they received little to no help from their local political party and as a result, did not have the knowledge to appropriately deal with the situation. He asks for a reduction in the amount of the fine.

While I sympathize with the difficulties sometimes faced by first-time filers, I must recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the total assessment of \$5,191 will be due and owing. However, since the Committee has filed a Final Report, I further recommend that should the Committee remain dissolved for a period of two years from the date of the Board Order imposing the fine, the fine be abated. (As of 12/31/12, this Committee reported a funds available balance of \$0.)



Tom Newman – Hearing Officer
March 4, 2014

State of Illinois)

County of: Jackson)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **14 FEB -6 AM 11:25**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 13DQ248

Committee to Elect Jennifer)

Pritchett. Respondent(s).)

APPEAL AFFIDAVIT

I, Steve Pritchett, the Chairman of the
(Name) (Chairman/Treasurer)

Committee to Elect Jennifer Pritchett Johnson Co Circuit Clerk
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

This was the first time dealing with the Election process for us. We got little to no help from the political party in our county. We did not have the knowledge needed to know how to appropriately deal with this situation. I am pleading to have this amount reduced. We can not afford this amount. or any amount really.
phone# 618-771-3005

Steve Pritchett
Signature of Chairman/Treasurer

Signed and Sworn to by: [Signature]
before me this 5th Day of February, 2014
Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 6, 2014
ID# 24563

Committee to Elect Jennifer Pritchett
Jennifer Pritchett, Steve Pritchett, Taylor Lence
2535 Friendship Loop
Goreville, IL 62939

7012 3460 0001 3856 9816

Dear Committee to Elect Jennifer Pritchett:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: December Quarterly Report of Campaign Contribution and Expenditures
Report Period: October 1, 2012 through December 31, 2012
Filing Period: January 1, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 11/6/2013, 203 day(s) late. As such, this committee has been assessed a fine of \$5000.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2012	Multi Assessment	\$125
2012	Multi Assessment	\$66
TOTAL AMOUNT NOW DUE		\$5191

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward, Director,
Campaign Disclosure Division

SS: Im
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

13 SQ 220

Progressive Action Party ID# 24740
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2013 Quarterly Report

The Report was received by the Board on October 18, 2013, 3 days late, resulting in a civil penalty assessment of \$150. Additionally, the Committee had previously been assessed a \$50 civil penalty (not appealed, stayed) for delinquent filing of a Schedule A-1 in the second quarter of 2013. The total assessment is \$200.

Donald Sciackitano, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Sciackitano states that he was unable to electronically file the Report on the due date, October 15, because he was having an issue with the password. He says he faxed a paper copy that night, then called the Board on October 18 and was able to electronically re-file after obtaining the password.

Although a paper copy of the Report was received on 10/15/13, this was considered a non-filing under Section 100.150(c)(2) of the Board's Rules and Regulations, because the Committee had previously been notified that its reports are required to be filed electronically. Having received that notice (in May 2013) and after being unable to file electronically, I do not understand why Mr. Sciackitano then waited three days to rectify the problem and file electronically as required. As a result, I recommend the appeal be denied. If this recommendation is accepted by the Board, the stay would be lifted from the previous penalty, and the total assessment of \$200 will be due and owing. (As of 12/31/13, this Committee reported a funds available balance of \$547.33.)



Tom Newman – Hearing Officer
March 4, 2014

State of Illinois)
County of: Cook)

STATE BOARD OF ELECTIONS

14 JAN 17 PM 1:41

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Progressive Action Party)
Respondent(s).)

Case No. 135Q220

APPEAL AFFIDAVIT

I, Donald Sciackitano, the Treasurer of the
(Name) (Chairman/Treasurer)
Progressive Action Party
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

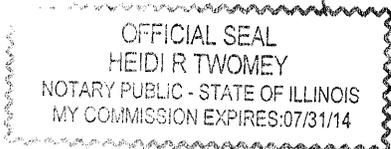
On 10/16/13 our report was due, but I could not electronically file it as I
was having an issue with the password. I faxed it that night since I
could not get it to work. Then on 10/18/13 I called ^{the state} and ~~had~~ ^{got} the password.
I then filed it electronically on 10/18/13. Please reconsider

Thank You!
Donald Sciackitano
Signature of Chairman/Treasurer

Signed and Sworn to by:
Donald Sciackitano

before me this 15 Day of January, 2014

Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S. MacArthur Blvd, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR

Rupert T. Borgsmiller
January 6, 2014
ID# 24740

Progressive Action Party
Donald F. Sciackitano
3059 189th Street
Lansing, IL 60438

7012 3460 0001 3857 0577

Dear Progressive Action Party:

As you have been previously notified, this committee failed to electronically re-file the following document as required:

Report Type: Quarterly Report of Campaign Contributions and Expenditures
Report Period: July 1, 2013 through September 30, 2013
Filing Period: October 1, 2013 through October 15, 2013

Based upon this committee's failure to comply with the provisions of Section 100.150 Rules & Regulations, it has been assessed a civil penalty for each day this report remained electronically unfiled. According to Board records, this report was electronically re-filed by your committee on October 18, 2013, 3 day(s) late. As such, this committee has been assessed a fine of \$150.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014, you forfeit the right to contest this assessment.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
June 2013	A1	\$50
TOTAL AMOUNT NOW DUE		\$200

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have questions regarding the appeal process, please call Laura Marbold at 217/782-1543.

Sincerely;

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

13 JQ 186

Committee to Elect Mike Basil 24762

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing

The June 2013 Quarterly Report

The Report was received by the Board on 7/29/13, 10 days late, resulting in a civil penalty assessment of \$500. Additionally, the Committee had previously been assessed a \$300 civil penalty (not appealed, stayed) for delinquently filing the March 2013 Quarterly Report. The total assessment is \$800.

Mike Basil, the candidate of the committee appeared at the January 10, 2014 appeal hearing.

Mr. Basil stated that he ran for Village Trustee in Wilmette in 2007 and 2011 but only spent a few hundred dollars and did not have to file with the State Board of Elections. However, he ran for Village President in 2013 and he met the threshold to file as a committee. Therefore, it was not until the 2013 election cycle that Mr. Basil had to become familiar with the campaign disclosure rules because he had no experience with committee's prior to that time. Mr. Basil stated that his primary focus was on the primary election and his objective was to communicate with residents about the importance of the election. Neither he nor his campaign manager realized or appreciated the disclosure requirements. He went on to say that he only had one fundraiser in which he raised around \$6,000 and spent approximately \$3,000 on conducting the fundraiser. Those proceeds were spent on signs, cards, and newspaper ads. Mr. Basil assumed that any disclosure paperwork would be due after the elections and he did not realize a March 2013 Quarterly Report had to be filed. After losing the election Mr. Basil quickly changed gears and resumed his studies for a bar examination in England that was just 14 days after the election. Mr. Basil left for the test on 4/22/13 and did not return until 5/3/13. Additionally, Mr. Basil was very distraught because his 12 year old son was having major health issues. Mr. Basil stated that he understands the importance of campaign disclosure filings however he admitted that he actively avoided thinking about the campaign after he lost. Once the March Quarterly Report was filed the Committee had a total of \$110 and one outstanding bill that had not been received as of yet for additional campaign signs. Mr. Basil stated that if the bill had been received earlier the Committee would have been able to file a Final Report before for the March Quarterly. Mr. Basil went on to say that it did not occur to him that at the time that the \$110 bank balance and vendor bill would linger into the second quarter of 2013 and that another report was required to be filed until the State Board of Elections staff contacted him. A Final Report was immediately filed and Mr. Basil had to promise his wife that he would never run for public office again. Furthermore, he said that he will not be undertaking any role in preparing, filing or otherwise handling of campaign disclosure requirements. Mr. Basil stated that after he lost the election and with everything that was going on, he let the \$110 that was left in his campaign bank account simply fall off of his radar and this was an honest mistake. Mr. Basil believes that a \$800 civil penalty for failing to report \$110 is disproportionate but he accepts the need to enforce the disclosure rules. The Respondent requests the Board use its discretion in the imposition of fines and he believes it would be appropriate and proportionate to waive the fines given the circumstances or at the very least reduce them. He also

believes that imposing fines in these circumstances would only have the effect of discouraging people from participating in civil service.

It is unfortunate that the filing of campaign disclosure reports fell off Mr. Basil's radar and he did not realize that he had to continue filing with the State Board of Elections until he took his candidate committee down to a \$0 balance and he filed a Final Report. However, his committee had a responsibility to continue to file reports. The State Board of Elections would have mailed the Respondent the appropriate forms to complete prior to the June 2013 Quarterly Report being required to have been filed. I recommend that the appeal be denied for lack of an adequate defense. I also recommend that the Board not consider the Respondent's request to waive the fine or reduce the amount of the civil penalty. If this recommendation is accepted by the Board, the stay will be lifted from the previously assessed \$300 civil penalty and the total civil penalty of \$800 will be due and owing. However, since this Committee has filed a Final Report, I further recommend that should the Committee remain dissolved and a successor Committee is not formed for a period of two years following the date of the Final Order imposing the fine, the fine be abated.



Andy Nauman – Hearing Officer
February 6, 2014

State of Illinois)
County of: Cook)

CHICAGO

2013 OCT 10 PM 4:12

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

STATE BOARD OF ELECTIONS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
Committee to Elect Mike Basil)
Respondent(s).)

Case No. 1390186

APPEAL AFFIDAVIT

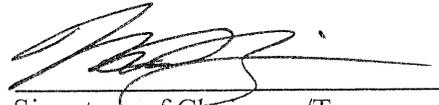
I, Michael W. Basil, the Chairman/Candidate of the
(Name) (Chairman/Treasurer)

Committee to Elect Mike Basil

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

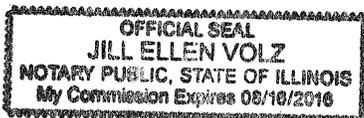
The penalty is disproportionate to the reporting error at issue, which
was an inadvertent timing error regarding a \$100 bank balance. Immediate
corrective action was taken upon notification. Additional details regarding
these circumstances are provided in the attached Additional Information to the
Affidavit of Michael W. Basil. Thank you for your consideration.


Signature of Chairman/Treasurer

Signed and Sworn to by:

before me this 10 Day of October 2013

Notary Public
(seal)



**Additional Information Supporting the
Appeal Affidavit of the Committee to Elect Mike Basil**

I am respectfully requesting that the civil fines and penalties of \$800 be waived, given that the errors involved were inadvertent timing mistakes involving a small-town, small-stakes election. The following additional information is offered in support of my request:

1. I had successfully run in two prior elections for Village Trustee (in 2007 and 2011) where I needed to spend just a few hundred dollars on yard signs and un-mailed palm cards, and I did not accept money from any person or entity for these expenses for these prior elections. Therefore, as of the 2013 election cycle, I had no experience or working knowledge of the campaign disclosure laws, other than to know that they did not apply to my past efforts.

2. I approached the 2013 election for Wilmette Village President (an elected position in a weak-mayor form of government with zero compensation or benefits of any kind) with only a vague understanding that I would cross a key threshold of campaign money collected and expended, and that certain campaign disclosure requirements would now apply to my efforts.

3. My good friend enthusiastically volunteered for the role of Campaign Manager, but neither of us had any background or experience in handling the required campaign disclosure paperwork. Neither of us realized the deadlines or details involved in satisfying these requirements. Both of us were focused on the primary objective to communicate with residents about the importance of the election. Neither of us properly appreciated or understood the formal regulatory disclosure requirements for the campaign, and apparently both of us wrongly assumed the other person would take care of the necessary campaign disclosure paperwork.

4. We held just one fundraiser dinner event in January that was intended to fund the entire cost of the campaign. We planned to use the net proceeds of the fundraiser to purchase a few hundred yard signs, a few hundred palm cards, and pay for an ad in a local newspaper.

5. Approximately \$3,000 (or one half of the roughly \$6,000 raised) was spent on the signs, cards and a newspaper ad. The other half of the money raised was spent on the venue, food and beverages for the fundraiser itself. Approximately 90% of the donations were from my neighbors writing checks for \$50 or \$75 each.

6. During the January to April timeframe, the relatively small amounts of money involved in these efforts did not trigger in my mind that important campaign disclosure requirements applied. If they did, I wrongly assumed that any disclosure paperwork would be due well after the April 9 election took place. I was clearly wrong and mistaken in this assumption.

7. After losing the election on April 9, I had to immediately – indeed, first thing on the morning of April 10 – change gears and resume my studying for a bar examination in a foreign country (England). In addition to keeping pace with my full-time workload and my family obligations during the campaign and after the election, I needed to continue to study as much as possible for intensive testing that was set to begin just fourteen days after the election. I left town on April 22 for the testing and I did not return home until May 3. I was also very

distracted during this process by a confounding illness affecting my twelve year old son, which I will explain at the hearing. During this very hectic month of April, the April 15 campaign reporting deadline came and went without me giving appropriate thought or attention to the campaign disclosure requirements. As I re-engaged with all of my non-election obligations after April 9, I confess that I actively avoided thinking about the campaign and the loss in an effort to be as prepared as possible for my upcoming professional examination. In short, I was understandably distracted and I wanted to avoid dwelling on anything related to the recent election loss.

8. I am genuinely sorry for my failure to timely file the necessary campaign disclosure forms.

9. Apparently, there was approximately \$110 left in the campaign account at the end of the reporting period, as of March 31. This was the result of a vendor who had printed some additional campaign signs in late March but who had not prepared and sent me his final bill until several weeks later. If that bill had been sent to me before the March 31st end of the reporting quarter, perhaps I would have seen a zero balance on my campaign bank account by April 1, and the first quarter report that I had timely filed would have also been the closing report with your office. It did not occur to me at the time that a \$110 bank balance and vendor bill would linger into the second reporting quarter after the election. As I stated above, I had lost the election and I had a daunting examination staring me in the face just two weeks following the election, followed by a frustrating illness with my son. I did not realize that there was yet another report due for the campaign in the middle of the summer. I had wrongly assumed that all of the required campaign paperwork had been filed, and that this disappointing chapter was fully behind me.

10. As soon as I was informed of my mistake by the State Board of Elections, I immediately took corrective action and filed the necessary paperwork. My errors were simply errors in timing.

11. I am not going to run for local public office again, and my wife has made me promise not to do so.

12. I certainly will not undertake any role in any capacity for preparing, filing or otherwise handling campaign disclosure requirements in any other campaign.

13. Many people who show some interest in public service in my town ask me about the process. I will be certain to tell them to carefully read the campaign disclosure rules and requirements, and to be sure to meet the deadlines for filing the required paperwork so as to avoid unnecessary fines and headaches.

14. Losing the election was punishment enough. This was a very hectic personal and professional time, and the need to file additional paperwork for my failed election, involving an approximately \$110 campaign account bank balance, admittedly fell off my radar screen. It was an honest mistake.

15. It is disproportionate to impose fines of \$800 for first-time, inexperienced, small-time, small-town efforts to undertake local public service. I fully accept the need to have and

enforce these disclosure rules. But you are empowered to exercise your discretion in the imposition of fines, and it would be appropriate and proportionate in this particular circumstance to waive the fines, given the amounts of money involved, the relatively small scale of the campaign expenditures at issue, the immediate corrective response that I took, and the inadvertent nature of the violations. Imposing fines in these circumstances would only have the effect of discouraging people from participating in civil service.

I respectfully request that you waive the penalties imposed, when the amount of money to be reported was so small and the mistakes I made were simply timing mistakes. You can be sure I will not be involved in any further mistakes in this arena.

Michael W. Basil, candidate and chairman



Dated: 10/10/13

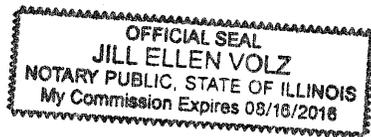
Signed and Sworn to by:



Before me this 10 Day of OCTOBER 2013

Notary Public

(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013
ID# 24762

Committee to Elect Mike Basil
c/o Margie Karabas, Treas
2205 Thornwood Ave
Wilmette, IL 60091-1453

Dear Committee to Elect Mike Basil:

7011 2970 0003 6441 6829

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contribution and Expenditures
Report Period: April 1, 2013 through June 30, 2013
Filing Period: July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/29/2013, 10 day(s) late. As such, this committee has been assessed a fine of \$500.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
1/1/2013-3/31/2013	Quarterly	\$300
TOTAL AMOUNT NOW DUE		\$800

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

13 AM 102

Dion Simpson for 21st Century Schools
Respondent

ID# 25074

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to File Schedule A-1 Reports

The Committee received a \$2,000 contribution on 2/28/13 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$1,000. The Committee also received a \$2,400 contribution on 3/21/13 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$1,200. The total assessment is \$2,200.

Rhonda Robinson, the Chairman of the Committee, submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Robinson simply refers to some attached documentation, showing the Committee's March 2013 Quarterly Report was faxed to the Board on 4/15/13 and a Final Report was faxed on 7/1/13. Both reports listed the contributions in question.

While the two contributions were reported by the Committee, neither was reported within 5 business days of receipt as required by 10 ILCS 5/9-10(c). Therefore I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I further recommend the penalty be reduced to 10% of the original assessment, or \$220. If these recommendations are accepted by the Board, the \$220 civil penalty will be due and owing. However, since the Committee has filed a Final Report, I also recommend that should the Committee remain dissolved for a period of two years following the Board order imposing the fine, the fine be abated. (As of 6/30/13, this Committee reported a funds available balance of \$0.)



Tom Newman – Hearing Officer
March 3, 2014

State of Illinois)
County of : _____)

STATE BOARD OF ELECTIONS
13 OCT -7 PM 2:51

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Dion Simpson for 21st)
Respondent(s).)
Century Schools)

Case No. 13AM102

APPEAL AFFIDAVIT

I, Dion Simpson for 21st, the Rhonda Greer Robinson / Nichol Fricks of the
(Name) (Chairman/Treasurer)
Century Schools
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

* Sent attached

<— Rhonda Greer Robinson
Nichol Fricks
Signature of Chairman/Treasurer



Signed and Sworn to by:
Violet Burk

before me this 3 Day of OCT., 2013

Notary Public
(seal)

 *** TX REPORT ***

TRANSMISSION OK

TX/RX NO 4290
 CONNECTION TEL 9p12177825959
 CONNECTION ID
 ST. TIME 04/15 15:22
 USAGE T 00'55
 PGS. SENT 4
 RESULT OK



FORM

D-2

REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES
 (CHECK APPROPRIATE BOXES) (PLEASE TYPE OR PRINT IN BLACK INK)

Quarterly Report:
 (check one) 1st, 2nd, 3rd, 4th
 Final Report
 Amendment of the Report Indicated Above

FOR OFFICE USE ONLY

Full name and complete mailing address of Political Committee:

Dion Simpson for 21st Century Schools
 924 Island Ave
 Rockford, IL 61102-3104

POLITICAL COMMITTEE

Committee ID: 25074
 11

CHECK IF ADDRESS CHANGE

IDENTIFICATION No.

E-mail address:

REPORTING PERIOD
 04/13 FROM 3/31/13 THRU

CASH AVAILABLE AT THE BEGINNING OF THE REPORTING PERIOD: \$
 Repeat this amount in SECTION D line (A).

ALL POLITICAL COMMITTEES RETURN TO:
 STATE BOARD OF ELECTIONS 2329 S MACARTHUR BLVD SPRINGFIELD, IL 62704-4503 OR STATE BOARD OF ELECTIONS JAMES R. THOMPSON CENTER 100 W RANDOLPH ST, STE 14-100 CHICAGO, IL 60601-3232

SECTION A - RECEIPTS

1. Individual Contributions
 a. Itemized (from Schedule A): \$ 5,200.00 (1a)
 b. Not-Itemized: \$ _____ (1b)
 2. Transfers In
 a. Itemized (from Schedule A): \$ _____ (2a)
 b. Not-Itemized: \$ _____ (2b)
 3. Loans Received
 a. Itemized (from Schedule A): \$ _____ (3a)
 b. Not-Itemized: \$ _____ (3b)
 4. Other Receipts
 a. Itemized (from Schedule A): \$ _____ (4a)
 b. Not-Itemized: \$ _____ (4b)
 TOTAL RECEIPTS (1a thru 4b) \$ 5,200.00

SECTION B - EXPENDITURES

6. Transfers Out
 a. Itemized (from Schedule B): \$ _____ (6a)
 b. Not-Itemized: \$ _____ (6b)
 7. Loans made
 a. Itemized (from Schedule B): \$ _____ (7a)
 b. Not-Itemized: \$ _____ (7b)
 8. Expenditures
 a. Itemized (from Schedule B): \$ 2,003.67 (8a)
 b. Not-Itemized: \$ 755.77 (8b)
 9. Independent Expenditures
 a. Itemized (from Schedule B-9): \$ _____ (9a)
 b. Not-Itemized: \$ _____ (9b)
 TOTAL EXPENDITURES (6a thru 9b) \$ 2,759.44

SECTION C - DEBTS AND OBLIGATIONS

(Include previously reported unpaid debts)

10. a. Itemized (from Schedule C): \$ _____ (10a)
 b. Not-Itemized: \$ _____ (10b)
 TOTAL DEBTS & OBLIGATIONS: \$ _____

SECTION D - CASH BALANCE

Available at the beginning of the

5. In-Kind Contributions
 a. Itemized (from Schedule I): \$ 432.23 (5a)
 b. Not-Itemized: \$ _____ (5b)
 TOTAL IN-KIND (5a+5b) \$ 432.23



FORM

D-2

REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES (CHECK APPROPRIATE BOXES) (PLEASE TYPE OR PRINT IN BLACK INK)

FOR OFFICE USE ONLY

- Quarterly Report: (check one) 1st, 2nd, 3rd, 4th
- Final Report
- Amendment of the Report Indicated Above

Full name and complete mailing address of Political Committee:

Dion Simpson for 21st Century Schools
924 Island Ave
Rockford, IL 61102-3104

POLITICAL COMMITTEE

Committee ID: 25074
11

CHECK IF ADDRESS CHANGE

e-mail address:

IDENTIFICATION No.

REPORTING PERIOD 4/13 FROM 3/31/13 THRU	CASH AVAILABLE AT THE BEGINNING OF THE REPORTING PERIOD: \$ Repeat this amount in SECTION D line (A).	ALL POLITICAL COMMITTEES RETURN TO: STATE BOARD OF ELECTIONS 2329 S MACARTHUR BLVD SPRINGFIELD, IL 62704-4503 OR STATE BOARD OF ELECTIONS JAMES R. THOMPSON CENTER 100 W RANDOLPH ST, STE 14-100 CHICAGO, IL 60601-3232
--	--	---

SECTION A - RECEIPTS

1. Individual Contributions
 - a. Itemized (from Schedule A): \$ 5,200.00 (1a)
 - b. Not-Itemized: \$ _____ (1b)
 2. Transfers In
 - a. Itemized (from Schedule A): \$ _____ (2a)
 - b. Not-Itemized: \$ _____ (2b)
 3. Loans Received
 - a. Itemized (from Schedule A): \$ _____ (3a)
 - b. Not-Itemized: \$ _____ (3b)
 4. Other Receipts
 - a. Itemized (from Schedule A): \$ _____ (4a)
 - b. Not-Itemized: \$ _____ (4b)
- TOTAL RECEIPTS (1a thru 4b) \$ 5,200.00

SECTION B - EXPENDITURES

6. Transfers Out
 - a. Itemized (from Schedule B): \$ _____ (6a)
 - b. Not-Itemized: \$ _____ (6b)
 7. Loans made
 - a. Itemized (from Schedule B): \$ _____ (7a)
 - b. Not-Itemized: \$ _____ (7b)
 8. Expenditures
 - a. Itemized (from Schedule B): \$ 2,003.67 (8a)
 - b. Not-Itemized: \$ 755.77 (8b)
 9. Independent Expenditures
 - a. Itemized (from Schedule B-9): \$ _____ (9a)
 - b. Not-Itemized: \$ _____ (9b)
- TOTAL EXPENDITURES (6a thru 9b) \$ 2,759.44

SECTION C - DEBTS AND OBLIGATIONS

(Include previously reported unpaid debts)

10. a. Itemized (from Schedule C): \$ _____ (10a)
 - b. Not-Itemized: \$ _____ (10b)
- TOTAL DEBTS & OBLIGATIONS: \$ _____

SECTION D - CASH BALANCE

- Cash available at the beginning of the reporting period: \$ 0 (A)
- Total Receipts from Section A: \$ 5,200.00 (B)
- Total Cash (A) plus (B): \$ 5,200.00 (C)
- Total Expenditures from Section B: \$ 2,759.44 (D)
- Funds available at the close of the reporting period (C) minus (D): \$ 2,440.56 (E)
- INVESTMENTS TOTAL: \$ 0 (F)

VERIFICATION

DECLARE THAT THIS QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES (INCLUDING ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE, CORRECT AND COMPLETE REPORT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE STATEMENT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO \$5000.

[Signature]
SIGNATURE OF COMMITTEE'S TREASURER OR CANDIDATE

4-15-13

DATE

01/1/13

3/31/13

FROM

THRU

FOR OFFICE USE ONLY

SCHEDULE A RECEIPTS

CHECK THE PART OF FORM D-2, SECTION A, BEING ITEMIZED:

- PART #1- INDIVIDUAL CONTRIBUTIONS
INCLUDING TICKETS AND RAFFLE SALES
- PART #3- LOANS RECEIVED
INCLUDING ENDORSER
- PART #2- TRANSFERS IN
POLITICAL COMMITTEE CONTRIBUTIONS
INCLUDING TICKET AND RAFFLE SALES
- PART #4- OTHER RECEIPTS

POLITICAL COMMITTEE
IDENTIFICATION

No. 25074

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

ITEMIZED RECEIPTS FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE RECEIVED	AMOUNT OF EACH RECEIPT	AGGREGATE AMOUNT FOR THIS REPORTING PERIOD
Northern Illinois Building 1111 S. Alpine Rd Rockford IL 61108	2/28/13	\$ 2,000.00 EMPLOYER:	\$ 2,000.00 OCCUPATION:
Northern Illinois Building 1111 S. Alpine Rd Rockford IL 61108	3/21/13	\$ 440.00 EMPLOYER:	\$ 440.00 OCCUPATION:
The Rockford Chamber Political Action 308 W. State St. Suite 190 Rockford IL 61101	3/25/13	\$ 300.00 EMPLOYER:	\$ 300.00 OCCUPATION:
Realtor Political Action Committee P.O. Box 19451 Springfield IL 62794-9451	3/20/13	\$ 500.00 EMPLOYER:	\$ 500.00 OCCUPATION:
		\$ EMPLOYER:	\$ OCCUPATION:

USE A SEPARATE SCHEDULE A FOR EACH PARTS 1, 2, 3, & 4

TOTAL THIS PERIOD \$ 5,200.00

CHECK IF THIS IS THE LAST PAGE OF THIS PART ONLY

PAGE 2

NAME OF POLITICAL COMMITTEE:

REPORTING PERIOD

FOR OFFICE USE ONLY

01/1/13 | 3/31/13
 FROM THRU

SCHEDULE I
IN-KIND CONTRIBUTIONS

POLITICAL COMMITTEE
 IDENTIFICATION No.
 25074

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE RECEIVED	AMOUNT OF EACH RECEIPT	AGGREGATE AMOUNT FOR THIS REPORTING PERIOD
CONTRIBUTOR Better Board Brighter Future PAC 6855 E. Riverside Blvd Bldg J L 6114	3/29/13	\$ 432.23	\$ 432.23
VENDOR PAID (if applicable) Adams Letter Services 222 N Rockton Ave Rockton IL 61103		EMPLOYER: Printing	OCCUPATION
CONTRIBUTOR		EMPLOYER:	OCCUPATION
VENDOR PAID (if applicable)		DESCRIPTION	
CONTRIBUTOR		EMPLOYER:	OCCUPATION
VENDOR PAID (if applicable)		DESCRIPTION	
CONTRIBUTOR		EMPLOYER:	OCCUPATION
VENDOR PAID (if applicable)		DESCRIPTION	

TOTAL THIS PERIOD \$ 432.23

NAME OF POLITICAL COMMITTEE:

REPORTING PERIOD

FOR OFFICE USE ONLY

01/01/13 FROM 03/31/13 THRU

SCHEDULE B EXPENDITURES

CHECK THE PART OF FORM D-2 BEING ITEMIZED:

PART #6 TRANSFERS OUT
EXPENDITURES TO POLITICAL
COMMITTEES - INCLUDING TICKET &
RAFFLE PURCHASES

PART #7 LOANS MADE

PART #8 EXPENDITURES

POLITICAL COMMITTEE
IDENTIFICATION No.

25074

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

ITEMIZED EXPENDITURES FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE OF EXPENDITURE	PURPOSE	BENEFICIARY	AMOUNT OF EACH EXPENDITURE THIS REPORTING PERIOD	AGGREGATE AMOUNT THIS REPORTING PERIOD
Rockford Litho Center 1128 Auburn St Rockford IL 61103	2/26/13	Printing	Dion Simpson 21st Cent Schools	\$ 233.00	\$ 233.00
Adams Letter Services 222 North Rockton Ave. Rockford IL 61103	3/20/13	Postage	Dion Simpson 21st Cent. Schools	\$ 482.59	\$ 482.59
Yackle Trees 1706 Rural St. Rockford IL 61107	3/8/13	Printing	Dion Simpson 21st Cent. Schools	\$ 208.08	\$ 208.08
Dion Simpson 21st Cent. Schools Committee 924 Island Ave Rockford IL 61102	2/21/13	Materials Needed for Campaign	Dion Simpson 21st Cent. Schools	\$ 277.77	\$ 277.77
Awesome Campaigns 1220 St. Charles St. Elgin FL 6020	3/6/13	Printing	Dion Simpson 21st Cent. Schools	\$ 1080.00	\$ 1080.00

USE SEPARATE SCHEDULE B FOR EACH PARTS 6, 7, & 8

TOTAL THIS PERIOD \$ 2099.67

 *** ACTIVITY REPORT ***

ST. TIME	DESTINATION TEL/ID	NO.	MODE	PGS.	RESULT
*06/26 23:22	18154849456	0604	TRANSMIT ECM	3	OK 00'52
*06/27 00:08		8503	AUTO RX ECM	1	OK 01'07
*06/27 01:19	16023434517	0605	TRANSMIT G3	3	OK 01'34
*06/27 02:33		8504	AUTO RX ECM	1	OK 00'18
*06/27 02:34		8505	AUTO RX ECM	1	OK 00'26
*06/27 16:38		8506	AUTO RX G3	1	OK 01'12
*06/27 21:03	815 987 7337	8507	AUTO RX ECM	1	OK 00'26
*06/27 21:20	8154849456	0606	TRANSMIT ECM	16	OK 02'52
*06/27 22:04	16082719703	0607	TRANSMIT ECM	1	OK 00'18
*06/27 23:50	12175574398	0608	TRANSMIT ECM	3	OK 01'19
*06/28 02:31	8159631627	8508	AUTO RX ECM	4	OK 00'48
*06/29 04:35	8159639253	8509	AUTO RX ECM	2	NG 02'57
*06/29 05:45	8159639253	8510	AUTO RX ECM	2	##0793
*06/30 18:33	18154849456	0609	TRANSMIT ECM	1	OK 00'39
*06/30 19:46	18155993537	0610	TRANSMIT ECM	3	OK 00'52
*06/30 22:10	18154891184	8511	AUTO RX ECM	4	OK 03'43
*06/30 22:39	18159046697	8511	AUTO RX ECM	1	OK 00'22
*06/30 22:47	18159046697	0611	TRANSMIT ECM	3	OK 01'10
*06/30 22:47	18159046697	0612	TRANSMIT ECM	2	OK 00'48
*06/30 22:56	15072665020	0613	TRANSMIT ECM	2	OK 00'31
07/01 02:16	12177825959	0614	TRANSMIT ECM	4	OK 01'00

faxed 7/1/13 @ 2:30pm



FORM

REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES
(CHECK APPROPRIATE BOXES) (PLEASE TYPE OR PRINT IN BLACK INK)

FOR OFFICE USE ONLY

D-2

- Quarterly Report:
(check one) 1st, 2nd, 3rd, 4th
- Final Report
- Amendment of the Report Indicated Above

Full name and complete mailing address of Political Committee:

Dion Simpson for 21st Century Schools
924 Island Ave
Rockford, IL 61102-3104

POLITICAL COMMITTEE

Committee ID: 25074
11

CHECK IF ADDRESS CHANGE

e-mail address:

IDENTIFICATION No.

REPORTING PERIOD FROM 4/1/13 THRU 6/30/13	CASH AVAILABLE AT THE BEGINNING OF THE REPORTING PERIOD: \$ Repeat this amount in SECTION D line (A).	ALL POLITICAL COMMITTEES RETURN TO: STATE BOARD OF ELECTIONS 2329 S MACARTHUR BLVD SPRINGFIELD, IL 62704-4503 OR STATE BOARD OF ELECTIONS JAMES R. THOMPSON CENTER 100 W RANDOLPH ST, STE 14-100 CHICAGO, IL 60601-3232
--	--	---

SECTION A - RECEIPTS

1. Individual Contributions
 - a. Itemized (from Schedule A): \$ 2440.56 (1a)
 - b. Not-Itemized: \$ (1b)
 2. Transfers In
 - a. Itemized (from Schedule A): \$ (2a)
 - b. Not-Itemized: \$ (2b)
 3. Loans Received
 - a. Itemized (from Schedule A): \$ (3a)
 - b. Not-Itemized: \$ (3b)
 4. Other Receipts
 - a. Itemized (from Schedule A): \$ (4a)
 - b. Not-Itemized: \$ (4b)
- TOTAL RECEIPTS (1a thru 4b) \$ 2440.56
-
5. In-Kind Contributions
 - a. Itemized (from Schedule I): \$ 731.23 (5a)
 - b. Not-Itemized: \$ (5b)
- TOTAL IN-KIND (5a+5b) \$ 731.23

Name & address of person submitting this report if other than the committee's chairman or treasurer:

SECTION B - EXPENDITURES

6. Transfers Out
 - a. Itemized (from Schedule B): \$ (6a)
 - b. Not-Itemized: \$ (6b)
 7. Loans made
 - a. Itemized (from Schedule B): \$ 1067.59 (7a)
 - b. Not-Itemized: \$ 1372.97 (7b)
 8. Expenditures
 - a. Itemized (from Schedule B): \$ (8a)
 - b. Not-Itemized: \$ (8b)
 9. Independent Expenditures
 - a. Itemized (from Schedule B-9): \$ (9a)
 - b. Not-Itemized: \$ (9b)
- TOTAL EXPENDITURES (6a thru 9b) \$ 2440.56

SECTION C - DEBTS AND OBLIGATIONS

- (Include previously reported unpaid debts)
10. a. Itemized (from Schedule C): \$ (10a)
 - b. Not-Itemized: \$ (10b)
- TOTAL DEBTS & OBLIGATIONS: \$

SECTION D - CASH BALANCE

- Cash available at the beginning of the reporting period: \$ 0 (A)
- Total Receipts from Section A: \$ 2440.56 (B)
- Total Cash (A) plus (B): \$ 2440.56 (C)
- Total Expenditures from Section B: \$ 2440.56 (D)
- Funds available at the close of the reporting period (C) minus (D): \$ 0 (E)
- INVESTMENTS TOTAL: \$ 0 (F)

VERIFICATION

I DECLARE THAT THIS QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES (INCLUDING ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE, CORRECT AND COMPLETE REPORT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE STATEMENT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO \$5000

SIGNATURE OF COMMITTEE'S TREASURER OR CANDIDATE

119

DATE

6-30-13

REVISED 1/1/11

NAME OF POLITICAL COMMITTEE:

REPORTING PERIOD

4/1/13 FROM 6/30/13 THRU

FOR OFFICE USE ONLY

SCHEDULE A RECEIPTS

CHECK THE PART OF FORM D-2, SECTION A, BEING ITEMIZED:

- PART #1- INDIVIDUAL CONTRIBUTIONS INCLUDING TICKETS AND RAFFLE SALES PART #3- LOANS RECEIVED INCLUDING ENDORSER
- PART #2- TRANSFERS IN POLITICAL COMMITTEE CONTRIBUTIONS INCLUDING TICKET AND RAFFLE SALES PART #4- OTHER RECEIPTS

POLITICAL COMMITTEE IDENTIFICATION No.

25074

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

ITEMIZED RECEIPTS FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE RECEIVED	AMOUNT OF EACH RECEIPT	AGGREGATE AMOUNT FOR THIS REPORTING PERIOD
Northern Illinois Building 1111 South Alpine Rd. Rockford IL 61108	2/28/13	\$ 2,000.00 EMPLOYER:	\$ 2,000.00 OCCUPATION:
Northern Illinois Building 1111 South Alpine Rd. Rockford IL 61108	3/21/13	\$ 2,400.00 EMPLOYER:	\$ 2,400.00 OCCUPATION:
The Rockford Chamber Political 308 West State St. Suite 190 Rockford IL 61101	3/25/13	\$ 300.00 EMPLOYER:	\$ 300.00 OCCUPATION:
Realtor Political Action Committee	3/29/13	\$ 500.00 EMPLOYER:	\$ 500.00 OCCUPATION:
		\$ EMPLOYER:	\$ OCCUPATION:

USE A SEPARATE SCHEDULE A FOR EACH PARTS 1, 2, 3, & 4

TOTAL THIS PERIOD \$ 5,200.00

CHECK IF THIS IS THE LAST PAGE OF THIS PART ONLY

PAC - 2
PA 120

NAME OF POLITICAL COMMITTEE:

REPORTING PERIOD

4/1/13 | 6/30/13
~~04/1/13~~ | ~~06/30/13~~
 FROM THRU

FOR OFFICE USE ONLY

POLITICAL COMMITTEE IDENTIFICATION No.
 25074

SCHEDULE I

IN-KIND CONTRIBUTIONS

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE RECEIVED	AMOUNT OF EACH RECEIPT	AGGREGATE AMOUNT FOR THIS REPORTING PERIOD
CONTRIBUTOR Better Board Brighter Future PAC 6855 E. Riverside Blvd Rkfd IL 60114 VENDOR PAID (if applicable)	4/3/13	\$ 299.00	\$ 299.00
Rockford Litho Center 1128 Auburn St Rkfd IL 60103		DESCRIPTION Printing Expenses	
CONTRIBUTOR Better Board Brighter Future PAC 6855 E. Riverside Blvd Rkfd IL 60114 VENDOR PAID (if applicable)	4/3/13	\$ 432.23	\$ 432.23
VENDOR PAID (if applicable)		DESCRIPTION Mailing Expenses	
CONTRIBUTOR		EMPLOYER:	OCCUPATION
VENDOR PAID (if applicable)	DESCRIPTION		
CONTRIBUTOR		EMPLOYER:	OCCUPATION
VENDOR PAID (if applicable)	DESCRIPTION		

TOTAL THIS PERIOD \$ 731.23
 CHECK IF LAST PAGE OF THIS FORM

NAME OF POLITICAL COMMITTEE:

REPORTING PERIOD

FOR OFFICE USE ONLY

4/1/13

6/30/13

FROM

THRU

SCHEDULE B EXPENDITURES

CHECK THE PART OF FORM D-2 BEING ITEMIZED:

PART #6 TRANSFERS OUT

PART #7 LOANS MADE

EXPENDITURES TO POLITICAL
COMMITTEES - INCLUDING TICKET &
RAFFLE PURCHASES

PART #8 EXPENDITURES

POLITICAL COMMITTEE

IDENTIFICATION No.

25074

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

ITEMIZED EXPENDITURES FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE OF EXPENDITURE	PURPOSE	BENEFICIARY	AMOUNT OF EACH EXPENDITURE THIS REPORTING PERIOD	AGGREGATE AMOUNT THIS REPORTING PERIOD
Adams Letter Services 222 North Rockton Ave Rktfd IL 61103	4/1/2013	Postage	Dion Simpson 21st Cent Schools	\$ 482.59	\$ 482.59
Express Printing Inc. 2135 11th St Rktfd IL 61104	4/9/13	Printing	Dion Simpson 21st Cent Schools	\$ 195.00	\$ 195.00
Express Printing Inc 2135 11th St Rktfd IL 61104	4/1/13	Printing	Dion Simpson 21st Cent Schools	\$ 195.00	\$ 195.00
Express Printing Inc 2135 11th St Rktfd IL 61104	4/4/13	Printing	Dion Simpson 21st Cent Schools	\$ 195.00	\$ 195.00

USE SEPARATE SCHEDULE B FOR EACH PARTS 6, 7, & 8

TOTAL THIS PERIOD \$ 1067.59

CHECK IF LAST PAGE OF THIS SCHEDULE

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013
ID# 25074

Dion Simpson for 21st Century Schools
924 Island Ave
Rockford, IL 61102

7011 2970 0003 6441 8014

Dear Dion Simpson for 21st Century Schools:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Northern Illinois Building	2/28/2013	\$2000	*Not Filed	26	\$1000
Northern Illinois Building	3/21/2013	\$2400	*Not Filed	15	\$1200

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$2200.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$220.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

13 AM 104

Frank Flores, Jr for College of DuPage Trustee 25106

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 in the 1st Quarter of 2013

This committee received a \$1,000 contribution on 3/1/13, and reported this contribution to the Board 50 business days late, resulting in a civil penalty assessment of \$500. Additionally, the Committee had previously been assessed a \$625 civil penalty (not appealed, stayed) for delinquently filing the March 2013 Quarterly Report. The total assessment is \$1,125.

Frank Flores Jr., the candidate of the committee appeared at the 1/10/14 appeal hearing.

Mr. Flores Jr. stated that his chairman, treasurer, and he were all unfamiliar with the campaign disclosure requirements for they were all novices. As soon as they realized that they had a filing obligation the reports were prepared and submitted to the State Board of Elections. The Respondent stated that he is now familiar with the Schedule A-1 requirements; however, they didn't realize that they were required to file reports because they never reached the threshold which would have required them to file as a committee.

Although the Committee had not met the \$3,000 threshold, it became a political committee and agreed to abide by the Campaign Financing Act when it filed a Statement of Organization on 2/21/13. It is unfortunate that the candidate and his officers did not understand the filing requirements but they had a responsibility to know and understand the statute. I recommend the appeal be denied. However, since there is no indication that the Schedule A-1 violation was anything other than inadvertent and unintentional, and since the Schedule A-1 violation is considered a first violation, I also recommend that the civil penalty be reduced to 10% of the original assessment, or \$50. If this recommendation is accepted by the Board, the stay will be lifted from the previously assessed \$625 civil penalty and the total civil penalty of \$675 will be due and owing. However, since this Committee has filed a Final Report, I further recommend that should the Committee remain dissolved and a successor Committee is not formed for a period of two years following the date of the Final Order imposing the fine, the fine be abated.



Andy Nauman – Hearing Officer
February 6, 2014

2013 OCT 11 PM 3:15

STATE BOARD OF ELECTIONS

State of Illinois)
County of DoPage)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 13AM104

Frank Flores Sr.)
Respondent(s).)

APPEAL AFFIDAVIT

I, Frank Flores Sr., the Chairman of the
(Name) (Chairman/Treasurer)

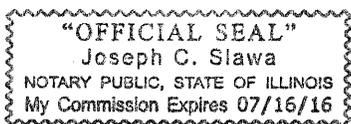
Frank Flores, Jr. For College of DoPage Trustee
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Initial, novice understanding of campaign requirements by treasurer, duties, fiduciary responsibilities then well attended by campaign, with attention to details after rough beginning.

Signed and Sworn to by:
Frank Flores Sr.
before me this 11th Day of
October, 2013
Joseph C. Slawa
Notary Public

Frank Flores Sr.
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 11, 2013

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Frank Flores, Jr for College of DuPage Trustee ID# 25106
363 E Montana
Glendale Heights, IL 60139-2620

7011 2970 0003 6441 8038

Dear Frank Flores, Jr for College of DuPage Trustee:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Friends for Education 502 (PAC)	3/1/2013	\$1000	5/20/2013	50	\$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$500.00 for delinquent filing Schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 11, 2013 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
1/1/2013-3/31/2013	Quarterly	\$625
TOTAL AMOUNT NOW DUE		\$675

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,


Sharon Steward, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

* This contribution was reported on the ^{March} ~~September~~ Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

Vs.

13 MA 106

Friends of Kelvin Oliver (ID 25189)

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for the Delinquent Filing of the
2013 June and September Quarterly Reports

The Board received the 2013 June and September Quarterly Reports on November 5, 2013, 79 and 15 days late, respectively, resulting in a \$1,975 and \$750 civil penalty. The total assessment is \$2,725.

Kelvin Oliver, Chairman, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on March 4, 2014.

Mr. Oliver appeared on behalf of the Committee and testified that he had no reasonable explanation for the delinquent filing. He stated that he operated a small grass roots committee that raised at most approximately \$3,500 in total contributions. As a first time violation, Mr. Oliver asks for leniency, as the fine would be a personal financial burden.

I recommend that the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, \$2,725 civil penalty will be due. (As of 12/31/13, the Committee reported a funds available balance of \$175.)



Tara Molnar – Hearing Officer

March 4, 2014

State of Illinois)
County of _____)

CHICAGO
2014 FEB -6 PM 2:04
STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends for Kelvin Oliver)
Respondent(s).)

Case No. 13MA106
25189

APPEAL AFFIDAVIT

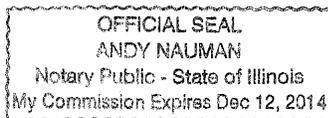
I, Kelvin Oliver, the _____ of the
(Name) (Chairman/Treasurer)
Friends for Kelvin Oliver
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

A Presidential Declaration for Emergency Assistance to the survivors of the Nov. 17th tornadoes, activated my response as the State's Disaster Coordinator.

Signed and Sworn to by:
Kelvin Oliver
before me this 6 Day of
February, 2014
Andy Nauman
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
January 6, 2014

Friends of Kelvin Oliver
Kelvin Oliver
824 Tenuta Court
Olympia Fields, IL 60461

ID# 25189

7012 3460 0001 3856 9533

Dear Friends of Kelvin Oliver:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contributions and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 11/5/2013, 79 day(s) late. As such, this committee has been assessed a fine of \$1975.00.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2013 through September 30, 2013
Filing Period:	October 1, 2013 through October 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 11/5/2013, 15 day(s) late. As such, this committee has been assessed a fine of \$750.00.

The total for all new assessments is \$2725.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by February 6, 2014, you forfeit the right to contest this assessment.**

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

13 MA 110

Moore for District 200 ID# 25574
Respondent

REPORT OF HEARING OFFICER

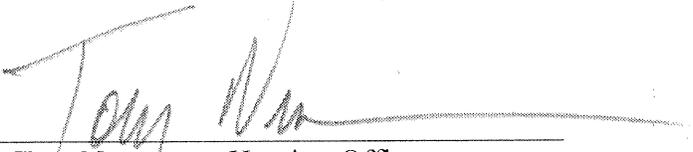
Appeal of Civil Penalty Assessment for Delinquent Filing of the
D-1 Statement of Organization, and the March 2013 and June 2013 Quarterly Reports

The D-1 Statement of Organization was received by the Board on September 30, 2013, 177 days late, resulting in a civil penalty assessment of \$5,000. The March 2013 Quarterly Report was received by the Board on September 30, 2013, 117 days late, resulting in a civil penalty assessment of \$2,925. The June 2013 Quarterly Report was also received by the Board on September 30, 2013, 54 days late, resulting in a civil penalty assessment of \$2,700. The total assessment is \$10,625.

Stephen Miller, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Miller states that at the time he agreed to serve as Treasurer, he wrongly assumed a D-1 had already been filed. He says he timely filed the March 2013 Quarterly Report and only realized the D-1 had not been filed after the Quarterly Report was rejected. Mr. Miller says once he was made aware of the lack of a D-1 filing, he filed both the March and June Quarterly Reports in addition to the D-1. He describes the late filings as an inadvertent and unknowing oversight. Mr. Miller adds that the Committee raised and spent only a small amount of money, making the assessed penalty impractical for them to pay.

It is clear that the D-1 Statement of Organization was not filed on time. As for the other reports, when a Quarterly Report from a committee that has not yet filed a D-1 is received by the Board, typically the Report is saved and efforts are made to contact the committee. Unfortunately, I can find no record of any reports received from this Committee prior to the filings on September 30. While it is possible that Mr. Miller did attempt to file the March and June Quarterly Reports on time, in the absence of any evidence to support this claim I must recommend the appeal for all three late filings be denied. If this recommendation is accepted by the Board, the \$10,625 civil penalty will be due and owing. (As of 12/31/13, this Committee reported a funds available balance of \$3.)


Tom Newman – Hearing Officer
March 5, 2014

State of Illinois)
)
County of : _____)

STATE BOARD OF ELECTIONS

14 FEB -7 PM 1:39

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
MOORE FOR DISTRICT 200)
Respondent(s).)

Case No. 13MA110

APPEAL AFFIDAVIT

I, Stephen P. Miller, the Treasurer of the
(Name) (Chairman/Treasurer)
MOORE FOR DISTRICT 200
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

* Statement Attached *

Stephen P. Miller
Signature of Chairman/Treasurer

Signed and Sworn to by: Maya A. Carter
before me this 3rd Day of Feb, 20 14

Notary Public
(seal)



BEFORE THE STATE BOARD OF ELECTIONS OF THE STATE OF ILLINOIS
APPEAL AFFIDAVIT
COMMITTEE: MOORE FOR DISTRICT 200

Moore for District 200 ("Committee") respectfully submits this affidavit in support of its request that the State Board of Elections ("Board") reconsider its intention to impose a \$10,625.00 civil penalty on this Committee.

ISSUE #1 – EXPLANATION FOR ERROR. While the Committee does not argue that its filings were timely, it does offer the explanation that the late filings all cascaded from one misunderstanding early in the campaign. We urge the Board to consider that the Committee endeavored to recover from this mistake and comply fully with the reporting requirements.

Shortly after Jackie Moore, the candidate, decided to enter the race for District 200 School Board, she asked Stephen Miller to serve as the campaign's Treasurer. This was both the first experience for Ms. Moore as a candidate and Mr. Miller as a campaign treasurer. At this time, Mr. Miller wrongly assumed that a D-1 already had been filed to establish the Committee in the Board's system. Mr. Miller filed – timely – the D-2 Statement for the quarter January through March 2013. It was only after we were notified that the D-2 filing had been rejected that Mr. Miller investigated and realized that the D-1 had not been filed. We urge the Board to take note that, when Mr. Miller was made aware of the lack of a D-1 filing, the Committee then filed both the D-1 and the required D-2s for the periods January through March 2013 and April through June 2013, indicating the Committee's intention to comply fully with the filing rules. The campaign received no further notices until the January 6, 2014 letter informing it of the civil penalties.

ISSUE #2 – COMMITTEE INTENTION: INADVERTENT OVERSIGHT. Section 125.425 in part directs the Board to consider certain factors, including, "[w]hether... the violation was committed inadvertently, negligently, knowingly, or intentionally." The Committee submits that this was a quintessentially inadvertent and unknowing oversight, as evidenced by the Committee's attempt to file the first D-2 statement on time and its subsequent filing of D-2s for both quarters after investigation of the original rejection.

ISSUE #3 – MODEST SCOPE OF THE CAMPAIGN. While the Committee understands the calculations that went into determining the amount of the civil penalty, we offer that such a penalty is unreasonable when viewed in the context of the financial scope of the Committee's campaign. In total, the Committee raised \$3,825 and spent \$3,722, as was documented in the D-2 Statements filed (or re-filed) in September 2013. To impose such an excessive penalty – nearly three times the total amount of funds raised and expended by the Committee – is unnecessarily punitive and would place a hardship on the Committee.

ISSUE #4 – MEANS TO PAY. At this date, the Committee possesses less than \$100 in funds. This was a small, local campaign, during which the modest funds raised were expended primarily for yard signs and printed materials. There have been no funds raised since the election, and it is not expected there will be further funds raised. The imposition of a penalty so far beyond the means of the Committee to pay it serves no practical purpose.

SUMMARY

The Committee respectfully submits that the untimely filings were inadvertent and unknowing. The campaign was small and a 'first-time' experience for both candidate and treasurer. The Committee made reasonable efforts on its own to comply with the requirements, and then to resolve the matter when those initial efforts were unsuccessful. We respectfully request that the civil penalty be erased or reduced to an amount within the means of the Committee to pay.

BRIDGE MARK

2013 SEP 30 AM 10:49

25574-04

September 9, 2013

State Board of Elections
James R Thompson Center
100 W Randolph Street Suite 14100
Chicago, IL 60601

To Whom It May Concern,

Enclosed please find a Schedule D-1 and Schedule D-2's for both the first and second quarters for the campaign of "Moore for District 200." I am the Treasurer for this campaign, and previously sent the first quarter D-2. Only later did I realize that it had been rejected because there was never a D-1 submitted.

It is my hope and intention that these filings can be accepted and the file brought up to date. If there is anything further that I need to do at this point, or if there are other procedures needed because of the previous error, I would appreciate being contacted directly at (312) 399-5165.

Thank you.



Stephen Miller

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

January 6, 2014

Moore for District 200
Stephen Miller
1140 Lake Street
Oak Park, IL 60302

ID# 25574

7012 3460 0001 3856 9342

Dear Moore for District 200:

This letter is to inform you that this committee failed to file its D-1 Statement of Organization during the requisite filing period.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-3 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 9/30/2013, 177 day(s) late. As such, this committee has been assessed a fine of \$5000.00.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2013 through March 31, 2013
Filing Period:	April 1, 2013 through April 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 9/30/2013, 117 day(s) late. As such, this committee has been assessed a fine of \$2925.00.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2013 through June 30, 2013
Filing Period:	July 1, 2013 through July 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 9/30/2013, 54 day(s) late. As such, this committee has been assessed a fine of \$2700.00.

The total for all new assessments is \$10625.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

131 CD 145

Citizens to Elect Eric J Kellogg,
Respondent.

ID# 14486

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the December 2010 Semi-Annual Report of Campaign Contributions and Expenditures, and the March 2011, June 2011, September 2011, December 2011, March 2012, June 2012, September 2012, December 2012, March 2013, and June 2013 Quarterly Reports of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on February 14, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

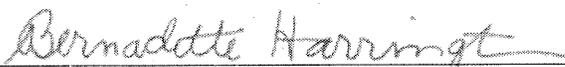
The Respondent filed a Statement of Organization on November 14, 1998 with the purpose of supporting the candidacy of Eric J Kellogg for the office of Mayor of Harvey. At the time of the filing of the Complaint, the Respondent had most recently filed the June 2010 Semi-Annual Report and had not filed any further required reports. The Board’s staff repeatedly contacted the Respondent’s officers to no avail, leading to the filing of the instant Complaint.

RESPONDENT'S CASE-IN-CHIEF

The Respondent did not appear at the Closed Preliminary Hearing, which led to the order of a Public Hearing in the matter. Prior to the Public Hearing, the Respondent filed the delinquent reports, rendering a Public Hearing unnecessary.

CONCLUSIONS AND RECOMMENDATIONS

In light of the Respondent's filing of the required reports, I recommend that the Complaint be dismissed and no further action be taken by the Board other than referral of the matter to appropriate staff for the assessment of civil penalties related to the late filing of the reports.



Bernadette Harrington – Hearing Officer

February 19, 2014

STATE OF ILLINOIS)
)
COUNTY OF COOK) SS

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
State Board of Elections,)
)
Complainant(s),)
vs.) 13 CD 145
)
Citizens to Elect Eric J Kellogg,)
)
Respondent(s).)

ORDER

TO: Citizens to Elect Eric J Kellogg ID# 14486
20840 Corinth Rd
Olympia Fields, IL 60461-1837

This matter coming to be heard this 17th day of December, 2013 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Committee failed to file June, September and December 2011 Quarterly report, the March, June, September and December 2012 Quarterly report, and the March and June 2013 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. That the complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Closed Preliminary Hearing, and
3. The reports at issue remain unfiled.

IT IS HEREBY ORDERED:

1. The recommendation of the Hearing Officer, as modified by the General Counsel is adopted; and
2. That a Public hearing be conducted in this matter, and
3. The effective date of this Order is December 19, 2013.

DATED: 12/19/2013



Jesse R. Smart, Chairman



FORM D-4	COMPLAINT FOR VIOLATION OF THE CAMPAIGN DISCLOSURE ACT
---------------------	---

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs. Case No. 13 CD 145

NAME AND ADDRESS OF RESPONDENT:

Citizens to Elect Eric J Kellogg	ID# 14486	
20840 Corinth Rd	Eric Kellogg	Monica Fox
Olympia Fields, IL 60461-183720840	56 E 150 St	20840 Corinth Rd
	Harvey, IL 60426	Olympia Fields, IL 60461

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS Yes No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2010 December Semi Annual, 2011 March, June, September, December, 2012 March, June, September, December, 2013 March and June Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

February 14, 2013
DATE

SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

13 CD 169

Friends of Brendan Appel,
Respondent.

ID# 20737

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the December 2012, March 2013 and June 2013 Quarterly Reports of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on October 22, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

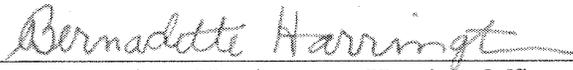
The Respondent filed a Statement of Organization on August 6, 2007 with the purpose of electing Brendan Appel to political office. At the time of the filing of the Complaint, the Respondent had most recently filed the September 2012 Quarterly Report and had not filed any further required reports. The Board’s staff repeatedly contacted the Respondent’s officers to no avail, leading to the filing of the instant Complaint.

RESPONDENT’S CASE-IN-CHIEF

Philip J. Berenz, counsel for the Respondent committee, appeared at the Public Hearing and stated that the Respondent was aware of the Complaint and intended to file the required reports. Following the Public Hearing, the Respondent filed the three delinquent quarterly reports.

CONCLUSIONS AND RECOMMENDATIONS

In light of the Respondent's filing of the required reports, I recommend that the Complaint be dismissed and no further action be taken by the Board other than referral of the matter to appropriate staff for the assessment of civil penalties related to the late filing of the reports.



Bernadette Harrington – Hearing Officer

February 28, 2014

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
State Board of Elections,)
)
Complainant(s),)
vs.) 13 CD 169
)
Friends for Brendan Appel,)
)
Respondent(s).)

ORDER

TO: Friends for Brendan Appel ID# 20737
PO Box 954
Glenview, IL 60025

This matter coming to be heard this 17th day of December, 2013 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Committee failed to file the December 2012, March 2013 and June 2013 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. That the complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Closed Preliminary Hearing, and
3. The reports at issue remain unfiled.

IT IS HEREBY ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
2. That a Public hearing be conducted in this matter, and
3. The effective date of this Order is December 19, 2013.

DATED: 12/19/2013



Jesse R. Smart, Chairman



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 13 CD 169

NAME AND ADDRESS OF RESPONDENT:

Friends for Brendan Appel ID# 20737
PO Box 954
Glenview, IL 60025
PO Box 954
7011 2970 0003 6442 2431

Bendan Appel
400 Skokie Blvd, Ste 380
Northbrook, IL 60062

7011 2970 0003 6442 2448

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS Yes No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2012 December, 2013 March and June Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

October 22, 2013
DATE

SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

13 CD 236

Marc Landers,
Respondent.

ID# 25100

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the March 2013 and June 2013 Quarterly Reports of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on October 22, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

The Respondent filed a Statement of Organization on February 21, 2013 with the purpose of electing Marc Landers. At the time of the filing of the Complaint, the Respondent had not filed any further reports nor had it sought to make the committee inactive.

RESPONDENT’S CASE-IN-CHIEF

Marc Landers, Chairman of the Respondent committee, appeared at the Public Hearing and stated that he was misinformed as to the filing requirements because he was under the impression that, as long as the committee remained below the \$3,000 threshold, it was not required to file the quarterly reports. Following the Public Hearing, Mr. Landers met with Board staff and filed the delinquent reports.

CONCLUSIONS AND RECOMMENDATIONS

In light of the Respondent's filing of the required reports, I recommend that the Complaint be dismissed and no further action be taken by the Board other than referral of the matter to appropriate staff for the assessment of civil penalties related to the late filing of the reports.



Bernadette Harrington – Hearing Officer

February 5, 2014

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
State Board of Elections,)
)
 Complainant(s),)
 vs.) 13 CD 236
)
Marc Landers,)
)
 Respondent(s).)

ORDER

TO: Marc Landers ID# 25100
 5180 Hogan Rd
 Auburn, IL 62615

This matter coming to be heard this 17th day of December, 2013 following a Closed Preliminary Hearing of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the “Act”), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Committee failed to file the March and June 2013 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. That the complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Closed Preliminary Hearing, and
3. The reports at issue remain unfiled.

IT IS HEREBY ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
2. That a Public hearing be conducted in this matter, and
3. The effective date of this Order is December 19, 2013.

DATED: 12/19/2013



Jesse R. Smart, Chairman



FORM D-4	COMPLAINT FOR VIOLATION OF THE CAMPAIGN DISCLOSURE ACT
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COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs. Case No. 13 CD 236

NAME AND ADDRESS OF RESPONDENT:

Marc Landers ID# 25100
5180 Hogan Rd
Auburn, IL 626155180 Hogan Rd

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS Yes No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2013 March and June Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

October 22, 2013
DATE


SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

13 CD 024

First Ward Organization,
Respondent.

ID# 18390

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the March 2012, June 2012, and September 2012 Quarterly Reports of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on February 14, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

The Respondent filed a Statement of Organization on November 15, 2004 with the purpose of supporting candidates and organizations that will help the Ward’s community. The Respondent most recently electronically filed the December 2009 Semi-annual report, which shows a positive balance of \$55,054.51. The Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board’s staff has repeatedly contacted the Respondent’s officers to no avail, leading to the filing of the instant Complaint.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the required reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.



Bernadette Harrington – Hearing Officer

February 19, 2014

STATE OF ILLINOIS)
)
COUNTY OF SANGAMON) SS

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
State Board of Elections,)
)
Complainant(s),)
vs.) 13 CD 158
)
First Ward Organization,)
)
Respondent(s).)

ORDER

TO: First Ward Organization ID# 18390
1075 N Marshfield Ave
Chicago, IL 60622

This matter coming to be heard this 18th day of November, 2013 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Committee failed to file the March, June and September 2012 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. That the complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Closed Preliminary Hearing, and
3. The report at issue remains unfiled.

IT IS HEREBY ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
2. That a Public hearing be conducted in this matter, and
3. The effective date of this Order is November 20, 2013.

DATED: 11/20/2013



Jesse R. Smart, Chairman



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 13CD024

NAME AND ADDRESS OF RESPONDENT:

18390
First Ward Organization
1075 N Marshfield Ave
Chicago, IL 60622-3836

Jesse Rueben Juarez
PO Box 470656
Chicago, IL 60647-0647

Victor Soto
2731 W Belmont
Chicago, IL 60618

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS Yes No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2011 June, September and December Quarterlies, and 2012 March, June, and September Quarterlies Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

February 14, 2013

DATE

Jennifer Roman
SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

13 CD 139

Committee to Re-elect Mike Slape,
Respondent.

ID# 1068

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the December 2012, March 2013, and June 2013 Quarterly Reports of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on February 14, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

The Respondent filed a Statement of Organization on November 15, 1977 with the purpose to work for the nomination and election of Mike Slape to the General Assembly of the State of IL. The Respondent most recently filed the December 2008 Semi-annual Report, which shows a positive balance of \$20,250.00. As of the date of this report, the Respondent has not filed any further reports nor has it sought to make the committee inactive.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.



Bernadette Harrington – Hearing Officer

February 5, 2014

STATE OF ILLINOIS)
)
COUNTY OF COOK) SS

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
State Board of Elections,)
)
 Complainant(s),)
 vs.) 13 CD 139
)
Committee to Re-elect Mike Slape,)
)
 Respondent(s).)

ORDER

TO: Committee to Re-elect Mike Slape ID# 1068
 200 S Missouri Ave
 Belleville, IL 62220

This matter coming to be heard this 17th day of December, 2013 following a Closed Preliminary Hearing of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the “Act”), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Committee failed to file the December 2012, March 2013 and June 2013 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. That the complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Closed Preliminary Hearing, and
3. The reports at issue remain unfiled.

IT IS HEREBY ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
2. That a Public hearing be conducted in this matter, and
3. The effective date of this Order is December 19, 2013.

DATED: 12/19/2013



Jesse R. Smart, Chairman



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 13 CD 139

NAME AND ADDRESS OF RESPONDENT:

Committee to Re-elect Mike Slape ID# 1068
200 S Missouri Ave Michael Slape
Belleville, IL 62220200 S Missouri Ave PO Box 211
Pocahontas, IL 62275

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS Yes No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2012 December, 2013 March and June Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

February 14, 2013
DATE


SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

13 CD 150

Citizens for Leys,
Respondent.

ID# 15682

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the June 2013 Quarterly Report of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on October 22, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

The Respondent filed a Statement of Organization on November 15, 2000 with the purpose of electing Paul Leys to political office in the State of Illinois. The Respondent last filed the March 2013 Quarterly Report and, as of the date of this report, has not filed any further reports nor has it sought to make the committee inactive.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required report.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly report and state further that the failure to file the delinquent report within 30 days of the issuance of the order shall result in a fine up to \$5,000.



Bernadette Harrington – Hearing Officer
February 19, 2014

STATE OF ILLINOIS)
)
COUNTY OF COOK) SS

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
State Board of Elections,)
)
 Complainant(s),)
 vs.) 13 CD 150
)
Citizens for Leys,)
)
 Respondent(s).)

ORDER

TO: Citizens for Leys ID# 15682
 310 Busse Hwy, Ste 323
 Park Ridge, IL 60068

This matter coming to be heard this 17th day of December, 2013 following a Closed Preliminary Hearing of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the “Act”), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Committee failed to file the June 2013 report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. That the complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Closed Preliminary Hearing, and
3. The report at issue remains unfiled.

IT IS HEREBY ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
2. That a Public hearing be conducted in this matter, and
3. The effective date of this Order is December 19, 2013.

DATED: 12/19/2013



Jesse R. Smart, Chairman



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 13 CD 150

NAME AND ADDRESS OF RESPONDENT:

Citizens for Leys ID# 15682
310 Busse Hwy, Ste 323
Park Ridge, IL 60068310 Busse Hwy, Ste 323

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS Yes No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2013 June Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

October 22, 2013
DATE

SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

13 CD 161

Citizens to Elect Alvin Parks Jr.,
Respondent.

ID# 19743

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the December 2012, March 2013, and June 2013 Quarterly Reports of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on February 14, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

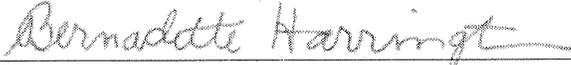
The Respondent filed a Statement of Organization on June 15, 2006 with the purpose of assisting Alvin Parks Jr. in pursuing elected office, particularly the position of Mayor. The Respondent most recently filed the March 2011 Quarterly Report, which shows a positive balance of \$2,143.21. The Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board’s staff has repeatedly contacted the Respondent’s officers to no avail, leading to the filing of the instant Complaint.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.



Bernadette Harrington – Hearing Officer

February 5, 2014

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
State Board of Elections,)
)
 Complainant(s),)
 vs.) 13 CD 161
)
Citizens to Elect Alvin Parks Jr,)
)
 Respondent(s).)

ORDER

TO: Citizens to Elect Alvin Parks Jr ID# 19743
 8922 Woestboul St
 East St Louis, IL 62203

This matter coming to be heard this 17th day of December, 2013 following a Closed Preliminary Hearing of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the “Act”), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Committee failed to file the December 2012, March 2013 and June 2013 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. That the complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Closed Preliminary Hearing, and
3. The reports at issue remain unfiled.

IT IS HEREBY ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
2. That a Public hearing be conducted in this matter, and
3. The effective date of this Order is December 19, 2013.

DATED: 12/19/2013



Jesse R. Smart, Chairman



FORM D-4	COMPLAINT FOR VIOLATION OF THE CAMPAIGN DISCLOSURE ACT
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COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs. Case No. 13 CD 161

NAME AND ADDRESS OF RESPONDENT:

Citizens to Elect Alvin Parks Jr	ID# 19743	
8922 Woestboul St		Claudia Nash Thomas
E St Louis, IL 622038922 Woestboul St		144 N 16th St
		E St Louis, IL 62203

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS Yes No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2012 December and 2013 March and June Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

February 14, 2013
DATE

SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

13 CD 172

Re-Elect Eric Leys,
Respondent.

ID# 22022

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the June 2013 Quarterly Report of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on October 22, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

The Respondent filed a Statement of Organization on March 30, 2009 with the purpose of supporting the re-election of Eric Leys. The Respondent last filed the March 2013 Quarterly Report and, as of the date of this report, has not filed any further reports nor has it sought to make the committee inactive.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required report.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly report and state further that the failure to file the delinquent report within 30 days of the issuance of the order shall result in a fine up to \$5,000.



Bernadette Harrington – Hearing Officer
February 19, 2014

STATE OF ILLINOIS)
)
COUNTY OF COOK) SS

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
State Board of Elections,)
)
Complainant(s),)
vs.) 13 CD 172
)
Re-Elect Eric Leys,)
)
Respondent(s).)

ORDER

TO: Re-Elect Eric Leys ID# 22022
310 Busse Hwy, #323
Park Ridge, IL 60068

This matter coming to be heard this 17th day of December, 2013 following a Closed Preliminary Hearing of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the “Act”), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Committee failed to file the June 2013 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. That the complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Closed Preliminary Hearing, and
3. The report at issue remains unfiled.

IT IS HEREBY ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
2. That a Public hearing be conducted in this matter, and
3. The effective date of this Order is December 19, 2013.

DATED: 12/19/2013



Jesse R. Smart, Chairman



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 13 CD 172

NAME AND ADDRESS OF RESPONDENT:

Re-Elect Eric Leys ID# 22022
310 Busse Hwy, #323 Eric Leys
Park Ridge, IL 60068310 Busse Hwy, #323

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS Yes No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the June Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

October 22, 2013
DATE

Laura A. Harbold
SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

13 CD 173

Brady PAC - Illinois,
Respondent.

ID# 22097

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the March 2013 and June 2013 Quarterly Reports of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on October 22, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

The Respondent filed a Statement of Organization on May 14, 2009 with the purpose of electing supporters of sensible gun laws. The Respondent last filed the December 2012 Quarterly Report and, as of the date of this report, has not filed any further reports nor has it sought to make the committee inactive.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.

Bernadette Harrington

Bernadette Harrington – Hearing Officer
February 19, 2014

STATE OF ILLINOIS)
)
COUNTY OF COOK) SS

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
State Board of Elections,)
)
Complainant(s),)
vs.) 13 CD 173
)
Brady PAC - Illinois,)
)
Respondent(s).)

ORDER

TO: Brady PAC - Illinois ID# 22097
1225 Eye Street NW, Ste 1100
Washington, DC 20005

This matter coming to be heard this 17th day of December, 2013 following a Closed Preliminary Hearing of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the “Act”), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Committee failed to file the March and June 2013 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. That the complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Closed Preliminary Hearing, and
3. The reports at issue remain unfiled.

IT IS HEREBY ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
2. That a Public hearing be conducted in this matter, and
3. The effective date of this Order is December 19, 2013.

DATED: 12/19/2013



Jesse R. Smart, Chairman



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 13 CD 173

NAME AND ADDRESS OF RESPONDENT:

Brady PAC - Illinois ID# 22097
1225 Eye Street NW, Ste 1100 Tom Vanden Berk
Washington, DC, IL 200051225 Eye Street N 22 West Washington
1100
Chicago, IL 60602

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS Yes No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2013 March and June Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

October 22, 2013
DATE

SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

13 CD 176

Equal Justice Party (EJP),
Respondent.

ID# 22403

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the March 2013 Quarterly Report of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on October 22, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

The Respondent filed a Statement of Organization on October 26, 2009 with the purpose of supporting and opposing candidates for local or state office. The Respondent most recently filed the December 2013 Quarterly Report; however, as of the date of this report, the committee has yet to file the delinquent March 2013 Quarterly Report.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required report.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly report and state further that the failure to file the required report within 30 days of the issuance of the order shall result in a fine up to \$5,000.



Bernadette Harrington – Hearing Officer
February 19, 2014

STATE OF ILLINOIS)
)
COUNTY OF COOK) SS

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
State Board of Elections,)
)
Complainant(s),)
vs.) 13 CD 176
)
Equal Justice Party (EJP),)
)
Respondent(s).)

ORDER

TO: Equal Justice Party (EJP) ID# 22403
PO Box 60645
Chicago, IL 60660

This matter coming to be heard this 17th day of December, 2013 following a Closed Preliminary Hearing of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the “Act”), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Committee failed to file the March 2013 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. That the complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Closed Preliminary Hearing, and
3. The report at issue remains unfiled.

IT IS HEREBY ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
2. That a Public hearing be conducted in this matter, and
3. The effective date of this Order is December 19, 2013.

DATED: 12/19/2013



Jesse R. Smart, Chairman



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs. Case No. 13 CD 176

NAME AND ADDRESS OF RESPONDENT:

Equal Justice Party (EJP) ID# 22403
PO Box 60645
Chicago, IL 60660 PO Box 60645

Leo Stoller
7115 W North Ave #272
Oak Park, IL 60302

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS Yes No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2013 March and June Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

October 22, 2013
DATE

Lawrence A. Harbold
SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

Illinois State Board of Elections,
Complainant

v.

13 CD 183

Friends of Evonne Fleming,
Respondent.

ID# 23003

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the December 2012, March 2013, and June 2013 Quarterly Reports of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on October 22, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

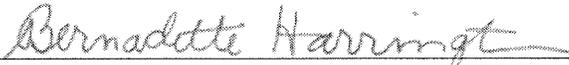
The Respondent filed a Statement of Organization on September 28, 2010 with the purpose of supporting Ms. Fleming’s candidate campaign. As of the date of this report, the Respondent has not filed the delinquent quarterly reports nor has it sought to make the committee inactive.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.



Bernadette Harrington – Hearing Officer

February 5, 2014

STATE OF ILLINOIS)
)
COUNTY OF COOK) SS

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
State Board of Elections,)
)
 Complainant(s),)
 vs.) 13 CD 183
)
Friends of Evonne Fleming,)
)
 Respondent(s).)

ORDER

TO: Friends of Evonne Fleming ID# 23003
313 S. Webster Street
Peoria, IL 61605

This matter coming to be heard this 17th day of December, 2013 following a Closed Preliminary Hearing of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the “Act”), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Committee failed to file the December 2012, March 2013 and June 2013 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. That the complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Closed Preliminary Hearing, and
3. The reports at issue remain unfiled.

IT IS HEREBY ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
2. That a Public hearing be conducted in this matter, and
3. The effective date of this Order is December 19, 2013.

DATED: 12/19/2013



Jesse R. Smart, Chairman



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 13 CD 183

NAME AND ADDRESS OF RESPONDENT:

Friends of Evonne Fleming ID# 23003
313 S. Webster Street
Peoria, IL 61605313 S. Webster Street

Sam Joseph
4926 N. University Street
Peoria, IL 61614

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS Yes No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2012 December, 2013 March and June Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

October 22, 2013
DATE

SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

13 CD 184

Citizens for Burch,
Respondent.

ID# 23006

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the June 2013 Quarterly Report of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on October 22, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

The Respondent filed a Statement of Organization on July 1, 2010 with the purpose of electing William Burch Alderman. The Respondent most recently filed the December 2013 Quarterly Report; however, as of the date of this report, the committee has yet to file the delinquent June 2013 Quarterly Report.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required report.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly report and state further that the failure to file the required report within 30 days of the issuance of the order shall result in a fine up to \$5,000.



Bernadette Harrington – Hearing Officer
February 19, 2014

STATE OF ILLINOIS)
)
COUNTY OF COOK) SS

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
State Board of Elections,)
)
 Complainant(s),)
 vs.) 13 CD 184
)
Citizens for Burch,)
)
 Respondent(s).)

ORDER

TO: Citizens for Burch ID# 23006
 6128 S. Maplewood Ave
 Chicago, IL 60629

This matter coming to be heard this 17th day of December, 2013 following a Closed Preliminary Hearing of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the “Act”), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Committee failed to file the June 2013 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. That the complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Closed Preliminary Hearing, and
3. The report at issue remains unfiled.

IT IS HEREBY ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
2. That a Public hearing be conducted in this matter, and
3. The effective date of this Order is December 19, 2013.

DATED: 12/19/2013



Jesse R. Smart, Chairman



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 13 CD 184

NAME AND ADDRESS OF RESPONDENT:

Citizens for Burch ID# 23006
6128 S. Maplewood Ave
Chicago, IL 60629

Linda Riley
6916 S. Artesian
Chicago, IL 60629

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS Yes No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2013 June Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

October 22, 2013
DATE

SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

Illinois State Board of Elections,
Complainant

v.

13 CD 190

Friends of Dick Breckenridge,
Respondent.

ID# 23617

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the September 2012, December 2012, and March 2013 Quarterly Reports of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on February 14, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

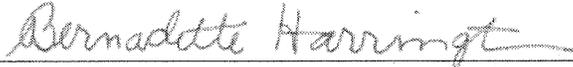
The Respondent filed a Statement of Organization on April 4, 2011 with the purpose to support re-election. The Respondent most recently filed the June 2012 Quarterly Report, which shows a positive balance of \$1,138.66. The Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board’s staff has repeatedly contacted the Respondent’s officers to no avail, leading to the filing of the instant Complaint.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.



Bernadette Harrington – Hearing Officer
February 5, 2014

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
State Board of Elections,)
)
Complainant(s),)
vs.) 13 CD 190
)
Friends of Dick Breckenridge,)
)
Respondent(s).)

ORDER

TO: Friends of Dick Breckenridge ID# 23617
1560 N 1100 E Road
Taylorville, IL 62568

This matter coming to be heard this 17th day of December, 2013 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Committee failed to file the September and December 2012 and March 2013 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. That the complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Closed Preliminary Hearing, and
3. The reports at issue remain unfiled.

IT IS HEREBY ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
2. That a Public hearing be conducted in this matter, and
3. The effective date of this Order is December 19, 2013.

DATED: 12/19/2013



Jesse R. Smart, Chairman



FORM D-4	COMPLAINT FOR VIOLATION OF THE CAMPAIGN DISCLOSURE ACT
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COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 13 CD 190

NAME AND ADDRESS OF RESPONDENT:

Friends of Dick Breckenridge ID# 23617
1560 N 1100 E Road
Taylorville, IL 625681560 N 1100 E Road

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS Yes No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2012 December and 2013 March and September Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

February 14, 2013
DATE


SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

13 CD 197

Tammy Baer for Douglas County State's Attorney,
Respondent.

ID# 24201

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the December 2012, March 2013, and June 2013 Quarterly Reports of Campaign Contributions and Expenditures.

STATE'S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on February 14, 2013, at the Board's Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer's Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT'S CASE-IN-CHIEF

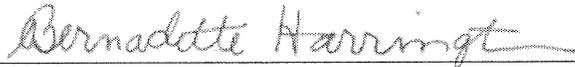
The Respondent filed a Statement of Organization on February 7, 2012 with the purpose to support the candidacy of Tammy Baer for the office of State's Attorney-Douglas County, IL. The Respondent most recently filed the March 2012 Quarterly Report, which shows a positive balance of \$838.80. The Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board's staff has repeatedly contacted the Respondent's officers to no avail, leading to the filing of the instant Complaint.

RESPONDENT'S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.



Bernadette Harrington – Hearing Officer
February 5, 2014

STATE OF ILLINOIS)
)
COUNTY OF COOK) SS

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
State Board of Elections,)
)
 Complainant(s),)
 vs.) 13 CD 197
)
Tammy Baer for Douglas County)
State's Attorney,)
)
 Respondent(s).)

ORDER

TO: Tammy Baer for Douglas County State's Attorney ID# 24201
 PO Box 236
 Tuscola, IL 61953

This matter coming to be heard this 17th day of December, 2013 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Committee failed to file the December 2012, March 2013 and June 2013 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. That the complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Closed Preliminary Hearing, and
3. The reports at issue remain unfiled.

IT IS HEREBY ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
2. That a Public hearing be conducted in this matter, and
3. The effective date of this Order is December 19, 2013.

DATED: 12/19/2013



Jesse R. Smart, Chairman



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 13 CD 197

NAME AND ADDRESS OF RESPONDENT:

Tammy Baer for Douglas County State's Attorney
PO Box 236
Tuscola, IL 61953PO Box 236

ID# 24201

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS Yes No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2012 December, 2013 March and June Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

February 14, 2013
DATE


SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

Illinois State Board of Elections,
Complainant

v.

13 CD 198

Committee to Elect Lorinda Beaman
Lamken,
Respondent.

ID# 24276

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the June 2013 Quarterly Report of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on October 22, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

The Respondent filed a Statement of Organization on March 3, 2012 with the purpose of supporting the candidacy of Lorinda Beaman Lamken for Christian County State’s Attorney. As of the date of this report, the Respondent has not filed the delinquent quarterly report nor has it sought to make the committee inactive.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required report.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly report and state further that the failure to file the delinquent report within 30 days of the issuance of the order shall result in a fine up to \$5,000.



Bernadette Harrington – Hearing Officer
February 5, 2014

STATE OF ILLINOIS)
)
COUNTY OF COOK) SS

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
State Board of Elections,)
)
 Complainant(s),)
 vs.) 13 CD 198
)
Committee to Elect Lorinda Beaman)
Lamken,)
)
 Respondent(s).)

ORDER

TO: Committee to Elect Lorinda Beaman Lamken ID# 24276
 216 Sandstone Drive
 Chatham, IL 62629

This matter coming to be heard this 17th day of December, 2013 following a Closed Preliminary Hearing of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the “Act”), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Committee failed to file the June 2013 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. That the complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Closed Preliminary Hearing, and
3. The report at issue remains unfiled.

IT IS HEREBY ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
2. That a Public hearing be conducted in this matter, and
3. The effective date of this Order is December 19, 2013.

DATED: 12/19/2013



Jesse R. Smart, Chairman



FORM D-4	COMPLAINT FOR VIOLATION OF THE CAMPAIGN DISCLOSURE ACT
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COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 13 CD 198

NAME AND ADDRESS OF RESPONDENT:

Committee to Elect Lorinda Beaman Lamken ID# 24276
216 Sandstone Drive
Chatham, IL 62629216 Sandstone Drive

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS Yes No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2013 June Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

October 22, 2013
DATE

SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

13 CD 204

Newport Firefighters Assn.,
Respondent.

ID# 24442

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the September 2012 and December 2012 Quarterly Reports of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on October 22, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

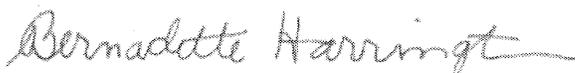
The Respondent filed a Statement of Organization on July 6, 2012 with the purpose of supporting the Newport Twp Fire Protection District Referendum. The Respondent filed a Final Report on April 22, 2013; however, as of the date of this report, the committee has not filed the delinquent quarterly reports.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the required reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.



Bernadette Harrington – Hearing Officer
February 19, 2014

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
State Board of Elections,)
)
 Complainant(s),)
 vs.) 13 CD 204
)
Newport Firefighters Assn,)
)
 Respondent(s).)

ORDER

TO: Newport Firefighters Assn ID# 24442
 39010 Caroline Ave
 Wadsworth, IL 60083

This matter coming to be heard this 17th day of December, 2013 following a Closed Preliminary Hearing of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the “Act”), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Committee failed to file the September and December 2012 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. That the complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Closed Preliminary Hearing, and
3. The report at issue remains unfiled.

IT IS HEREBY ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
2. That a Public hearing be conducted in this matter, and
3. The effective date of this Order is December 19, 2013.

DATED: 12/19/2013



Jesse R. Smart, Chairman



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 13 CD 204

NAME AND ADDRESS OF RESPONDENT:

Newport Firefighters Assn ID# 24442
39010 Caroline Ave
Wadsworth, IL 6008339010 Caroline Ave

Tim Fish/Doug Reno

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS Yes No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2012 September and December Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

October 22, 2013
DATE

SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

13 CD 206

Citizens for Rudd,
Respondent.

ID# 24465

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the December 2012, March 2013, and June 2013 Quarterly Reports of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on October 22, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

The Respondent filed a Statement of Organization on June 4, 2012 with the purpose of fundraising for Rich Rudd for State Representative District # 54. The Respondent most recently filed the September 2012 Quarterly Report; however, as of the date of this report, the committee has not filed any further reports nor has it sought to make the committee inactive.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the required reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.



Bernadette Harrington – Hearing Officer
February 19, 2014

STATE OF ILLINOIS)
)
COUNTY OF COOK) SS

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
State Board of Elections,)
)
 Complainant(s),)
 vs.) 13 CD 206
)
Citizens for Rudd,)
)
 Respondent(s).)

ORDER

TO: Citizens for Rudd ID# 24465
 1768 N. Jarvis Ct
 Palatine, IL 60074

This matter coming to be heard this 17th day of December, 2013 following a Closed Preliminary Hearing of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the “Act”), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Committee failed to file the December 2012, March 2013 and June 2013 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. That the complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Closed Preliminary Hearing, and
3. The reports at issue remain unfiled.

IT IS HEREBY ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
2. That a Public hearing be conducted in this matter, and
3. The effective date of this Order is December 19, 2013.

DATED: 12/19/2013



Jesse R. Smart, Chairman



FORM D-4	COMPLAINT FOR VIOLATION OF THE CAMPAIGN DISCLOSURE ACT
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COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs. Case No. 13 CD 206

NAME AND ADDRESS OF RESPONDENT:

Citizens for Rudd ID# 24465
1768 N. Jarvis Ct
Palatine, IL 60074 | 1768 N. Jarvis Ct

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS Yes No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2012 December, 2013 March and June Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

October 22, 2013
DATE

SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

Illinois State Board of Elections,
Complainant

v.

13 CD 207

Taking Back America,
Respondent.

ID# 24494

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the December 2012, March 2013, and June 2013 Quarterly Reports of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on February 14, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

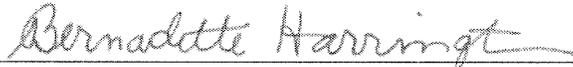
The Respondent filed a Statement of Organization on August 17, 2012 with the purpose of informing and educating voters. The Respondent has not filed any further reports nor has it sought to make the committee inactive. The Board’s staff has repeatedly contacted the Respondent’s officers to no avail, leading to the filing of the instant Complaint.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the delinquent reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.



Bernadette Harrington – Hearing Officer

February 5, 2014

STATE OF ILLINOIS)
)
COUNTY OF COOK) SS

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
State Board of Elections,)
)
Complainant(s),)
vs.) 13 CD 207
)
Taking Back America,)
)
Respondent(s).)

ORDER

TO: Taking Back America ID# 24494
2023 Spruce St
Quincy, IL 62301

This matter coming to be heard this 17th day of December, 2013 following a Closed Preliminary Hearing of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the “Act”), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Committee failed to file the December 2012, March 2013 and June 2013 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. That the complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Closed Preliminary Hearing, and
3. The reports at issue remain unfiled.

IT IS HEREBY ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
2. That a Public hearing be conducted in this matter, and
3. The effective date of this Order is December 19, 2013.

DATED: 12/19/2013



Jesse R. Smart, Chairman



FORM D-4	COMPLAINT FOR VIOLATION OF THE CAMPAIGN DISCLOSURE ACT
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COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs. Case No. 13 CD 207

NAME AND ADDRESS OF RESPONDENT:

Taking Back America ID# 24494
2023 Spruce St
Quincy, IL 623012023 Spruce St

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS Yes No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2012 December, 2013 March and June Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

February 14, 2013
DATE

SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

Illinois State Board of Elections,
Complainant

v.

13 CD 225

Friends of Tom Gooch,
Respondent.

ID# 24843

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the June 2013 Quarterly Report of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on October 22, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

The Respondent filed a Statement of Organization on January 7, 2013 with the purpose of supporting the re-election of Thomas W Gooch III as Highway Commissioner. The Respondent most recently filed the March 2013 Quarterly Report; however, as of the date of this report, the committee has not filed any further reports nor has it sought to make the committee inactive.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required report.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly report and state further that the failure to file the required report within 30 days of the issuance of the order shall result in a fine up to \$5,000.

Bernadette Harrington

Bernadette Harrington – Hearing Officer
February 19, 2014

STATE OF ILLINOIS)
)
COUNTY OF COOK) SS

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
State Board of Elections,)
)
Complainant(s),)
vs.) 13 CD 225
)
Friends of Tom Gooch,)
)
Respondent(s).)

ORDER

TO: Friends of Tom Gooch ID# 24843
23559 N. Old Barrington Rd
Lake Barrington, IL 60010

This matter coming to be heard this 17th day of December, 2013 following a Closed Preliminary Hearing of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the “Act”), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Committee failed to file the June 2013 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. That the complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Closed Preliminary Hearing, and
3. The report at issue remains unfiled.

IT IS HEREBY ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
2. That a Public hearing be conducted in this matter, and
3. The effective date of this Order is December 19, 2013.

DATED: 12/19/2013



Jesse R. Smart, Chairman



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 13 CD 225

NAME AND ADDRESS OF RESPONDENT:

Friends of Tom Gooch ID# 24843
23559 N. Old Barrington Rd Deborah Raasch/Thomas Gooch
Lake Barrington, IL 6001023559 N. Old Bar
Rd

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS Yes No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2013 June Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

October 22, 2013
DATE

Laura J. Harbold
SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

13 CD 231

VOTESHAW2013,
Respondent.

ID# 25001

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the March 2013 and June 2013 Quarterly Reports of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on October 22, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

The Respondent filed a Statement of Organization on February 1, 2013 with the purpose of electing Elbert B. Shaw to the office of President of the Village of Crete. As of the date of this report, the committee has not filed any further reports nor has it sought to make the committee inactive.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the required reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.

Bernadette Harrington

Bernadette Harrington – Hearing Officer
February 19, 2014

STATE OF ILLINOIS)
)
COUNTY OF COOK) SS

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
State Board of Elections,)
)
 Complainant(s),)
 vs.) 13 CD 231
)
VOTESHAW2013,)
)
 Respondent(s).)

ORDER

TO: VOTESHAW2013 ID# 25001
 PO Box 384
 Crete, IL 60417

This matter coming to be heard this 17th day of December, 2013 following a Closed Preliminary Hearing of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the “Act”), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Committee failed to file the March and June 2013 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. That the complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Closed Preliminary Hearing, and
3. The reports at issue remain unfiled.

IT IS HEREBY ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
2. That a Public hearing be conducted in this matter, and
3. The effective date of this Order is December 19, 2013.

DATED: 12/19/2013



Jesse R. Smart, Chairman



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 13 CD 231

NAME AND ADDRESS OF RESPONDENT:

VOTESHAW2013 ID# 25001
PO Box 384
Crete, IL 60417

Elbert Shaw
1374 Benton Street
Crete, IL 60417

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS Yes No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

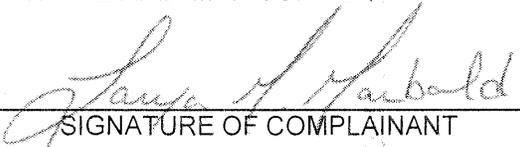
Failure to file the 2013 March and June Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

October 22, 2013
DATE


SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

13 CD 235

Friends of Christina Campos,
Respondent.

ID# 25089

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the March 2013 and June 2013 Quarterly Reports of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on October 22, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

The Respondent filed a Statement of Organization on April 1, 2013 with the purpose of electing Christina Campos. As of the date of this report, the committee has not filed the required quarterly reports nor has it sought to make the committee inactive.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the required reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.



Bernadette Harrington – Hearing Officer
February 19, 2014

STATE OF ILLINOIS)
)
COUNTY OF COOK) SS

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
State Board of Elections,)
)
Complainant(s),)
vs.) 13 CD 235
)
Friends of Christina Campos,)
)
Respondent(s).)

ORDER

TO: Friends of Christina Campos ID# 25089
708 Simms Street
Aurora, IL 60505

This matter coming to be heard this 17th day of December, 2013 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Committee failed to file the March and June 2013 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. That the complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Closed Preliminary Hearing, and
3. The reports at issue remain unfiled.

IT IS HEREBY ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
2. That a Public hearing be conducted in this matter, and
3. The effective date of this Order is December 19, 2013.

DATED: 12/19/2013



Jesse R. Smart, Chairman



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 13 CD 235

NAME AND ADDRESS OF RESPONDENT:

Friends of Christina Campos ID# 25089
708 Simms Street
Aurora, IL 60505708 Simms Street

Alex Arroyo
1342 Grove Street
Aurora, IL 60505

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS Yes No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2013 March and June Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

October 22, 2013

DATE

SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

13 CD 244

Citizens to Elect 169 Write-ins,
Respondent.

ID# 25235

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the March 2013 and June 2013 Quarterly Reports of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on October 22, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

The Respondent filed a Statement of Organization on March 9, 2013 with the purpose of electing candidates to S.D. #169 Board of Education. As of the date of this report, the committee has not filed any further reports nor has it sought to make the committee inactive.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the required reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.



Bernadette Harrington – Hearing Officer
February 19, 2014

STATE OF ILLINOIS)
)
COUNTY OF COOK) SS

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
State Board of Elections,)
)
 Complainant(s),)
 vs.) 13 CD 244
)
Citizens to Elect 169 Write-ins,)
)
 Respondent(s).)

ORDER

TO: Citizens to Elect 169 Write-ins ID# 25235
 839 E. 10th Place
 Forn Heights, IL 60411

This matter coming to be heard this 17th day of December, 2013 following a Closed Preliminary Hearing of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the “Act”), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Committee failed to file the March and June 2013 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. That the complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Closed Preliminary Hearing, and
3. The reports at issue remain unfiled.

IT IS HEREBY ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
2. That a Public hearing be conducted in this matter, and
3. The effective date of this Order is December 19, 2013.

DATED: 12/19/2013



Jesse R. Smart, Chairman



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 13 CD 244

NAME AND ADDRESS OF RESPONDENT:

Citizens to Elect 169 Write-ins ID# 25235
839 E. 10th Place
Forn Heights, IL 60411

Ellis Franklin
1456 Woodlawn Ave
Ford Heights, IL 60411

Doloris Diggs
837 E. 10th Place
Ford Heights, IL 60411

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS Yes No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2013 March and June Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

October 22, 2013

DATE

SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

Illinois State Board of Elections,
Complainant

v.

13 CD 248

Friends of Donald Luster,
Respondent.

ID# 25273

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the March 2013 and June 2013 Quarterly Reports of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on October 22, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

The Respondent filed a Statement of Organization on March 20, 2013 with the purpose of supporting Donald Luster. As of the date of this report, the committee has not filed any further reports nor has it sought to make the committee inactive.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required reports.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly reports and state further that the failure to file the required reports within 30 days of the issuance of the order shall result in a fine up to \$5,000.



Bernadette Harrington – Hearing Officer

February 19, 2014

STATE OF ILLINOIS)
)
COUNTY OF COOK) SS

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
State Board of Elections,)
)
Complainant(s),)
vs.) 13 CD 248
)
Friends of Donald Luster,)
)
Respondent(s).)

ORDER

TO: Friends of Donald Luster ID# 25273
505 Betty Lane
South Holland, IL 60473

This matter coming to be heard this 17th day of December, 2013 following a Closed Preliminary Hearing of a Complaint filed pursuant to "An Act to Regulate Campaign Financing" (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the "Act"), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Committee failed to file the March and June 2013 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. That the complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Closed Preliminary Hearing, and
3. The reports at issue remain unfiled.

IT IS HEREBY ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
2. That a Public hearing be conducted in this matter, and
3. The effective date of this Order is December 19, 2013.

DATED: 12/19/2013



Jesse R. Smart, Chairman



FORM D-4	COMPLAINT FOR VIOLATION OF THE CAMPAIGN DISCLOSURE ACT
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COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 13 CD 248

NAME AND ADDRESS OF RESPONDENT:

Friends of Donald Luster ID# 25273
505 Betty Lane
South Holland, IL 60473505 Betty Lane

Rahmon Brown
17749 Larkspur Lane
Homewood, IL 60430

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS Yes No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2013 March and June Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

October 22, 2013

DATE


SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

Illinois State Board of Elections,
Complainant

v.

13 CD 255

Citizens for a Better Fox Valley,
Respondent.

ID# 25329

REPORT OF HEARING EXAMINER

This hearing was held as a result of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1, et seq., (hereafter referred to as the Act) alleging that the Respondent violated 10 ILCS 5/9-10. Specifically, the Respondent failed to file the June 2013 Quarterly Report of Campaign Contributions and Expenditures.

STATE’S EXHIBITS

To be made a part of this report, a copy of the D-4 Complaint for Violation of the Campaign Disclosure Act, filed on October 22, 2013, at the Board’s Springfield office, 2329 S. MacArthur Blvd., Springfield, IL 62704; Notice and Summons regarding the Complaint; Notice of the Closed Preliminary Hearing; Hearing Officer’s Report from the Closed Preliminary Hearing; Board Order finding that the Complaint was filed on justifiable grounds and ordering a Public Hearing be held; and Notice of the Public Hearing.

COMPLAINANT’S CASE-IN-CHIEF

The Respondent filed a Statement of Organization on April 1, 2013 with the purpose of promoting good government in the fox area. As of the date of this report, the committee has not filed any further reports nor has it sought to make the committee inactive.

RESPONDENT’S CASE-IN-CHIEF

The Respondent did not appear at the Public Hearing and was provided adequate notice. The Respondent also failed to appear at the Closed Preliminary Hearing on this matter and has not attempted to communicate its bases for failing to file the required report.

CONCLUSIONS AND RECOMMENDATIONS

Based on the Respondent's failure to appear for the scheduled hearings on this matter and its lack of communication with staff, I recommend that the Board uphold the Complaint. I further recommend that the Board issue an order requiring the Respondent to file the delinquent quarterly report and state further that the failure to file the required report within 30 days of the issuance of the order shall result in a fine up to \$5,000.



Bernadette Harrington – Hearing Officer
February 19, 2014

STATE OF ILLINOIS)
)
COUNTY OF COOK) SS

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
State Board of Elections,)
)
 Complainant(s),)
 vs.) 13 CD 255
)
Citizens for a Better Fox Valley,)
)
 Respondent(s).)

ORDER

TO: Citizens for a Better Fox Valley ID# 25329
 136 Calkins Drive
 Sugar Grove, IL 60554

This matter coming to be heard this 17th day of December, 2013 following a Closed Preliminary Hearing of a Complaint filed pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the “Act”), alleging that the respondent(s) violated 10 ILCS 5/9-10 in that the Committee failed to file the June 2013 Quarterly report of Campaign Contributions and Expenditures; and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. That the complaint was filed on justifiable grounds; and
2. There was no appearance by the Respondent at the Closed Preliminary Hearing, and
3. The report at issue remains unfiled.

IT IS HEREBY ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted; and
2. That a Public hearing be conducted in this matter, and
3. The effective date of this Order is December 19, 2013.

DATED: 12/19/2013



Jesse R. Smart, Chairman



FORM
D-4

COMPLAINT FOR VIOLATION OF
THE CAMPAIGN DISCLOSURE ACT

COMPLAINANT NAME, ADDRESS AND TELEPHONE NUMBER:

State Board of Elections
2329 S MacArthur Blvd.
Springfield, IL 62704

vs.

Case No. 13 CD 255

NAME AND ADDRESS OF RESPONDENT:

Citizens for a Better Fox Valley ID# 25329
136 Calkins Drive
Sugar Grove, IL 60554136 Calkins Drive

SECTION 1. HAS RESPONDENT FILED A STATEMENT OF ORGANIZATION AS A POLITICAL COMMITTEE WITH THE STATE BOARD OF ELECTIONS Yes No

SECTION 2. STATUTORY PROVISIONS: STATE THE PORTIONS OF THE CAMPAIGN DISCLOSURE ACT (ARTICLE 9, ELECTION CODE) THAT HAVE BEEN VIOLATED. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

10 ILCS 5/9-10

SECTION 3. STATE THE NATURE OF THE OFFENSE(S) OR VIOLATION(S), IF APPLICABLE. (USE ADDITIONAL PLAIN SHEETS IF NECESSARY AND REFER TO THIS SECTION.)

Failure to file the 2013 June Quarterly Report(s) of Campaign Contributions and Expenditures

SECTION 4. ATTACH ALL STATEMENTS, SCHEDULES, OR OTHER DOCUMENTS REFERRING TO THIS COMPLAINT.

VERIFICATION

I DECLARE THAT THIS COMPLAINT (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE AND CORRECT COMPLAINT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT THE PENALTY FOR WILLFULLY FILING A FALSE COMPLAINT SHALL BE A FINE NOT TO EXCEED \$500 OR IMPRISONMENT IN A PENAL INSTITUTION OTHER THAN THE PENITENTIARY NOT TO EXCEED 6 MONTHS, OR BOTH FINE AND IMPRISONMENT.

October 22, 2013
DATE

SIGNATURE OF COMPLAINANT

(IF COMPLAINANT IS A CORPORATION THEN VERIFICATION MUST BE SIGNED BY AN AUTHORIZED OFFICER AND ATTESTED TO BY THE SECRETARY)

STATE BOARD OF ELECTIONS
2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141

Sharon Steward

Director, Campaign Disclosure Division

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, Steve Sandvoss, General Counsel

Re: Civil Penalty Assessments Necessitating a Final Board Order

Date: March 5, 2014

Listed below are committees that have been assessed a civil penalty for the delinquent filing of June 2013 Quarterly report. These violations were not appealed & should be issued a Final Board Order.

June 2013 Quarterly Report			
Cmte No	Cmte Name	Amt of Fine	Previous Violations
6904	Civic Betterment Party	175	None

(- DENOTES COMMITTEES THAT HAVE FILED A FINAL REPORT)**

STATE BOARD OF ELECTIONS
1020 South Spring Street
Springfield, Illinois 62704
217/782-4141

Sharon Steward

Director, Campaign Disclosure Division

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, Steve Sandvoss, General Counsel

Re: Contribution Limit Penalties Necessitating a Final Board Order

Date: March 6, 2014

Listed below are committees that have been assessed a civil penalty for violation of the contribution limits provided in 10 ILCS 5/9-8.5. These violations were not appealed & should be issued a Final Board Order.

Cmte No	Cmte Name	Amt of Fine	% Reduction	Escheatment
19263	Citz for Alderman Reilly	18189	5813/4375.75	12,125.75

(- DENOTES COMMITTEES THAT HAVE FILED A FINAL REPORT)**

**/STATE BOARD OF ELECTIONS
2329 S. MacArthur Blvd
Springfield, Illinois 62708
217/782-4141**

Sharon Steward

Director, Campaign Disclosure Division

To: Rupert T Borgsmiller, Executive Director, Members of the Board

Re: Payment of Civil Penalty Assessments - Informational

Date: March 5, 2014

The following committees have made payment of outstanding civil penalties for the period 2/07/2014 – 3/05/2014

- Committee to Elect Gideon Baum for Judge - \$600.00
- Citizens for Gary Grasso - \$125.00
- Friends of Mattie Hunter - \$875.00
- CTE to Elect Carol Clark - \$50.00
- Citizens for Steve Reeb - \$300.00
- HACIA-PAC - \$888.33
- Committee to Re-Elect Chuck Jefferson - \$1000.00
- Committee to Elect Judge Marilyn Johnson - \$1050.00
- Kane County Republican Central Committee, INC - \$513.00
- Committee for Chris Kachiroubas - \$500.00
- Citizens to Elect Valorie Devost - \$100.00
- Jefferson County Democrat Central Committee - \$225.00
- North Central Democrats - \$650.00
- Citizens for Moffitt - \$600.00
- Committee to Elect Tom Templeton - \$300.00
- Lizveth for Cicero Town President - \$150.00
- Illinois Association of Nurse Anesthetists PAC - \$50.00
- CWA Education Committee - \$5000.00
- Lake County Life PAC - \$375.00
- Committee to Elect Ervin - \$50.00
- Electrical Contractors' Association Political Action Comm. - \$550.00

TOTAL : \$13,951.33

Year to Date Totals:

12/27/13 to 2/06/14: \$80,041.70

2/07/14 to 3/05/14: \$13,951.33

State Board of Elections



From the desk of....Jane Gasperin, Director of Election Information
Phone: 217-782-1555
Email: jgasperin@elections.il.gov

To: Rupert Borgsmiller, Executive Director
Re: Election Day Assignments – March 18, 2014
Date: March 6, 2014 (Amended)

SPRINGFIELD OFFICE (5:30 a.m. – Midnight)

STAFF	HOURS WORKING	BREAK TIMES
Jane Gasperin	5:30 a.m. – 7:30 p.m.	1:30 p.m. – 2:30 p.m.
Kay Walker	5:30 a.m. – 5:30 p.m.	Noon – 1:00 p.m.
Kyle Thomas	6:00 a.m. – 4:00 p.m.	11:00 a.m. – Noon
Bernadette Harrington	6:00 a.m. – 4:00 p.m.	11:30 a.m. – 12:30 p.m.
Amy Evans	7:00 a.m. – 7:00 p.m.	2:00 p.m. – 3:00 p.m.
Jamye Sims	7:00 a.m. – 5:00 p.m.	11:30 a.m. – 12:30 p.m.
Dustin Schultz	8:00 a.m. – 4:30 p.m.	Noon – 1:00 p.m.
Brie Urbanec	8:00 a.m. – 4:30 p.m.	Noon – 1:00 p.m.
Maggie Jasinski	8:00 a.m. – 4:30 p.m.	1:00 p.m. – 2:00 p.m.
Linda Wentz	8:00 a.m. – 4:30 p.m.	1:00 p.m. – 2:00 p.m.
Amy Kelly	9:00 a.m. – 7:00 p.m.	2:00 p.m. – 3:00 p.m.
Gary Nerone	10:00 a.m. – 10:00 p.m.	3:00 p.m. – 4:00 p.m.
Cheryl Hobson	11:00 a.m. – 9:00 p.m.	3:30 p.m. – 4:30 p.m.
Brent Davis	Noon – Midnight	5:00 p.m. – 6:00 p.m.
Mike Montney	Noon – 10:00 p.m.	4:30 p.m. – 5:30 p.m.
Steve Sandvoss	3:00 p.m. – Midnight	6:00 p.m. – 7:00 p.m.
Bruce Brown	4:00 p.m. – Midnight	7:00 p.m. – 8:00 p.m.

CHICAGO OFFICE (6:00 a.m. - 11:00 p.m.)

STAFF	HOURS WORKING	BREAK TIMES
Jim Tenuto	6:00 a.m. – 4:00 p.m.	Noon – 1:00 p.m.
Marc Petrone	6:00 a.m. – 4:00 p.m.	12:30 p.m. – 1:30 p.m.
Rose Rodriguez	6:00 a.m. – 6:00 p.m.	12:30 p.m. – 1:30 p.m.
Ken Menzel	Noon – 11:00 p.m.	3:00 p.m. – 4:00 p.m.
Darcell McAllister	Noon – 11:00 p.m.	3:00 p.m. – 4:00 p.m.
Rick Fulle	Noon – 11:00 p.m.	4:00 p.m. – 5:00 p.m.

Field Program

Eric Donnewald (request)

East St. Louis

Staff will work as directed by their Division Director if not assigned a specific time

STATE BOARD OF ELECTIONS



From the desk of.... Kyle Thomas
Director of Voting and Registration Systems
Phone: 217-782-1590
Email: kthomas@elections.il.gov

To: Rupert T. Borgsmiller; Executive Director
Re: Pre-Testing Report-General Primary 2014
Date: March 6, 2014

As reported to the Board at the February meeting, the Division of Voting and Registration Systems (VRS), conducted pre-tests of vote tabulation equipment in five jurisdictions for the General Primary Election.

The first test conducted was in Henderson County on February 20th, and consisted of 13 precincts. Henderson is an ES&S jurisdiction (their vendor was not present and all necessary activities were performed by the jurisdiction employees) which uses the M100 (Version # 5.4.4.5) optical scan tabulators and AutoMark (Version # 1.3.257) marking device. Henderson County was the first of which that we requested their vendor to provide some of the ballots with votes already marked. Board staff produced additional voted ballots prior to arriving and also produced voted ballots onsite using each of the county's AutoMark devices. Once all ballots were produced, the staff proceeded to run a total of 595 ballots through their M100 tabulators. There were no errors detected.

The second pre-test conducted was in Crawford County on February 24th and consisted of 24 precincts. Crawford uses the AccuVote (Version # 1.96.6C) optical scan and the TSX (Version # 4.6.4) Direct Recording Electronic (DRE) tabulators. Crawford County utilizes Ballot on Demand to create their own ballots, so their vendor, GBS did not provide pre-marked ballots for this test. Upon review of the ballot proofs provided by Crawford County, Board staff discovered an oval missing from their optical scan ballots for the office of County Treasurer. Crawford was notified immediately and they were able to make the correction. Upon making that correction, they incorrectly changed the ballot layout in regards to the Educational Service Region. This was brought to their attention as well and they were able to rectify this error prior to supplying our test ballots. Board staff marked all ballots prior to arrival. Upon production of our first return tapes, staff discovered that their system does not produce a result for offices where no candidate has filed. Upon further investigation, this was found to be a common trend among users of the AccuVote tabulation equipment and present in all jurisdictions tested utilizing this equipment (this will be a point of discussion with Dominion the next time they request certification for changes to this system). A total of 2,034 ballots were cast on the optical scan and the DRE tabulators. During the test, one AccuVote tabulator required a reboot, as it would not accept ballots and three TSX DRE's required calibration.

On February 26th, Board staff traveled to DeWitt County for their 23 precinct test. DeWitt County also uses the AccuVote (Version # 1.96.6C) optical scan and the TSX (Version # 4.6.4) DRE tabulators. The ballots for this test were provided by their vendor, Liberty Systems and as requested contained some vote marks. Board staff then marked the remainder of necessary ballots prior to arrival. Two separate AccuVote tabulators required rebooting in order for them to accept ballots. A total of 2,991 ballots were cast both on the optical scan and the DRE tabulators and no errors were detected in the results.

Board staff conducted the 4th test in Clay County which consists of 22 precincts, beginning on March 3rd and completed on March 4th. Clay County's vendor is ES&S (their vendor was not present and all necessary activities were performed by the jurisdiction employees). They also use the M100 (Version # 5.4.4.5) optical scan tabulator and the AutoMark (Version # 1.3.2907) marking device. ES&S provided pre-marked ballots and Board staff marked the remaining ballots manually in our office and on site utilizing the AutoMark devices. Eight of their AutoMarks were used, four of which required calibration. One machine would not upload and two of the machines were unresponsive after calibration. Board staff recommended having all AutoMark machines serviced by the vendor. One of the M100 failed to clear totals from its memory card and Board staff also recommended this machine be serviced by the vendor. No tabulation errors were detected after completion of the 2,100 ballots being cast.

The final pre-test conducted for this election was in Whiteside County, which is comprised of 60 precincts. Due to the size of this jurisdiction, staff members from both our Springfield and Chicago offices were present (despite the inclement weather encountered). Whiteside's vendor is GBS and they use AccuVote (Version # 1.96.6C) optical scan and the TSX (Version # 4.6.4) DRE tabulators. GBS provided the majority of the ballots in a pre-marked fashion and Board staff marked the remaining test ballots utilizing county provided Ballot-On-Demand (BOD) stock. Board staff did have to make adjustments in the field, as candidate information had changed for two candidates with no notice to the Board. No errors were encountered on the TSX machines. One AccuVote machine was replaced after encountering consistent ballot read errors (this was after nearly 1,000 ballots had successfully been fed into this machine). There were 5,591 ballots cast for this pre-test. Board staff is still reviewing all print-outs and final outcome will be presented orally at the Board's meeting.

Judges Schools GP 2014

Date	Jurisdiction	No. of Schools	Attendance	Zone
Thursday, January 09, 2014	Hardin	1	37	One
Thursday, January 09, 2014	Pope	1	27	One
Tuesday, January 14, 2014	Woodford	2	182	Three
Thursday, January 23, 2014	McLean	2	42	Three
Friday, January 24, 2014	McLean	1	31	Three
Thursday, January 30, 2014	Saline	3	110	One
Monday, February 03, 2014	Aurora	3	56	Four
Monday, February 03, 2014	Franklin	2	91	One
Tuesday, February 04, 2014	Aurora	3	70	Four
Tuesday, February 04, 2014	Franklin	1	45	One
Wednesday, February 05, 2014	Aurora	3	17	Four
Thursday, February 06, 2014	Boone	2	90	Four
Friday, February 07, 2014	Kendall	1	221	Four
Monday, February 10, 2014	Christian	2	46	Three
Monday, February 10, 2014	Jersey	2	80	Two
Tuesday, February 11, 2014	Hamilton	2	81	One
Wednesday, February 12, 2014	Jackson	2	91	One
Thursday, February 13, 2014	Jackson	2	60	One
Thursday, February 13, 2014	Montgomery	4	108	Two
Monday, February 17, 2014	Crawford	2	60	One
Tuesday, February 18, 2014	Bureau	2	114	Four
Tuesday, February 18, 2014	Menard	2	50	Two
Tuesday, February 18, 2014	Randolph	2	125	Two
Wednesday, February 19, 2014	Brown	2	62	Two
Wednesday, February 19, 2014	Monroe	2	85	One
Thursday, February 20, 2014	Calhoun	2	40	Two

Date	Jurisdiction	No. of Schools	Attendance Zone	
Thursday, February 20, 2014	Marshall	2	47	Three
Thursday, February 20, 2014	Monroe	3	110	One
Monday, February 24, 2014	Marion	1	52	One
Tuesday, February 25, 2014	Edwards	2	41	One
Tuesday, February 25, 2014	Scott	1	47	Two
Wednesday, February 26, 2014	Galesburg	2	121	Three
Wednesday, February 26, 2014	Richland	2	83	One
Thursday, February 27, 2014	Henderson	2	64	Two
Thursday, February 27, 2014	Lawrence	2	117	One
Monday, March 03, 2014	Dekalb	2	33	Four
Monday, March 03, 2014	Marion	2	50	One
Tuesday, March 04, 2014	Clark	2	63	One
Tuesday, March 04, 2014	Dekalb	2	34	Four
Tuesday, March 04, 2014	Fayette	2	128	One
Wednesday, March 05, 2014	Effingham	3	0	One
Wednesday, March 05, 2014	Morgan	3	0	Two
Thursday, March 06, 2014	Cass	2	0	Two
Thursday, March 06, 2014	Effingham	2	0	One
Thursday, March 06, 2014	Morgan	1	0	Two
Saturday, March 08, 2014	E St Louis	2	0	One
Monday, March 10, 2014	Pike	2	0	Two
Tuesday, March 11, 2014	Greene	2	0	Two
Tuesday, March 11, 2014	Williamson	3	0	One
Wednesday, March 12, 2014	Putnam	2	0	Four
Wednesday, March 12, 2014	Union	2	0	One
Thursday, March 13, 2014	Massac	2	0	One

STATE BOARD OF ELECTIONS



From the desk of.... Kyle Thomas
Director of Voting and Registration Systems
Phone: 217-782-1590
Email: kthomas@elections.il.gov

To: Rupert T. Borgsmiller; Executive Director

Re: March Paperless Online Voter Application (POVA) Update

Date: March 5, 2014

One of the most important elements to successful application development and implementation is testing. In February, POVA was released internally to SBE VRS staff. The individuals in this division spent countless hours testing both the public user interface and the IVRS 'back-end' that the jurisdictions will use to receive the application information submitted online by the public. Later in the month, the POVA application was released to all SBE employees for further testing. This has resulted in requests to the IT division to make several minor changes to both presentation and functionality. This phase of testing continues. The product of this exercise will be a much improved user experience for the online applicant. The feedback from SBE employees who had no previous experience with the system has been favorable.

The next phase of testing will be performed by several election authorities who have indicated a desire to assist with the process. These officials have provided the names of their staff members who will be testing the application at the jurisdiction level. User accounts/passwords have been set up to facilitate secure testing by these individuals. The POVA application will be released to them upon the completion of internal testing by SBE staff.

Currently, the POVA system is programmed to automatically send a file of online application information to the Secretary of State once a day for verification. While working to correct a problem with the network connection between the SBE and the Illinois Secretary of State, we determined that increasing the frequency of file transmissions between the two agencies would be of significant benefit when attempting to diagnose and resolve technical problems in a timely fashion, once POVA goes into production. This is extremely important since the SBE is required to have the results of the SOS file cross match in the hands of the appropriate election jurisdiction within 48 hours of being submitted by the applicant. We are working with SOS IT staff on this issue.

We continue to strongly encourage the election authorities (both by email and at zone meetings) to stay in touch with their Election Management System (EMS) vendors regarding progress on IVRS system modifications. The POVA system is designed such that even without these modifications, the election authorities will have access to the application information; however, the processing of the applications will be much more labor intensive and the possibility of data entry errors is greatly increased. Thus far, indications are that all vendors are on schedule with these changes.

POVA Project

Planning Phase

Master Project Schedule

Updated 3/5/14

- Modifications to IVRS system to facilitate POVA administration by the election authorities (test environment) - **completed**
- Web services coded and ready for testing - **completed**
- Batch process match with the Secretary of State (test phase) - **completed**
- Draft version of the POVA website - **completed**
- Generation of email to user upon submission of online application (test environment) - **completed**
- Generation of email to user advising of status following match with Secretary of State (test environment) - **completed**
- SBE Division of Voting and Registration Systems begin review of POVA website content/functionality - **completed**
- Add web services to the vendor site to determine registration status - **completed**
- HTML 4.01 version of POVA website upgraded to production level quality - **completed**
- Email field added to IVRS system (SBE internal test jurisdiction) – **completed**
- Install new version of client in pilot jurisdiction for testing of batch data transfer process – **completed**
- Complete internal testing of user interface/release to key jurisdictions for external testing – **3/7/14**
- Provide City of Chicago and Cook County with website content for language translation – **3/11/14**
- Status lookup for applicants not providing an email address – **3/24/14**
- Email field verified with vendor software - **3/24/14**

- Move IVRS POVA system modifications to production server - **3/24/14**
- Move batch process (SOS match) to production - **3/24/14**
- Move POVA website to production environment - **3/24/14**
- Move web services for vendor access to production environment - **3/24/14**
- Complete testing of user interface by election authorities - **4/15/14**
- HTML 5 version of POVA website upgraded to production level quality (test environment) - **4/15/14**
- Production website brought online for public access - on or before **7/1/14**

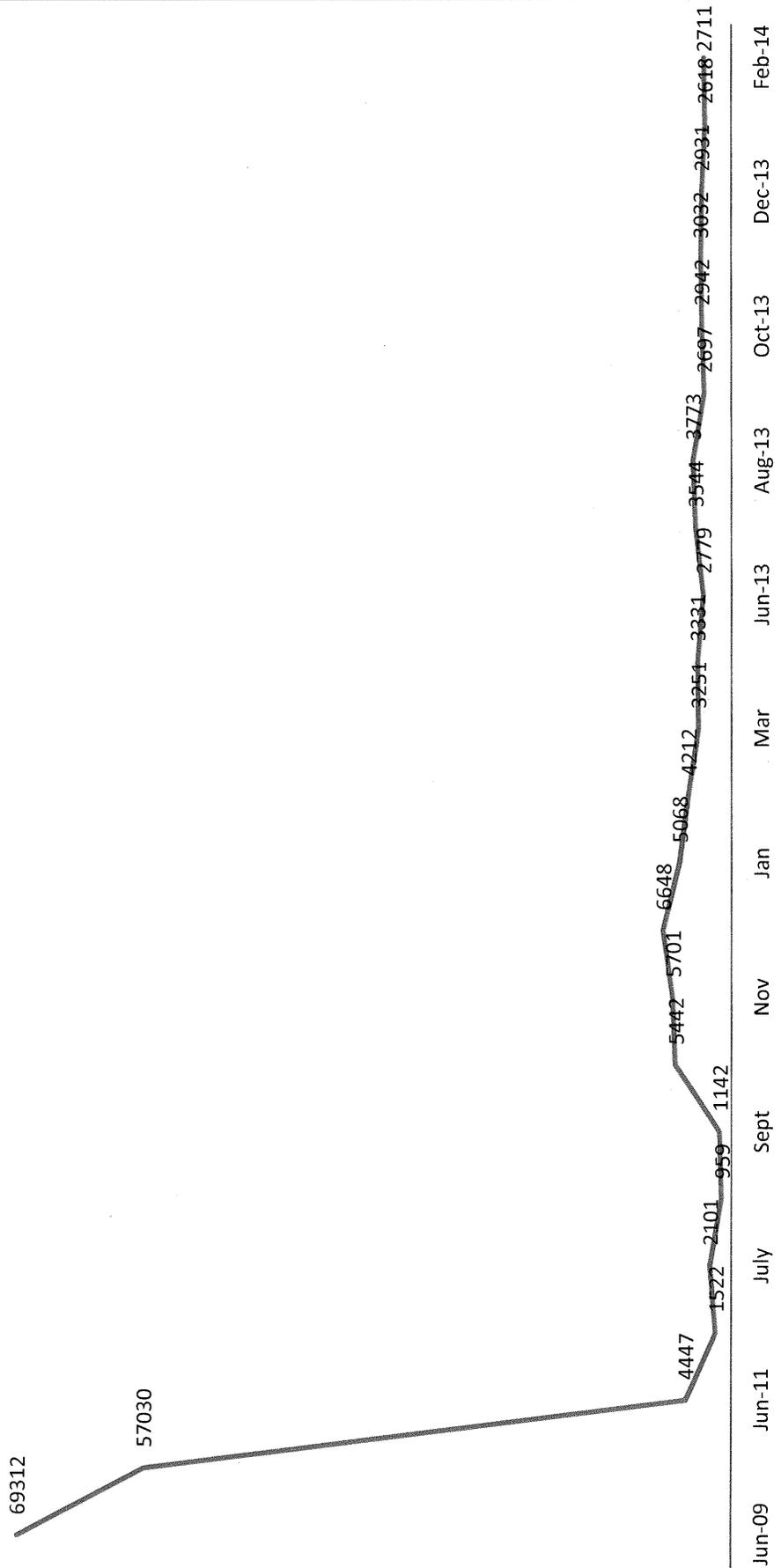
Jurisdiction	JUNE	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUNE	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	Oldest Match Date
Adams County	9	1	14	20	28	29	21	15	11	13	14	14	14	21	21	23	26	19	22	5	8	10/9/2012
Alexander County	3	3	2	4	4	6	6	6	2	2	1	1	1	2	5	6	7	4	4	3	5	2/6/2009
Bond County	13	15	15	19	26	27	29	26	27	29	30	24	24	31	7	3	1	0	2	0	1	2/24/2014
Boone County	6	7	7	6	13	15	20	3	5	4	8	7	4	5	7	7	7	8	10	3	4	2/6/2009
Brown County	1	3	0	1	7	7	6	7	0	0	1	3	3	3	2	5	5	5	5	5	6	5/7/2013
Bureau County	1	3	1	0	2	2	2	5	2	1	7	6	1	3	8	1	3	3	5	5	3	9/30/2008
Calhoun County	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	n/a
Carroll County	3	4	4	3	6	7	6	10	4	3	4	5	4	2	2	0	2	0	0	0	0	n/a
Cass County	2	8	5	4	10	9	6	7	3	3	5	5	5	1	1	2	4	4	4	1	2	5/11/2012
Champaign County	28	54	26	81	499	502	548	376	263	209	204	183	170	203	226	195	210	230	202	162	163	2/6/2009
Christian County	3	3	2	2	4	2	4	3	1	1	1	2	1	1	1	0	1	1	1	1	1	2/3/2014
Clark County	1	1	1	1	9	5	2	1	2	2	2	1	2	7	1	3	4	5	1	1	1	8/27/2013
Clay County	39	39	37	44	46	46	50	53	54	49	43	43	42	31	26	26	24	21	21	21	20	2/6/2009
Clinton County	14	13	8	11	18	25	19	25	29	15	18	18	18	16	13	3	7	11	8	13	3	5/11/2012
Coles County	3	6	3	2	27	23	23	27	18	15	15	14	14	14	16	16	11	18	13	12	12	4/9/2012
Cook County	191	247	40	51	1,033	1,067	1,325	754	533	464	532	535	533	823	1,007	561	641	613	626	575	589	2/6/2009
Crawford County	5	8	4	6	6	7	8	9	13	10	4	5	5	8	8	7	8	9	10	11	8	5/18/2012
Cumberland County	0	2	1	0	1	3	0	0	0	0	2	0	0	0	0	0	0	1	0	0	0	n/a
DeKalb County	10	12	10	39	49	49	62	53	44	14	13	16	12	30	17	17	15	16	20	11	11	10/9/2012
DeWitt County	4	12	10	2	7	12	12	12	9	9	10	11	11	10	9	11	10	14	16	3	1	2/6/2009
Douglas County	0	1	0	0	7	11	10	5	5	6	2	2	2	0	2	1	1	2	4	2	1	2/21/2014
DuPage County	15	44	6	47	275	286	351	391	296	53	58	41	43	123	152	38	62	98	125	166	206	2/6/2009
Edgar County	5	8	1	1	4	3	5	6	7	8	8	12	3	9	5	5	9	3	4	4	2	7/30/2013
Edwards County	2	2	2	1	1	1	1	1	2	1	1	1	1	1	1	0	0	0	3	1	1	12/20/2013
Effingham County	2	4	0	1	3	5	3	1	1	0	1	3	0	1	0	0	3	2	1	0	0	n/a
Fayette County	3	2	1	3	1	5	7	11	8	9	13	12	10	14	9	8	10	13	14	3	1	10/18/2013
Ford County	5	12	4	3	1	5	6	9	2	1	11	3	3	8	8	2	5	4	3	1	2	2/6/2009
Franklin County	7	14	14	20	26	28	30	6	9	1	6	9	2	4	6	6	6	8	9	9	1	9/25/2013
Fulton County	4	10	9	10	11	13	15	12	14	4	5	7	8	9	7	2	4	9	3	2	0	n/a
Gallatin County	0	0	0	0	0	0	0	0	3	1	0	1	0	0	0	0	0	0	1	0	0	n/a
Greene County	2	2	1	3	6	8	10	9	4	3	4	5	4	7	6	8	7	8	10	6	7	9/6/2012
Grundy County	3	7	5	8	30	29	18	25	23	7	5	8	1	2	2	1	0	2	0	0	0	n/a
Hamilton County	1	2	2	0	0	2	4	3	2	0	0	2	1	1	0	0	1	3	1	0	1	2/21/2014
Hancock County	0	0	0	0	1	0	1	1	0	0	2	1	1	0	0	0	0	0	1	0	1	2/17/2014
Hardin County	3	0	0	0	0	0	0	2	7	4	5	3	0	2	0	0	0	1	1	0	1	2/26/2014
Henderson County	0	2	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	n/a
Henry County	10	15	14	18	25	26	28	1	3	3	8	8	8	13	11	14	15	3	2	0	1	3/3/2014
Iroquois County	2	5	2	6	6	9	6	3	3	2	2	4	4	6	8	4	7	10	6	0	0	n/a
Jackson County	11	5	3	13	31	33	37	23	21	17	18	19	16	16	10	12	10	9	9	6	7	8/27/2009
Jasper County	1	2	0	1	1	1	1	1	1	2	2	3	1	4	4	2	3	3	3	2	0	n/a
Jefferson County	2	4	3	7	7	9	12	12	4	3	3	3	4	3	1	2	1	4	4	4	4	10/15/2013

Jurisdiction	JUNE	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUNE	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	Oldest Match Date	
Jersey County	26	28	27	29	34	35	45	42	41	40	41	48	47	53	52	57	57	60	52	55	63	2/6/2009	
JoDaviess County	0	0	0	0	3	3	4	5	0	0	0	1	0	1	1	0	0	0	0	0	0	0	n/a
Johnson County	0	0	0	0	0	0	1	1	2	0	1	1	0	3	3	1	1	1	2	0	0	0	n/a
Kane County	10	52	10	12	70	76	115	124	90	38	52	31	20	39	52	21	37	39	44	50	56	3/25/2011	
Kankakee County	16	14	12	24	29	31	35	33	27	14	35	20	14	28	38	40	57	77	71	17	20	2/6/2009	
Kendall County	8	21	4	8	73	83	99	86	45	23	32	6	5	18	16	11	14	17	17	16	23	2/6/2009	
Knox County	5	8	7	12	4	5	7	6	9	1	5	5	5	9	1	2	5	7	7	11	1	2/6/2009	
Lake County	98	165	11	16	85	94	126	150	78	64	66	78	63	100	114	47	65	67	63	65	67	2/6/2009	
LaSalle County	20	25	22	38	49	53	45	41	18	10	15	8	6	11	15	6	5	7	9	13	10	10/26/2012	
Lawrence County	1	1	1	3	3	2	2	3	2	2	1	1	1	2	2	1	1	1	1	1	1	8/7/2013	
Lee County	2	1	0	0	6	9	3	2	1	1	7	1	0	2	1	0	1	1	1	1	1	12/17/2013	
Livingston County	1	8	5	4	11	11	13	14	6	2	7	2	2	13	13	8	12	12	12	3	2	10/24/2012	
Logan County	2	8	3	3	8	11	16	10	11	5	9	4	4	4	4	4	2	0	0	2	1	1/30/2014	
Macon County	12	15	12	41	86	80	92	93	102	99	16	8	9	23	33	38	6	11	8	4	3	2/6/2009	
Maconpin County	0	4	1	5	9	10	12	10	2	1	5	11	12	22	19	23	29	42	13	8	9	6/3/2013	
Madison County	23	31	26	28	46	50	55	47	41	38	40	43	41	36	35	38	36	47	36	31	35	2/6/2009	
Marion County	14	15	12	14	24	28	16	22	28	15	16	17	18	18	18	15	18	20	12	14	11	4/13/2012	
Marshall County	0	0	0	3	0	0	2	1	1	1	4	4	3	0	2	1	1	2	0	0	0	n/a	
Massac County	1	1	1	1	0	1	2	1	2	1	2	1	1	4	1	3	2	4	0	0	0	n/a	
Massac County	1	2	2	2	2	2	1	2	6	4	1	0	0	0	2	3	3	4	3	2	3	8/6/2013	
McDonough County	0	3	1	0	20	19	21	18	13	10	10	11	11	11	6	8	9	8	8	7	7	10/9/2012	
McHenry County	73	30	16	30	54	64	98	89	88	12	28	17	11	34	14	28	31	34	19	27	24	11/13/2009	
McLean County	51	72	38	18	27	46	50	44	52	52	66	19	24	38	39	61	14	14	18	7	7	2/6/2009	
Menard County	4	3	3	3	3	3	4	5	3	3	4	3	2	2	2	2	2	2	2	2	2	6/10/2011	
Mercer County	4	7	7	10	11	11	17	7	10	13	12	13	13	17	12	14	14	16	16	4	4	2/6/2009	
Monroe County	6	8	5	5	20	21	16	11	11	12	12	13	15	3	1	1	2	2	0	8	9	11/5/2012	
Montgomery County	1	1	1	1	1	2	5	3	4	3	2	2	2	2	2	0	0	0	0	0	0	n/a	
Morgan County	0	3	3	4	15	16	17	14	6	6	13	14	15	12	9	14	15	22	12	6	6	10/8/2010	
Moultrie County	0	1	0	1	5	1	1	1	2	0	1	2	3	3	3	1	1	2	0	1	0	n/a	
Ogle County	0	0	0	2	8	11	8	7	4	3	5	4	1	7	4	0	0	1	3	1	2	11/4/2013	
Peoria County	3	7	8	6	14	13	21	17	18	19	17	20	18	17	4	10	12	8	6	3	4	2/6/2009	
Perry County	0	1	0	1	2	2	3	1	4	0	1	1	0	1	1	0	2	3	0	1	2	1/23/2014	
Piatt County	3	5	4	4	6	6	7	9	2	2	1	3	6	13	17	4	12	13	18	3	1	2/21/2014	
Pike County	3	5	5	8	11	11	14	15	13	12	13	12	12	12	10	11	12	13	12	6	7	5/18/2012	
Pope County	1	0	0	0	2	2	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	n/a	
Pulaski County	2	3	3	5	9	9	8	9	9	9	8	4	4	3	5	6	6	5	5	3	6	2/6/2009	
Putnam County	0	1	0	0	1	2	0	0	1	0	1	0	0	0	0	0	1	0	0	1	0	n/a	
Randolph County	6	9	9	10	17	20	28	24	27	20	18	18	19	7	2	1	1	2	1	1	1	5/11/2012	
Richland County	2	2	2	2	3	3	5	4	7	6	7	7	6	7	6	6	8	7	7	8	8	2/6/2009	
Rock Island County	54	53	52	66	99	97	105	31	31	33	37	45	46	61	57	65	48	30	26	13	14	7/27/2012	
Saline County	2	0	0	0	1	2	1	1	12	1	2	3	2	1	1	0	0	3	1	0	0	n/a	

Jurisdiction	JUNE	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUNE	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	Oldest Match Date
Sangamon County	16	14	8	22	38	40	46	30	26	25	28	25	26	27	25	24	25	28	7	7	8	2/6/2009
Schuyler County	1	2	2	0	3	7	4	3	1	1	1	1	2	3	3	3	4	3	3	1	1	9/27/2013
Scott County	3	4	3	6	5	7	5	5	4	4	4	5	5	3	2	1	1	2	1	2	2	5/18/2012
Shelby County	3	1	5	1	3	5	3	4	4	3	2	3	2	2	1	1	2	5	1	0	1	3/3/2014
Stark County	0	0	0	0	1	2	2	2	3	3	4	4	4	3	2	2	2	2	2	2	2	11/21/2012
St. Clair County	35	47	44	47	107	113	124	125	112	99	100	101	102	19	16	7	11	27	40	42	42	3/18/2011
Stephenson County	25	28	28	34	53	53	56	53	50	50	51	48	30	8	8	8	1	4	6	3	5	10/22/2010
Tazewell County	15	28	23	21	16	21	45	19	26	16	18	16	13	25	9	16	32	37	32	16	8	2/6/2009
Union County	13	14	11	12	26	22	25	22	21	16	9	7	6	4	4	5	9	11	10	3	3	2/6/2009
Vermillion County	13	4	2	10	16	16	18	19	10	10	20	14	7	23	19	18	23	23	8	2	2	10/17/2012
Wabash County	3	5	4	5	2	2	0	1	2	1	1	2	2	3	1	3	2	23	3	4	2	12/20/2013
Warren County	3	6	6	3	17	15	8	5	5	5	5	5	5	5	3	4	4	9	3	6	4	2/6/2009
Washington County	3	4	4	8	7	8	7	5	7	1	2	3	3	4	5	2	2	2	2	1	1	10/16/2012
Wayne County	11	12	12	16	22	21	23	27	25	14	5	6	2	0	1	4	1	0	3	2	3	12/20/2013
White County	5	4	4	2	0	2	1	2	1	2	1	2	2	3	1	1	1	1	4	0	0	n/a
Whiteside County	4	3	2	4	20	27	26	12	8	6	8	7	5	10	6	4	2	2	4	2	3	10/9/2012
Will County	47	90	29	67	449	465	515	517	310	220	232	227	35	108	122	26	57	111	120	80	81	2/6/2009
Williamson County	15	21	18	19	20	20	24	26	27	4	11	12	4	12	9	8	1	2	3	10	4	9/25/2013
Winnebago County	10	9	8	8	51	57	86	17	21	11	21	24	11	6	4	0	5	6	15	6	4	11/15/2013
Woodford County	9	12	4	4	5	7	7	5	8	4	4	6	5	8	2	2	4	7	4	2	0	n/a
City of Aurora	19	22	0	25	119	124	129	125	89	84	102	10	9	22	32	40	48	53	62	72	86	9/30/2008
City of Bloomington	22	30	10	4	8	19	22	16	29	21	29	10	11	24	26	50	7	7	12	10	5	2/6/2009
City of Chicago	348	502	125	234	1,212	1,225	1,452	1,061	1,059	1,028	1,118	1,147	958	1,064	1,206	886	962	830	854	868	914	2/6/2009
City of Danville	5	3	3	3	0	9	11	6	3	4	10	6	7	6	5	6	10	10	5	4	5	2/6/2009
City of East St. Louis	2	1	0	0	15	13	16	20	12	14	14	11	11	2	5	5	7	14	13	14	17	10/30/2013
City of Galesburg	2	2	3	8	16	16	10	8	7	3	4	6	6	8	4	7	7	7	6	8	4	3/29/2010
City of Peoria	8	22	19	11	25	29	45	34	36	44	51	54	52	58	15	15	23	24	24	8	6	10/9/2012
City of Rockford	13	16	11	11	84	94	123	32	41	18	28	27	14	14	13	7	17	14	18	12	14	2/6/2009
	1,522	2,101	959	1,442	5,442	5,701	6,648	5,068	4,212	3,252	3,563	3,331	2,779	3,544	3,773	2,697	2,942	3,032	2,931	2,618	2,711	

Statewide Duplicate Voter Registrations June 2009 - February 2014

Series1



<u>County</u>	Total Voters Registered as of 4/4/2014	Census Total Pop.	% Reg. Vs. Total Population	18 years and over #	% Reg. vs. 18 and Over as of 2/3/2014	% Reg. vs. 18 and Over as of 3/4/2014
ALEXANDER	5,596	8,238	67.93%	6,353	87.97%	88.08%
CLARK	11,604	16,335	71.04%	12,617	91.71%	91.97%
CLINTON	24,576	37,762	65.08%	29,180	83.64%	84.22%
HARDIN	3,049	4,320	70.58%	3,444	88.10%	88.53%
JASPER	6,837	9,698	70.50%	7,494	91.31%	91.23%
JERSEY	17,976	22,985	78.21%	17,746	100.46%	101.30%
MADISON	177,196	269,282	65.80%	208,036	85.06%	85.18%
MASSAC	11,225	15,429	72.75%	11,911	94.10%	94.24%
MENARD	8,608	12,705	67.75%	9,704	87.93%	88.71%
MERCER	11,928	16,434	72.58%	12,714	93.53%	93.82%
OGLE	33,512	53,497	62.64%	40,253	82.98%	83.25%
PULASKI	4,580	6,161	74.34%	4,756	95.31%	96.30%
RICHLAND	11,451	16,233	70.54%	12,625	90.35%	90.70%
ROCK ISLAND	90,660	147,546	61.45%	114,359	78.95%	79.28%
ST. CLAIR	150,959	270,056	55.90%	201,468	74.63%	74.93%
STARK	4,211	5,994	70.25%	4,583	91.86%	91.88%
UNION	12,344	17,808	69.32%	13,980	88.22%	88.30%
City of East St. Louis	18,844	27,006	69.78%	19,098	96.72%	98.67%

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:

Rupert T. Borgsmiller, Executive Director

TO: Chairman Smart
Vice Chairman Scholz
Members of the Board

SUBJECT: FY2012/2013 Compliance Audit – Auditor General's Office (OAG)

DATE: February 27, 2014

Included in your Board packet is a final copy of the two-year OAG audit report for the State Board of Elections.

As indicated on Page 7 of the audit report, the OAG noted that the SBE complied, in all material respects, with essential State agency compliance requirements for the two year period. The OAG did, however, identify 5 material audit exceptions (findings) as result of their examination requiring corrective action by the Agency. As of the present date, corrective action has been completed on 3 of the findings noted, with significant progress underway on the remaining 2 findings. The OAG audit also identified 8 immaterial findings as a result of their audit activities; SBE staff are also taking necessary steps to review and address these immaterial exceptions.

Mike Roate, Jim Tenuto or myself are available to respond to any questions regarding this memo, or specific content of the OAG compliance report.

**STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
COMPLIANCE EXAMINATION**

For the Two Years Ended June 30, 2013

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2013

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STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2013

BOARD OFFICIALS

Executive Director	Rupert Borgsmiller
Chief Fiscal Officer	Michael Roate
General Counsel	Steve Sandvoss
Director of Administrative Services (10/1/11 to present)	Michael Roate
Director of Administrative Services (7/1/09 to 9/30/11)	Jim Withers

BOARD MEMBERS

Chair	Jesse R. Smart (7/1/13 - present) William M. McGuffage (7/1/11 - 6/30/13)
Vice Chair	Charles W. Scholz (7/1/13 - present) Jesse R. Smart (7/1/11 - 6/30/13)
Member	Harold D. Byers
Member	Betty J. Coffrin
Member	Ernest L. Gowen
Member	William M. McGuffage (7/1/13 - present)
Member	Judith C. Rice (7/1/11 - 6/30/13)
Member	Bryan A. Schneider
Member	Charles W. Scholz (7/1/11 - 6/30/13)
Member	Casandra B. Watson (7/1/13 - present)

Board offices located at:

2329 South MacArthur Blvd.
Springfield, IL 62704

James R. Thompson Center
100 W. Randolph, Suite 14-100
Chicago, IL 60601

STATE BOARD OF ELECTIONS

STATE OF ILLINOIS

2329 S. MacArthur Blvd
Springfield, Illinois 62704-4503
217/782-4141 TTY: 217/782-1518
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph St, Ste 14-100
Chicago, Illinois 60601-3232
312/814-6440 TTY: 312/814-6431
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

January 29, 2014

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Honorable William G. Holland
Auditor General
Iles Park Plaza
740 East Ash Street
Springfield, Illinois 62703-3154

Dear Mr. Holland:

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the State Board of Elections (Board). We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the Board's compliance with the following assertions during the two-year period ended June 30, 2013. Based on this evaluation, we assert that during the years ended June 30, 2012 and June 30, 2013, the Board has materially complied with the assertions below:

- A. The Board has obligated, expended, received and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Board has obligated, expended, received and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Board has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the Board are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.

www.elections.il.gov

E. Money or negotiable securities or similar assets handled by the Board on behalf of the State or held in trust by the Board have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Yours very truly,

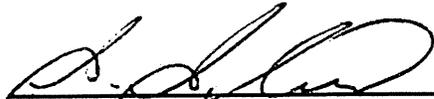
State Board of Elections



Mr. Rupert T. Borgsmiller, Executive Director



Mr. Michael Roate, Chief Fiscal Officer



Mr. Steve Sandvoss, General Counsel

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2013

COMPLIANCE REPORT

SUMMARY

The compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

ACCOUNTANT'S REPORT

The Independent Accountant's Report on State Compliance, on Internal Control Over Compliance and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

SUMMARY OF FINDINGS

<u>Number of</u>	<u>Current</u> <u>Report</u>	<u>Prior</u> <u>Report</u>
Findings	5	4
Repeated findings	2	1
Prior recommendations implemented or not repeated	2	4

SCHEDULE OF FINDINGS

<u>Item No.</u>	<u>Page</u>	<u>Description</u>	<u>Finding Type</u>
FINDINGS (STATE COMPLIANCE)			
2013-001	9	Noncompliance with statutory requirements over political committee audits	Significant Deficiency and Noncompliance
2013-002	11	Failure to promulgate rules	Significant Deficiency and Noncompliance
2013-003	13	Inadequate controls over personal services	Significant Deficiency and Noncompliance
2013-004	15	Inadequate controls over Agency Workforce Reports	Significant Deficiency and Noncompliance

2013-005	17	Inadequate controls over voucher processing	Significant Deficiency and Noncompliance
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PRIOR FINDINGS NOT REPEATED

A	18	Inaccurate calculation of civil penalties
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B	18	Incomplete Internet Voter's Guide
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EXIT CONFERENCE

The Board waived an exit conference in correspondence dated January 13, 2014.

SPRINGFIELD OFFICE:

ILES PARK PLAZA
740 EAST ASH • 62703-3154
PHONE: 217/782-6046

FAX: 217/785-8222 • TTY: 888/261-2887
FRAUD HOTLINE: 1-855-217-1895



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OFFICE OF THE AUDITOR GENERAL
WILLIAM G. HOLLAND

INDEPENDENT ACCOUNTANT'S REPORT ON STATE COMPLIANCE,
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

Honorable William G. Holland
Auditor General
State of Illinois

Compliance

We have examined the State of Illinois, State Board of Elections' (Board) compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the two years ended June 30, 2013. The management of the Board is responsible for compliance with these requirements. Our responsibility is to express an opinion on the Board's compliance based on our examination.

- A. The Board has obligated, expended, received, and used public funds of the State in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Board has obligated, expended, received, and used public funds of the State in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Board has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations.
- D. State revenues and receipts collected by the Board are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.
- E. Money or negotiable securities or similar assets handled by the Board on behalf of the State or held in trust by the Board have been properly and legally administered, and the accounting and recordkeeping relating thereto is proper, accurate and in accordance with law.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the Board's compliance with those requirements listed in the first paragraph of this report and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the Board's compliance with specified requirements.

In our opinion, the Board complied, in all material respects, with the compliance requirements listed in the first paragraph of this report during the two years ended June 30, 2013. However, the results of our procedures disclosed instances of noncompliance with the requirements, which are required to be reported in accordance with criteria established by the Audit Guide, issued by the Illinois Office of the Auditor General and which are described in the accompanying schedule of findings as items 2013-001 through 2013-005.

Internal Control

Management of the Board is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the Board's internal control over compliance with the requirements listed in the first paragraph of this report to determine the examination procedures that are appropriate in the circumstances for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide, issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of the Board's internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Board's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with the requirements listed in the first paragraph of this report on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that were not identified. We

did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, we identified certain deficiencies in internal control over compliance, as described in the accompanying schedule of findings as items 2013-001 through 2013-005, which we consider to be significant deficiencies.

As required by the Audit Guide, immaterial findings excluded from this report have been reported in a separate letter.

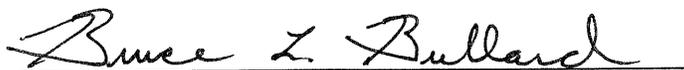
The Board's responses to the findings identified in our examination are described in the accompanying schedule of findings. We did not examine the Board's responses and, accordingly, we express no opinion on the responses.

Supplementary Information for State Compliance Purposes

Our examination was conducted for the purpose of forming an opinion on compliance with the requirements listed in the first paragraph of this report. The accompanying supplementary information for the years ended June 30, 2013 and June 30, 2012 in Schedules 1 through 9 and the Analysis of Operations Section is presented for purposes of additional analysis. We have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the June 30, 2013 and June 30, 2012 accompanying supplementary information in Schedules 1 through 9. However, we do not express an opinion on the accompanying supplementary information.

We have not applied procedures to the June 30, 2011 accompanying supplementary information in Schedules 3 through 8 and in the Analysis of Operations Section, and accordingly, we do not express an opinion or provide any assurance on it.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, Board management, and the members of the State Board of Elections and is not intended to be and should not be used by anyone other than these specified parties.



BRUCE L. BULLARD, CPA
Director of Financial and Compliance Audits

Springfield, Illinois

January 29, 2014

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2013

- 2013-001. **FINDING** (Noncompliance with statutory requirements over political committee audits)

The State Board of Elections (Board) did not comply with the Election Code (Code) and the Board's administrative rules regarding required political committee audits.

During testing, we noted:

- Five of 12 (42%) audits conducted were received by the Board between 1 and 20 days late. In addition, late fees totaling \$6,750 were not assessed for the late audit submissions.

The Code (10 ILCS 5/9-13(d)) requires the Board select political committees and order them to conduct an audit of the financial records required to be maintained by the committee to ensure compliance with the contribution limitations established in Section 9-8.5 and the reporting requirements established in Section 9-3 and Section 9-10. The Code also requires the committees to submit a certified copy of the audit to the Board within 60 calendar days after the receipt of notice from the Board, unless the Board grants an extension to complete the audit. In addition, the Code (10 ILCS 5/9-13(f)) requires a fee of \$250 per day late, up to a maximum of \$5,000 to be assessed to the committees submitting late audits.

- The Board did not follow their own procedures established to excuse committees from audits by allowing 7 of 7 (100%) committees to submit final reports after the allotted timeframe. Further, committees that failed to adhere to the requirements to be excused from conducting an audit were not required to conduct an audit per the Code and the Administrative Code.

The Board's Illinois Administrative Code (26 Ill. Adm. Code 100.175(h)) allows committees to dissolve due to the lack of funds required to conduct the audit but they must submit a final report within 10 business days after the receipt of notice. If the committee fails to dissolve and submit the final report within 10 business days, the Administrative Code requires the Board to contact the committee within 2 business days of the due date and inform them that the option of excusing themselves from conducting an audit will not be available unless they dissolve and submit the final report within 5 business days after being informed. The Administrative Code further states if committees fail to dissolve within the 5 business days, they will no longer be excused and will be required to conduct an audit.

Board officials stated that calendar year 2012 was the first year the law was implemented and the first time random audits were ordered by the Board. The Board

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2013

encountered unexpected time constraints from the political committees, which caused the Board to deviate from predetermined policies and procedures.

Failure to assess fines for late submissions and adhere to the Board's Administrative Code is noncompliance with State statute and resulted in lost revenue to the Board. In addition, failure to adhere to their own administrative rules lessens governmental oversight and inhibits receiving information in a timely manner. (Finding Code No. 2013-001)

RECOMMENDATION

We recommend the Board assess the required late fees for the late submission of political committee audits as required by the Election Code and follow their procedures to excuse committees from audits as established in the Administrative Code.

BOARD RESPONSE

Concur. The Board generally concurs with the OAG's finding regarding compliance with the statute referenced and supporting rules. During the implementation of this new political committee audit mandate, the Board discovered that the original administrative rules supporting this initiative were unworkable with regards to certain operational procedures and deadlines. Proper and effective implementation of this statute would require adjustments to the administrative rules supporting this initiative. Those rules changes have been drafted and are presently submitted to JCAR for review/action.

The Board also agrees that the statute as written requires fines to be assessed, but the Board continues to assert that because of the statute's classification of violation as a 'business offense', the fine must be levied by the applicable local State's Attorney, not directly by the Board itself. The Board will adjust procedures to ensure that all future violations giving rise to possible fines will be promptly referred to the appropriate local State's Attorney for review and final decision as to levy of appropriate fines.

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STATE BOARD OF ELECTIONS
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2013

2013-002. **FINDING** (Failure to promulgate rules)

The State Board of Elections (Board) did not promulgate rules as required by the Election Code (Code).

The Code (10 ILCS 5/22-6) requires local election authorities to report to the Board the unit-by-unit vote totals within 22 days after each election. The Code further specifies that this information is to be provided to the Board in an electronic format and requires the Board to promulgate rules necessary for implementation of this electronic reporting.

During testing, we noted the Board has not promulgated rules for electronic unit-by-unit vote total reporting as required by the Code. This portion of the Code became effective on November 9, 2007.

Board personnel stated this requirement in the Code went largely unfunded since it became effective. In FY12 and FY13, however, the Board did receive lump-sum appropriations (Electronic Canvassing) totaling \$332,400 in FY12 and \$300,000 in FY13 which allowed for the completion of a majority of the design, development and implementation phases of this project. The Electronic Canvassing project is still in its review and revision phases; correspondingly, the Board feels that comprehensive rule development would be neither feasible nor practical until all development and implementation phases are complete. The Board currently estimates that full implementation of Electronic Canvassing will occur during FY14.

Failure to promulgate rules for implementation of electronic unit-by-unit vote total reporting is noncompliance with State statute. (Finding Code No. 2013-002, 11-2)

RECOMMENDATION

We recommend the Board continue to work towards full implementation of electronic unit-by-unit vote total reporting and adopt rules to govern the electronic reporting as required.

BOARD RESPONSE

Concur. The Board is in the final development and implementation phase of the Electronic Canvassing system. Upon completion of remaining Electronic Canvassing development and implementation tasks (expected late in the 2014 fiscal

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STATE BOARD OF ELECTIONS
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2013

year) the Board will develop and promulgate rules in accordance with the Election Code (10 ILCS 5/22-6).

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STATE BOARD OF ELECTIONS
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2013

2013-003. **FINDING** (Inadequate controls over personal services)

The State Board of Elections (Board) did not maintain adequate controls over personal services.

During testing we noted:

- Two of 9 (22%) employees tested had leave slips for time taken that did not agree to the Board's timekeeping system. We noted 2 discrepancies resulting in an overstatement of employees' accrued compensated balances of 3 hours when comparing leave slips to the Board's timekeeping system.

Good internal controls require agencies to ensure attendance and payroll records are adequately documented and reconciled to ensure proper payroll expenditures. In addition, the Board's Administrative Code (26 Ill. Adm. Code 212) requires employees to obtain approval of a Director for vacation leave and personal leave time. Further, the Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires all State agencies to establish and maintain a system, or systems, of internal fiscal and administrative control, which shall prevent waste and maintain accountability over the State's resources. Allowing employees to inaccurately submit paid leave slips could result in payments to individuals not entitled to the benefit and is noncompliance with the Board's Administrative Code and State law.

- The Board did not maintain adequate segregation of duties. The employee with the authority to transfer accrued leave time balances when an employee transfers employment to another State agency transferred her own time before leaving the employment of the Board. In addition, the Board did not maintain documentation for the transfer made by the former employee of her accrued leave time.

The Fiscal Control and Internal Auditing Act (30 ILCS 10/3001) requires State agencies to establish and maintain a system, or systems of internal fiscal and administrative controls. A lack of adequate segregation of duties increases the likelihood that a loss from errors or irregularities could occur and would not be found.

- The Board underpaid a retired employee \$166 of a lump sum payment for accrued and unused vacation time by failing to accrue one day of earned vacation time. As of the date of testing the employee had not been reimbursed.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2013

The State Finance Act (30 ILCS 105/14a (c) & (e)) requires that upon the retirement of a State employee from State services, his or her accrued vacation, overtime, and qualifying sick leave shall be payable to the employee in a single lump sum payment. In addition, the employing agency is required to certify, in writing, the unused leaves the employee has accrued. Inaccurately calculating a retiring employee's accrual of vacation time could result in the underpayment or overpayment of benefits for services rendered during the employment period.

Board management stated the problems noted above were due to administrative oversight.

Failure to maintain adequate control over personal services increases the risk of the Board paying for services not rendered by employees and is noncompliance with the State laws noted above. (Finding Code No. 2013-003)

RECOMMENDATION

We recommend the Board review employee leave slips for proper completion and reconcile employee leave slips to the timekeeping system. Further, we recommend the Board maintain segregation of duties over personal services by ensuring employees do not transfer their own time before leaving the employment of the Board. Finally, we recommend the Board correctly calculate retiring employees' accrual of vacation time.

BOARD RESPONSE

Concur. The Board has already revised procedures to add regular cross-checking of monthly timesheets to employee-submitted calendar reports to enhance consistency in timekeeping data entry at month-end. In addition, the Board has revised its data capture timeframes to prevent instances where lump-sum payouts are calculated before all accruable benefit time has been posted to the CTAS system. The previous employee did not have the authority to transfer her own benefit time despite established procedures and existing internal controls; the current employee has been specifically instructed regarding this control to prevent recurrences of this kind in the future.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2013

2013-004. **FINDING** (Inadequate controls over Agency Workforce Reports)

The State Board of Elections (Board) did not include complete and accurate information on its FY11 and FY12 Agency Workforce Reports (Report) submitted to the Office of the Governor and the Office of the Secretary of State.

During testing, we noted the following:

- The Board reported amounts on its FY11 and FY12 Reports which did not agree to supporting documentation provided to the auditors.
- The Board inaccurately calculated percentage totals in its FY11 Report.
- The Board did not include total minority information and the statistical percentage for all amounts on its FY12 Report.

The State Employment Records Act (5 ILCS 410/15) (Act) requires State agencies to collect and maintain information and annually publish reports which include specified demographic and salary data regarding State employees.

- The Board inaccurately reported the number of “Professionals” in its FY11 and FY12 Reports. It appears the Board defined professionals used for Equal Employment Opportunity Reporting rather than the correct definition set forth by the Act.

The Act (5 ILCS 410/15(a)(v)) requires agencies to submit a report, including the total number of persons employed within the agency work force as professionals. The Act (5 ILCS 410/10(e)) defines "Professional employee" as a person employed to perform employment duties requiring academic training, evidenced by a graduate or advanced degree from an accredited institution of higher education, and who, in the performance of those employment duties, may only engage in active practice of the academic training received when licensed or certified.

- The Board did not timely submit its FY12 Report with the Office of the Secretary of State and the Office of the Governor. The Report was filed 14 and 13 days late, respectively.

The Act (5 ILCS 410/20) requires agencies to file, as public information by January 1 of each year, a copy of the Report with the Office of the Secretary of State and the Governor.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2013

Board management stated the above problems were due to a lack of detailed instructions for preparation of the Reports and oversight.

Failure to include complete and accurate information on the Board's Report and submit reports timely could deter efforts by State officials, administrators, and residents to achieve a more diversified State workforce. (Finding Code No. 2013-004)

RECOMMENDATION

We recommend the Board implement controls over Agency Workforce Reports to ensure they are complete, accurate, and timely filed with the Office of the Secretary of State and the Office of the Governor. In addition, we recommend the Board file corrected FY11 and FY12 Agency Workforce Reports in accordance with the Illinois State Auditing Act (30 ILCS 5/3-2.2(b)).

BOARD RESPONSE

Concur. A significant portion of the operational issue with Agency Workforce Reports is the lack of published instructions and guidelines for classification and preparation of the report itself. After receiving guidance from the Auditor General's Office as to their interpretation of classification and compilation procedures, the Board has revised its preparation processes to ensure that future Agency Workforce Reports are completed in accordance with supportive statute. In addition, the Board will file amended FY11 and FY12 Agency Workforce Reports with the Secretary of State and the Governor.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
SCHEDULE OF FINDINGS
For the Two Years Ended June 30, 2013

2013-005. **FINDING** (Inadequate controls over voucher processing)

The State Board of Elections (Board) did not exercise adequate control over voucher processing.

During testing, we noted the following:

- Twenty-six of 39 (67%) vouchers tested, totaling \$478,120, did not include required payments for interest, totaling \$3,608.

The State Prompt Payment Act (Act) (30 ILCS 540/3-2) requires State agencies to determine whether interest is due. For FY12 and future fiscal years, interest shall begin accruing on the 91st day after the proper bill date. The Act requires agencies to pay interest amounting to \$50 or more automatically. Interest due to a vendor amounting to greater than \$5 but less than \$50 shall not be paid but shall be accrued until all interest due the vendor exceeds \$50. Interest accrued as of the end of the fiscal year that does not exceed \$50 shall be payable at that time.

Board management stated the required interest was not paid due to a procedural issue with how Board personnel entered data into the Accounting Information System (AIS).

Failure to pay the required interest on vouchers is noncompliance with the Act. (Finding Code No. 2013-005, 11-1, 09-5)

RECOMMENDATION

We recommend the Board implement controls and procedures to ensure all required interest payments are made on vouchers not paid within 90 days.

BOARD RESPONSE

Concur. The Board has addressed the procedural issues related to voucher entry into Accounting Information System, and will process all future interest payments in accordance with the State Prompt Payment Act (30 ILCS 540/3-2).

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
PRIOR FINDINGS NOT REPEATED
For the Two Years Ended June 30, 2013

A. **FINDING** (Inaccurate calculation of civil penalties)

During the previous examination period, the State Board of Elections (Board) did not comply with the Election Code (Code) regarding civil penalties for late filing of Statements of Organization.

During the current examination period, the Board complied with the Code by correctly assessing and computing civil penalties for late filing of Statements of Organization. (Finding Code No. 11-3)

B. **FINDING** (Incomplete Internet Voter's Guide)

During the previous examination period, the Board did not comply with the Code regarding required components of the Internet Voter's Guide. The Board did not include the instructions for properly using the balloting equipment used by each election authority within the Internet Voter's Guide as required by the Code.

During the current examination period, we noted the Board included instructions for properly using the balloting equipment used by each election authority in the Internet Voter's Guide. However, we noted an additional exception regarding required components of the Internet Voter's Guide which will be reported in the Letter of Immaterial Findings. (Finding Code No. 11-4)

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
COMPLIANCE EXAMINATION
For the Two Years Ended June 30, 2013

SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

SUMMARY

Supplementary Information for State Compliance Purposes presented in this section of the report includes the following:

- Fiscal Schedules and Analysis:
 - Schedule of Appropriations, Expenditures and Lapsed Balances
 - Comparative Schedule of Net Appropriations, Expenditures and Lapsed Balances
 - Schedule of Changes in State Property
 - Comparative Schedule of Cash Receipts
 - Reconciliation of Cash Receipts to Deposits Remitted to the State Comptroller
 - Analysis of Significant Variations in Expenditures
 - Analysis of Significant Variations in Receipts
 - Analysis of Significant Lapse Period Spending

- Analysis of Operations (Not Examined):
 - Board Functions and Planning Program (Not Examined)
 - Average Number of Employees (Not Examined)
 - Emergency Purchases (Not Examined)
 - Service Efforts and Accomplishments (Not Examined)

The accountant's report that covers the Supplementary Information for State Compliance Purposes presented in the Compliance Report Section states the accountants have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the June 30, 2013 and June 30, 2012 accompanying supplementary information in Schedules 1 through 9. However, the accountants do not express an opinion on the supplementary information. The accountant's report also states that they have not applied procedures to the Analysis of Operations Section, and accordingly, they do not express an opinion or provide any assurance on it.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Year Ended June 30, 2013

Fourteen Months Ended August 31, 2013

	Appropriations (Net After Transfers)	Expenditures Through 6/30/13	Lapse Period Expenditures 7/01 - 8/31/13	Total Expenditures	Balances Lapsed
Public Acts 97-0726 & 98-0017					
GENERAL REVENUE FUND-001					
Operating					
Operational Expenses	\$ 6,279,400	\$ 5,185,115	\$ 207,870	\$ 5,392,985	\$ 886,415
Redevelopment and Replacement of IDIS Campaign	100,000	63,374	-	63,374	36,626
Reimbursement County Election Day Judge Support	1,347,100	1,347,100	-	1,347,100	-
HAVA Maintenance of Effort Contribution-State	550,000	306,928	14,107	321,035	228,965
Statewide Voter Canvassing Operations and Reporting Systems	300,000	4,067	-	4,067	295,933
Reimbursement to Local Election Jurisdictions	1,580,400	1,429,484	995	1,430,479	149,921
Lump Sum Awards for Compensation for Additional Duties	644,800	642,841	-	642,841	1,959
Total Fund 001	<u>\$ 10,801,700</u>	<u>\$ 8,978,909</u>	<u>\$ 222,972</u>	<u>\$ 9,201,881</u>	<u>\$ 1,599,819</u>
HELP ILLINOIS VOTE FUND-206					
Elections					
Distribution to Local Election Authorities	\$ 13,100,000	\$ 2,053,664	\$ -	\$ 2,053,664	\$ 11,046,336
Statewide Voter Registration System	3,900,000	1,451,696	284,637	1,736,333	2,163,667
Discretionary Grants to Local Election Authorities	3,600,000	628,355	34	628,389	2,971,611
Total Fund 206	<u>\$ 20,600,000</u>	<u>\$ 4,133,715</u>	<u>\$ 284,671</u>	<u>\$ 4,418,386</u>	<u>\$ 16,181,614</u>
PERSONAL PROPERTY TAX REPLACEMENT FUND-802					
Elections					
County Clerk Records Chief Elect	\$ 161,200	\$ 158,689	\$ -	\$ 158,689	\$ 2,511
Total Fund 802	<u>\$ 161,200</u>	<u>\$ 158,689</u>	<u>\$ -</u>	<u>\$ 158,689</u>	<u>\$ 2,511</u>
GRAND TOTAL - ALL FUNDS	<u>\$ 31,562,900</u>	<u>\$ 13,271,313</u>	<u>\$ 507,643</u>	<u>\$ 13,778,956</u>	<u>\$ 17,783,944</u>

Note 1: Appropriations, expenditures, and lapsed balances were taken from State Comptroller records and reconciled to Agency records.

Note 2: Expenditure amounts are vouchers approved for payment by the Agency and submitted to the State Comptroller for payment to the vendor.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Year Ended June 30, 2012

Eighteen Months Ended December 31, 2012

	Appropriations (Net After Transfers)	Expenditures Through 6/30/12	Lapse Period Expenditures 7/01 - 12/31/12	Total Expenditures	Balances	
					Lapsed	Unlapsed
Public Acts 97-0057 & 97-642						
GENERAL REVENUE FUND-001						
Operating						
Operational Expenses	\$ 11,258,800	\$ 9,457,653	\$ 160,732	\$ 9,618,385	\$ 1,640,415	
Redevelopment and Replacement of IDIS Campaign	85,000	-	-	-	85,000	
IL Voter Registration Support	1,000,000	1,000,000	-	1,000,000	-	
Reimbursement County Election Day Judge Support	1,000,000	999,990	-	999,990	10	
Total Fund 001	\$ 13,343,800	\$ 11,457,643	\$ 160,732	\$ 11,618,375	\$ 1,725,425	
HELP ILLINOIS VOTE FUND-206						
Elections						
Distribution to Local Election Authorities	\$ 17,000,000	\$ 4,665,550	\$ 39,710	\$ 4,705,260	\$ 12,294,740	
Statewide Voter Registration System	4,100,000	697,993	147,937	845,930	3,254,070	
Replacement of Punch-Card System	200,000	-	-	-	200,000	
Discretionary Grants to Local Election Authorities	4,200,000	297,438	63,890	361,328	3,838,672	
Total Fund 206	\$ 25,500,000	\$ 5,660,981	\$ 251,537	\$ 5,912,518	\$ 19,587,482	
FEDERAL TRUST FUND-647						
Elections						
EAC Data Collection Grant	\$ 290,000	\$ 268,599	\$ -	\$ 268,599	\$ 21,401	
Total Fund 647	\$ 290,000	\$ 268,599	\$ -	\$ 268,599	\$ 21,401	
GRAND TOTAL - ALL FUNDS	\$ 39,133,800	\$ 17,387,223	\$ 412,269	\$ 17,799,492	\$ 21,334,308	

Note 1: Appropriations, expenditures, and lapsed balances were taken directly from State Comptroller records and reconciled to the Agency's records.

Note 2: Expenditure amounts are vouchers approved for payment by the Agency and submitted to the State Comptroller for payment to the vendor.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30, 2013, 2012, and 2011

	2013	2012	2011
	P.A. 97-0726 & 98-0017	P.A. 97-0057 & 97-642	P.A. 96-0956
General Revenue Fund-001			
Appropriations (Net After Transfers)	\$ 10,801,700	\$ 13,343,800	\$ 15,521,250
Expenditures			
Operating			
Operational Expenses	\$ 5,392,985	\$ 9,618,385	\$ -
Operational Expenses (9000)	-	-	1,079,677
Operational Expenses (9900)	-	-	5,344,259
Redevelopment and Replacement of IDIS Campaign	63,374	-	-
IL Voter Registration Support	-	1,000,000	-
Reimbursement County Election Day Judge Support	1,347,100	999,990	-
HAVA Maintenance of Effort Contribution-State	321,035	-	-
Statewide Voter Canvassing Operations and Reporting Systems	4,067	-	-
Reimbursement to Local Election Jurisdictions	1,430,479	-	-
Lump Sum Awards for Compensation for Additional Duties	642,841	-	-
Operational Exp. Awards, Grants, & Perm. Improvements	-	-	6,120,571
Total Operating	<u>\$ 9,201,881</u>	<u>\$ 11,618,375</u>	<u>\$ 12,544,507</u>
Governor's Discretionary Appropriation			
Governor's Discretionary Appropriation	\$ -	\$ -	\$ 1,999,980
Total Governor's Discretionary	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 1,999,980</u>
Total Expenditures	<u>\$ 9,201,881</u>	<u>\$ 11,618,375</u>	<u>\$ 14,544,487</u>
Lapsed Balances	<u>\$ 1,599,819</u>	<u>\$ 1,725,425</u>	<u>\$ 976,763</u>
Help Illinois Vote Fund-206			
Appropriations (Net After Transfers)	\$ 20,600,000	\$ 25,500,000	\$ 25,300,000
Expenditures			
Elections			
Distribution to Local Election Authorities	\$ 2,053,664	\$ 4,705,260	\$ 2,757,174
Statewide Voter Registration System	1,736,333	845,930	1,219,049
Replacement of Punch-Card System	-	-	136,049
Discretionary Grants to Local Election Authorities	628,389	361,328	928,160
Total Expenditures	<u>\$ 4,418,386</u>	<u>\$ 5,912,518</u>	<u>\$ 5,040,432</u>
Lapsed Balances	<u>\$ 16,181,614</u>	<u>\$ 19,587,482</u>	<u>\$ 20,259,568</u>

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
COMPARATIVE SCHEDULE OF NET APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
For the Fiscal Years Ended June 30, 2013, 2012, and 2011

	2013	2012	2011
	P.A. 97-0726 & 98-0017	P.A. 97-0057 & 97-642	P.A. 96-0956
Federal Trust Fund-647			
Appropriations (Net After Transfers)	\$ -	\$ 290,000	\$ -
Expenditures			
Elections			
EAC Data Collection Grant	\$ -	\$ 268,599	\$ -
Total Expenditures	\$ -	\$ 268,599	\$ -
Lapsed Balances	<u>\$ -</u>	<u>\$ 21,401</u>	<u>\$ -</u>
Personal Property Tax Replacement Fund-802			
Appropriations (Net After Transfers)	<u>\$ 161,200</u>	<u>\$ -</u>	<u>\$ -</u>
Expenditures			
Elections			
County Clerk Records Chief Elect	\$ 158,689	\$ -	\$ -
Total Expenditures	<u>\$ 158,689</u>	<u>\$ -</u>	<u>\$ -</u>
Lapsed Balances	<u>\$ 2,511</u>	<u>\$ -</u>	<u>\$ -</u>
GRAND TOTAL - ALL FUNDS			
Appropriations (Net After Transfers)	\$ 31,562,900	\$ 39,133,800	\$ 40,821,250
Total Expenditures	<u>13,778,956</u>	<u>17,799,492</u>	<u>19,584,919</u>
Lapsed Balances	<u>\$ 17,783,944</u>	<u>\$ 21,334,308</u>	<u>\$ 21,236,331</u>
STATE OFFICERS' SALARY			
State Officer Appropriations	\$ 332,100	\$ 332,100	\$ 332,100
State Officer Expenditures	<u>\$ 331,871</u>	<u>\$ 331,871</u>	<u>\$ 316,612</u>
Lapsed Balance	<u>\$ 229</u>	<u>\$ 229</u>	<u>\$ 15,488</u>

Note: FY11 expenditures and related lapsed balances do not include interest payments approved for payment and submitted by the Agency to the Comptroller for payment after August.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
SCHEDULE OF CHANGES IN STATE PROPERTY
For the Two Years Ended June 30, 2013

	Total	Buildings and Building Improvements	Equipment
Balance at July 1, 2011	\$ 2,590,916	\$ 240,502	\$ 2,350,414
Additions	111,834	-	111,834
Deletions	(5,339)	-	(5,339)
Net Transfers	-	-	-
Balance at June 30, 2012	<u>\$ 2,697,411</u>	<u>\$ 240,502</u>	<u>\$ 2,456,909</u>
Balance at July 1, 2012	\$ 2,697,411	\$ 240,502	\$ 2,456,909
Additions	179,996	-	179,996
Deletions	(255,861)	(10,432)	(245,429)
Net Transfers	-	-	-
Balance at June 30, 2013	<u>\$ 2,621,546</u>	<u>\$ 230,070</u>	<u>\$ 2,391,476</u>

Note: The above schedule has been derived from Agency records which have been reconciled to property reports submitted to the Office of the Comptroller.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
COMPARATIVE SCHEDULE OF CASH RECEIPTS
For the Fiscal Years Ended June 30,

<u>General Revenue Fund - 001</u>	<u>2013</u>	<u>2012</u>	<u>2011</u>
Sale of Voter Information Tapes	\$ 2,890	\$ 19,905	\$ 15,976
Sale of Petition Copies	48,624	8,643	11,533
Penalties Imposed by the Board	264,443	339,979	161,795
Indirect Cost Reimbursements/Miscellaneous	1,060,233	565,582	619,908
System Testing	1,250	2,450	600
Refund	-	-	900
 Total - Fund 001	 <u>\$ 1,377,440</u>	 <u>\$ 936,559</u>	 <u>\$ 810,712</u>
<u>Help Illinois Vote Fund - 206</u>			
Help American Vote Act	\$ 328,826	\$ 185,056	\$ 7,666,029
Interest	48,935	85,028	115,547
Penalties Imposed by the Board	35	-	782
State Match	-	2,690	375,188
Refunds	-	69	35,565
 Total - Fund 206	 <u>\$ 377,796</u>	 <u>\$ 272,843</u>	 <u>\$ 8,193,111</u>
<u>State Board of Elections Federal Trust Fund - 647</u>			
Interest	\$ -	\$ 349	\$ 1,752
 Total - Fund 647	 <u>\$ -</u>	 <u>\$ 349</u>	 <u>\$ 1,752</u>
TOTAL RECEIPTS - ALL FUNDS	<u>\$ 1,755,236</u>	<u>\$ 1,209,751</u>	<u>\$ 9,005,575</u>

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
**RECONCILIATION OF CASH RECEIPTS
TO DEPOSITS REMITTED TO THE COMPTROLLER**
For the Fiscal Years Ended June 30,

<u>General Revenue Fund - 001</u>	<u>2013</u>	<u>2012</u>
Receipts per Board Records	\$ 1,377,439	\$ 936,559
Add: Deposits in Transit, Beginning of Year	18,199	12,036
Less: Deposits in Transit, End of Year	<u>21,732</u>	<u>18,199</u>
Deposits Recorded by the Comptroller	<u>\$ 1,373,906</u>	<u>\$ 930,396</u>
<u>Help Illinois Vote Fund - 206</u>		
Receipts and Interest per Board Records	\$ 377,796	\$ 272,843
Add: Deposits in Transit, Beginning of Year	-	-
Less: Deposits in Transit, End of Year	-	-
Interest	<u>48,935</u>	<u>85,028</u>
Deposits Recorded by the Comptroller	<u>\$ 328,861</u>	<u>\$ 187,815</u>
<u>SBEL Federal Trust Fund - 647</u>		
Receipts per Board Records	\$ -	\$ 349
Add: Deposits in Transit, Beginning of Year	-	-
Less: Deposits in Transit, End of Year	-	-
Interest	<u>-</u>	<u>349</u>
Deposits Recorded by the Comptroller	<u>\$ -</u>	<u>\$ -</u>

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2013

The following is a summary of explanations for significant variations in expenditures. Variations between fiscal years were considered significant if greater than \$20,000 and 20%.

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2013 AND 2012

General Revenue Fund (001)

OPERATING

Operational Expenses, Redevelopment and Replacement of IDIS Campaign, IL Voter Registration Support, Reimbursement County Election Day Judge Support, HAVA Maintenance of Effort Contribution-State, Reimbursement to Local Election Jurisdictions, Lump Sum Awards for Compensation for Additional Duties

The difference was due to changes in the format by which the General Assembly provided the Board's annual General Revenue Fund (GRF) appropriations in FY12 and FY13. In FY12, the lump sum format allocated the majority of funds to the Operational Expenses line item as well as amounts for Redevelopment and Replacement of the Illinois Disclosure Information System (IDIS) Campaign, IL Voter Registration Support and Reimbursement County Election Day Judge Support. In FY13, the General Assembly broke out operational expenses even further into operational expenses, Redevelopment and Replacement of IDIS Campaign, Reimbursement County Election Day Judge Support, Help America Vote Act (HAVA) Maintenance of Effort Contribution – State, Statewide Voter Canvassing Operations and Reporting Systems, Reimbursement to Local Election Jurisdictions, and Lump Sum Awards for Compensation for Additional Duties.

Help Illinois Vote Fund (206)

ELECTIONS

Distribution to Local Election Authorities

The decrease was due to the diminishing amount of federal HAVA grant money available for distribution to local election authorities.

Statewide Voter Registration System

The increase was due to several modifications/maintenance upgrades to the Illinois Voter Registration System (IVRS), which required additional contractual service spending. In addition, FY13 had two years worth of Indirect Cost Reimbursement payments to the GRF as opposed to only one year worth of Indirect Cost Reimbursements in FY12.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2013

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2013 AND 2012, continued

Discretionary Grants to Local Election Authorities

The increase was due primarily to FY13 having two years worth of Indirect Cost Reimbursement payments to the GRF as opposed to only one year worth of Indirect Cost Reimbursements in FY12.

Federal Trust Fund (647)

ELECTIONS

Election Assistance Commission (EAC) Data Collection Grant

The decrease was due to the refund of unspent EAC Data Collection Grant funds (including interest) back to the EAC in FY12. The Grant program operations were terminated on 6/30/10.

Personal Property Tax Replacement Fund (802)

ELECTIONS

County Clerk Records Chief Elect

This increase was due to a onetime supplemental appropriation received in FY13 for the reimbursement of County Clerk/Recorder Stipends.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2013

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2012 AND 2011

General Revenue Fund (001)

OPERATING

IL Voter Registration Support

The increase was due to a one-time supplemental appropriation received in FY12 for awards & grants to local election authorities for maintenance of IVRS. This expense was paid out of the "Operational Exp. Awards, Grants, & Perm. Improvements" line in FY11.

Reimbursement County Election Day Judge Support

The increase was due to a one-time supplemental appropriation received in FY12 for the reimbursement of expenses to local election authorities for Election Day Judges. This expense was paid out of the "Operational Exp. Awards, Grants, & Perm. Improvements" line in FY11.

Operational Expenses, Operational Expenses (9000), Operational Expenses (9900), Operational Exp. Awards, Grants, and Permanent Improvements

The difference was due to changes in the format by which the General Assembly provided the Board's annual general revenue appropriations in FY11 and FY12. In FY11, the lump-sum format was allocated for Operational Expenses (9000/9900) and Awards/Grants. In FY12, the lump-sum format allocated funds for Operational Expenses (9900) and Award/Grant expenditures.

GOVERNORS DISCRETIONARY APPROPRIATION

Lump Sum Awards for Compensation for Additional Duties

The decrease was due to a one time Governor's Discretionary appropriation received in FY11 as a supplemental funding mechanism, which was not received in FY12.

Help Illinois Vote Fund (206)

ELECTIONS

Distribution to Local Election Authorities

The increase was due to new HAVA disbursements to local election jurisdictions that were initiated during FY12, as well as a continuation of existing program activities during FY12.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Two Years Ended June 30, 2013

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2012 AND 2011, continued

Statewide Voter Registration System

The decrease was due to the substantial implementation of IVRS and the corresponding decrease in implementation expenditures as the IVRS project nears final completion.

Replacement of Punch-Card System

The decrease was due to the Punch-Card Replacement project being completed in FY11.

Discretionary Grants to Local Election Authorities

The decrease was due to the increased spending in FY11 for the implementation of new HAVA Voting Assistance to Individuals with Disabilities disbursement program (VAID III). A smaller VAID IV program was initiated in FY12.

Federal Trust Fund (647)

ELECTIONS

EAC Data Collection Grant

The increase was due to the refund of unspent EAC Data Collection Grant funds (including interest) back to the EAC. The Grant program operations were terminated on 6/30/10.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
EXPLANATION OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Fiscal Years Ended June 30, 2013

The following is a summary of explanations for significant variations in receipts. Variations between fiscal years were considered significant if greater than \$10,000 and 20%.

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN FISCAL YEAR 2013 AND FISCAL YEAR 2012

General Revenue Fund (001)

Sale of Voter Information Tapes

The decrease was due to a general decrease in demand for voter information tapes.

Sale of Petition Copies

The increase was due to three elections being held in FY13; only one election was held in FY12.

Penalties Imposed by the Board

The decrease was due to fewer penalty assessments levied in FY13 than in FY12. The assessments are expected to fluctuate between fiscal years.

Indirect Cost Reimbursements/Miscellaneous

The increase was due to two indirect cost allocation reimbursements from Help America Vote Act (HAVA) activities in FY13; only one reimbursement was received in FY12.

Help Illinois Vote Fund (206)

Help America Vote Act

The increase was due to the cyclical nature of federal program reimbursements. Odd-numbered years have three elections, resulting in more reimbursements than even-numbered years which only have one election.

Interest

The decrease was due to a lower cash balance available for investment in FY13, which generated less interest revenue.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
EXPLANATION OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Two Fiscal Years Ended June 30, 2013

ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN FISCAL YEAR 2012 AND FISCAL YEAR 2011

General Revenue Fund (001)

Penalties Imposed by the Board

The increase was due to more penalty assessments levied in FY12 than in FY11. The assessments are expected to fluctuate between fiscal years.

Help Illinois Vote Fund (206)

Help America Vote Act

The decrease was due to the receipt of an additional \$7,128,540 in HAVA Requirements grant funds during FY11 that was unavailable in FY12.

Interest

The decrease was due to a lower cash balance available for investment in FY12, which generated less interest revenue.

State Match

The decrease was due to State Match receipts being directly proportionate to the amount of reimbursement money received, which the State is required to match 5% of any new Requirements money received.

Refunds

The decrease was due to a one-time return of Polling Place Accessibility Grant funds from Rock Island County received in FY11.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Two Years Ended June 30, 2013

The following is a summary of explanations for significant lapse period spending. Lapse period spending was considered significant if 20% or greater of total expenditures for the fiscal year occurred during the lapse period.

FISCAL YEAR 2013

We did not note any instances of significant lapse period spending during FY13.

FISCAL YEAR 2012

We did not note any instances of significant lapse period spending during FY12.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
BOARD FUNCTIONS AND PLANNING PROGRAM
(NOT EXAMINED)

For the Two Years Ended June 30, 2013

Board Functions

In 1973, the 78th General Assembly established the State Board of Elections (Board), which implemented Article III, Section 5 of the Illinois Constitution. The Board is responsible for general supervision over the administration of the registration and election laws throughout the State, which are contained in 10 ILCS 5/et seq. The Board serves as the central authority for all election laws, information and procedures in Illinois.

Elections are administered locally by that county or city's responsible election authorities. The Board works closely with these election authorities to assure that elections are conducted in accordance with Illinois law. In addition, the Board is in the process of simplifying election procedures and bringing uniformity to the election process.

The Board also supervises the administration of the Disclosure of Campaign Contributions and Expenditures Act, which requires the disclosure of certain campaign contributions and expenditures. As required by law, candidates and committees complete various reports and forms, which contain financial information and submit them to the Board for audit and review. These disclosure statements are available for public inspection. If suspected violations of the Campaign Finance Act occur, the Board is authorized to hold hearings, levy fines and convey evidence of wrongdoing to local prosecutors.

The Board also maintains a research library, which includes abstracts of primary and general elections, precinct maps and poll lists. The library is to be open to the public during regular business hours.

Two divisions of the Board are responsible for numerous election-related publications. The Elections Division publishes the Board's election calendar, composes uniform forms approved by the Board, and responds to public and election authority inquiries concerning election law provisions. The Campaign Disclosure Division publications include instruction for candidates and pamphlets explaining the filing requirements of the Illinois Campaign Financing Act.

With the passage of the Help America Vote Act of 2002 (HAVA) in October of 2002, the Board is responsible for ensuring the provisions of HAVA are implemented in a proper and timely fashion. Illinois legislation was passed and signed by the Governor to implement provisions under HAVA on August 21, 2003.

Public Act 93-0574 established the Help Illinois Vote Fund so that Illinois could receive federal funds; establish new criteria in the Election Code for provisional voting; provide for the definition of a vote for punch card systems, optical scan systems and the Populex system; and authorize the use of direct recording electronic voting systems in Illinois.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
BOARD FUNCTIONS AND PLANNING PROGRAM
(NOT EXAMINED)

For the Two Years Ended June 30, 2013

Planning Program

The Board has adopted a two-year planning program, which lists all of the functions and activities to be performed by the Board during the period. The majority of the goals and objectives established by the Board are concerned with implementing the provisions of the Illinois Election Code and HAVA. The remaining goals and objectives consist of programs and activities that will enhance the efficiency of the Board, such as employee training seminars and public awareness programs.

For implementation of HAVA, a State Plan was developed through a committee of appropriate individuals (State Planning Committee), including the chief election officials of the two most populous jurisdictions, other election officials, stakeholders (such as representatives of groups of individuals with disabilities) and other citizens as well as the Chief Election Official (Executive Director of the State Board of Elections).

The State Plan outlines how the State will distribute and monitor the monies received and how the State is meeting or will meet the requirements of HAVA. The Chief Election Official is responsible for updating the State Plan every year by October 25th of that year. In addition, the Chief Election Official will conduct meetings with the HAVA State Planning Committee and its task forces as necessary to discuss the progress and objectives of the State Plan.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
AVERAGE NUMBER OF EMPLOYEES
(NOT EXAMINED)
For the Two Years Ended June 30, 2013

The following table, prepared from Agency records, presents the average number of employees, by function, for the Fiscal Years ended June 30,

Division	<u>2013</u>	<u>2012</u>	<u>2011</u>
Administrative Services	17	17	17
Campaign Financing	16	16	16
Elections Operations	24	24	26
Information Technology	10	10	10
General Counsel	4	4	3
Total average full-time employees	<u>71</u>	<u>71</u>	<u>72</u>

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
EMERGENCY PURCHASES
(NOT EXAMINED)
For the Two Years Ended June 30, 2013

EMERGENCY PURCHASES (NOT EXAMINED)

The Board reported the following emergency purchase to the Office of the Auditor General during FY12 and FY13:

<u>DESCRIPTION OF EMERGENCY PURCHASE</u>	<u>AMOUNT</u>
Obtain a Technical Services Provider (TSP) to ensure the accuracy of the Illinois Voter Registration System (IVRS) due to an expiring TSP master contract. The Board is required to spend money on the voting system in order to ensure that current and future federal funds are appropriated for such purposes.	<u>\$ 45,750</u>
TOTAL COST	<u><u>\$ 45,750</u></u>

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
SERVICE EFFORTS AND ACCOMPLISHMENTS
(NOT EXAMINED)

For the Two Years Ended June 30, 2013

The State Board of Elections (Board) consists of several functional areas/divisions that perform the operational functions of the agency. One of the primary divisions that assists the Board in carrying out its mandated operations is the Election Division.

Elections Division

The Election Division is primarily responsible for the administration of the candidate petition filing process, administration of objections filed against a candidate's nominating petitions, and certification of ballots. Following are output indicators relating to the Elections Division:

<u>Output Indicators</u>	<u>2013</u>	Fiscal Year <u>2012</u>	<u>2011</u>
• Number of election publications requested in reporting period	823	1,772	1,980
• Number of election judge schools requested by local election jurisdictions	175	105	221
• Number of county voting systems available for pre-test in reporting period	12	4	14
• Number of election jurisdictions submitting voter registration database files	110	110	110
• Number of nominating petitions filed in reporting period	141	1,352	94
• Number of petition objections filed in reporting period	34	199	0
• Number of petition copy requests received in reporting period	14	1,625	15

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
SERVICE EFFORTS AND ACCOMPLISHMENTS
(NOT EXAMINED)

For the Two Years Ended June 30, 2013

Campaign Financing Division

The Campaign Financing Division oversees the submission of required campaign disclosure reports by applicable campaign committees and related groups, performs required disclosure reports, and administers corrective action to those entities found in noncompliance. In addition, this division reviews and approves raffle applications from political committees and other related entities for fund-raising programs. Following are output indicators relating to the Campaign Financing Division:

<u>Output Indicators</u>	<u>Fiscal Year</u>		
	<u>2013</u>	<u>2012</u>	<u>2011</u>
• Number of semi-annual campaign disclosure reports required to be filed during period (estimated)	0	0	7,500
• Number of candidates for public office that qualify as political committees	2,322	2,390	2,207
• Number of organizations that qualify as political action committees	1,036	1,083	1,021
• Number of organizations that qualify as party organizations	400	370	369
• Number of organizations that qualify as miscellaneous organizations	56	54	48
• Number of raffle applications submitted for approval by political committees	521	832	852
• Number of outside complaints filed with the State Board of Elections	65	24	42
• Number of raffle applications approved	1,000	777	779
• Number of financial disclosure reports reviewed by operations staff	18,280	16,080	21,179
• Number of report amendments filed pursuant to the operational review process	2,300	2,100	2,601

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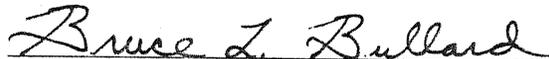
OFFICE OF THE AUDITOR GENERAL
WILLIAM G. HOLLAND

STATE BOARD OF ELECTIONS
REPORT OF IMMATERIAL FINDINGS

Honorable William G. Holland
Auditor General
State of Illinois

Dear Mr. Holland:

As required by the Audit Guide, any immaterial findings excluded from the report on the compliance examination of the State of Illinois, State Board of Elections for the two years ended June 30, 2013 are to be reported in a separate letter. Attached are the findings of this type we developed during our testing.


BRUCE L. BULLARD, CPA
Director of Financial and Compliance Audits

January 29, 2014

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
IMMATERIAL FINDINGS
For the Two Years Ended June 30, 2013

IM2013-001. **FINDING** (Lack of System Development Documentation)

The State Board of Elections (Board) did not have detailed documentation to provide evidence that new systems and major changes to existing systems were adequately planned, developed, tested, documented, approved, and implemented.

While the Board had developed a methodology, the methodology provided only a high-level method in which to perform system developments. The methodology lacked sufficient guidance to provide a consistent approach and ensure each phase of a project was properly completed, thoroughly documented, and appropriately approved.

In addition, the methodology did not address the methods and procedures associated with requesting, analyzing, or approving requests for new system developments or major modifications to existing systems. Nor, did the methodology provide methods and procedures detailing the procedures associated with changes to systems once they were in production.

During a review of the development of the Board's eCanvass system, we noted documentation did not include:

- Request, analysis, or approval for the development of the eCanvass system.
- Final and approved requirements document,
- Final and approved design document,
- Final and approved test scripts,
- Review and approval of testing results,
- Final and approved system acceptance,
- Approvals to move to production, and
- Final and approved post-implementation review.

Generally accepted information technology guidance endorses the implementation of system development standards that require new system developments and modifications to existing systems be properly approved, thoroughly tested, and consistently documented.

Board management stated it is a small agency with a small IT staff and the development and maintenance of the Board's IT systems is largely governed by the passage of new legislation which require stringent timelines for implementation; as such, resources are not always available to thoroughly document projects. The Board does utilize and consistently applies the Rapid

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
IMMATERIAL FINDINGS
For the Two Years Ended June 30, 2013

Application Development methodology for maintenance of existing systems as well of the development of new applications/systems.

The lack of approvals and documentation increases the likelihood of ineffective and inefficient use of resources, systems that fail to meet expectations and requirements, and systems that are difficult to maintain and update. (Finding Code No. IM2013-001)

RECOMMENDATION

We recommend the Board develop and implement a system development methodology and change management procedure which thoroughly documents its methods and procedures and ensures new system developments and modifications to existing systems are developed and implemented in a manner that ensures they are adequately planned, developed, tested, documented, approved, and implemented.

BOARD RESPONSE

Concur. Development of new system/applications and modifications to existing system/applications at the SBE is typically introduced by one of the user divisions within the agency - in many cases, as the result of the passage of legislation - as in the case with the eCanvass system.

While the Board agrees that the lack of approvals and documentation can increase the likelihood of ineffective and inefficient use of resources, systems that fail to meet expectations and requirements, and systems that are difficult to maintain and update, historically, application development at the board has resulted in systems that not only meet expectations and requirements, but have been completed on time and within budget.

Since the inception of IT in 1985 at the SBE, the success of system/application development and subsequent maintenance can be attributed to the close interaction and collaboration between IT and the various user group(s). The use of the Rapid Application Development methodology combined with the stringent coding standards in place at the SBE results in applications that are easy to develop and maintain.

The Board acknowledges the lack of documentation listed in the bullet points above relative to the eCanvass system; however, in all cases, the checkpoints/milestones of the project were approved verbally by all parties involved.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
IMMATERIAL FINDINGS
For the Two Years Ended June 30, 2013

In summary, the Board agrees that the missing documentation noted in this finding would be of benefit; therefore, the Board will make every effort to create this documentation for system development in the future.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
IMMATERIAL FINDINGS
For the Two Years Ended June 30, 2013

IM2013-002. **FINDING** (Inadequate control over sexual harassment training)

The State Board of Elections (Board) does not currently have a written policy to provide sexual harassment prevention training to all Board employees. In addition, the Board did not make sexual harassment prevention training a component of all of its ongoing training programs during the examination period. The Board only provided sexual harassment prevention training for newly hired employees. The Board did not provide annual sexual harassment prevention training to the rest of its employees.

The Illinois Human Rights Act (775 ILCS 5/2-105(B)(5)) requires every State board to establish, maintain, and carry out a continuing sexual harassment program that includes a written sexual harassment policy and provide training on sexual harassment prevention and the agency's sexual harassment policy as a component of all ongoing training programs.

Board management stated they were unaware of the requirements to have a written sexual harassment policy and to make sexual harassment prevention training a component of all ongoing training programs.

Failure to provide sexual harassment prevention training to all employees hinders employees from being informed of their rights and responsibilities in the workplace and increases the agency's risk of liability. (Finding Code No. IM2013-002)

RECOMMENDATION

We recommend the Board draft and approve a written sexual harassment training policy. In addition, we recommend the Board provide training on the policy as part of its ongoing training programs as required by the Act.

BOARD RESPONSE

Concur. The Board will draft and implement a formalized written sexual harassment policy and provide training on sexual harassment prevention in accordance with the Illinois Human Rights Act (775 ILCS 5/2-105(B)(5)).

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
IMMATERIAL FINDINGS
For the Two Years Ended June 30, 2013

IM2013-003. **FINDING** (Failure to file Travel Headquarters Reports)

The State Board of Elections (Board) failed to file required Travel Headquarters (TA-2) Reports with the Legislative Audit Commission (LAC).

During testing, we noted the Board did not submit the January 2012 and July 2012 TA-2 Reports to the LAC which were due on January 15, 2012 and July 15, 2012, respectively.

The State Finance Act (Act) (30 ILCS 105/12-3) requires State agencies to file semi-annual TA-2 Reports with the LAC for all officers and employees, where official headquarters are located other than where their official duties require them to spend the largest part of their working time, by January 15th and July 15th each year, for the periods July 1 through December 31 and January 1 through June 30, respectively.

Board officials stated the reports were not filed due to oversight by the Board personnel responsible.

Failure to prepare and submit required TA-2 Reports lessens governmental oversight and is noncompliance with the State Finance Act. (Finding Code No. IM2013-003)

RECOMMENDATION

We recommend the Board ensure their TA-2 Reports are filed timely with the LAC as required by the Act.

BOARD RESPONSE

Concur. The Board has already enhanced procedures to ensure that all future TA-2 Reports are filed timely in accordance with the State Finance Act (30 ILCS 105/12-3).

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
IMMATERIAL FINDINGS
For the Two Years Ended June 30, 2013

IM2013-004. **FINDING** (Inadequate controls over grant agreements)

The State Board of Elections (Board) did not maintain adequate controls over grant agreements.

During testing, we noted the following:

- One of 15 (7%) grant agreements tested was not signed by a Board official. Good internal controls require the approval of grant agreements prior to the effective date.
- For 1 of 15 (7%) grant agreements tested, the grant file did not contain an “information sheet”, which the Board uses to evaluate the grantee’s application for funding. The State Records Act (5 ILCS 160/8) requires each agency to preserve records which contain adequate and proper information of the decisions, procedures, and essential transactions of the agency.

Board management stated the problems noted above were caused by oversight.

In order to assess whether grant agreements are reasonable, appropriate, and sufficiently document the responsibilities of both parties, grant agreements should be approved prior to the start date of the agreement. In order to determine whether a grant is reasonable and appropriate, all documentation should be maintained in the grant file. (Finding Code No. IM2013-004)

RECOMMENDATION

We recommend the Board ensure grant agreements are assigned by a Board official and grant files contain all required documentation.

BOARD RESPONSE

Concur. The Board will enhance procedures to ensure that all future grant agreements are properly signed by an authorized Board official prior to the effective date and adequate documentation is maintained for all grant agreements.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
IMMATERIAL FINDINGS
For the Two Years Ended June 30, 2013

IM2013-005. **FINDING** (Failure to draft a Vehicle Use Policy)

The State Board of Elections (Board) failed to draft a Vehicle Use policy.

During fieldwork, we noted the Board had designated a vehicle use officer as required by the State Vehicle Use Act (Act). However, the Board did not draft a vehicle use policy also required by the Act.

The Act (30 ILCS 617/10) states that each State agency should designate a vehicle use officer to monitor the use of that agency's State-owned vehicles. In addition, each agency, with the assistance of the vehicle use officer, shall draft a vehicle use policy.

Board management stated they were unaware of the requirement to draft a vehicle use policy.

Failure to draft and implement a vehicle use policy could result in misuse of State owned vehicles and is noncompliance with the State Vehicle Use Act. (Finding Code No. IM2013-005)

RECOMMENDATION

We recommend the Board draft and implement a vehicle use policy as required by the Act.

BOARD RESPONSE

Concur. The Board will draft and implement a formalized written vehicle use policy in accordance with the State Vehicle Use Act (30 ILCS 617/10).

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
IMMATERIAL FINDINGS
For the Two Years Ended June 30, 2013

IM2013-006. **FINDING** (Identity Protection Policy)

The State Board of Elections (Board) failed to implement the provisions of the Identity Protection Act (Act).

The Identity Protection Act (5 ILCS 179) required the Board to draft and approve an identity-protection policy by June 1, 2011. Per the Act, the Policy must:

- Identify the Act.
- Require all employees identified as having access to social security numbers in the course of performing their duties to be trained to protect the confidentiality of social security numbers.
- Direct that only employees who are required to use or handle information or documents that contain social security numbers have access to such information or documents.
- Require that social security numbers requested from an individual be placed in a manner that makes the social security number easily redacted if required to be released as part of a public records request.
- Require that, when collecting a social security number or upon request by the individual, a statement of the purpose or purposes for which the agency is collecting and using the social security number be provided.

During our testing, we noted that the Board had not issued an identity-protection policy.

After notification of the deficiency, the Board approved an identity-protection policy on August 20, 2013.

Board management stated they were unaware of the requirement to draft and approve an identity-protection policy.

Failure to timely implement provisions of the Act does not promote the security and control of social security numbers and increases the likelihood of identity theft. (Finding Code No. IM2013-006)

RECOMMENDATION

We recommend the Board continuously evaluate its environment and assure all confidential information maintained is adequately secured, including electronic and hardcopy information. In addition, the Board should develop a mechanism to promote compliance with new mandates.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
IMMATERIAL FINDINGS
For the Two Years Ended June 30, 2013

BOARD RESPONSE

Concur. As a result of the OAG's exception in this area, the Board implemented a formalized written identity protection policy that adheres to the requirements of the Identity Protection Act (5 ILCS 179). As noted in the OAG report, the formalized policy was approved by the Board in August 2013. The Board continues to take all necessary precautions to assure the security and control of confidential information, and continuously evaluates its security protocols to prevent and detect identity theft.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
IMMATERIAL FINDINGS
For the Two Years Ended June 30, 2013

IM2013-007. **FINDING** (Incomplete Internet Voter's Guide)

The State Board of Elections (Board) did not comply with the Election Code (Code) regarding required components of the Internet Voter's Guide.

The Code (10 ILCS 5/12A-5) requires the Board to publish an Internet Voter's Guide containing information relating to voting and the candidates on the ballot of a General Election. The Code also requires the Board to include a mechanism by which electors may determine in which congressional and judicial districts they reside and allow visitors to search for candidates by office and candidate's name.

We noted the Board has created an Internet Voter's Guide for each election. However, we noted the Board has not included a mechanism by which electors may determine in which congressional and judicial districts they reside.

Board personnel stated this was an oversight by the Board personnel responsible.

Failure to include a mechanism by which electors may determine in which congressional and judicial district they reside within the Board's Internet Voter's Guide is noncompliance with State statute. (Finding Code No. IM2013-007, 11-4)

RECOMMENDATION

We recommend the Board include a mechanism by which electors may determine in which congressional and judicial district they reside within the Internet Voter's Guide as required.

BOARD RESPONSE

Concur. The Board will develop a corrective action plan for the inclusion of a mechanism that will allow electors to determine in which congressional and judicial districts they reside within the Internet Voter's Guide as required by the Election Code (10 ILCS 5/12A-5).

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
IMMATERIAL FINDINGS
For the Two Years Ended June 30, 2013

IM2013-008. **FINDING** (Inadequate documentation to substantiate timely availability of the Report on Ballots)

The State Board of Elections (Board) did not maintain adequate documentation to prove that its Report on Ballots was made available to the public timely.

The Election Code (10 ILCS 5/20-20) requires the Board to compile a Report on Ballots from information received from local election authorities and to make the compiled information available to the public. The Report on Ballots must be prepared on or before the 28th day after an election, and it must include the following information pertaining to voting by absentee electors in military or naval service:

- The number, by precinct, of ballots requested, provided, and counted;
- The number of rejected ballots;
- The number of voters seeking review of rejected ballots; and
- The number of ballots counted following the review of rejected ballots.

We noted the Board had compiled the Report on Ballots as required. However, the Board did not maintain documentation to provide evidence that the Report on Ballots was made available to the public timely via the Board's website or other medium.

Board management stated documentation was not maintained due to competing priorities of the Board personnel responsible.

Failure to maintain adequate documentation limits the Board's ability to prove compliance with the statute. (Finding Code No. IM2013-008, IM11-5)

RECOMMENDATION

We recommend the Board maintain documentation to demonstrate the Report on Ballots was made available timely to the public via the Board's website or other medium as required by the Election Code.

BOARD RESPONSE

Concur. Although the requested information was published on the Board's website and then replaced as the new election cycle began, the Board will increase the level of publishing documentation to ensure that adequate documentation is available to substantiate compliance.

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
PRIOR IMMATERIAL FINDINGS NOT REPEATED
For the Two Years Ended June 30, 2013

- a. **FINDING** (Weaknesses regarding the security and control of confidential information)

During the prior examination period, the State Board of Elections (Board) had not performed a comprehensive risk assessment to identify all forms of confidential or personal information to ensure such information is protected from unauthorized disclosure or developed formal policies and procedures to promote timely compliance with the requirements outlined in the Personal Information Protection Act (815 ILCS 530).

During the current examination period, the Board had not performed a documented risk assessment. However, the Board had secured areas containing computer equipment and sensitive and confidential information. In addition, the Board developed policies and procedures to promote timely compliance with requirements outlined in the Personal Information Protection Act. (Finding Code No. IM11-1)

- b. **FINDING** (Failure to notify Secretary of State of all employees whose position required them to file a Statement of Economic Interest)

During the prior examination period, the Board did not notify the Secretary of State of all employees whose position required them to file a Statement of Economic Interest.

During the current examination period, the Board notified the Secretary of State of all employees whose position required them to file a Statement of Economic Interest. In addition, the Board developed and implemented procedures to ensure the completeness of the list of employees required to file. (Finding Code No. IM11-2)

- c. **FINDING** (Failure to perform and document monthly expenditure reconciliations)

During the prior examination period, the Board did not perform and document all required monthly expenditure reconciliations.

During the current examination period, the Board performed and documented all required monthly expenditure reconciliations. (Finding Code No. IM11-3)

STATE OF ILLINOIS
STATE BOARD OF ELECTIONS
PRIOR IMMATERIAL FINDINGS NOT REPEATED
For the Two Years Ended June 30, 2013

d. **FINDING** (Inaccurate Fee Imposition Report)

During the prior examination period, the Board did not report accurate information on its Fee Imposition Report for FY11.

During the current examination period, the Board reported accurate information on both Fee Imposition Reports filed during FY12 and FY13. (Finding Code No. IM11-4)

e. **FINDING** (Failure to ensure employee was licensed and insured prior to operating a personal vehicle on State business)

During the prior examination period, the Board allowed an employee to operate a personal vehicle on State business without ensuring the employee had adequate licensure and insurance coverage.

During the current examination period, the Board ensured all employees who operated a personal vehicle on State business certified he or she had adequate licensure and insurance coverage. (Finding Code No. IM11-6)

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
General Revenue Fund

AGENCY TOTALS MONTH ENDING: Feb. 28, 2014	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$4,279,100	\$2,553,808.02	\$0.00	\$1,725,291.98	59.68%
STATE PAID RETIREMENT	\$171,200	\$101,647.95	\$0.00	\$69,552.05	59.37%
RETIREMENT (inc. supplemental)	\$0	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$327,700	\$187,132.30	\$0.00	\$140,567.70	57.10%
CONTRACTUAL SERVICE	\$1,102,800	\$492,942.32	\$189,104.98	\$420,752.70	44.70%
TRAVEL	\$116,400	\$40,542.79	\$0.00	\$75,857.21	34.83%
PRINTING	\$37,600	\$6,051.24	\$0.00	\$31,548.76	16.09%
COMMODITIES	\$40,400	\$14,674.22	\$0.00	\$25,725.78	36.32%
EQUIPMENT	\$142,100	\$29,002.35	\$0.00	\$113,097.65	20.41%
TELECOMMUNICATIONS	\$143,700	\$60,122.74	\$0.00	\$83,577.26	41.84%
OPERATION OF AUTO EQUIPMENT	\$4,900	\$813.02	\$0.00	\$4,086.98	16.59%
ELECTION CODE BOOKS	\$17,500	\$0.00	\$0.00	\$17,500.00	0.00%
IVRS LUMP SUM - OPERATIONS	\$478,600	\$214,222.86	\$0.00	\$264,377.14	44.76%
INTEREST PAYMENTS	\$0	\$0.00	\$0.00	\$0.00	0.00%
SUB-TOTAL (OPERATIONS)	\$6,862,000	\$3,700,959.81	\$189,104.98	\$2,971,935.21	53.93%
HAVA MAINTENANCE OF EFFORT	\$550,000	\$274,975.95	\$135,595.68	\$139,428.37	50.00%
CO CLERK & RECORDER STIPENDS (FUND 802)	\$806,000	\$0.00	\$0.00	\$806,000.00	0.00%
ELECTION JUDGE REIMBURSEMENT (FUND 802)	\$2,300,000	\$0.00	\$0.00	\$2,300,000.00	0.00%
ELECTION JUDGES/EARLY VOTING	\$0	\$0.00	\$0.00	\$0.00	0.00%
IVRS LUMP SUM - GRANTS	\$3,221,400	\$0.00	\$0.00	\$3,221,400.00	0.00%
REDISTRICTING	\$0	\$0.00	\$0.00	\$0.00	0.00%
ADDITIONAL STATE MATCH	\$0	\$0.00	\$0.00	\$0.00	0.00%
VOTING SYSTEMS INTEGRITY CENTER	\$0	\$0.00	\$0.00	\$0.00	0.00%
ELEC. CANVASSING IMPLEMENTATION	\$65,000	\$6,684.76	\$0.00	\$58,315.24	10.28%
IDIS SYSTEM REPLACEMENT	\$0	\$0.00	\$0.00	\$0.00	0.00%
SUB-TOTAL (GRANTS)	\$6,942,400.00	\$281,660.71	\$135,595.68	\$6,525,143.61	4.06%
TOTAL APPROPRIATION	\$13,804,400	\$3,982,620.52	\$324,700.66	\$9,497,078.82	28.85%

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
General Revenue Fund

BOARD MONTH ENDING: Feb. 28, 2014	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
CONTRACTUAL SERVICE				
1205 Freight Express & Drayage	\$3,000.00	\$416.30	\$2,583.70	13.88%
1221 Repair/Maint. Furn./Office Equipment				
1232 Rental Motor Vehicles	\$3,600.00	\$1,800.00	\$1,800.00	50.00%
1239 Rental, NEC				
1243 Book Binding Services				
1266 Court Reporting & Filing Services	\$18,000.00	\$6,116.25	\$11,883.75	33.98%
1274 Reg. Fees & Conf. Expenses (Vendor)	\$1,000.00	\$550.00	\$450.00	55.00%
1275 Subscriptions				
1276 Reg. Fees & Conf. Expenses (Employee)	\$100.00		\$100.00	0.00%
1277 Association Dues				
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$500.00	\$28.90	\$471.10	5.78%
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$1,000.00	\$406.91	\$593.09	40.69%
TRAVEL	\$19,000.00	\$10,622.50	\$8,377.50	55.91%
EQUIPMENT				
1510 Office Furniture & Equipment	\$700.00	\$129.00	\$571.00	18.43%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

BOARD GRAND TOTAL	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE
CONTRACTUAL SERVICE	\$27,200.00	\$9,318.36		\$17,881.64
TRAVEL	\$19,000.00	\$10,622.50		\$8,377.50
EQUIPMENT	\$700.00	\$129.00		\$571.00
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00
TOTAL	\$46,900.00	\$20,069.86	\$0.00	\$26,830.14

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
General Revenue Fund

ADMINISTRATION MONTH ENDING: Feb. 28, 2014	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$754,400.00	\$437,891.99	\$316,508.01	58.05%
1129 State Paid Retirement	\$30,200.00	\$17,557.57	\$12,642.43	58.14%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$57,800.00	\$31,852.25	\$25,947.75	55.11%
CONTRACTUAL SERVICE				
1201 Petty Cash	\$400.00	\$0.00	\$400.00	0.00%
1205 Freight Express & Drayage	\$600.00	\$0.00	\$600.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$14,500.00	\$4,028.68	\$10,471.32	27.78%
1223 Repair/Maint. Real Property	\$2,000.00	\$832.18	\$1,167.82	41.61%
1229 Repair & Maintenance NEC				
1230 In-House Repair & Maintenance				
1231 Rental, Office Equipment	\$32,800.00	\$1,713.95	\$31,086.05	5.23%
1232 Rental, Motor Vehicles	\$4,600.00	\$3,600.00	\$1,000.00	78.26%
1233 Rental, Real Property	\$396,000.00	\$296,280.00	\$99,720.00	74.82%
1234 Rental, Machinery & Mechanical Eqmt				
1239 Rental, NEC	\$1,000.00	\$0.00	\$1,000.00	0.00%
1240 Statistical & Tabulation Services	\$21,600.00	\$10,401.82	\$11,198.18	48.16%
1245 Professional & Artistic				
1248 Building & Grounds Maintenance	\$1,000.00	\$0.00	\$1,000.00	0.00%
1251 Gas				
1252 Electricity				
1253 Water				
1255 Utilities, NEC				
1261 Postage	\$76,700.00	\$51,772.22	\$24,927.78	67.50%
1266 Court Reporting				
1274 Reg Fees & Conf. Expenses (Vendor)	\$800.00	\$0.00	\$800.00	0.00%
1275 Subscription & Information Services	\$2,600.00	\$1,917.14	\$682.86	73.74%
1276 Reg. Fees & Conf. Expenses (Employee)	\$600.00	\$550.00	\$50.00	91.67%
1277 Association Dues	\$2,000.00	\$1,765.00	\$235.00	88.25%
1279 Tuition - Employee				
1280 Copying, Photographic & Printer Services				
1281 Interviewee Expense - To Vendors				
1285 Taxes, Licenses & Fees	\$100.00	\$0.00	\$100.00	0.00%
1289 Contractual Services, NEC	\$11,500.00	\$327.20	\$11,172.80	2.85%
TRAVEL				
1291 In-State	\$11,400.00	\$3,720.10	\$7,679.90	32.63%
1292 Out-of-State	\$1,800.00	\$1,757.83	\$42.17	97.66%
1295 Mileage	\$1,200.00	\$1,184.40	\$15.60	98.70%
PRINTING	\$9,800.00	\$3,595.78	\$6,204.22	36.69%
COMMODITIES				
1304 Office/Library Supplies	\$14,900.00	\$4,573.01	\$10,326.99	30.69%
1306 Food for Human Consumption				
1335 Rock Salt	\$100.00	\$23.99	\$76.01	23.99%
1391 Household & Cleaning Supplies	\$300.00	\$240.42	\$59.58	80.14%
1394 Office/Library Equip., Not exc. \$100				
1395 Small Tools Not Exceeding \$100				
1398 Equipment, NEC	\$500.00	\$188.98	\$311.02	37.80%
1399 Commodities, NEC	\$600.00	\$141.40	\$458.60	23.57%
EQUIPMENT				
1510 Office Furniture & Equipment	\$5,000.00	\$259.99	\$4,740.01	5.20%
1599 Equipment NEC	\$1,000.00	\$0.00	\$1,000.00	0.00%
TELECOMMUNICATIONS				
1710 Repair/Maintenance Telecom				
1721 Rental, Telephone Serv. & Equip.	\$51,000.00	\$26,633.94	\$24,366.06	52.22%
1722 Rental, Data Comm. Serv. & Equip.	\$81,000.00	\$29,538.35	\$51,461.65	36.47%
1728 Videoconferencing	\$7,200.00	\$3,950.45	\$3,249.55	54.87%
1729 Rental, Other Comm. Serv. & Equip.	\$3,000.00	\$0.00	\$3,000.00	0.00%
1730 Parts & Supplies for Telephone	\$1,500.00	\$0.00	\$1,500.00	0.00%
1750 Telephone, Data, Radio & Other Equipment				
OPERATION OF AUTO EQUIPMENT				
1893 Repair & Maint., Auto. Equipment	\$1,800.00	\$0.00	\$1,800.00	0.00%
1894 Parts & Fittings, Auto Equipment	\$200.00	\$0.00	\$200.00	0.00%
1896 Gasoline, Oil & Antifreeze	\$2,900.00	\$813.02	\$2,086.98	28.04%
1899 Auto. Expenses, NEC				
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				
ADMINISTRATION GRAND TOTAL	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	% OF BALANCE EXPENDITURE
PERSONAL SERVICE	\$754,400.00	\$437,891.99	\$316,508.01	58.05%
STATE PAID RETIREMENT	\$30,200.00	\$17,557.57	\$12,642.43	58.14%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$57,800.00	\$31,852.25	\$25,947.75	55.11%
CONTRACTUAL SERVICE	\$568,800.00	\$373,188.19	\$99,495.00	65.61%
TRAVEL	\$14,400.00	\$6,662.33	\$7,737.67	46.27%
PRINTING	\$9,800.00	\$3,595.78	\$6,204.22	36.69%
COMMODITIES	\$16,400.00	\$5,167.80	\$11,232.20	31.51%
EQUIPMENT	\$6,000.00	\$259.99	\$5,740.01	4.33%
TELECOMMUNICATIONS	\$143,700.00	\$60,122.74	\$83,577.26	41.84%
OPERATION OF AUTO EQUIPMENT	\$4,900.00	\$813.02	\$4,086.98	16.59%
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	0.00%
TOTAL	\$1,606,400.00	\$937,111.66	\$99,495.00	\$569,793.34 58.34%

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
General Revenue Fund

<u>ELECTIONS</u> <u>MONTH ENDING: Feb. 28, 2014</u>	<u>FY14</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
PERSONAL SERVICE	\$1,702,500.00	\$996,078.87	\$706,421.13	58.51%
1129 State Paid Retirement	\$68,100.00	\$39,254.95	\$28,845.05	57.64%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$130,300.00	\$72,808.84	\$57,491.16	55.88%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Contractual reimbursement To Employee	\$100.00	\$60.00	\$40.00	60.00%
1205 Freight Express & Drayage	\$4,200.00	\$22.92	\$4,177.08	0.55%
1221 Repair/Maint. Furn./Office Equipment	\$200.00		\$200.00	0.00%
1224 Repair/Maint. Machinery & Mechanical Equip				
1231 Rental, Office Equipment				
1232 Rental, Motor Vehicles				
1237 Rental, Film & Audio/Visual Aids	\$100.00		\$100.00	0.00%
1239 Rental, NEC	\$2,200.00	\$100.00	\$2,100.00	4.55%
1242 Auditing & Management Services				
1245 Professional & Artistic Services, NEC	\$12,900.00		\$12,900.00	0.00%
1266 Court Reporting & Filing Services	\$100.00	\$52.00	\$48.00	52.00%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$3,400.00		\$3,400.00	0.00%
1275 Subscription & Information Services	\$600.00		\$600.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,700.00	\$960.00	\$740.00	56.47%
1277 Association Dues	\$1,200.00	\$1,107.00	\$93.00	92.25%
1279 Employee Tuition & Fees	\$200.00		\$200.00	0.00%
1280 Copying, Photographic & Printing Services	\$1,000.00	\$415.18	\$584.82	41.52%
1284 Software	\$100.00	\$49.95	\$50.05	49.95%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-state Employees				
1289 Contractual Services, NEC	\$5,400.00	\$1,688.33	\$3,711.67	31.27%
TRAVEL	\$51,300.00	\$11,384.20	\$39,915.80	22.19%
PRINTING	\$6,900.00	\$1,099.46	\$5,800.54	15.93%
EQUIPMENT				
1510 Office Furniture & Equipment	\$3,300.00	\$580.00	\$2,720.00	17.58%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				
HAVA - Maintenance of Effort	\$550,000.00	\$274,975.95	\$275,024.05	50.00%
ELECTION CODE BOOKS	\$17,500.00		\$17,500.00	0.00%
Redistricting				
Election Judge Reimbursements (Fund 802)	\$2,300,000.00		\$2,300,000.00	0.00%
Stipends (Fund 802)	\$806,000.00		\$806,000.00	0.00%
Early Voting Election Judges				
State HAVA Match				
Voting System Integrity Center				
Electronic Canvassing Implementation	\$65,000.00	\$6,684.76	\$58,315.24	10.28%
Electronic Canvassing Interest Payments				
IDIS Replacement System				

<u>ELECTIONS GRAND TOTAL</u>	<u>FY14</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>OBLIGATED</u> <u>MONEY</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
PERSONAL SERVICE	\$1,702,500.00	\$996,078.87		\$706,421.13	58.51%
STATE PAID RETIREMENT	\$68,100.00	\$39,254.95		\$28,845.05	57.64%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$130,300.00	\$72,808.84		\$57,491.16	55.88%
CONTRACTUAL SERVICE	\$33,400.00	\$4,455.38		\$28,944.62	13.34%
TRAVEL	\$51,300.00	\$11,384.20		\$39,915.80	22.19%
PRINTING	\$6,900.00	\$1,099.46		\$5,800.54	15.93%
EQUIPMENT	\$3,300.00	\$580.00		\$2,720.00	17.58%
HAVA - Maintenance of Effort	\$550,000.00	\$274,975.95	\$135,595.68	\$139,428.37	50.00%
ELECTION CODE BOOKS	\$17,500.00	\$0.00		\$17,500.00	0.00%
Redistricting	\$0.00	\$0.00		\$0.00	0.00%
Election Judge Reimbursements (FUND 802)	\$2,300,000.00	\$0.00		\$2,300,000.00	0.00%
Stipends (FUND 802)	\$806,000.00	\$0.00		\$806,000.00	0.00%
Early Voting Election Judges	\$0.00	\$0.00		\$0.00	0.00%
State HAVA Match	\$0.00	\$0.00		\$0.00	0.00%
Voting System Integrity Center	\$0.00	\$0.00		\$0.00	0.00%
Electronic Canvassing Implementation	\$65,000.00	\$6,684.76		\$58,315.24	10.28%
Electronic Canvassing Interest Payments	\$0.00	\$0.00		\$0.00	0.00%
IDIS Replacement System	\$0.00	\$0.00		\$0.00	0.00%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$5,734,300.00	\$4,407,322.41	\$135,595.68	\$4,191,381.91	24.54%

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
General Revenue Fund

<u>GENERAL COUNSEL</u> <u>MONTH ENDING: Feb. 28, 2014</u>	<u>FY14</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
PERSONAL SERVICE	\$315,100.00	\$211,432.53	\$103,667.47	67.10%
1129 State Paid Retirement	\$12,600.00	\$8,464.38	\$4,135.62	67.18%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$24,200.00	\$15,562.80	\$8,637.20	64.31%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Reimbursement to Employee				
1205 Freight Express & Drayage	\$500.00	\$21.37	\$478.63	4.27%
1221 Repair/Maint. Furn./Office Equipment				
1244 Legal Fees	\$100,000.00	\$45,884.67	\$54,115.33	45.88%
1245 Professional & Artistic Services, NEC				
1266 Court Reporting & Filing Services	\$15,000.00	\$4,637.25	\$10,362.75	30.92%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,000.00		\$1,000.00	0.00%
1275 Subscription & Information Services	\$1,000.00	\$67.89	\$932.11	6.79%
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,500.00	\$195.00	\$1,305.00	0.00%
1277 Association Dues	\$2,000.00	\$1,026.00	\$974.00	51.30%
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$700.00		\$700.00	0.00%
1284 Computer Software				
1289 Contractual Services, NEC	\$7,000.00	\$3,758.83	\$3,241.17	53.70%
TRAVEL	\$9,500.00	\$6,846.24	\$2,653.76	72.07%
EQUIPMENT				
1510 Office Furniture & Equipment	\$500.00		\$500.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

<u>GENERAL COUNSEL GRAND TOTAL</u>	<u>FY14</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>OBLIGATED</u> <u>MONEY</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
PERSONAL SERVICE	\$315,100.00	\$211,432.53		\$103,667.47	67.10%
STATE PAID RETIREMENT	\$12,600.00	\$8,464.38		\$4,135.62	67.18%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$24,200.00	\$15,562.80		\$8,637.20	64.31%
CONTRACTUAL SERVICE	\$128,700.00	\$55,591.01	40,115.33	\$32,993.66	43.19%
TRAVEL	\$9,500.00	\$6,846.24		\$2,653.76	72.07%
EQUIPMENT	\$500.00	\$0.00		\$500.00	0.00%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$490,600.00	\$297,896.96	\$40,115.33	\$152,587.71	60.72%

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
General Revenue Fund

CAMPAIGN DISCLOSURE MONTH ENDING: Feb. 28, 2014	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$779,600.00	\$480,953.63	\$298,646.37	61.69%
1129 State Paid Retirement	\$31,200.00	\$19,250.07	\$11,949.93	61.70%
1161 Retirement	\$0.00		\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00		\$0.00	0.00%
1170 Social Security	\$59,700.00	\$35,163.07	\$24,536.93	58.90%
CONTRACTUAL SERVICE				
1202 Employee Reimbursement				
1205 Freight Express & Drayage	\$100.00		\$100.00	0.00%
1221 Repair & Maint, Furn & Office Equipment				
1225 Repair & Maint, EDP				
1229 Repair & Maint, NEC				
1232 Rental, Motor Vehicles				
1239 Rental, NEC				
1245 Professional & Artistic Services, NEC				
1248 Building & Ground Maintenance				
1266 Court Reporting & Filing Services				
1274 Reg Fees & Conf. Expenses (Vendor)	\$700.00		\$700.00	0.00%
1275 Subscription & Information Services				
1276 Reg. Fees & Conf. Expenses (Employee)	\$600.00	\$550.00	\$50.00	91.67%
1277 Association Dues				
1279 Employee Tuition and Fees	\$600.00		\$600.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$300.00	\$210.00	\$90.00	70.00%
TRAVEL				
1291 In-State	\$7,200.00	\$501.38	\$6,698.62	6.96%
1292 Out-of-State	\$3,800.00	\$1,567.65	\$2,232.35	41.25%
1295 Mileage	\$300.00	\$242.95	\$57.05	80.98%
PRINTING	\$20,200.00	\$1,356.00	\$18,844.00	6.71%
EQUIPMENT				
1510 Office Furniture & Equipment	\$500.00		\$500.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

CAMPAIGN DISCLOSURE GRAND TOTAL	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$779,600.00	\$480,953.63		\$298,646.37	61.69%
STATE PAID RETIREMENT	\$31,200.00	\$19,250.07		\$11,949.93	61.70%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$59,700.00	\$35,163.07		\$24,536.93	58.90%
CONTRACTUAL SERVICE	\$2,300.00	\$760.00		\$1,540.00	33.04%
TRAVEL	\$11,300.00	\$2,311.98		\$8,988.02	20.46%
PRINTING	\$20,200.00	\$1,356.00		\$18,844.00	6.71%
EQUIPMENT	\$500.00	\$0.00		\$500.00	0.00%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$904,800.00	\$539,794.75	\$0.00	\$365,005.25	59.66%

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INFORMATION TECHNOLOGY MONTH ENDING: Feb. 28, 2014	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE	
PERSONAL SERVICE	\$727,500.00	\$427,451.00	\$300,049.00	58.76%	
1129 State Paid Retirement	\$29,100.00	\$17,120.98	\$11,979.02	58.83%	
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%	
1161-0008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%	
1170 Social Security	\$55,700.00	\$31,745.34	\$23,954.66	56.99%	
CONTRACTUAL SERVICE					
1145 Contractual Payroll					
1205 Freight Express & Drayage					
1221 Repair/Maint. Furn./Office Equipment	\$10,200.00		\$10,200.00	0.00%	
1223 Repair/Maint. Real Property	\$1,600.00	\$1,566.84	\$33.16	97.93%	
1225 Repair/Maint. EDP Equipment	\$88,300.00	\$17,191.90	\$71,108.10	19.47%	
1230 In-House Repair of Equipment					
1234 Rental, Machinery and Mech. Equip					
1239 Rental, NEC	\$13,700.00	\$1,238.80	\$12,461.20	9.04%	
1242 Auditing & Management Services					
1244 Legal Fees					
1245 Professional & Artistic Services, NEC	\$94,300.00		\$94,300.00	0.00%	
1271 Surety Bond & Ins. Prem.	\$1,000.00	\$618.00	\$382.00	61.80%	
1272 Travel & Expense Reimbursement (Vendor)					
1274 Reg Fees & Conf. Expenses (Vendor)	\$6,000.00		\$6,000.00	0.00%	
1275 Subscription & Information Services	\$600.00		\$600.00	0.00%	
1276 Reg. Fees & Conf. Expenses (Employee)					
1277 Association Dues					
1279 Employee Tuition and Fees	\$8,000.00		\$8,000.00	0.00%	
1284 Computer Software	\$117,700.00	\$29,013.84	\$88,686.16	24.65%	
1285 Operating Taxes, Licenses & Fees					
1286 Travel, Non-State Employee					
1289 Contractual Services, NEC	\$1,000.00		\$1,000.00	0.00%	
TRAVEL					
1291 In-State	\$5,200.00	\$2,487.28	\$2,712.72	47.83%	
1292 Out-of-State	\$5,400.00		\$5,400.00	0.00%	
1295 Mileage	\$300.00	\$228.26	\$71.74	76.09%	
PRINTING	\$700.00		\$700.00	0.00%	
COMMODITIES					
1304 Office/Library Supplies	\$21,100.00	\$6,693.72	\$14,406.28	31.72%	
1332 Industrial & Shop Materials					
1394 Office & Library Equipment Under \$100	\$100.00	\$97.90	\$2.10	97.90%	
1395 Small Tools < \$100					
1398 Equipment, NEC	\$2,800.00	\$2,714.80	\$85.20	96.96%	
1399 Commodities, NEC					
EQUIPMENT					
1510 Office Furniture & Equipment	\$4,900.00	\$4,851.89	\$48.11	99.02%	
1515 EDP Equipment	\$126,200.00	\$23,181.47	\$103,018.53	18.37%	
LUMP SUMS & OTHER PURPOSES					
1991 Interest Payments					
INFORMATION TECHNOLOGY GRAND TOTAL	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	% OF EXPENDITURE	
PERSONAL SERVICE	\$727,500.00	\$427,451.00	\$300,049.00	58.76%	
STATE PAID RETIREMENT	\$29,100.00	\$17,120.98	\$11,979.02	58.83%	
RETIREMENT	\$0.00	\$0.00	\$0.00	0.00%	
SOCIAL SECURITY	\$55,700.00	\$31,745.34	\$23,954.66	56.99%	
CONTRACTUAL SERVICE	\$342,400.00	\$49,629.38	\$49,494.65	14.49%	
TRAVEL	\$10,900.00	\$2,715.54	\$8,184.46	24.91%	
PRINTING	\$700.00	\$0.00	\$700.00	0.00%	
COMMODITIES	\$24,000.00	\$9,506.42	\$14,493.58	39.61%	
EQUIPMENT	\$131,100.00	\$28,033.36	\$103,066.64	21.38%	
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	0.00%	
TOTAL	\$1,321,400.00	\$566,202.02	\$49,494.65	\$705,703.33	42.85%

STATE BOARD OF ELECTIONS
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<u>IVRS LUMP SUM</u>	<u>YEAR TO DATE</u>
<u>MONTH ENDING: Feb. 28, 2014</u>	<u>EXPENDITURE</u>
PERSONAL SERVICE	\$191,959.33
1129 State Paid Retirement	\$7,683.45
1161 Retirement	
1170 Social Security	\$13,796.15
CONTRACTUAL SERVICE	
1205 Freight Express & Drayage	
1221 Repair/Maint. Furn./Office Equipment	
1232 Rental Motor Vehicles	
1239 Rental, NEC	
1242 Auditing & Management Services	
1243 Book Binding Services	
1261 Postage	
1266 Court Reporting & Filing Services	
1274 Reg. Fees & Conf. Expenses (Vendor)	
1275 Subscriptions	
1276 Reg. Fees & Conf. Expenses (Employee)	
1277 Association Dues	
1279 Employee Tuition & Fees	\$409.50
1280 Copying, Photographic & Printing Services	
1284 Computer Software	
1286 Travel, Non-State Employee	
1289 Contractual Services, NEC	
TRAVEL	\$244.43
PRINTING	
COMMODITIES	
1304 Office Supplies	
1398 Equipment Less than \$100	
EQUIPMENT	
1510 Office Furniture & Equipment	\$130.00
LUMP SUMS & OTHER PURPOSES	
1991 Interest Payments	
 LOCAL GRANTS	
4453 Reimbursement to Governmental Units	
4458 Services, NEC	
4470 Grants to Local Governments	
4479 Payments to Other State Agencies	

SUPP. APPROP. - IVRS

LUMP SUM - OPERATIONS APPROP FOR YEAR	\$478,600.00
TOTAL LUMP SUM - OPERATIONS EXPENDITURES	\$214,222.86
REMAINING LUMP SUM APPROPRIATION	\$264,377.14

LUMP SUM - GRANTS APPROP FOR YEAR	\$3,221,400.00
TOTAL LUMP SUM - GRANT EXPENDITURES	\$0.00
REMAINING LUMP SUM APPROPRIATION	\$3,221,400.00

LUMP SUM TOTAL APPROPRIATION	\$3,700,000.00
TOTAL LUMP SUM EXPENDITURES	\$214,222.86
REMAINING LUMP SUM APPROPRIATION	\$3,485,777.14

STATE BOARD OF ELECTIONS
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 Help Illinois Vote Fund

AGENCY TOTALS	SECTION 101 <u>Discretionary</u> <u>Funds</u>	SECTION 102 <u>Entitlement</u> <u>Payments</u>	SECTION 261 <u>EAID</u> <u>Disbursements</u>	SECTION 251 <u>Requirements</u>	SECTION 251 <u>Additional</u> <u>Requirements</u>	TOTAL <u>Fund Activity</u>
<u>MONTH ENDING: Feb. 28, 2014</u>						
CASH BALANCE AT BEGINNING OF YR	\$576,918.41	\$0.00	\$0.00	\$4,138,518.14	\$5,329,534.45	\$10,044,971.00
Program Revenues from Federal Govt			\$31,276.10			\$31,276.10
Miscellaneous Revenues	\$15,000.00					\$15,000.00
Interest Earned on IOC Balances	\$1,394.09			\$9,653.45	\$11,373.46	\$22,421.00
Interest Penalties Received	\$64,776.83				\$77,567.92	\$142,344.75
EAC-Mandated Transfers of Interest						\$0.00
State Match Receipts						\$0.00
TOTAL CASH REVENUES	\$81,170.92	\$0.00	\$31,276.10	\$9,653.45	\$88,941.38	\$211,041.85
YEAR TO DATE CASH EXPENDITURES						
PERSONAL SERVICE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
STATE-PAID RETIREMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
GROUP INSURANCE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$1,897.00	\$0.00	\$0.00	\$37,281.36	\$0.00	\$39,178.36
TRAVEL	\$11,733.64	\$0.00	\$0.00	\$284.51	\$0.00	\$12,018.15
PRINTING	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TELECOMMUNICATIONS	\$237.41	\$0.00	\$0.00	\$0.00	\$0.00	\$237.41
OPERATION OF AUTO. EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SUB-TOTAL CASH EXPENDITURES (OPERATIONS)	\$13,868.05	\$0.00	\$0.00	\$37,565.87	\$0.00	\$51,433.92
AWARDS & GRANTS	\$0.00	\$0.00	\$34,587.17	\$16,877.11	\$979,912.45	\$1,031,376.73
TOTAL CASH EXPENDITURES	\$13,868.05	\$0.00	\$34,587.17	\$54,442.98	\$979,912.45	\$1,082,810.65
CASH BALANCE AT END OF MONTH	\$644,221.28	\$0.00	(\$3,311.07)	\$4,093,728.61	\$4,438,563.38	\$9,173,202.20

**STATE BOARD OF ELECTIONS
 FY14 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund**

<u>SECTION 101 - DISCRETIONARY FUNDS</u> <u>MONTH ENDING: Feb. 28, 2014</u>	<u>FY14</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>
PERSONAL SERVICE		
1129 State Paid Retirement		
1161 Retirement		
1170 Social Security		
1180 Group Insurance		
CONTRACTUAL SERVICE		
1205 Freight Express/Drayage		
1223 Repair & Maintenance Real Property		
1225 Repair & Maintenance, EDP Equip.		
1231 Rental, Office Equipment		
1232 Rental, Motor Vehicles		
1237 Rental, Film & Audio/Visual Aids		
1239 Rental, NEC	\$504.00	\$504.00
1242 Auditing & Management Services		
1243 Book Binding & Processing Services		
1244 Legal Fees		
1245 Professional & Technical Services, NEC		
1261 Postage		
1266 Court Reporting & Filing Services		
1273 Advertising		
1274 Registration Fees & Conf. Exp. (Vendor)	\$1,393.00	\$1,393.00
1276 Registration Fees & Conf. Exp. (Employee)		
1280 Copying, Photographic & Printing Serv.		
1284 Computer Software		
1286 Travel, Reimb. to Non-State Employees		
1289 Contractual Services, NEC		
TRAVEL		
1291 In-State & 1293 In-State (to vendor)	\$2,391.07	\$2,391.07
1292 Out-of-State	\$7,195.54	\$7,195.54
1295 Mileage	\$2,147.03	\$2,147.03
PRINTING		
COMMODITIES		
1304 Office/Library Supplies		
1308 Educational & Instructional Materials		
1394 Office/Library Equip., Not Exceed. \$100		
1398 Equipment, NEC		
1399 Commodities, NEC		
EQUIPMENT		
1510 Office Furniture & Equipment		
1515 EDP Equipment	\$0.00	
1561 Training Equipment		
6625 Leasehold Improvements		
TELECOMMUNICATIONS		
1721 Rental, Telephone Serv. & Equip.	\$237.41	\$237.41
1722 Rental, Data Comm. Serv. & Equip.		
1728 Video Conferencing		
1729 Rental, Other Comm. Serv. & Equip.		
1730 Parts & Supplies for Telephone		
1740 Answering & Pag. Comm. Serv. Equip.		
1750 Telephone, Data, Radio & Other Equip.		
1799 Telecommunication Services, NEC		
OPERATION OF AUTO EQUIPMENT		
1893 Repair & Maint., Automotive Equipment		
1894 Parts & Fittings, Automotive Equipment		
1896 Gasoline, Oil & Antifreeze		
1898 Automotive Services, NEC		
1899 Automotive Expenses, NEC		
INDIRECT COST REFUNDS		
1993 Indirect Cost Allocation Refund	\$0.00	
GRANTS		
4453 Reimbursement to Governmental Units		
4458 Services, NEC		
4470 Grants to Local Governments (PPA)		
4470 Grants to Local Governments (EAI)		
4470 Grants to Local Governments (AAG)		
4470 Grants to Local Governments		
4479 Payments to Other State Agencies		

<u>SECTION 101 GRAND TOTAL</u>	<u>FY14</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>OBLIGATED</u> <u>MONEY</u>
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$1,897.00	\$1,897.00	
TRAVEL	\$11,733.64	\$11,733.64	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$237.41	\$237.41	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$0.00	\$0.00	
TOTAL	\$13,868.05	\$13,868.05	\$0.00

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 Help Illinois Vote Fund

SECTION 102 - ENTITLEMENT PAYMENTS
MONTH ENDING: Feb. 28, 2014

FY14
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

- 1129 State Paid Retirement
- 1161 Retirement
- 1170 Social Security

CONTRACTUAL SERVICE

- 1205 Freight Express/Drayage
- 1225 Repair & Maintenance, EDP Equip.
- 1231 Rental, Office Equipment
- 1237 Rental, Film & Audio/Visual Aids
- 1239 Rental, NEC
- 1242 Auditing & Management Services
- 1243 Book Binding & Processing Services
- 1244 Legal Fees
- 1245 Professional & Technical Services, NEC
- 1261 Postage
- 1266 Court Reporting & Filing Services
- 1274 Registration Fees & Conf. Exp. (Vendor)
- 1276 Registration Fees & Conf. Exp. (Employee)
- 1280 Copying, Photographic & Printing Serv.
- 1284 Computer Software
- 1289 Contractual Services, NEC

TRAVEL

- 1291 In-State
- 1292 Out-of-State

PRINTING

COMMODITIES

- 1304 Office/Library Supplies
- 1394 Office/Library Equip., Not Exceed. \$100
- 1398 Equipment, NEC
- 1399 Commodities, NEC

EQUIPMENT

- 1510 Office Furniture & Equipment
- 1515 EDP Equipment
- 1599 Equipment, NEC

TELECOMMUNICATIONS

- 1721 Rental, Telephone Serv. & Equip.
- 1722 Rental, Data Comm. Serv. & Equip.
- 1728 Video Conferencing
- 1729 Rental, Other Comm. Serv. & Equip.
- 1730 Parts & Supplies for Telephone
- 1740 Answering & Pag. Comm. Serv. Equip.
- 1750 Telephone, Data, Radio & Other Equip.
- 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

- 1893 Repair & Maint., Automotive Equipment
- 1894 Parts & Fittings, Automotive Equipment
- 1896 Gasoline, Oil & Antifreeze
- 1898 Automotive Services, NEC
- 1899 Automotive Expenses, NEC

GRANTS

- 4453 Reimbursement to Governmental Units
- 4458 Services, NEC
- 4470 Grants to Local Governments, NEC

SECTION 102 GRAND TOTAL	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
GRANTS	\$0.00	\$0.00	
TOTAL	\$0.00	\$0.00	\$0.00

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 261 - EAID DISBURSEMENTS
MONTH ENDING: Feb. 28, 2014

FY14
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

- 1129 State Paid Retirement
- 1161 Retirement
- 1170 Social Security

CONTRACTUAL SERVICE

- 1205 Freight Express/Drayage
- 1225 Repair & Maintenance, EDP Equip.
- 1231 Rental, Office Equipment
- 1237 Rental, Film & Audio/Visual Aids
- 1239 Rental, NEC
- 1242 Auditing & Management Services
- 1243 Book Binding & Processing Services
- 1244 Legal Fees
- 1245 Professional & Technical Services, NEC
- 1261 Postage
- 1266 Court Reporting & Filing Services
- 1274 Registration Fees & Conf. Exp. (Vendor)
- 1276 Registration Fees & Conf. Exp. (Employee)
- 1280 Copying, Photographic & Printing Serv.
- 1284 Computer Software
- 1289 Contractual Services, NEC

TRAVEL

- 1291 In-State
- 1292 Out-of-State

PRINTING

COMMODITIES

- 1304 Office/Library Supplies
- 1394 Office/Library Equip., Not exc. \$100
- 1398 Equipment, NEC
- 1399 Commodities, NEC

EQUIPMENT

- 1510 Office Furniture & Equipment
- 1515 EDP Equipment
- 1599 Equipment, NEC

TELECOMMUNICATIONS

- 1721 Rental, Telephone Serv. & Equip.
- 1722 Rental, Data Comm. Serv. & Equip.
- 1728 Video Conferencing
- 1729 Rental, Other Comm. Serv. & Equip.
- 1730 Parts & Supplies for Telephone
- 1740 Answering & Pag. Comm. Serv. Equip.
- 1750 Telephone, Data, Radio & Other Equip.
- 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

- 1893 Repair & Maint., Automotive Equipment
- 1894 Parts & Fittings, Automotive Equipment
- 1896 Gasoline, Oil & Antifreeze
- 1898 Automotive Services, NEC
- 1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

- 1993 Indirect Cost Allocation Refund \$0.00

GRANTS

- 4453 Reimbursement to Governmental Units
- 4470 Grants to Local Governments (VAID II)
- 4470 Grants to Local Governments (VAID III)
- 4470 Grants to Local Governments (VAID IV)
- 4470 Grants to Local Governments (VAID V) \$34,587.17 \$34,587.17

SECTION 261 GRAND TOTAL

FY14
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE	\$0.00	\$0.00
STATE PAID RETIREMENT	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$0.00	\$0.00
TRAVEL	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00
GRANTS	\$34,587.17	\$34,587.17

TOTAL	\$34,587.17	\$34,587.17	\$0.00
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STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

SECTION 251 - REQUIREMENTS	FY14	YEAR TO DATE
MONTH ENDING: Feb. 28, 2014	APPROPRIATION	EXPENDITURE
PERSONAL SERVICE		
1129 State Paid Retirement		
1161 Retirement		
1170 Social Security		
1180 Group Insurance		
CONTRACTUAL SERVICE		
1205 Freight Express/Drayage		
1223 Repair & Maintenance Real Property		
1225 Repair & Maintenance, EDP Equip.		
1231 Rental, Office Equipment		
1232 Rental, Motor Vehicles		
1237 Rental, Film & Audio/Visual Aids		
1239 Rental, NEC		
1242 Auditing & Management Services		
1243 Book Binding & Processing Services		
1244 Legal Fees		
1245 Professional & Technical Services, NEC	\$8,704.93	\$8,704.93
1261 Postage		
1266 Court Reporting & Filing Services		
1273 Advertising		
1274 Registration Fees & Conf. Exp. (Vendor)		
1276 Registration Fees & Conf. Exp. (Employee)		
1280 Copying, Photographic & Printing Serv.		
1284 Computer Software	\$28,576.43	\$28,576.43
1289 Contractual Services, NEC		
TRAVEL		
1291 In-State	\$284.51	\$284.51
1292 Out-of-State		
PRINTING		
COMMODITIES		
1304 Office/Library Supplies		
1308 Educational & Instructional Materials		
1394 Office/Library Equip., Not Exceed. \$100		
1398 Equipment, NEC		
1399 Commodities, NEC		
EQUIPMENT		
1510 Office Furniture & Equipment		
1515 EDP Equipment		
1561 Training Equipment		
6625 Leasehold Improvements		
TELECOMMUNICATIONS		
1721 Rental, Telephone Serv. & Equip.		
1722 Rental, Data Comm. Serv. & Equip.		
1728 Video Conferencing		
1729 Rental, Other Comm. Serv. & Equip.		
1730 Parts & Supplies for Telephone		
1740 Answering & Pag. Comm. Serv. Equip.		
1750 Telephone, Data, Radio & Other Equip.		
1799 Telecommunication Services, NEC		
OPERATION OF AUTO EQUIPMENT		
1893 Repair & Maint., Automotive Equipment		
1894 Parts & Fittings, Automotive Equipment		
1896 Gasoline, Oil & Antifreeze		
1898 Automotive Services, NEC		
1899 Automotive Expenses, NEC		
INDIRECT COST REFUNDS		
1993 Indirect Cost Allocation Refund		
GRANTS		
4453 Reimbursement to Govt Units (AVE)		
4453 Reimbursement to Govt Units (Phase II)	\$16,877.11	\$16,877.11
4453 Reimbursement to Govt Units (ALA)		
4453 Reimbursement to Govt Units (EDG)		
4470 Grants to Local Governments (VRS)		
4479 Payments to Other State Agencies		

SECTION 251 (OLD REQ) GRAND TOTAL	FY14	YEAR TO DATE	OBLIGATED
MONTH ENDING: Feb. 28, 2014	APPROPRIATION	EXPENDITURE	MONEY
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$37,281.36	\$37,281.36	\$31,726.22
TRAVEL	\$284.51	\$284.51	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$16,877.11	\$16,877.11	
TOTAL	\$54,442.98	\$54,442.98	\$31,726.22

STATE BOARD OF ELECTIONS
FY14 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - ADDITIONAL REQUIREMENTS MONTH ENDING: Feb. 28, 2014	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	
PERSONAL SERVICE			
1129 State Paid Retirement			
1161 Retirement			
1170 Social Security			
1180 Group Insurance			
CONTRACTUAL SERVICE			
1205 Freight Express/Drayage			
1223 Repair & Maintenance Real Property			
1225 Repair & Maintenance, EDP Equip.			
1231 Rental, Office Equipment			
1232 Rental, Motor Vehicles			
1237 Rental, Film & Audio/Visual Aids			
1239 Rental, NEC			
1242 Auditing & Management Services			
1243 Book Binding & Processing Services			
1244 Legal Fees			
1245 Professional & Technical Services, NEC			
1261 Postage			
1266 Court Reporting & Filing Services			
1273 Advertising			
1274 Registration Fees & Conf. Exp. (Vendor)			
1276 Registration Fees & Conf. Exp. (Employee)			
1280 Copying, Photographic & Printing Serv.			
1284 Computer Software			
1289 Contractual Services, NEC			
TRAVEL			
1291 In-State			
1292 Out-of-State			
PRINTING			
COMMODITIES			
1304 Office/Library Supplies			
1308 Educational & Instructional Materials			
1394 Office/Library Equip., Not Exceed. \$100			
1398 Equipment, NEC			
1399 Commodities, NEC			
EQUIPMENT			
1510 Office Furniture & Equipment			
1515 EDP Equipment			
1561 Training Equipment			
6625 Leasehold Improvements			
TELECOMMUNICATIONS			
1721 Rental, Telephone Serv. & Equip.			
1722 Rental, Data Comm. Serv. & Equip.			
1728 Video Conferencing			
1729 Rental, Other Comm. Serv. & Equip.			
1730 Parts & Supplies for Telephone			
1740 Answering & Pag. Comm. Serv. Equip.			
1750 Telephone, Data, Radio & Other Equip.			
1799 Telecommunication Services, NEC			
OPERATION OF AUTO EQUIPMENT			
1893 Repair & Maint., Automotive Equipment			
1894 Parts & Fittings, Automotive Equipment			
1896 Gasoline, Oil & Antifreeze			
1898 Automotive Services, NEC			
1899 Automotive Expenses, NEC			
INDIRECT COST REFUNDS			
1993 Indirect Cost Allocation Refund			
GRANTS			
4453 Reimbursement to Govt Units (AVE)			
4453 Reimbursement to Govt Units (Phase II)			
4453 Reimbursement to Govt Units (ALA)			
4453 Reimbursement to Govt Units (EDG)			
4470 Grants to Local Governments (VRS)	\$979,912.45	\$979,912.45	
4479 Payments to Other State Agencies			
SECTION 251 (NEW REQ) GRAND TOTAL	FY14 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$979,912.45	\$979,912.45	
TOTAL	\$979,912.45	\$979,912.45	\$0.00

Start Date	End Date	Activity	Division
2 /28/2014		Last day for election authorities to submit computerized voter registration file, for the Primary Election 2014. 10 ILCS 5/4-8,5-7,6-36	VRS
3 /3 /2014		First day for early voting at the office of the Election Authority and locations designated by the Election Authority. 10 ILCS 5/19A-15	EL INFO
3 /7 /2014		Date those jurisdictions utilizing in-precinct counting equipment must submit list of those precincts that will be open for the election. 10 ILCS 5/24B-15, 24C-15	VRS
3 /7 /2014		Notify state central committee chairmen of the time and place of SBE's random selection procedure for the election jurisdiction's 5% re-tabulation of early voting equipment. 10 ILCS 5/24A-15, 5/24B-15, 5/24C-15	VRS
3 /7 /2014		Notify state central committee chairmen of the time and place of SBE's random selection procedure for the election jurisdictions' 5% re-tabulation of precincts. 10 ILCS 5/24B-15 and 5/24C-15	VRS
3 /11/2014		Last day for election authority to notify public of time, date, and place of public test for General Primary. 10 ILCS 5/24B-9	VRS
3 /11/2014		Send verification letter to election authorities to confirm the precinct data they originally submitted for their 5% re-tabulation. 10 ILCS 5/24B-15,24C-15	VRS
3 /13/2014		Last day to conduct SBE tests of automatic tabulating equipment. 10 ILCS 5/24B-9, 24C-9	VRS
3 /15/2014		Last day of grace period registration, change of address and voting. 10 ILCS 5/4-50, 5-50, 6-100	EL INFO
3 /15/2014		Last day for early voting at the office of the Election Authority and locations designated by the Election Authority. 10 ILCS 5/19A-15	EL INFO
3 /17/2014		Last day of period during which independent expenditures of \$1000 or more made by a political committee must be reported electronically within five business days after making the independent expenditure. 10 ILCS 5/9-10(e).	CAMP DISC

Start Date	End Date	Activity	Division
3 /17/2014		Last day for election authorities to send a sealed copy of each tested program for the upcoming election to the State Board of Elections. The SBE secures the programs until the next General Primary Election. 10 ILCS 5/24B-9, 24C-9	VRS
3 /17/2014		BOARD MEETING. 10 ILCS 5/1A7, 22-7	EXEC DIR
3 /17/2014		Last day of period during which any receipt of a contribution of \$1000 or more by a political committee participating in the general primary election, must be reported within two business days following its receipt. 10 ILCS 5/9-10(c).	CAMP DISC
3 /18/2014		GENERAL PRIMARY ELECTION.	EL INFO
3 /19/2014		Provide the election authorities in those jurisdictions where in-precinct counting equipment is utilized a list of the precincts selected on a random basis by the State Board of Elections. 10 ILCS 5/24B-15, 24C-15	VRS
3 /19/2014		Destroy the sealed program or programs from the previous election of the same type. 10 ILCS 5/24B-9	VRS
3 /21/2014		Send notice to the current county party chairmen reminding them of their legal responsibility to provide the SBE with a list of their PRECINCT OFFICIALS. Their statutory deadline: April 28 2014. 10 ILCS 5/7-9	ET&RD
3 /21/2014		Committee Deadline Final day for SENATE committee action on SENATE bills. (Senate Rule) Final day for HOUSE committee action on HOUSE bills. (House Rule)	LEG
3 /21/2014		Begin review and update of the SBE ELECTION JUDGES TRAINING PROGRAM and TESTS for election judges. For the November General Election. 10 ILCS 5/1A-8 (1,2,3,6,11)	ET&RD

Start Date	End Date	Activity	Division
3 /21/2014		Begin development of the MANUALS OF INSTRUCTION FOR ELECTION JUDGES FOR 2015 Our goal will be to have the drafts ready for legal review by July 31. We must begin work on these books early so as to avoid scheduling conflicts with other projects such as any work required on possible statewide petition filing's) during the summer months and election judges' training sessions during the 2-month period before the General Election. 10 ILCS 5/1A-8 (1, 2, 3, 4, 11)	ET&RD
3 /25/2014		Begin review of computer operator's logs for the performance of automatic tabulating equipment used in the General Primary Election. (Rules and Regulations)	VRS
3 /30/2014		Send to each election authority a current computerized voter registration error report, from the Primary Election 2014 submission.	VRS
3 /30/2014		Send notice to election authorities who failed to submit a computerized voter registration file, for the Primary Election 2014. Rules and Regulations	VRS
4 /1 /2014		First day that any political committee shall file its 2014 MARCH QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.	CAMP DISC
4 /1 /2014		Last day for local election authorities to count absentee, military & overseas ballots which were postmarked by midnight March 18, 2014. 10 ILCS 5/19-8(c), 20-8(c)	LEG
4 /2 /2014		Last day for election authority to notify public of time, date, and place of re-tabulation for General Primary. 10 ILCS 5/24B-15	VRS
4 /2 /2014		Begin canvass as soon as abstracts are received from county clerks. (Complete by: April 18, 2014 - depends on how soon abstracts are received from county clerks.) 10 ILCS 5/1A-8 (1, 2, 6, 11)	EL INFO
4 /4 /2014		Send notification reminder to election officials who failed to submit their computer operator's log or analogous information. 10 ILCS 5/24A-13, 24B-13, 24C-14 (Rules and Regulations)	VRS

Start Date	End Date	Activity	Division
4 /8 /2014		Last day for canvassing of election results (March 18 Primary Election) by proper canvassing boards. (Exception: SBE as canvassing board) 10 ILCS 5/18A-15(a)	EL INFO
4 /8 /2014		Link report due from LEO's to SBE 10 ILCS5/19-20, 20-20	LEG
4 /15/2014		Last day that a political committee shall file its 2014 MARCH QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.	CAMP DISC
4 /15/2014		The Board will refer to the Attorney General of Illinois for compliance enforcement each jurisdiction whose computerized voter registration submission has not been received for the Primary Election 2014 submission.	VRS
4 /18/2014		Begin preparing the Certificates of Nomination and Election. 10 ILCS 5/1A-8 (1, 2) 5/7-58	EL INFO
4 /18/2014		(date subject to change) 3rd Reading Deadline Final day on which SENATE bills may be called for a final vote on 3rd reading in the SENATE. (Senate Rules) Final day on which HOUSE bills may be called for a final vote on 3rd reading in the HOUSE. (House Rules)	LEG
4 /18/2014		Post election survey due from the LEO's to the SBE.	LEG
4 /18/2014		Complete CANVASS. Completion date depends on how soon abstracts are received from the county clerks. Generate material for the "List of Candidates Elected and Nominated" and for the "Official Vote" publication. 10 ILCS 5/1A-8(1, 2, 6, 11); 18A-15(a)	EL INFO
4 /18/2014		BOARD MEETING. (Proclamation) 10 ILCS 5/1A7	EXEC DIR
4 /18/2014		Secure from each election authority a copy of precinct voting abstracts for the General Primary Election for filing in the SBE Research Library. 10 ILCS 5/1A-8 (11), 22-15	EL INFO
4 /21/2014		Distribute campaign financing materials and notices to all newly elected county chairmen, state central committee chairmen, and ward and township committeemen. 10 ILCS 5/1A-8 (1,2,4,11) 10 ILCS 5/9-15.	CAMP DISC

Start Date	End Date	Activity	Division
4 /21/2014		Begin preparation of the 2015 Election and Campaign Finance Calendar.	EL INFO
4 /21/2014		Begin compiling weighted vote figures for legislative and representative committees.	EL INFO
4 /28/2014		Last day for the chairmen of all county central committees to file with the State Board of Elections the names and addresses of their OFFICERS and PRECINCT COMMITTEEMEN. 10 ILCS 5/7-9	ET&RD
4 /29/2014		Complete analysis of Referenda Profile. 10 ILCS 5/1A-8 (1, 2, 12)	VRS
5 /1 /2014		Notify election authorities of requirement to submit computerized voter registration information for the May 15, 2014 submission in a format prescribed by the SBE.	VRS
5 /1 /2014		Begin preparation of packet materials for 2014 JUNE QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Packets to be mailed or e-mailed May 30, 2014. 10 ILCS 5/9-15.	CAMP DISC
5 /1 /2014		Date upon which the State Board of Election shall notify political committees that have failed to file the 2014 MARCH QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES by the deadline that an assessment will be levied against the committee for failure to file the report in a timely fashion. Rules and Regulations 125.425.	CAMP DISC
5 /1 /2014		Begin compiling PARTY OFFICIALS INFORMATION by county. Upon completion make data available to general public on disc and post completed listings on our web site. (Complete by: 6/2/2014) 10 ILCS 5/1A-8(1, 2, 6, 11)	ET&RD
5 /5 /2014	5 /30/2014	NOTE: During this time period the State Board of Elections shall design a standard and scientific random sampling method to verify petition signatures and shall conduct a public test to prove its validity. Notice of the time and place for such test shall be given at least 10 days before such test. For statewide advisory questions only. 10 ILCS 5/28-11	ET&RD, IT
5 /5 /2014		Last day to file petitions with the Secretary of State to amend Article IV of the State Constitution. III. Const., Art. XIV, Sec. 3; 10 ILCS 5/28-9	ET&RD

4. **Follow up. (pg.)**

5. **Comments from the general public. (pg.)**

6. **Next regular Board meeting at 10:30 a.m. on Friday, April 18, 2014 in Chicago. (pg.)**

7. **Executive session. (pgs.)**