

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

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Springfield, Illinois 62708
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James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago, Illinois 60601
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BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

AGENDA
STATE BOARD OF ELECTIONS
BOARD MEETING
Tuesday, August 16, 2011
9:30 a.m.

James R. Thompson Center – Room 2-025
Chicago, Illinois
and via videoconference
1020 South Spring Street
Springfield, Illinois

Roll call.

1. New Board Member orientation from 9:30 a.m. – 10:30 a.m. (pg.1-1.k)
2. Approval of the minutes from the June 14 and July 1 meetings. (pgs.2-11)
3. Report of the General Counsel
 - a. Campaign Disclosure;
Appeals of campaign disclosure fines – carryover from June & July – hearing officer recommendation appeals be denied
 - 1) *SBE v. Citizens for Lightford*, 13872, 11MA004; (pgs.12-16)
 - 2) *SBE v. Cuba Township Republican Club*, 4880, 11DS024; (pgs.17-20)Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be granted
 - 3) *SBE v. Peoples Energy-PAC*, 611, 11AM008; (pgs.21-28)
 - 4) *SBE v. North Central IL Laborers Council PAC*, 630, 11AM009; (pgs.29-34)
 - 5) *SBE v. IL Machinist Non-Partisan Political League*, 758, 11AM013; (pgs.35-45)
 - 6) *SBE v. IUOE Local #649 PAC*, 1155, 11AM016; (pgs.46-48)
 - 7) *SBE v. Dan Rutherford Campaign Committee*, 6752, 11AM074; (pgs.49-55)
 - 8) *SBE v. Builders Association PAC*, 6830, 11AM075; (pgs.56-59)
 - 9) *SBE v. FOP Action IL State Lodge*, 11793, 11AM003; (pgs.60-63)
 - 10) *SBE v. Friends for Hultgren*, 13611, 11DS288; (pgs.64-66)
 - 11) *SBE v. Friends of John Sullivan*, 16708, 10AG073; (pgs.67-70)
 - 12) *SBE v. Friends of District 214*, 20059, 11DS295; (pgs.71-73)
 - 13) *SBE v. Friends to Elect Toni L. Foulkes*, 20107, 11AJ011; (pgs.74-92)
 - 14) *SBE v. Friends of Stanley Moore*, 20715, 10JS235; (pgs.93-102)
 - 15) *SBE v. Citizens for Kay*, 20925, 10AG077; (pgs.103-107)
 - 16) *SBE v. Indian Prairie Education Assn. PAC for Education*, 21085, 11AM113; (pgs.108-114)
 - 17) *SBE v. Citizens for Circuit Judge Diane Winter*, 22079, 10AG080; (pgs.115-127)

- 18) *SBE v. Citizens to Elect Steve Egan*, 22615, 10GE035; (pgs.128-131)
19) *SBE v. Gery Chico for Mayor*, 22977, 11AM126; (pgs.132-138)
Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be denied
20) *SBE v. LaSalle County Democratic Central Committee*, 121, 11AM001; (pgs.139-141)
21) *SBE v. Knox County Democratic Central Committee*, 287, 11AJ002; (pgs.142-144)
22) *SBE v. Illinois Hospital Association PAC (IHA PAC)*, 675, 11AG066; (pgs.145-148)
23) *SBE v. IL Coin Machine Operators Assoc. PAC*, 712, 11AM012; (pgs.149-151)
24) *SBE v. Champaign County Republican Central Committee*, 992, 10AG043; (pgs.152-154)
25) *SBE v. Trucking Industry PAC*, 1021, 11AM014; (pgs.155-160)
26) *SBE v. Naperville Township Republican Org.*, 4987, 11AM073; (pgs.161-164)
27) *SBE v. Citizens for Moffitt*, 7982, 11AJ005; (pgs.165-167)
28) *SBE v. Friends for Poe*, 9963, 11AM021; (pgs.168-171)
29) *SBE v. Illinois Staffing Association*, 13984, 10JS234; (pgs.172-175)
30) *SBE v. Citizens for Fred Crespo*, 18583, 10AG053; (pgs.176-179)
31) *SBE v. The New Students First Party*, 18578, 11AM096; (pgs.180-183)
32) *SBE v. Citizens to Elect Charles Laskonis*, 18887, 10JS238; (pgs.184-188)
33) *SBE v. 23rd Ward Regular Democratic Organization*, 19167, 11AM100; (pgs.189-192)
34) *SBE v. ActBlue Illinois*, 19891, 11AM045; (pgs.193-199)
35) *SBE v. Arab American Democratic Club*, 20046, 11AM107; (pgs.200-204)
36) *SBE v. Citizens to Elect Diane Seiler*, 21566, 10JS241; (pgs.205-207)
37) *SBE v. Committee to Defeat Judge Kathleen Pantle*, 22971, 11DS321; (pgs.208-210)
38) *SBE v. Citizens for Beverly D. Rogers*, 23107, 11D104; (pgs.211-219)
39) *SBE v. Committee to Elect Jeff Radford, Sr.*, 23393, 11AM146; (pgs.220-223)
40) *SBE v. United for 89*, 23420, 11AM149; (pgs.224-227)
41) *SBE v. Hannah 4 LFHS*, 23484, 11AM155; (pgs.228-230)
42) *SBE v. New Children's First Party*, 23568, 11MA041; (pgs.231-235)
Appeals of campaign disclosure fines – new appeals – hearing officer recommendation appeals be granted & denied
43) *SBE v. Friends of James G. Burke*, 14785, 11AM028; (pgs.236-239)
44) *SBE v. Orland Park United Party*, 23147, 11AM133; (pgs.240-245)
Other campaign disclosure items
45) Request for payment plan – *Cook County Democratic Women*; (pgs.246-247)
46) Assessments/Final Orders; (pgs.248-250)
47) Payment of civil penalties – informational; (pgs.251-252)
Complaints following closed preliminary hearing (separate packet)
48) *Zurek v. Friends of Barrett F. Pederson & Democratic Party of Leyden Township*, 11CD025 – carryover from July; (pgs.1-28)
49) *Sherman v. Indian Trails Public Library District, et. al.*, 11CD023; (pgs.29-55)
50) *Sorock v. Citizens for Wilmette Schools*, 11CD026; (pgs.56-75)
51) *Quinlan v. Friends of Pete Quattrocchi*, 11CD130; (pgs.76-99)
Complaints following closed preliminary hearing – proceed to public hearing
52) *SBE v. Morton Quality in Education Committee*, 11CD029; (pgs.100-103)
53) *SBE v. Citizens to Elect Eric J. Kellogg*, 11CD033; (pgs.104-107)
54) *SBE v. Citizens for Gaughan*, 11CD034; (pgs.108-111)
55) *SBE v. 47th Ward Political Fund*, 11CD035; (pgs.112-115)
56) *SBE v. First Ward Org.*, 11CD043; (pgs.116-119)

- 57) *SBE v. Friends for Michelle Chavez*, 11CD047; (pgs.120-123)
 - 58) *SBE v. New Lenox Democrats*, 11CD049; (pgs.124-127)
 - 59) *SBE v. Friends for Peter Garza*, 11CD050; (pgs.128-131)
 - 60) *SBE v. Friends of Mickie Polk*, 11CD055; (pgs.132-135)
 - 61) *SBE v. Citizens United for Change in the 20th Ward*, 11CD058; (pgs.136-139)
 - 62) *SBE v. Taxpayers United for Fairness*, 11CD061; (pgs.140-143)
 - 63) *SBE v. Friends of Mary Schneider*, 11CD071; (pgs.144-147)
 - 64) *SBE v. Friends of Frank Calabrese*, 11CD074; (pgs.148-151)
 - 65) *SBE v. Friends of Jeff Houston for Alderman Ward 6*, 11CD081; (pgs.152-155)
 - 66) *SBE v. Working People's Campaign Committee for Honest IL Governors*, 11CD084; (pgs.156-159)
 - 67) *SBE v. The Committee to Elect Tracy McLeMore*, 11CD085; (pgs.160-163)
 - 68) *SBE v. The Friends to Elect Trenton Fedrick*, 11CD087; (pgs.164-167)
 - 69) *SBE v. Friends of Leo C. Watkins*, 11CD088; (pgs.168-171)
 - 70) *SBE v. Friends of Eric Patterson*, 11CD102; (pgs.172-175)
 - 71) *SBE v. Frederick James for Mayor*, 11CD103; (pgs.176-179)
 - 72) *SBE v. Illinois Black Business PAC*, 11CD104; (pgs.180-183)
 - 73) *SBE v. Tea Party of Illinois*, 11CD106; (pgs.184-187)
 - 74) *SBE v. People for Beachem*, 11CD112; (pgs.188-191)
 - 75) *SBE v. Committee on Home Ownership*, 11CD125; (pgs.192-195)
 - 76) *SBE v. Pulaski County Republican Boosters Club*, 11CD126; (pgs.196-199)
- Complaints following closed preliminary hearing – no public hearing
- 77) *SBE v. Phillips for Mayor*, 11CD046; (pgs.200-204)
 - 78) *SBE v. New Democracy Fund*, 11CD052; (pgs.205-208)
 - 79) *SBE v. Mary Beth Welch Collins Campaign Fund*, 11CD066; (pgs.209-212)
 - 80) *SBE v. Lakefront Democratic Fund*, 11CD067; (pgs.213-216)
 - 81) *SBE v. Committee to Elect Steven G. Watkins*, 11CD091; (pgs.217-220)
 - 82) *SBE v. Friends of Keith L. Jackson*, 11CD093; (pgs.221-223)
 - 83) *SBE v. Concern Citizens to Build a Better Government*, 11CD094; (pgs.224-227)
 - 84) *SBE v. Friends of Frank McPartlin*, 11CD097; (pgs.228-233)
 - 85) *SBE v. Project Mobilize*, 11CD118; (pgs.234-241)
 - 86) *SBE v. ERA PAC*, 11CD128. (pgs.242-245)

4. Report of the Executive Director

- a. Report on electronic canvass; (pg.253)
- b. Judicial petition filing policy statement; (pg.254)
- c. East St. Louis citywide canvass – informational; (pg.255)
- d. NASED conference – informational; (pg.256)
- e. Consideration of final approval of ES&S M650 Central Count Optical Scan; (pg.257-259)
- f. Legislative update; (oral report)
- g. Campaign Finance Reform Task Force Meeting; (pg.260)
- h. Amendment to BEREP Rule; (pgs.261-262)
- i. IVRS update – informational; (pgs.263-267)
- j. Census data versus registered voters; (pg.268)
- k. Springfield office facility update; (pg.269)
- l. FY11 Lump Sum update; (pg.270)
- m. Fiscal status reports – informational;
 - 1) FY11 – months ending June 30 & July 31; (pgs.271-287)
 - 2) Help Illinois Vote Fund; (pgs.288-299)
- n. Two year plan of staff activity for the months of August & September – informational. (pgs.300-301)

5. Follow up
 - a. RFI posting and evaluation; (pgs.302-303)
 - b. Delegate selection for the Democratic National Convention update. (pg.304)
6. Consideration of request to change the September Board Meeting date to September 19. (oral report)
7. Comments from the general public. (pg.305)
8. Next Board meeting, Tuesday, September 20, 2011 in Springfield. (pg.305)
9. Executive session. (pgs.306-311)

STATE BOARD OF ELECTIONS

MEMORANDUM

*From the desk of:
Rupert T. Borgsmiller, Executive Director*

TO: Chairman McGuffage
Vice Chairman Smart
Members of the Board

SUBJECT: New Board Member Orientation

DATE: August 9, 2011

The New Board Member Orientation will be conducted from 9:30 a.m. – 10:30 a.m.
The material for the orientation is attached.

Attachment

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Reports – Reporting Periods, Filing Periods, and Penalties
August 2011

I. Quarterly Reports

a. Reporting and Filing Periods

- i. March Quarterly Report
 1. Reporting Period
 - a. 1/1 through 3/31
 2. Filing Period
 - a. 4/1 through 4/15
- ii. June Quarterly Report
 1. Reporting Period
 - a. 4/1 through 6/30
 2. Filing Period
 - a. 7/1 through 7/15
- iii. September Quarterly Report
 1. Reporting Period
 - a. 7/1 through 9/30
 2. Filing Period
 - a. 10/1 through 10/15
- iv. December Quarterly Report
 1. Reporting Period
 - a. 10/1 through 12/31
 2. Filing Period
 - a. 1/1 through 1/15

b. Civil Penalty Assessments

- i. Receipts, expenditures, and balance remaining - \$5000 or less
 1. First violation of quarterly - \$25 per business day
 2. Second violation of quarterly - \$50 per business day
 3. Third and subsequent violation - \$75 per business day
 4. Maximum of \$5000
- ii. Receipts, expenditures, or balance remaining – more than \$5000
 1. First violation of quarterly - \$50 per business day
 2. Second violation of quarterly - \$100 per business day
 3. Third and subsequent violation - \$200 per business day
 4. Maximum of \$5000
- iii. No civil penalty if postmarked & mailed more than 72 hrs prior to deadline

II. Schedule A-1 Reports

- a. *Law Effective January 1, 2011 – amounts received in 2011*
 - i. Reporting and Filing Requirements
 1. Any contribution of \$1000 or more must be reported on an A-1
 2. If received within 30 days of election
 - a. A-1 filed within 2 business days
 3. If received at any other time during the year
 - a. A-1 filed within 5 business days
 - ii. Civil Penalty Assessments
 1. Negligent or inadvertent violations
 - a. Initial assessment by staff assume violations negligent or inadvertent
 - b. Each contribution separate violation
 - c. Staff shall assess at 50% of contribution – Further reduce as follows:
 - i. First violation of A-1 – Reduced to 10%
 - ii. Second violation of A-1 – Reduced to 50%
 - iii. Third and subsequent violation of A-1 - 100%
 - d. All contributions within a quarter assessed at same level
 - e. Board may waive civil penalty
 2. Willful or wanton violations
 - a. May assess from 10% to 150% of contribution
 - b. No specific policy regarding percentages
 - c. May not waive penalty
- b. *Law Effective through December 31, 2010 – amounts received by that date*
 - i. Reporting and Filing Requirements
 1. Any contributions of more than \$500 in aggregate received from one source during the 30 days prior to an election must be reported on an A-1
 - a. A-1 must be filed within 2 business days
 - ii. Civil Penalty Assessments
 1. Staff shall assess at 100% of contribution – Further reduce as follows:
 - a. First violation of A-1 – Reduced to 10%
 - b. Second violation of A-1 – Reduced to 50%
 - c. Third violation of A-1 – Reduced to 75%
 - d. Fourth and subsequent violation of A-1 – 100%
 2. All contributions for an election assessed at same level

III. **D-1 Statement of Organization**

- a. *Date of Creation*
 - i. When committee either receives or expends in excess of \$3,000 within any 12 month period
- b. *Filing Period*
 - i. Must be filed within 2 business days if Date of Creation is within 30 days before election
 - ii. Must be filed within 10 business days if Date of Creation is more than 30 days prior to an election
- c. *Civil Penalty Assessments*
 - i. \$50 per business day late
 - ii. Not to exceed \$5,000, or \$10,000 for statewide candidates

IV. **Contribution Limits**

- a. *Amount received in excess of limits shall escheat to State – no Board discretion*
- b. *Civil Penalty Assessments*
 - i. Initial assessment by staff at 150% of amount in violation of limits – Reduce as follows:
 - 1. First violation of contribution limits – Reduced to 10% of amount in violation of limits
 - 2. Second violation of contribution limits – Reduced to 50% of amount in violation of limits
 - 3. Third violation of contribution limits – Assessed at 100% of amount in violation of limits
 - 4. Fourth & subsequent violation of contribution limits – Assessed at 150% of amount in violation of limits
 - ii. Each violation a separate penalty
 - iii. All violations within a quarter assessed at same level
 - iv. Board has discretion to waive civil penalty, but not to waive escheated amount

V. **B-1 Reports (reports of independent expenditures of \$1,000 or more made within 30 days before an election)**

- a. *Must be filed electronically*
- b. *No penalties in the statute*
- c. *Reporting and Filing Periods*
 - i. 2011 CP
 - 1. Received from 1/24/11 through 2/21/11, file within 5 business days
 - ii. 2011 CE
 - 1. Received from 3/7/11 through 4/4/11, file within 5 business days
 - iii. 2012 GP
 - 1. Received from 2/19/12 through 3/19/12, file within 5 business days
 - iv. 2012 GE
 - 1. Received from 10/7/12 through 11/5/12, file within 5 business days

VI. Semi Annual Reports (2010 December semi-annual report, last semi-annual report to be filed)

a. Reporting and Filing Periods

- i. June 2010 Semi Annual Report
 1. Reporting Period
 - a. 1/1/10 through 6/30/10
 2. Filing Period
 - a. 7/1/10 through 7/20/10
- ii. December 2010 Semi Annual Report
 1. Reporting Period
 - a. 7/1/10 through 12/31/10
 2. Filing Period
 - a. 1/3/10 through 1/20/10

b. Civil Penalty Assessments

- i. Receipts, expenditures, and balance remaining - \$5,000 or less
 1. First violation of semi-annual - \$25 per business day
 2. Second violation of semi-annual - \$50 per business day
 3. Third and subsequent violation of semi-annual - \$75 per business day
 4. Maximum of \$5000, except \$10,000 for statewide candidates
- ii. Receipts, expenditures, or balance remaining – more than \$5,000
 1. First violation of semi-annual - \$50 per business day
 2. Second violation of semi-annual - \$100 per business day
 3. Third and subsequent violation of semi-annual - \$200 per business day
 4. Maximum of \$5000, except \$10,000 for statewide candidates

VII. Pre-Election Reports (2010 GE Pre-election Report, last Pre-election Report to be filed)

a. Reporting and Filing Periods

- i. 2010 GE Pre-election Report
 1. Reporting Period
 - a. 7/1/10 through 10/3/10
 2. Filing Period
 - a. 10/4/10 through 10/18/10

b. Civil Penalty Assessments

- i. Receipts, expenditures, and balance remaining - \$5,000 or less
 1. First violation of pre-election - \$100 per business day
 2. Second violation of pre-election - \$200 per business day
 3. Third and subsequent violation of pre-election - \$300 per business day
- ii. Receipts, expenditures, or balance remaining – more than \$5,000
 1. First violation of pre-election - \$200 per business day
 2. Second violation of pre-election - \$400 per business day
 3. Third and subsequent violation of pre-election - \$600 per business day

Campaign Disclosure Policy Topics for SBE Members' Orientation

Closed Preliminary Hearings

Justifiable grounds standard: A complaint must have some basis in law and fact. "For complaint of violations of campaign disclosure act to be filed upon justifiable grounds there must be some preliminary showing that complaint was based on reasonable grounds and that violations alleged were within the ambit of cognizance of the SBE under the Act; thus, to sustain his burden under the Act, plaintiff must make allegations with some degree of substance that acts were performed which constituted violations of the Act." Troy v. State Board of Elections

The determination of whether a complaint was filed upon justifiable grounds is done at the meeting at which the Board hears the complaint. The assigned hearing officer initially hears the party's presentations and makes a recommendation. Though the hearing officer may determine that the complaint was filed in good faith (as opposed to willfully filing a false complaint; a Class B misdemeanor), for it to proceed to public hearing, it must be determined by the Board to have been filed upon justifiable grounds.

Rule 125.262 a) requires a public hearing if a complaint is determined to have been filed upon justifiable grounds, and if the respondent is unwilling to take action to correct the violation or refrain from conduct giving rise to the violation.

If the Board fails to determine that the complaint was filed upon justifiable grounds, it must dismiss the complaint without further hearing. [Section 9-21]. Five members of the Board are necessary to constitute a quorum, and 5 votes are necessary for any action of the Board to become effective. [Section 1A-7]. This means that for a complaint to be determined to have been filed upon justifiable grounds, (and therefore proceed to public hearing), the Board must so determine by at least 5 votes. If a motion to determine justifiable grounds fails, the matter is automatically dismissed.

Tie votes Tie votes result in failure of the motion. Such votes are judicially reviewable however. Cook County Republican Party v. Illinois State Board of Elections. Typically, a motion is made to adopt the recommendation of the hearing officer and General Counsel to either find justifiable grounds and set the matter for public hearing (or where the respondent has admitted to the violation and agreed to take necessary action or refrain from certain action, to not require a public hearing) or to not find justifiable grounds and dismiss the complaint. The Board may also move to not adopt the recommendation of the hearing officer and General Counsel. In either case, to facilitate a meaningful judicial review, there must be sufficient findings in the record for the court to review. They will not apply a *de novo* standard, but rather will determine if the Board's findings, based on applying the facts to the law, were clearly erroneous. Thus, the Appellate Court has held that Board members who vote against the recommendation of the hearing officer and General Counsel, must state their reasons for dismissing a complaint. Illinois Campaign for Political Reform v. Illinois State Board of Elections. Therefore, it is suggested

that if members of the Board are in agreement with the recommendation of the hearing officer or General Counsel (or both) that they state that fact as the basis of their motion. If they disagree with both, they would need to articulate their reasons for dissent, lest they have the case remanded back to the Board for such statement.

Filing of complaints - Time frames: Section 9-21 requires a complaint to be disposed of within 60 days of its filing. Complaints filed within 60 days of an election alleging a violation(s) in connection with that election must be heard within 7 days after receipt of the complaint. This is commonly known as the “7 day calendar”. Complaints filed within 7 days of an election, must be disposed of prior to the election to which it relates, if possible. Technically, Section 9-21 requires the Board to render its final judgment within 7 days. This timeframe is virtually impossible to be complied with, as a complaint must be filed, received, heard by a hearing officer, a recommendation must be issued, reviewed by the General Counsel and presented to the Board for their determination of whether it has been filed upon justifiable grounds. If the Board so determines, it must be set the matter for public hearing, the requisite notice must be given to the parties, discovery may be involved, a hearing must be held, a recommendation containing findings of fact and conclusions of law must be issued and reviewed by the General Counsel, the parties have an opportunity to provide written comment, and the Board then has to meet and hear the case. To do this in a 7 day period is a virtual impossibility.

Open Public Hearings

Once the Board finds that a complaint has been filed upon justifiable grounds, the Board may order the complaint to proceed to public hearing. The main procedural difference between the closed and the open hearing, other than the obvious distinction that the open hearing may be attended by any member of the public, is that the open hearing allows for comprehensive discovery, particularly subpoenas. Either party may request subpoenas as may the hearing officer. They are directed to the General Counsel who issues them pursuant to the direction of the hearing officer. The hearing officer may quash or modify the subpoena if he/she finds them “unreasonable or oppressive”. Other discovery tools include interrogatories, requests to admit and depositions. Rule 125.350 and 125.360 govern discovery procedures. The other main difference is that open hearings must be transcribed by a court reporter. Such transcript need not be produced unless requested by a party or the hearing officer, or in the event the matter goes up on appeal, in which case the transcript is part of the official record.

After review of the recommendation of the hearing examiner and General Counsel, the Board must render a final decision and issue the same in the form of a Final Order. Once issued, the aggrieved party has 7 days to file a petition for review directly with the Appellate Court. [Section 9-22]. In the alternative, Rule 125.440 provides for the aggrieved party to submit a

Motion to Reconsider to the Board. This must also be done within 7 days of the issuance of the Final Board Order, however such a motion is not a pre-requisite to seeking judicial review.

Appeals of Civil Penalties

The Board will be faced with appeals of civil penalty assessments for delinquent filing of various reports (Quarterly, Schedule A-1, D-1 Statements of Organization and possibly Independent Expenditure Reports required of natural persons). A few rules and policies that the Board members should be aware of:

Electronic Filing Defense – Rule 100.150(c) 5) allows the Board to consider a defense based on difficulties encountered when attempting to file reports electronically. As a policy the Board in the past has granted appeals based on this defense where it is used for the first time. Subsequent uses of this defense are scrutinized a little more closely, however if the circumstances were beyond the control of the committee filer, and where the filer has demonstrated a good faith effort at attempting to timely file, the Board has excused such committees, or at least has reduced the fine.

Defense Presented to the Board – Rule 125.425(f) restricts the defense that may be presented before the Board. Committee officers or candidates who appear before the hearing officer or who submit the appeal by affidavit must present all defenses available, or they waive them. Defenses that could have been presented at the hearing but were not, may not be considered by the Board.

Board Discretion/Settlement Offers – Under the Campaign Finance Act prior to the recent reform amendments, the Board had statutory discretion to set penalties for late Schedule A-1 Reports (Reports of contributions in excess of \$500 received within 30 days of an election). Such penalties could then be appealed by the committee. The Board had the authority to either not assess a fine (by granting the appeal) or assessing a penalty between 10% and 100% of the delinquently reported contribution, and was required to take into account certain factors spelled out in the Act. For Semi-annual and Pre-election Reports however, the statute simply imposed a penalty not to exceed \$5,000 (\$10,000 for a committee formed to support a Statewide candidate or public official). The accompanying rules set a per day penalty amount based on the committee's receipts, expenditures and ending balance on its Semi-annual Report. From time to time, committees would appear before the Board and offer a settlement of a previously assessed penalty. Since the statute did not provide any factors to consider in terms of mitigating the penalty, I and certain previous Board Members took the position that a penalty could not be negotiated.

The recent amendments to the Act now contain factors to be considered by the Board when assessing penalties for late Quarterly Reports (The Pre-election and Semi-annual reports were eliminated by the new amendments.). Thus it appears the Board now has discretion to reduce a

penalty, either on their own based on a committee's appeal, or pursuant to a settlement offer. The discretion for reducing Schedule A-1 penalties still exists under the "new" law.

Amnesty

Personal Loans:

- Political committees, particularly the smaller committees (what we refer to as "Mom and Pop" or "One and Done" don't always realize that when they loan their campaign money from their own personal funds, or when they pay for items themselves, it is by law a contribution, and the value of the loan or payment, if it exceeds \$1,000, requires a prompt reporting on a Schedule A-1. Failure to so report results in a fine up to 50% of the value of the contribution. Since most of these committees (as part of their appeal of the assessment) claimed that they did not realize these loans and payments were reportable contributions, it was decided that they be given a one-time excusal. Staff sends the committee a letter informing them of the unreported contribution, and what the penalty would have been, and a warning that if a future loan or personal payment is not timely reported, the committee will be assessed a penalty. We felt that this was fair, and consistent with the overall goal of the Campaign Finance Division; timely disclosure.

First Report Following Change in the Law:

- The Campaign Finance Act was recently, and dramatically amended. One of the changes was the filing of a Quarterly Report, which replaced the filing of the Pre-election and Semi-annual Reports. In addition to a brand new report (albeit reporting basically the same information as was reported on the Semi-annual), a new electronic filing system was put in place, that users had to download, and figure out how to use. In light of the number of committees that had (and still have) electronic filing difficulties, and the number of committees who filed the first Quarterly report beyond the April 15 deadline, it was decided by the previous Board to grant an amnesty to such late filing committees, as long as the report was received no later than June 11.

Stayed Penalties

Under Rule 125.425(h), committees who violate the Act by filing a late report: (D-1 Statement of Organization, Quarterly Report or Schedule A-1) for the first time, and are assessed a civil penalty for such delinquency, will have this first assessed penalty stayed. If the committee commits a second violation, such stay is lifted, and the total amount of the penalties (stayed penalty + second penalty) is due and owing. Please note that the second violation triggering the lifting of the stay is not limited to the same type of report whose late filing gave

rise to the first penalty. For purposes of the "Stay Rule", each filed delinquently filed contribution is a separate violation. Therefore, if multiple contributions are reported late, even if they are reported on the same Schedule A-1, the penalty cannot be stayed, as it would constitute a multiple violation.

Prior to the enactment of the new campaign disclosure reform legislation, if a non-candidate committee failed to register as a political committee after having qualified as such (by virtue of exceeding the \$3,000 threshold of receipts and/or expenditures), and the committee subsequently filed a D-1 Statement of Organization and at least one Semi-annual Report (often, they would file multiple disclosure reports covering the reporting periods from the date of creation to the date they filed), the Board would consider the filing as a one-time filing for purposes of staying the penalty. The rationale is that non-candidate committees do not file nominating petitions and would therefore not receive a Notice of Obligation that candidates receive when they file nominating petitions. Such Notice informs candidates of their obligation to file campaign finance reports. Since non-candidate committees did not have the benefit of this Notice, the Board gave them a break by treating the multiple filings as one filing, and staying the corresponding penalty. At this point, it is not clear if this can be applied to the three non-candidate committees provided for by the newly amended Campaign Finance Act (Political Party, Political Action and Ballot Initiative Committees).

Mandatory Electronic Filing

Rule 100.150 mandates the electronic filing of disclosure reports if the committee receives, spends or has an ending balance following a quarterly reporting period of \$10,000 or more. If a committee files a report on paper when it was required to file electronically, the staff will send a letter to the committee informing it of its obligation to file electronically, and the committee will be given 30 days from the mailing of the notice to so file. If it does not electronically file within this 30 day period, the paper report will be considered a non-filing and the committee will be assessed the appropriate penalty for delinquent filing, beginning on the date the report was originally due. Any subsequent paper filings following the above notification will be treated as a non-filing. Reports on paper filed by committees that have not reached the \$10,000 electronic filing threshold will be accepted, regardless of whether the committee previously filed electronically.

Judicial Review

Like third party complaints, Final Board Orders assessing a penalty or disposing of an appeal are subject to judicial review. Such review must be filed within 7 days of the issuance of the Order and is filed directly in the Appellate Court. The effect of such order shall not be stayed, unless a petition for same is granted by the Court. It is not certain whether a party must avail itself of the administrative appeal process as a prerequisite to seeking judicial review of a Board Order assessing a civil penalty for delinquent filing of a campaign disclosure report. My

guess it that it is not a prerequisite, as the statute doesn't require an appeal to be filed prior to seeking judicial review.

General Information

Appearances

Parties may appear before the Board in one of two ways: Pro se, or by an attorney, licensed to practice in Illinois. Attorneys licensed to practice in other states may appear before the Board *pro hac vice*, provided they obtain permission from the Illinois Supreme Court. Parties may be assisted by other persons for purposes of technical assistance or consultation. [Rule 125.60 and 125.70]

Final Reports / Abated Penalties

Committees may elect to file a Final Report, if they wish to dissolve and no longer engage in fundraising activities. Many committees choose to exercise this option, particularly where the candidate decides not to run again or where the committee no longer is engaged in its original purpose (supporting a referenda, supporting a particular public policy, etc.) and quite often the committee also owes a civil penalty that it has difficulty paying. Rule 100.110 states that if a committee seeks to go out of existence while it owes the SBE a civil penalty, it must first pay off as much of the penalty as it can. It then may pay off any other outstanding debts. The important thing is that the committee must have a 0 balance in order to file a Final Report. If the committee remains dissolved for a period of 2 years following the date of the Final Order assessing the penalty without forming a successor committee, the penalty will be abated following the end of the two year dissolution period. A successor committee is one that is formed containing one or more of the same officers, or formed for the same purpose, or for the support of the candidacy of the same person regardless of office. [Rule 100.110]

Committees who are assessed a civil penalty but who do not incur any other civil penalties within a two year period following the mailing of the assessment letter, (remember that penalties assessed for the first time are automatically stayed) will be treated as not having violated the Act and the previously assessed penalty will be abated. Similarly, a committee assessed more than one penalty but who has paid all such penalties will also be treated as not having violated the Act, if the committee is not assessed any other penalty following payment of the most recent assessed penalty.

If a committee is assessed a civil penalty and then files a Final Report, any successor committee will not be responsible for the penalty if it incurs no additional penalty during the two year period following the mailing of the original committee's assessment letter. If a committee is assessed multiple civil penalties and then files a Final Report, or files a Final Report prior to

being assessed, and the committee has NOT paid the penalties, any successor committee who pays the penalties in full will be deemed as not having violated the Act if it incurs no additional penalty(s) for a two year period following payment of the penalties. [Rule 125.425(j)]

STATE BOARD OF ELECTIONS
Regular Board Meeting
Tuesday, June 14, 2011

MINUTES

PRESENT:

Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady, Member
John R. Keith, Member
William M. McGuffage, Member
Albert S. Porter, Member
Jesse R. Smart, Member
Robert J. Walters, Member

ALSO PRESENT:

Rupert Borgsmiller, Executive Director
Steve Sandvoss, General Counsel
Amy Calvin, Administrative Assistant II

The meeting convened at 10:14 a.m. via videoconference with seven Members present in the Chicago office and Vice Chairman Rednour present via teleconference.

The Chairman opened the meeting by leading everyone in the pledge of allegiance.

Member McGuffage moved to recess to executive session to discuss litigation and personnel matters. Member Brady seconded the motion which passed unanimously. The meeting recessed at 10:15 a.m. and reconvened at 11:10 a.m. A second roll call was taken with the same attendance noted in the initial roll call.

Member Keith moved to make salary adjustments to the compensation packages for Mr. Borgsmiller and Mr. Sandvoss and technical changes to their continuing contracts as discussed and approved in executive session. Member Brady seconded the motion which passed by roll call vote of 8-0.

The minutes from the May 23 meeting were presented with revisions as noted. Member Smart moved to approve the minutes as submitted. Member Porter seconded the motion which passed unanimously.

Chairman Schneider recognized the five outgoing Board Members whose terms were expiring on June 30 and said he enjoyed working with them as colleagues and wished them the best in their future endeavors. The Executive Director also thanked the outgoing Members for their service and for bringing unique ideas to the table. He also wished them the best and thanked them for providing the opportunity to work with them over the years.

The General Counsel presented *SBE v. Citizens for Jill Bush*, 23274, 11D1-03, which was a carryover appeal from the May meeting. The General Counsel concurred with the hearing officer recommendation that the appeal be denied but stayed as a first violation. No one was present on behalf of the respondent committee. Member Smart moved to accept the recommendation of the General Counsel and hearing officer and deny the appeal. Member Brady seconded the motion which passed by roll call vote of 8-0.

The Chairman presented the following appeals of campaign disclosure fines that the hearing officer recommended be granted; Agenda items 2.a.2-6: 2) *SBE v. Franklin County Democratic Organization*, 6168, 11DS029; 3) *SBE v. Citizens for Sweeney*, 20608, 11DS112; 4) *SBE v. Citizens to Re-Elect Kathy Svoboda*, 21438, 11DS139; 5) *SBE v. Friends of Jeff Smith*, 21740, 11DS160; and 6) *SBE v. Friends of Charles Landers*, 22449, 10AG028. The General Counsel concurred with the hearing officer in all of the matters and the only person present was Jeff Smith on behalf of the *Friends of Jeff Smith* Committee. Mr. Smith indicated

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that he concurred with the recommendation as well. Member Smart moved to grant the appeals for Agenda items 2.a.2-6. Member Brady seconded the motion which passed by roll call vote of 8-0.

The Chairman presented the following appeals of campaign disclosure fines that the hearing officer recommended be denied; agenda items 2.a.9-11, 13-15: 9) *SBE v. Committee to Elect Justin Hood*, 21097, 11DS127; 10) *SBE v. Committee to Elect Carol Clark*, 22296, 11DS188; 11) *SBE v. Committee to Elect Sally "Oeffling" Wiggins*, 22678, 11DS211; 13) *SBE v. Committee to Elect Denise Winfrey*, 22742, 10GE043; 14) *SBE v. Friends of David L. George*, 23008, 11MA011; and 15) *SBE v. Friends for Edward D Yoder*, 23241, 11MA012. The General Counsel concurred with the hearing officer in all of the matters and no one was present on behalf of any of the respondent committees. Member Brady moved to accept the recommendation of the General Counsel and hearing officer and deny the appeals for agenda items 2.a.9-11, 13-15. Member Walters seconded the motion with passed by roll call vote of 8-0.

The General Counsel presented *SBE v. Mercer County Democratic Central Committee*, 5164, 11DS026 and indicated that the hearing officer had recommended the appeal be denied because even though the Committee experienced difficulties in uploading the report electronically which caused the late filing, such defense was inapplicable because the report was not required to be filed electronically in the first place. The General Counsel did not agree with this recommendation because past Board practice has been to grant the appeal when the violation was a result of an electronic filing issue. He felt that committees should not be penalized for something that they are being encouraged to do (filing electronically) and recommended the appeal be granted. Member Smart moved to accept the recommendation of the General Counsel and grant the appeal. Member McGuffage seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented *SBE v. Citizens for Lightford*, 13872, 11MA004. The General Counsel concurred with the hearing officer recommendation that the appeal be denied, but that the penalty be reduced to \$3,025, (a 90% reduction for the Schedule A-1 assessments), and that combined with the assessed penalty for the late filed semi-annual report would be a little over \$8,000. Senator Kimberly Lightfoot was present in Chicago and said it was not her intent to violate the law and she thought she had a reliable person taking care of the filings for her. Discussion ensued concerning Board policy on Schedule A-1 violations and the calculation of penalties. Member Keith moved to deny the appeal and order a total fine of \$10,000. The motion died due to lack of a second. It was suggested that Senator Lightfoot seek counsel and that the matter be continued to the next meeting. Member McGuffage moved to place the matter on the August meeting agenda at the request of the committee. Member Porter seconded the motion which passed by roll call vote of 7-1 with Member Walters voting in the negative.

The General Counsel next presented *SBE v. Friends of Ron Marx*, 22701, 11MA009. The General Counsel concurred with the hearing officer recommendation to deny the appeal in regards to the late filed 2010 pre-election report, deny the appeal concerning the failure to file a schedule A-1 but reduce that penalty to 10% of the original assessment which would result in a \$92.00 civil penalty, for a total penalty assessment of \$2,292. The General Counsel explained that since the committee filed a final report and was therefore dissolved, any penalty assessed would be abated as long as no successor committee is formed during the ensuing two year period. Ron Marx was present in Chicago on behalf of the committee and indicated his committee was dissolved and that he agreed with the recommendation. Member Keith moved to accept the recommendation of the hearing officer and General Counsel to deny the appeal, and added that the effective date of June 15, 2011 would begin the two year period for the Committee to remain inactive. Member Brady seconded the motion which passed by roll call vote of 8-0.

The General Counsel then presented *Hammond v. Save 5 Acres*, 11CD005, a complaint following public hearing. He concurred with the hearing officer recommendation that the Board find that the committee violated Sections 9-9 and 9-9.5 and direct the committee to comply with all reporting requirements in the future. Failure to comply with this Board order would subject the respondent to a civil penalty not to exceed \$5,000. Attorney Richard Means was present on behalf of the complainant and attorney John Fogarty was

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present on behalf of the respondent. Both concurred with the recommendation of the hearing officer. Member Brady moved to accept the recommendation of the hearing officer and General Counsel. Member Porter seconded the motion which passed by roll call vote of 8-0.

The General Counsel next presented *Shueppert v. Save 5 Acres*, 11CD006, a complaint following public hearing. The General Counsel agreed with the hearing officer recommendation that the Board find that the committee violated Sections 9-8.5 and 9-25 and direct the committee to comply with all reporting requirements in the future. Failure to comply with this Board order would subject the respondent to a civil penalty not to exceed \$5,000. Attorney Richard Means was present on behalf of the complainant and attorney John Fogarty was present on behalf of the respondent. Both concurred with the recommendation of the hearing officer. Member Brady moved to accept the recommendation of the hearing officer and General Counsel and also direct staff to review the reports for any possible violation of contribution limits. Member McGuffage seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented a motion for reconsideration initiated by Member Keith in the *Sherman v. Indian Trails Public Library District*, 11CD019. Attorney Richard Means was present on behalf of the complainant and attorneys Ken Florey and Roger Ritzman were present on behalf of the respondent. The General Counsel deferred the case to Member Keith who moved as to the motion adopted by the Board at its April 19th meeting disposing the matter of Robert Sherman versus Indian Trails Public Library District (hereinafter, the Library District) and Friends of Indian Trails Library District (hereinafter, the Library District Committee) Case Number 11CD019, to reconsider same for the purposes of clarifying said motion. Member McGuffage seconded the motion which passed by roll call vote of 8-0. Member Keith moved to rescind the order issued on April 19, 2011, and further moved: Item 1, that as to the Library District, the allegation of a violation of Section 9-8.10 was not filed upon justifiable grounds as the material at issue does not meet the definition of electioneering communication and there was insufficient evidence to find that the Library District made illegal expenditures of funds to house and host the Library District committee; Item 2, that the allegation of a violation of Section 9-25.1 of the Election Code by the Library District has some basis in fact and law regarding the issue of advocacy and was therefore filed upon justifiable grounds; Item 3, that the allegation of a violation of Section 9-10 by the Library District was filed upon justifiable grounds as there was sufficient evidence presented to show that the respondent failed to timely report the receipt of funds used to pay for the material at issue; Item 4, that as to the Library District Committee, the allegation of a violation of Section 9-2(e) was filed upon justifiable grounds as this allegation has some basis in law and fact regarding the issue of the name of the committee; Item 5, that as to the Library District Committee, the allegation of a violation of Section 9-10 was filed upon justifiable grounds as evidence was presented to suggest that the respondent failed to file a Schedule A-1 showing the receipt of \$10,000 on or about March 7, 2010; Item 6, that as to the allegations where justifiable grounds were established, that no public hearing be conducted in light of the Library District and Library District Committee having filed campaign disclosures reports; Item 7, that the Library District Committee be ordered to amend its statement of organization to include the support of the referendum in the committee name and to list its beginning funds available as \$0; Item 8, that the Library District Committee be ordered to amend its final report filed on April 14, 2011, for the period from the date of the committee's creation which was on or about March 7, 2011, through March 31, 2011, to show receipt of the \$10,000 received on or about March 7, 2011; Item 9, that said ordered amended filings be ordered to be filed no later than 5:00 p.m. on July 15, 2011; and Item 10, that such amended filings and all other filings made by the Library District and Library District Committee each be referred to staff for the assessment of any appropriate civil penalties. Member Brady seconded the motion which passed by roll call vote of 8-0. After discussion, and a request from Respondent's counsel to set the matter for public hearing (which was agreed to by counsel for the Complainant), Member Keith moved to find that the complaint was filed upon justifiable grounds as to all issues and the matter proceed to a public hearing. Member Brady seconded the motion which passed by roll call vote of 8-0.

The General Counsel indicated that at the Board's last meeting two committees were ordered to file amended reports or face a civil penalty of up to \$5,000 for failure to comply with a board order. One

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committee, Citizens for James Michael Bailey did file an amendment to their report and are now in compliance. The other committee, Citizens for Bassi did not file the amended reports and were given notice that they faced a civil penalty and could appear before the Board today to challenge the assessment. No one was present on behalf of the committee. Member Keith moved as to the Bailey committee, to find they have shown sufficient cause as to why they should not be assessed a penalty for failure to comply with a Board Order and that the proceeding be dismissed subject to review of the amended reports that were filed. Member Brady seconded the motion which passed by roll call vote of 8-0. As to the Bassi committee, Member Keith moved to find the committee in violation of a Board Order and to assess a \$1,000 fine and an additional fine of \$100 a day for each workday after June 30, 2011, not to exceed \$5,000, that the ordered amended report or reports are not filed. Member Brady seconded the motion which passed by roll call vote of 8-0.

The payment of civil penalties was presented for informational purposes.

The General Counsel discussed the proposed rule regarding procedures to contact candidates regarding their participation in the internet voters guide and indicated that Member Keith's comments were incorporated into the version presented today and asked for Board approval to submit the rule to JCAR. Member Keith moved to file the proposed rule as specified by the General Counsel. Member Brady seconded the motion which passed by roll call vote of 8-0.

Next on the agenda was the report of the Executive Director. He gave a brief update on the electronic canvass and indicated that progress was being made and staff was meeting with vendors.

Cris Cray began her legislative update and said that the Spring Session ended on May 31 but that a special session was possible next week. She indicated that four election bills passed and that the fifth, which included the agency budget also passed. She then reviewed her memo highlighting those bills that were included in the Board packet.

The Executive Director discussed the FY12 budget and said that even though the budget has not been signed he felt that a spending plan should be discussed and he referenced the chart that the Members previously received. He indicated that the amounts in column four were being proposed for FY12 and indicated that the agency would be receiving the budget in a lump sum amount for operational expenses. This allows the Board some discretion on how the funds will be divided in the spending plan. The Executive Director indicated that this proposal includes COLA and step increases that mirror the minimum amounts of those included in the current collective bargaining agreement between AFSCME and the majority of State employees. The Executive Director then highlighted other personal services expenses in the plan, which included funds to move the Springfield office to another building, increase in utilities, equipment necessary for petition filing, funds to maintain the agency car, etc. The Executive Director said that he received many comments from the county clerks thanking the Board for fully funding their stipends and they were very appreciative of the Board's consideration. He also indicated that a supplemental might be a possibility to assist with funding of the election judge reimbursement, however there would be more to report on the FY12 budget at the July 1 meeting.

Next on the agenda was a power point presentation on an Election Management System (EMS) conducted by Kyle Thomas. The SBE was approached by Mr. Gough regarding a partnership to develop a new EMS which would be available to the Chicago Board and all election jurisdictions in Illinois. This system would include among other things, voter registration systems for the jurisdictions. The goal is to establish an ad hoc committee to explore and determine if this is something the SBE might want to pursue. After the presentation, discussion ensued concerning costs, maintenance fees, length of time for development and deployment, etc. Member Porter moved to enter into the exploration phase of the possibility for creating an EMS. Member Smart seconded the motion which passed by roll call vote of 8-0.

The IVRS update was presented for informational purposes and Kyle Thomas indicated that the

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numbers have decreased. As to the census update, he said those jurisdictions with over 100% registered voters versus voting age population have been contacted and asked for their plan to clean up the rolls. Discussion ensued on procedures for such a purge and compliance from the election authorities.

The Executive Director informed the Board that the 2011 Heartland Conference was hosted by the SBE and participants from many states were in attendance. The sessions were informative and constructive and indicated Missouri would host in 2012 and Kentucky in 2013.

The Springfield office facility update was discussed and it was noted that the bid opening was scheduled for June 16.

The Executive Director presented the lump sum update and indicated that approximately \$460,000 remained in awards and grants. He proposed that these funds be distributed for early voting judges on a pro-rated basis. Member Keith moved to approve the expenditures and proposed distribution of the remaining funds. Member Brady seconded the motion which passed by roll call vote of 8-0.

The fiscal reports and two year plan of staff activity for the months of June and July were presented for informational purposes.

The counting of State Employee Retirement Systems (SERS) Trustee Election ballots was discussed and the Executive Director noted that a memo was in the Board packet that included the projected costs to the SBE. It was noted that the SBE has also been provided meeting room space by SERS several times to conduct Board meetings when space was not available in the Springfield SBE office. It was also noted that SERS is looking into an electronic means for conducting their next election, which will be in three years.

Sharon Steward gave a brief update on the amnesty proposal for first quarterly report delinquent filers. She indicated that per the Board's instructions, notices were sent by any means possible notifying committees of the one-time amnesty and the number of late filers is now down to 140 and the complaint procedures against them has begun.

Ms. Steward then discussed the assessment of civil penalties for exceeding contribution limits and reviewed her memo on pages 165-166 of the Board packet proposing the consequences for violation. She said no committee would be required to pay the penalties until a final Board order was issued assessing the same. Discussion ensued on the intent and interpretation of the law and it was agreed to place on the July 1 meeting Agenda as a follow up item.

The Executive Director indicated that a letter was sent to the Democratic National Committee regarding the selection of delegates and the SBE has not as of yet received a response. Per the Board's direction, staff prepared a draft of a request for an Attorney General's opinion. The matter was also placed on the July 1 meeting Agenda as a follow up item for discussion.

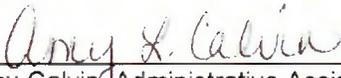
Member Keith moved to recess to executive session to consider matters following closed preliminary hearing. Member Brady seconded the motion which passed unanimously. The meeting recessed at 2:15 p.m. and reconvened at 2:20 p.m. Upon reconvening a second roll call was taken with all Members present as noted in the initial roll call.

As to *Gonzalez v. The Friends of Joseph Faso*, 11CD018, Member Keith moved to dismiss the matter for want of prosecution and the late filing of the Schedule D-1 be referred to staff for appropriate assessment of a civil penalty if any is appropriate. Member Brady seconded the motion which passed by roll call vote of 8-0.

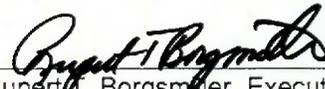
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With there being no further business before the Board Member Smart moved to adjourn until July 1, 2011 at 10:30 a.m. in Springfield and Chicago via videoconference or until call of the Chairman, whichever occurs first. Member Porter seconded the motion which passed unanimously. The meeting adjourned at 2:25 p.m.

Respectfully submitted,



Amy Calvin, Administrative Assistant II



Rupert F. Borgsmiller, Executive Director

STATE BOARD OF ELECTIONS
Regular Board Meeting
Friday, July 1, 2011

MINUTES

PRESENT:

William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers, Member
Betty J. Coffrin, Member
Ernest L. Gowen, Member
Judith C. Rice, Member
Bryan A. Schneider, Member
Charles W. Scholz, Member

ALSO PRESENT:

Rupert Borgsmiller, Executive Director
Steve Sandvoss, General Counsel
Amy Calvin, Administrative Assistant II

Chairman Schneider opened the meeting by leading everyone in the pledge of allegiance at 10:30 a.m.

The Chairman noted that the swearing in of newly appointed and reappointed Members was the first order of business and introduced the Honorable Leslie Graves, Circuit Court Judge, to conduct the ceremony. Newly appointed Members Harold D. Byers, Betty J. Coffrin, Ernest L. Gowen, Judith C. Rice and Charles W. Scholz recited the oath along with reappointed Members William M. McGuffage, Jesse R. Smart and Bryan A. Schneider.

A roll call was taken and all Members were present in the Springfield office. The meeting was also connected via videoconference with the Chicago office.

Chairman Schneider welcomed the new Members and informed everyone of the recent passing of Mark Greben, a long time employee from the Chicago office. The Chairman offered his condolences and mentioned many kind words about Mr. Greben.

The Executive Director recognized outgoing Chairman, Bryan Schneider and listed several highlights and accomplishments during his two year term as Chairman. The Executive Director commended Chairman Schneider for an outstanding job, thanked him for his service and presented a gavel commemorating his term as Chairman. Chairman Schneider thanked everyone for the opportunity and indicated the next order of business was the election of officers for a two year term. Member Smart nominated William McGuffage to serve as Chairman and moved that the nominations cease and a unanimous ballot be cast for Mr. McGuffage. Member Scholz seconded the motion which passed by roll call vote of 8-0. Chairman McGuffage nominated Jesse Smart to serve as Vice Chairman and moved that the nominations cease and a unanimous ballot be cast for Mr. Smart. Member Coffrin seconded the motion which passed by roll call vote of 8-0. At this time the Board took a short break to take a group photo.

Chairman McGuffage indicated the first order of business was consideration of the following appeals of campaign disclosure fines that the hearing officer recommended be granted; Agenda items 5.a.1-4: 1) *SBE v. Randolph County Republican Central Committee*, 154, 11DS002; 2) *SBE v. Friends of Tina Jannazzo*, 20245, 11DS101; 3) *SBE v. Plummer for Illinois*, 22279, 11DS186; and 4) *SBE v. Friends of Sheldon Sherman*, 23108, 11DS263. The General Counsel concurred with the recommendations of the hearing officers. Vice Chairman Smart moved to grant the appeals as recommended by the hearing officer and General Counsel for items 5.a.1-4. Member Byers seconded the motion which passed by roll call vote of 8-0.

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The Chairman presented the following appeals of campaign disclosure fines that the hearing officer recommended be denied; Agenda items 5.a.5, 6, 8, 9, 10, 11, 13 and 14: 5) *SBE v. Edwards County Republican Central Committee*, 1148, 11DS018; 6) *SBE v. Ag-Solv*, 1370, 11AM017; 8) *SBE v. Friends of Terry Link*, 11959, 10GE014; 9) *SBE v. Friends for Howland*, 19201, 10GE030; 10) *SBE v. Citizens for Mary L. Donner*, 19749, 11DS094; 11) *SBE v. Brady PAC-Illinois*, 22097, 11DS174; 13) *SBE v. Friends of John F. Argoudelis*, 22782, 11DS222; 14) *SBE v. Friends of Don Gerard*, 22970, 11AM052. The General Counsel concurred with the recommendations of the hearing officers and no one was present for any of the matters. Vice Chairman Smart moved to deny the appeals as recommended by the hearing officer and General Counsel. Member Rice seconded the motion which passed by roll call vote of 8-0. Member Rice recused herself from Agenda item 5.a.11.

The General Counsel indicated he received a request from the respondent in Agenda item number 5.a.7, *SBE v. Cuba Township Republican Club*, 4880, 11DS024 to reschedule the matter to the August meeting as they were unable to attend today's meeting. The matter will be placed on the August 16 Board meeting Agenda.

The General Counsel presented Agenda item 5.a.12, *SBE v. Aaron Booker for Sheriff*, 22656, 11DS206 and indicated he concurred with the hearing officer recommendation that the appeal be denied. Treasure Luther Landon was present on behalf of the respondent committee. Mr. Landon summarized his appeal and indicated that he tried to file on time and had issues with the filing software and computer issues as well. The General Counsel noted that although the report was filed electronically, such filing occurred after the time had elapsed to submit the report electronically, and indicated he still concurred with the recommendation of the hearing officer. After discussion Vice Chairman Smart moved to deny the appeal and reduce the fine to \$175. Member Rice seconded the motion which passed by roll call vote of 8-0.

The payment of civil penalties was presented for informational purposes.

Member Byers moved to recess to executive session to consider complaints following closed hearing, litigation and personnel matters. Member Scholz seconded the motion which passed by roll call vote of 8-0. The meeting recessed at 11:30 a.m. and reconvened at 12:05 p.m.

As to *Zurek v. Friends of Barrett F. Pederson & Democratic Party of Leyden Township*, 11CD025, Vice Chairman Smart moved to continue the matter to the August Board meeting so that staff and the hearing officer would have sufficient time to review the amended reports that were submitted by the Respondent. Member Byers seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented *SBE v. Citizens for Thaddeus Jones*, 12697, 11MA001, which was an appeal of a civil penalty for which the hearing officer originally recommended be granted concerning the Schedule A-1 violation and denied concerning the Pre-Election report violation. The General Counsel concurred with that recommendation. Attorney Mike Kasper was present on behalf of the respondent committee and indicated he concurred with the hearing officer recommendation as well. Member Schneider moved to accept the recommendation of the hearing officer and General Counsel. Member Rice seconded the motion which passed by roll call vote of 8-0.

The Executive Director began his report by welcoming the newly appointed Board Members and invited them to tour the office for brief informational meetings with the Division Directors following the Board meeting. He also suggested that a series of short orientation sessions be conducted regarding campaign disclosure, objection procedures and other functions of the SBE. He suggested such sessions be presented prior to the start of the next several Board meetings. It was agreed that the a session on campaign disclosure will be held in conjunction with the August Board meeting in Chicago and that future sessions will be presented at subsequent Board meetings.

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Next on the Agenda was an update on the electronic canvass. Brent Davis gave a brief history and overview on the e-canvass project. The issues that are being dealt with at this point deal with transmission problems and the requirements now expected from the system. Mr. Davis indicated that he is working with the vendors and the election authorities and they have been cooperative.

Dianne Felts discussed the use of the AutoMARK in conjunction with the Accu-Vote and explained that the AutoMARK is a voting machine for voters with disabilities and the Accu-Vote is an optical scan voting machine. Voters cast their ballot on the AutoMARK through the use of an electronic pen and then those ballots are tabulated through the Accu-Vote. The testing went very well and only one AutoMARK had a minor technical problem which was easily resolved by the vendor. Ms. Felts then asked for final approval. Vice Chairman Smart moved to grant final approval of the use of the AutoMARK with the Accu-Vote. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

Cris Cray gave a legislative update and said the legislature met for one day and passed an omnibus election bill, Senate Bill 1586. She indicated that a full analysis was included in the Board packet. The House democrats worked with the other caucuses to draft the language and she indicated that there are some additional items that will have to be worked on internally. Senate Bill 266 also passed along with the agency budget (House Bill 124). Ms. Cray indicated she intends to ask for a supplemental appropriation to fund the election judge reimbursement when the fall veto session begins. The Chairman commended Ms. Cray for her excellent job performance in dealing with the legislature.

The Executive Director gave a brief overview of the Agency's FY12 appropriation that was given in a lump sum and the FY12 spending plan that was approved at the June meeting. He said he would give the Board a copy of that plan after the meeting so the new Members could review it.

Kyle Thomas presented the IVRS update and said he expects those duplicate voter registration numbers to continue dropping. He also reported on the census data regarding voting age population versus registered voters and indicated that staff has been working with those jurisdictions who have indicated that purge procedures were in place. Many of them have seen their numbers drop to below 100% already and are expecting the remaining jurisdictions to drop below 100% as well. Mr. Thomas explained that a purge of the voter rolls should be conducted every two years and that the Agency has also entered into an Interstate Duplicate Checking System with thirteen other states.

The Executive Director reported on the Campaign Finance Task Force Reform meeting and indicated that the next meeting was scheduled for July 28 in both the Springfield and Chicago offices via videoconference.

Next on the Agenda was an update on the Springfield office facility and the Executive Director informed the Board that two bids were opened on June 16. Central Management Services (CMS) will evaluate the bids within a month to see if either property meets the criteria then staff will have an opportunity to tour the facility.

The two year plan of staff activity was presented for informational purposes.

Sharon Steward discussed the assessment of civil penalties for exceeding contribution limits as a follow up item from the June meeting. She reviewed her recommendation for assessment of civil penalties outlined in the memo on pages 97-98 of the Board packet. Ms. Steward indicated she was seeking approval of her recommendation. Discussion ensued regarding loopholes in the law and the various types of political committees and their respective election cycles. Vice Chairman Smart moved to approve the policy for assessment of fines for exceeding the contribution limits set forth in the campaign finance law. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

SBE Minutes
July 1, 2011- Page 4

Ken Menzel gave a history and review of events regarding the delegate selection for the Democratic National Convention which was a follow up item from the June meeting. Mr. Menzel said attorney Mike Kasper has reviewed the draft of a notice that staff is proposing to send to the election authorities and has given his approval. After discussion, Member Byers moved to give staff the authority to circulate the memo to the vendors and election authorities. Member Scholz seconded the motion which passed by roll call vote of 8-0.

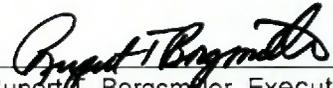
The Executive Director introduced Jim Tenuto who was appointed Assistant Executive Director and will be in charge of the Chicago Office.

With there being no further business before the Board Vice Chairman Smart moved to adjourn until Tuesday, August 16, 2011 at 10:00 a.m. or call of the Chairman, whichever occurs first. Member Coffrin seconded the motion which passed unanimously. The meeting adjourned at 1:35 p.m.

Respectfully submitted,



Amy Calvin, Administrative Assistant II



Rupert T. Borgsmiller, Executive Director

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

11 MA 004

Citizens for Lightford (ID 13872)

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently
Filing the 2009 December Semi-Annual Report and
Failure to File Schedule A-1 Reports for the 2010 General Election

The 2009 December Semi-Annual Report was received by the Board on December 30, 2010, 234 days late, resulting in a civil penalty assessment of \$5,000. The Committee received 20 contributions of more than \$500 thirty days prior to the 2010 GE but failed to list them on Schedule A-1s resulting in a civil penalty assessment of \$30,250.

In addition, this Committee had previously been assessed a \$ 350 civil penalty (not appealed, reduced, paid) for delinquently filing the 1999 December Semi-Annual Report; a \$1,200 civil penalty (not appealed, paid) for delinquently filing the 2000 GE Pre-Election Report; a \$1,100 civil penalty (not appealed, reduced, paid) for delinquently filing a Schedule A-1 for the 2000 GE; a \$1,000 civil penalty (not appealed, reduced, paid) for failure to file a Schedule A-1 for the 2002 GP; a \$500 civil penalty (not appealed, reduced, paid) for failure to file a Schedule A-1 for the 2002 GP; a \$500 civil penalty (not appealed, reduced, paid) for failure to file a Schedule A-1 for the 2002 GP; a \$1,000 civil penalty (not appealed, reduced, paid) for failure to file a Schedule A-1 for the 2002 GP; a \$26,050 civil penalty (not appealed, reduced, paid) for failure to file a Schedule A-1 for the 2002 GP; a \$500 civil penalty (not appealed, reduced, paid) for failure to file a Schedule A-1 for the 2002 GP; a \$1,000 civil penalty (not appealed, reduced, paid) for failure to file a Schedule A-1 for the 2003 CE; a \$500 civil penalty (not appealed, reduced, paid) for failure to file a Schedule A-1 for the 2003 CE; a \$1,000 civil penalty (not appealed, reduced, paid) for failure to file a Schedule A-1 for the 2003 CE; a \$1,000 civil penalty (not appealed, reduced, paid) for failure to file a Schedule A-1 for the 2003 CE; a \$100 civil penalty (not appealed, reduced) for delinquently filing the 2004 December Semi-Annual Report; a \$200 civil penalty (not appealed, paid) for delinquently filing the 2006 June Semi-Annual Report; a \$1,600 civil penalty (not appealed, paid) for delinquently filing the 2007 December Semi-Annual Report; a \$1,600 (not appealed, paid) for delinquently filing the 2008 June Semi-Annual Report; and a \$600 civil penalty (not appealed, paid) for delinquently filing the 2010 June Semi-Annual Report. The total assessment is \$35,250.

Ms. Doville Soblinskas appeared for appeal hearing conducted on May 3, 2011.

Citizens for Lightford has been required to file campaign disclosure reports electronically since 2003. A letter was sent on February 1, 2010 notifying the Committee it had 30 days to file the report electronically otherwise the paper report would be considered a non-filing. The Committee missed this 30-day electronic filing deadline.

Regarding the delinquent 2009 December Semi-Annual Report, Ms. Soblinskas submitted a letter of defense from Senator Kimberly Lightford stating she was unaware that her Committee was ineligible to file on paper. Unable to find a knowledgeable person to handle the committee's filings, Ms. Lightford states she received staff assistance and was told she could file the report by fax. In April she was informed the filing was not in compliance and had challenges seeking a qualified person to handle the campaign account. Ms. Soblinskas indicated the Committee's former treasurer stole campaign funds and kept poor records. Ms. Lightford had to piece back together the financial records and in December 2010, enlisted the help of Ms. Soblinskas to file the reports electronically.

The Committee was also assessed for the failure to file 20 Schedule A-1 reports, nineteen receipts in excess of \$500 were received on 11/1/10 and one \$1,000 contribution from Comcast received on 10/22/10. Ms. Soblinskas stated the receipt from Comcast on 10/22/10 was a typo and actually received on 11/1/10 and will amend the report to reflect the correct date. Ms. Soblinskas indicated the Committee misunderstood the filing deadline for Schedule A-1 Reports. She interpreted the rules to mean that contributions received on 11/1/10 did not have to be reported because the deadline for the A-1 to be filed would be 11/3/10, one day after the 2010 General Election. In other words, she believed those contributions received 11/1/10 were considered out of the reporting period and did not qualify to be listed on a separate Schedule A-1 Report.

I recommend the appeal regarding the delinquent filed Pre-Election Report be denied for lack of an adequate defense and the Committee assessed a \$5,000 civil penalty. In the matter of the failure to file Schedule A-1 Reports I also recommend the appeal be denied for lack of an adequate defense. However, since this as an unintentional violation and the Committee's first Schedule A-1 offense, I recommend only 10% of the civil penalty be assessed, reducing the fine to \$3,025. If these recommendations are accepted by the Board, a civil penalty of \$8,025 will be due and owing. (As of 3/31/11 this Committee reported a funds available balance of \$8,794.38.)



Tara Cachur – Hearing Officer
May 13, 2011

State of Illinois)
County of Cook)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **13 APR 12 AM 9:59**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens for Lightford)
Respondent(s).)

Case No. 11 MA 004

APPEAL AFFIDAVIT

I, Kimberly Lightford, the Chairman of the
(Name) (Chairman/Treasurer)
Citizens for Lightford
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

We have an explanation of this issue
that will be explained at the
hearing.

Signed and Sworn to by:
Kimberly Lightford
before me this 3rd Day of
April, 2011

Notary Public

Kimberly A. Lightford
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan Schneider, Chairman
Wanda Rednour, Vice Chairman
Patrick Brady
John Keith
William McGuffage
Albert Porter
Jesse Smart
Robert Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2011

Citizens for Lightford
Kimberly Lightford
1903 S 10th Ave.
Maywood, IL 60153

13872

Dear Citizens for Lightford;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: Semiannual Report of Campaign Contributions and Expenditures
Report Period: July 1, 2009 through December 31, 2009
Filing Period: January 4, 2009 through January 20, 2009

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on December 30, 2010, 234 days late. As such, this committee has been assessed a fine of \$5000.

In addition, this committee failed to file the following documents during the requisite filing period:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Walgreens	11/1/2010	\$750	\$750	*	
Vincent Williams	11/1/2010	\$1,000	\$1,000	*	
Racing Association of Illinois	11/1/2010	\$1,000	\$1,000	*	
Renaissance Hospital Management	11/1/2010	\$2,500	\$2,500	*	
Renaissance Hospital Management	11/1/2010	\$2,500	\$2,500	*	
Taylor Uhe LLC	11/1/2010	\$1,000	\$1,000	*	
Titlemax	11/1/2010	\$1,500	\$1,500	*	

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
MillerCoors	11/1/2010	\$1,500	\$1,500	*	
Fletcher O'Brien Kasper & Nottage	11/1/2010	\$1,000	\$1,000	*	
Arlington Park Race Course	11/1/2010	\$1,000	\$1,000	*	
Dominguez Law Firm	11/1/2010	\$1,000	\$1,000	*	
Anheuser-Busch Cos	11/1/2010	\$1,000	\$1,000	*	
Comcast	10/22/2010	\$1,000	\$1,000	*	
Comed	11/1/2010	\$5,000	\$5,000	*	
Illinois AFL-CIO COPE	11/1/2010	\$1,000	\$1,000	*	
Illinois Hospital Association	11/1/2010	\$2,000	\$2,000	*	
Illinois Trial Lawyers Association	11/1/2010	\$2,500	\$2,500	*	
IPACE	11/1/2010	\$1,000	\$1,000	*	
ISLA PAC	11/1/2010	\$1,000	\$1,000	*	
Nicor Gas PAC	11/1/2010	\$1,000	\$1,000	*	

The committee is fined a **total** of \$30,250 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$3,025, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.***

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 DS 024

Cuba Township Republican Club

ID# 4880

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing the 2010 December Semi-Annual Report

The Report was received by the Board on February 22, 2011, 21 days late, resulting in a civil penalty assessment of \$2100. Additionally, the Committee had previously been assessed a \$200 civil penalty (not appealed, unpaid) for delinquently filing the 2008 December Semi-Annual Report, a \$5000 civil penalty (appealed, appeal granted) for delinquently filing the 2008 June Semi-Annual Report, and a \$925 civil penalty (not appealed, unpaid) for delinquently filing the 2007 June Semi-Annual Report. The total assessment is \$3225

Janet Blake, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Blake states that when she became Treasurer of the Committee in August of 2010 she was given no information about filing reports. She says she was told the Report in question was due at the end of January, but when she went to file the Report the weekend of January 29th she was unable to download or install the Board's electronic filing software. Ms. Blake that she finally made contact with Board staff on February 3 and was given the Committee's filer account number and password for electronic filing, but she was still unable to download the software. She says at this point she was forced to file the Report on paper, which she then did. Ms. Blake adds that she was unaware of the previous fines assessed against the Committee or how important the filing deadlines were.

Ignorance of previous fines or disclosure rules is not an excuse for a delinquent filing. The Committee clearly did have problems with downloading and installing the electronic filing software, but Ms. Blake admits that the first attempt to electronically file the Report did not take place until January 29, more than a week after the filing deadline. Therefore an electronic filing defense is not applicable in this situation, so I recommend the appeal be denied. However, I would further recommend the Committee be given credit for attempting to file on the 29th. This would make the Report 7 days late, resulting in a civil penalty of \$700. If these recommendations are accepted by the Board, the total civil penalty of \$1825 would be due and owing. (As of 3/31/11, this Committee reported a funds available balance of \$1345.45.)



Tom Newman – Hearing Officer
June 20, 2011

State of Illinois)
County of _____)

STATE BOARD OF ELECTIONS
11 APR -8 PM 1:01

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Cuba Township Republican Club)
Respondent(s).)

Case No. 11 DS 024

APPEAL AFFIDAVIT

I, Janet M. Blake, the treasurer of the
(Name) (Chairman/Treasurer)
Cuba Township Republican Club
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

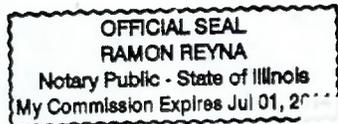
See attached letter

Signed and Sworn to by:

before me this 4 Day of
April 2009

Ramon Reyna
Notary Public

Janet M. Blake
(Signature of Chairman/Treasurer)



April 6, 2011

Dear Sir/Madam,

I became treasurer of the Cuba Township Republican Club on August 6, 2010. I did so at the urging of our president since the previous treasurer (who was there for many years) was no longer capable of handling the books/records. I was literally handed the club checkbook and no other documentation. Most of what I have learned about this position has been obtained on my own.

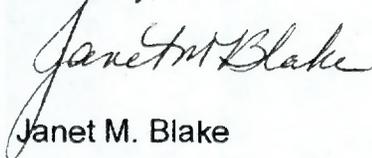
The president of our organization asked me on January 12, 2011 to fill out the State Board of Elections D-2 forms. I had never done this before. When I asked when they were due, I was told at the end of the month. I was not presented with any paperwork but was advised to go to the website. As best as I can recall, I went onto the computer during the weekend of January 29th and attempted to download the IDIS software and was unsuccessful. I called the Springfield office on the afternoon of February 1, 2011 but was unable to reach anyone since the office was closed. I was able to reach Mike on February 3, 2011 who advised me to change the password and gave me the filer account number. I did so and it still wouldn't download completely to my computer, therefore, I couldn't upload electronically. It was at this point that I was forced to file the paperwork manually and did so.

I am appealing your decision and ask that you consider eliminating the \$2100.00 fine for the following reasons:

1. I was completely unaware of the previous \$200.00 civil penalty assessment. I realize this makes it appear that we have two outstanding penalties, but no one disclosed this one to me.
2. I could not download the software to electronically file the D-2 forms.
3. I am a new, first time treasurer for a political party, I was unaware of how important the deadline dates were and I did not know that there were any fines assessed. In fact, at no time during my conversation with Mike did he advise that we were already accruing penalties. I just did not know. I now understand and will have everything filed correctly and on time.

Thank you for considering my appeal. If you need to discuss any items, please feel free to contact me at 847-212-6484.

Sincerely,



Janet M. Blake

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2010

Cuba Township Republican Club
PO Box 246
Barrington, IL 60011-0246

ID# 4880

Dear Cuba Township Republican Club;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: Semiannual Report of Campaign Contribution and Expenditures
Report Period: July 1, 2010 through December 31, 2010
Filing Period: January 3, 2011 through January 20, 2011

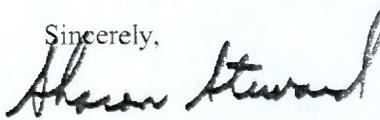
Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on February 22, 2011, 21 day(s) late. As such, this committee has been assessed a fine of \$2100.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.***

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

Reporting Period	Report Type	Previous Fine Amount
July 1, 2008 to December 31, 2008	Semi-Annual Report	\$200
TOTAL AMOUNT NOW DUE		\$2300

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s) appeal documents

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 AM 008

Peoples Energy - PAC ID# 611

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failing to File
Schedule A-1 Reports

The Committee received a \$1740.59 contribution on 2/25/11 and contributions of \$1772.77 and \$1769 on 3/11/11, but failed to report any of these on a Schedule A-1. Additionally, the Committee had previously been assessed a \$188 civil penalty (not appealed, paid) for delinquently filing a Schedule A-1 for the 2009 Consolidated Election and a \$200 civil penalty (not appealed, paid) for delinquently filing the June 2008 Semi-Annual Report. The total assessment is \$5282.36

Patrick Schillinger, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Schillinger states that the three A-1 filings in question were in fact timely filed electronically by the Committee, but were later discovered to have been blank when received by the Board. He blames the Board's electronic filing system for the error and adds that the Board could have notified the Committee immediately upon receiving the blank filings instead of waiting to assess a fine.

It is true that the Committee did file A-1s on 2/25/11 and 3/11/11 and it is true the filings were blank, without the contribution information the Committee apparently thought was included. However, it is not fair to say that the Board's electronic filing system was at fault. Under the IDIS 2.0 software, blank filings such as these are not possible. It therefore appears the Committee was using outside vendor software for its filings, so any fault for the incomplete filings would lie with that software. Additionally, it should be noted that Mr. Schillinger's suggestion that the Committee should immediately have been notified about the error is impractical at best, given the volume of filings received by the Board. In any case, the use of electronic filing does not abdicate the Committee's responsibility to ensure its disclosure filings are timely and accurately received, which the Committee could have quickly determined was not the case had it checked the Board's website following the filing submissions. Having said all this however, in order to be consistent with prior Board rulings when an electronic filing defense

is raised, and since the Committee has not previously raised such a defense, I recommend the appeal be granted. The Committee should take note however that use of a similar defense in the future is not likely to be favorably received by the Board. (As of 6/30/11, this Committee reported a funds available balance of \$29,635.92.)

A handwritten signature in black ink, appearing to read "Tom Newman", is written over a horizontal line. The signature is cursive and somewhat stylized.

Tom Newman – Hearing Officer
July 21, 2011

State of Illinois)
)
County of Cook)

STATE BOARD OF ELECTIONS

11 JUN 30 AM 9:46

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
Peoples Energy - PAC)
Respondent(s).)

Case No. 11 AM 008

APPEAL AFFIDAVIT

I, Patrick J. Schillinger, the Chairman of the
(Name) (Chairman/Treasurer)

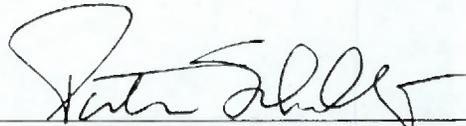
Peoples Energy - PAC

(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attached page(s).

Signed and Sworn to by:
Patrick Schillinger
before me this 23 Day of
June, 2011
Dodget Trust
Notary Public


(Signature of Chairman/Treasurer)

The Illinois Board of Elections letter dated June 3, 2011 states that Peoples Energy – PAC failed to file Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act, for each of the following contributions:

Date of Contribution	Amount	Exhibit
02/25/2011	\$1740.59	Exhibit A
03/11/2011	\$1772.77	Exhibit B
03/11/2011	\$1769.00	Exhibit B

However, as illustrated by Exhibits A and B attached hereto, Peoples Energy – PAC did file Schedule A-1's for the above contributions. Additionally, as illustrated by Exhibit C attached hereto, the Illinois State Board of Elections' website shows that the Board received Peoples Energy – PAC's Schedule A-1 filings for the above contributions.

Exhibits A, B, and C also show that Peoples Energy – PAC *timely* filed Schedule A-1's for the above contributions: Schedule A-1 for the contribution dated 02/25/2011 was filed on 02/25/2011, and Schedule A-1 for the contributions dated 03/11/2011 was filed on 03/11/2011. Pursuant to 10 ILCS 5/9-10(c), a political committee has 5 business days after the receipt of a contribution to file a report of a campaign contribution (Schedule A-1). For each of the above contributions, Peoples Energy – PAC filed Schedule A-1's on the same day as it made the contributions.

A representative of Peoples Energy – PAC, contacted the Illinois State Board of Elections about this issue, and the Illinois State Board of Elections acknowledged that the Board timely received Peoples Energy – PAC's Schedule A-1 filings for the above contributions, but that they were received as blank. Peoples Energy – PAC electronically filed the Schedule A-1's, and Exhibits A and B show that the documents were completed and filled out when filed. Thus, if the Schedule A-1's filed by Peoples Energy – PAC were blank when received by the Board, an error in the electronic filing system, and not a failure on the part of Peoples Energy – PAC, is at fault for the blank filings. Peoples Energy – PAC should not be liable for an unforeseeable electronic filing system error which was beyond the PAC's reasonable control.

Peoples Energy – PAC has electronically filed Schedule A-1's several times in the past. Peoples Energy – PAC has not, until now, had problems with doing so. It had no reason to suspect that the Schedule A-1's filed on 02/25/2011 and 03/11/2011 would be received by the Board as blank. Furthermore, when the Board initially noticed that Peoples Energy – PAC's Schedule A-1 filings were blank, it could have immediately notified Peoples Energy – PAC of that fact rather than wait until June 3, 2011 to assess a fine. Had Peoples Energy – PAC known its Schedule A-1 filings were received by the Board as blank, the problem could have promptly been resolved.

Section 125.425(e) *Civil Penalty Assessments* allows a political committee which is assessed a civil penalty for being delinquent in filing a required report the opportunity to show why a civil penalty should not be assessed. Section 125.425(d)(5)(D)(i) *Civil Penalty Assessments* states that the Board shall consider whether a political committee made an attempt to disclose a contribution when considering the amount of a civil penalty imposed. In this instance, as shown by Exhibits A, B, and C, Peoples Energy – PAC attempted to file Schedule A-1's for its

SCHEDULE A-1

REPORT OF CAMPAIGN CONTRIBUTIONS OF \$1000 OR MORE

FOR OFFICE USE ONLY

Full name and complete mailing address of Political Committee:

Peoples Energy - PAC
130 E Randolph Dr
Chicago, IL 60601

POLITICAL COMMITTEE
IDENTIFICATION No.

CHECK IF AN ADDRESS CHANGE

FID342

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

This form must be filed within 5 business days after receipt of any contribution of \$1000 or more, or within 2 business days if that receipt was within 30 days or less before the date of an election and the political committee supports or opposes a candidate or public question on the ballot at that election or makes expenditures in excess of \$500 on behalf of or in opposition to a candidate(s), or a public question(s), on the ballot at that election by either:

1. **HAND DELIVERY** - to a State Board of Elections office (see bottom of form for addresses),
2. **FACSIMILE**-to (217)-557-5630 or (312)-814-6485. Please retain a confirmation transmission for your records,
3. **ELECTRONIC TRANSMISSION** - If this political committee is required to file its reports electronically, the Schedule A-1 must also be filed electronically.

Postal service or other mail services may be used **CAUTION**; such services do not guarantee that the A-1 form will be received by our office prior to the deadline. **A POSTMARK IS NOT USED TO DETERMINE WHETHER AN A-1 FORM HAS BEEN TIMELY FILED.**

THESE CONTRIBUTIONS MUST ALSO BE REPORTED ON THE NEXT REGULARLY SCHEDULED FORM D-2 QUARTERLY REPORT, SCHEDULE A OR SCHEDULE I.

RECEIVED FROM: FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE	AMOUNT
Peoples Energy Corporation 130 E Randolph Chicago, IL 60601-6207	02/25/2011	\$ 1,740.59
		\$
		\$
		\$
		\$
		\$

David K. Waltz

02/25/2011 ✓

SIGNATURE OF TREASURER OR CANDIDATE

DATE

Name and address of person submitting this report if other than the committee's chairman or treasurer:

THE ILLINOIS STATE BOARD OF ELECTIONS IS REQUESTING DISCLOSURE OF INFORMATION THAT IS NECESSARY IF YOU QUALIFY AS A POLITICAL COMMITTEE AS OUTLINED UNDER PUBLIC ACT 78-1163. DISCLOSURE OF THIS INFORMATION IS REQUIRED. FAILURE TO PROVIDE ANY INFORMATION COULD RESULT IN A FINE UP TO \$5,000. THIS FORM IS IN COMPLIANCE WITH THE FORMS MANAGEMENT PROGRAM ACT

STATE BOARD OF ELECTIONS
PO BOX 4187
1020 S SPRING ST
SPRINGFIELD, IL 62708-4187

ALL POLITICAL COMMITTEES RETURN TO:

OR



STATE BOARD OF ELECTIONS
JAMES R. THOMPSON CENTER
1020 S RANDOLPH ST, STE 14-100
CHICAGO, IL. 60601-3232

SCHEDULE A-1

REPORT OF CAMPAIGN CONTRIBUTIONS OF \$1000 OR MORE

FOR OFFICE USE ONLY

Full name and complete mailing address of Political Committee:

Peoples Energy - PAC
130 E Randolph Dr
Chicago, IL 60601

POLITICAL COMMITTEE
IDENTIFICATION No.

CHECK IF AN ADDRESS CHANGE

FID342

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

This form must be filed within 5 business days after receipt of any contribution of \$1000 or more, or within 2 business days if that receipt was within 30 days or less before the date of an election and the political committee supports or opposes a candidate or public question on the ballot at that election or makes expenditures in excess of \$500 on behalf of or in opposition to a candidate(s), or a public question(s), on the ballot at that election by either:

1. **HAND DELIVERY** - to a State Board of Elections office (see bottom of form for addresses),
2. **FACSIMILE**-to (217)-557-5630 or (312)-814-6485. Please retain a confirmation transmission for your records,
3. **ELECTRONIC TRANSMISSION** - If this political committee is required to file its reports electronically, the Schedule A-1 must also be filed electronically.

Postal service or other mail services may be used **CAUTION**; such services do not guarantee that the A-1 form will be received by our office prior to the deadline **A POSTMARK IS NOT USED TO DETERMINE WHETHER AN A-1 FORM HAS BEEN TIMELY FILED.**

THESE CONTRIBUTIONS MUST ALSO BE REPORTED ON THE NEXT REGULARLY SCHEDULED FORM D-2 QUARTERLY REPORT, SCHEDULE A OR SCHEDULE I.

RECEIVED FROM: FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE	AMOUNT
Peoples Energy Corporation 130 E Randolph Chicago, IL 60601-6207	03/11/2011	\$ 1,769.00
Peoples Energy Corporation 130 E Randolph Chicago, IL 60601-6207	03/11/2011	\$ 1,772.77
		\$
		\$
		\$

David K. Waltz

03/11/2011 ✓

SIGNATURE OF TREASURER OR CANDIDATE

DATE

Name and address of person submitting this report if other than the committee's chairman or treasurer:

THE ILLINOIS STATE BOARD OF ELECTIONS IS REQUESTING DISCLOSURE OF INFORMATION THAT IS NECESSARY IF YOU QUALIFY AS A POLITICAL COMMITTEE AS OUTLINED UNDER PUBLIC ACT 78-1183. DISCLOSURE OF THIS INFORMATION IS REQUIRED. FAILURE TO PROVIDE ANY INFORMATION COULD RESULT IN A FINE UP TO \$5,000. THIS FORM IS IN COMPLIANCE WITH THE FORMS MANAGEMENT PROGRAM ACT

STATE BOARD OF ELECTIONS
PO BOX 4187
1020 S SPRING ST
SPRINGFIELD, IL 62708-4187

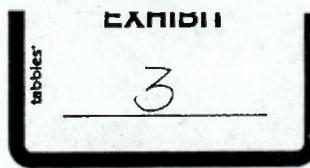
ALL POLITICAL COMMITTEES RETURN TO:

STATE BOARD OF ELECTIONS
JAMES R. THOMPSON CENTER
100 W RANDOLPH ST, STE 14-100
CHICAGO, IL 60601-3232

26

EXHIBIT

2



Peoples Energy - PAC

Purpose: Contribute to state and local candidates for public office, PAC's and political parties whose views are similar to that of Peoples Energy

130 E Randolph Dr,
18th Fl
Chicago, IL 60601-
2607

Active
State 2157
Local 6507
Committee ID 611

Creation Date:

8/4/1978

Officers Previous Officers

Report Type	Reporting Period	Filed	Pages	Clarification
A-1 (\$1000+ Year Round)	4/1/2011 to 6/30/2011	6/6/2011 11:22:23 AM Filed electronically	1	
D-1 Statement of Organization (Amendment)		4/22/2011 10:38:18 AM Filed on paper	2	
Quarterly	1/1/2011 to 3/31/2011	4/13/2011 5:39:22 PM Filed electronically	6	
A-1 (\$1000+ Year Round)	1/1/2011 to 3/31/2011	3/11/2011 10:53:06 AM Filed electronically	1	
A-1 (\$1000+ Year Round)	1/1/2011 to 3/31/2011	2/25/2011 2:20:42 PM Filed electronically	1	
A-1 (\$1000+ Year Round)	1/1/2011 to 3/31/2011	2/4/2011 2:34:24 PM Filed electronically	2	
D-2 Semiannual Report (Amendment)	7/1/2010 to 12/31/2010	1/19/2011 10:38:44 AM Filed electronically	19	
D-2 Semiannual Report (Amendment)	7/1/2010 to 12/31/2010	1/19/2011 10:09:20 AM Filed electronically	19	
D-2 Semiannual Report	7/1/2010 to 12/31/2010	1/19/2011 9:43:48 AM Filed on paper	49	

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan Schneider, Chairman
Wanda Rednour, Vice Chairman
Patrick Brady
John Keith
William McGuffage
Albert Porter
Jesse Smart
Robert Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2011

Peoples Energy - PAC
Patrick Schillinger
PO Box 19001
Green Bay, WI, IL 54307-9001

ID # 611

Dear Peoples Energy - PAC:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Peoples Energy Corp.	2/25/11	\$1740.59	\$1740.59	*	n/a
Peoples Energy Corp.	3/11/11	\$1772.77	\$1772.77	*	n/a
Peoples Energy Corp.	3/11/11	\$1769	\$1769	*	n/a

The committee is fined a **total** of \$2500 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total *does not* reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$625, (25% of the total amount of contribution(s) reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 AM 009

North Central IL Laborers Council PAC

ID# 630

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently
Filing a Schedule A-1

The Committee received a \$2,261.65 contribution on 1/31/11 and reported it on a Schedule A-1 received by the Board on 3/14/11, 26 days late.

Charles H. Shempf Jr., the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Shempf states that the receipt in question was actually made up of member dues, not exceeding \$150 from any one source. He says therefore an A-1 filing was not actually necessary.

I contacted the Committee to obtain some documentation to support their claim and they were able to provide copies of the general ledger showing the collection of dues from local union members. It seems clear the contribution in question was in fact an aggregate total of member dues, and did not therefore require filing of a Schedule A-1. I recommend the appeal be granted. Additionally, to prevent a similar situation in the future, the Committee should be advised to report similar contributions in such a way as to make it clear that the contribution represents an aggregate of smaller dues payments from union members. (As of 6/30/11, this Committee reported a funds available balance of \$57,468.70.)



Tom Newman – Hearing Officer
July 28, 2011

State of Illinois)
County of Peoria)

STATE BOARD OF ELECTIONS

11 JUN 14 AM 8:36

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 11AM009

N. Central IL Laborers' Council PAC
Respondent(s).)

APPEAL AFFIDAVIT

I, Charles H. Shempf Jr., the _____ of the
(Name) (Chairman/Treasurer)

North Central IL Laborers' Council PAC ID #1630

(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

This deposit was member dues not exceeding \$150⁰⁰ from anyone source. Therefore the filing of an A-1 report was not necessary and should not be considered delinquent.

Signed and Sworn to by:

before me this 16th Day of

June, 2011

Lynn A. Marks
Notary Public

Chas H Shempf Jr
(Signature of Chairman/Treasurer)



GREAT PLAINS LABORERS' DISTRICT COUNCIL

NCILD-C-PAC

12511

Check Number: 12511

Check Date: Jan 24, 2011

Invoice	Date	Discount Taken	Amount Paid	Quantity	Check Amount	Description
12/10	1/24/11		2261.65	1.00	\$2,261.65	12/2010 Contributions, \$16.00
				1.00		12/2010 Contributions, \$2245.65

7/27/11 at 16:24:27.95

Page: 1

Great Plains Laborers Dist. Cncl.

General Ledger

For the Period From Dec 1, 2010 to Dec 31, 2010

Filter Criteria includes: 1) IDs from 219500 to 219500. Report order is by ID. Report is printed in Detail Format.

Account ID Account Description	Date	Reference	Jrnl	Trans Description	Debit Amt	Credit Amt	Balance
219500 PAC 01	12/1/10			Beginning Balance			-12,870.44
	12/7/10	12/7/10	CRJ	Great Plains Laborers District - → L309 9/10 PAC, \$809.22		809.22	
	12/7/10	12/7/10	CRJ	Great Plains Laborers District - → L996 9/10 PAC, \$221.82		221.82	
	12/7/10	12/7/10	CRJ	Great Plains Laborers District - → L751 9/10 PAC, \$175.08		175.08	
	12/14/10	12448	CDJ	NCILDC-PAC - Invoice: 11/10	12,870.44		
	12/14/10	12/14/10	CRJ	Great Plains Laborers District - → L231 9/10 PAC, \$505.17		505.17	
	12/14/10	12/14/10	CRJ	Great Plains Laborers District - → L362 9/10 PAC, \$534.36		534.36	
				Current Period Change	12,870.44	2,245.65	10,624.79
	12/31/10			Ending Balance			-2,245.65

*These amounts are calculated by hours from
our locals work dues. We collect .01 per hour.*

7/27/11 at 16:23:30.34

Page: 1

Great Plains Laborers Dist. Cncl.

General Ledger

For the Period From Dec 1, 2010 to Dec 31, 2010

Filter Criteria includes: 1) IDs from 217000 to 217000. Report order is by ID. Report is printed in Detail Format

Account ID	Date	Reference	Jrnl	Trans Description	Debit Amt	Credit Amt	Balance
Account Description							
217000	12/1/10			Beginning Balance			-20.00
Acc. NCILDC Pol. Ac	12/6/10	2652	PRJ	Rocky A. Raikes		2.00	
	12/6/10	2654	PRJ	Charles H. Shempf Jr.		2.00	
	12/13/10	2661	PRJ	Rocky A. Raikes		2.00	
	12/13/10	2663	PRJ	Charles H. Shempf Jr.		2.00	
	12/14/10	12448	CDJ	NCILDC-PAC - Invoice: 11/10	20.00		
	12/20/10	2670	PRJ	Rocky A. Raikes		2.00	
	12/20/10	2672	PRJ	Charles H. Shempf Jr.		2.00	
	12/27/10	2679	PRJ	Rocky A. Raikes		2.00	
	12/27/10	2681	PRJ	Charles H. Shempf Jr.		2.00	
				Current Period Change	20.00	16.00	4.00
	12/31/10			Ending Balance			-16.00

Our employees contribute \$^{5.00} per week to our PAC fund.

STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

North Central IL Laborers Council PAC ID# 630
Charles Shempf Jr
2512 S Cameron Ln
Bartonville, IL 61607-9339

Dear North Central IL Laborers Council PAC:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Great Plains Laborers District Council	1/31/11	\$2,261.65	\$2,261.65	3/14/11	26

The committee is fined a **total** of \$2261.65 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$114, (5% of the total amount of contribution(s) reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 AM 013

IL Machinist Non-Partisan Political League

ID# 758

Respondent

REPORT OF HEARING OFFICER

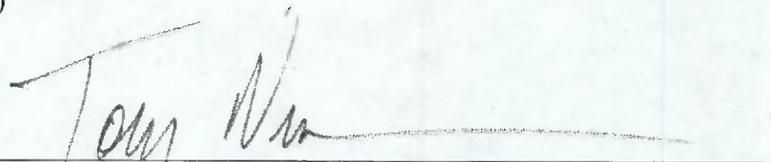
Appeal of Civil Penalty Assessment for Failing to File a Schedule A-1

The Committee received a \$4400 contribution on 2/18/11 but failed to report it on a Schedule A-1. Additionally, the Committee had previously been assessed a total civil penalty of \$1070 (not appealed, paid) for delinquently filing two Schedule A-1s for the 2010 General Election. The total assessment is \$4400.

Lawrence M. Walsh, Jr. the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Walsh states that the \$4400 contribution in question was incorrectly reported as an itemized transfer in from the Committee's parent group, the Illinois State Council of Machinists. Mr. Walsh says the money actually represented a collection of non-itemized receipts from a raffle conducted by the group in February. He notes that the Committee had already filed a Raffle Report in April, showing the gross receipts and payment of cash prizes from the drawing, as well as an amended Quarterly Report in June, changing the \$4400 amount to non-itemized individual contributions.

The Raffle Report filed by the Committee does show the \$4400 total as gross receipts from the raffle drawing. It is plausible and actually very likely this total represents an aggregate of non-itemized receipts, meaning no Schedule A-1 report would have been required. I recommend the appeal be granted. (As of 6/30/11, this Committee reported a funds available balance of \$11,610.76.)



Tom Newman – Hearing Officer
July 21, 2011

State of Illinois)
County of _____)

STATE BOARD OF ELECTIONS

11 JUN 27 PM 12:16

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
IL Machinists Non Partisan Political League)
Respondent(s).)

Case No. 11A013

APPEAL AFFIDAVIT

I, Lawrence M Walsh Jr., the Chairman of the
(Name) (Chairman/Treasurer)
IL Machinists Non Partisan Political League
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

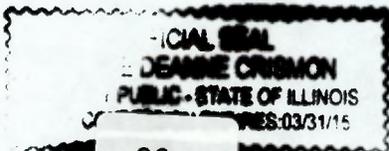
See Attached Letter

Signed and Sworn to by:

before me this 21st Day of June, 2011

Bobbie DeAnne Crismon
Notary Public

(Signature of Chairman/Treasurer)



June 22, 2011

State Board of Elections
Attn: Campaign Disclosure
1020 S. Spring Street
Springfield, IL 62704

Subject: Appeal

Dear Illinois State Board of Elections:

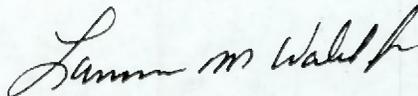
On behalf of the Illinois Machinists Non-Partisan Political League, we are asking this Board to abate the fine of \$1,100.00 imposed upon our Committee for the following reason:

- 1) The amount of \$4,400.00 was categorized incorrectly on D-2 statement as an itemized transfer-in from the Illinois State Council of Machinists. This amount of \$4,400.00 was actually proceeds from a raffle conducted on behalf of our Committee and should have been categorized as ticket sales as a non-itemized individuals contribution.

In addition, enclosed is the Raffle report filed with the State Board of Elections in March 2011 showing the gross receipts from the raffle at \$4,400.00, along with an amended quarterly D-2 statement filed with the Board on June 9, 2011 reflecting the correct itemization of the raffle proceeds. Furthermore, we respectfully ask this Board to forgive and abate the fines that are being imposed upon this Committee.

Thank you for your time and consideration in this matter.

Sincerely,



Lawrence M. Walsh, Jr.
Chairman

LW:jw
enclosure

FORM D-2

REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES
Quarterly Report
Amendment

FOR OFFICE USE ONLY
IDENTIFICATION NO.
758

IL Machinist Non-partisan Political League
500 W Plainfield Rd
Countryside, IL 60525-3582

FILED
6/9/2011 9:08:09 AM

<p>REPORTING PERIOD 1/1/2011 thru 3/31/2011</p> <p style="text-align: center;">SECTION A - RECEIPTS</p> <p>1. Individual Contributions:</p> <p style="margin-left: 20px;">a. Itemized (from Schedule A) \$ 0.00</p> <p style="margin-left: 20px;">b. Not-Itemized..... <u>\$ 4,400.00</u></p> <p>2. Transfers In:</p> <p style="margin-left: 20px;">a. Itemized (from Schedule A) \$ 785.00</p> <p style="margin-left: 20px;">b. Not-Itemized..... <u>\$ 0.00</u></p> <p>3. Loans Received:</p> <p style="margin-left: 20px;">a. Itemized (from Schedule A) \$ 0.00</p> <p style="margin-left: 20px;">b. Not-Itemized..... <u>\$ 0.00</u></p> <p>4. Other Receipts:</p> <p style="margin-left: 20px;">a. Itemized (from Schedule A) \$ 0.00</p> <p style="margin-left: 20px;">b. Not-Itemized..... \$ 150.00</p> <p style="margin-left: 20px;">TOTAL RECEIPTS (1-4)..... <u>\$ 5,335.00</u></p> <p>5. In-Kind Contributions:</p> <p style="margin-left: 20px;">a. Itemized (from Schedule I) \$ 0.00</p> <p style="margin-left: 20px;">b. Not-Itemized..... \$ 0.00</p> <p style="margin-left: 20px;">TOTAL IN-KIND..... <u>\$ 0.00</u></p> <p style="margin-top: 20px;">Name and address of person submitting this report <u>if other</u> <u>than the committee's candidate or treasurer:</u></p> <p style="margin-top: 10px;"><u>Sam Cicinelli</u> <u>500 West Plainfield Road</u> <u>Countryside, IL 60525</u></p>	<p style="text-align: center;">SECTION B - EXPENDITURES</p> <p>6. Transfers Out:</p> <p style="margin-left: 20px;">a. Itemized (from Schedule B) 0.00</p> <p style="margin-left: 20px;">b. Not-Itemized..... <u>0.00</u></p> <p>7. Loans made:</p> <p style="margin-left: 20px;">a. Itemized (from Schedule B) 0.00</p> <p style="margin-left: 20px;">b. Not-Itemized..... <u>0.00</u></p> <p>8. Expenditures:</p> <p style="margin-left: 20px;">a. Itemized (from Schedule B) \$ 1,100.00</p> <p style="margin-left: 20px;">b. Not-Itemized..... <u>\$ 0.00</u></p> <p>9. Independent Expenditures:</p> <p style="margin-left: 20px;">a. Itemized (from Schedule B) \$ 0.00</p> <p style="margin-left: 20px;">b. Not-Itemized..... \$ 0.00</p> <p style="margin-left: 20px;">TOTAL EXPENDITURES (6-9).. <u>\$ 1,100.00</u></p> <p style="text-align: center;">SECTION C - DEBTS AND OBLIGATIONS</p> <p>10. a. Itemized (from Schedule C).... \$ 0.00</p> <p style="margin-left: 20px;">b. Not-Itemized..... <u>\$ 0.00</u></p> <p style="margin-left: 20px;">TOTAL DEBTS AND OBLIGATIONS <u>\$ 0.00</u></p> <p style="text-align: center;">SECTION D - CASH BALANCE</p> <p>Funds available at the beginning of the reporting period..... <u>\$ 8,445.76</u></p> <p>Total Receipts (Section A)..... <u>\$ 5,335.00</u></p> <p style="margin-left: 20px;">Subtotal..... <u>\$ 13,780.76</u></p> <p>Total Expenditures (Section B)..... <u>\$ 1,100.00</u></p> <p>Funds available at the close of the reporting period..... <u>\$ 12,680.76</u></p> <p style="text-align: center;">*****</p> <p>Investment Total..... <u>\$ 0.00</u></p>
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VERIFICATION

I DECLARE THAT THIS QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES (INCLUDING ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE, CORRECT AND COMPLETE REPORT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE REPORT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO \$5000.

Sam Cicinelli
SIGNATURE OF TREASURER OR CANDIDATE

6/9/2011 9:08:09AM
DATE

Filed Electronically

Description of Amended Information

After receipt of a fine assessed for an A-1, I called a State Board of Elections Representative who suggested I correct the deposit of \$4,400 (which was a deposit from a raffle from several individuals none of which exceeded \$150 personally). I also plan to appeal the new penalty.

Filed 3/8/11



RAFFLE REPORT

FOR OFFICE USE ONLY

Full name and complete mailing address of Political Committee:

IL Machinists Non - Partisan Political League
500 W Plainfield Road
Countryside, IL 60525-3582

POLITICAL COMMITTEE IDENTIFICATION NO.

CHECK IF ADDRESS CHANGE

Date of Raffle Drawing: 2/17/2011	All committees Return This Form To: State Board of Elections 1020 S Spring St Springfield, IL 62704-2924
Describe Raffle: Gun & Cash Raffle	
Check here if this is an amended Raffle Report: <input type="checkbox"/>	

SEE PAMPHLET "RULES FOR RAFFLES" FOR GUIDANCE.

Gross Receipts \$4,400.00 (1)

minus Expenses \$1,251.00 (2)

equals Net Proceeds \$3,149.00 (3)

See instructions on the reverse side of this form. Attach additional sheets if necessary.

Distribution of Net Proceeds (Cash):			
Payee name and address	Date	Purpose	Amount
IL Machinists Non - Partisan Political League	2/28/11	Donation	\$3,149.00

Net Proceeds Total _____

Distribution of Net Proceeds (Non-Cash):		
Winner name and address	Date	Item
Ed Wrobel 9008 So Woody Ave., Oak Lawn, IL 60453	2/17/11	Cash \$600.00 in Lieu of Gun
Richard Adams 1603 Rickey Dr., Joliet, IL 60433	2/17/11	Cash \$300.00
Marilyn Irons 1440 E 16th Rd., Streator, IL 61364	2/17/11	Cash \$200.00

VERIFICATION

I DECLARE THAT THIS RAFFLE REPORT HAS BEEN EXAMINED BY ME AND, TO THE BEST OF MY KNOWLEDGE, IS A TRUE, CORRECT AND COMPLETE REPORT AS REQUIRED BY 230 ILCS 15/8.1 OF THE RAFFLES ACT. VIOLATION OF ANY PROVISION OF THIS SECTION IS A CLASS C MISDEMEANOR.

Laura M. Walsh
SIGNATURE OF TREASURER OR CHAIRMAN

2/17/11
DATE

NAME OF POLITICAL COMMITTEE

REPORTING PERIOD

FILED

FOR OFFICE USE ONLY
IDENTIFICATION NO.

SCHEDULE A

PART 2: TRANSFERS IN

ITEMIZED RECEIPTS FULL NAME, MAILING ADDRESS, AND ZIPCODE	DATE RECEIVED	AMOUNT OF EACH RECEIPT	AGGRAGATE AMOUNT FOR THIS REPORTING PERIOD
Illinois State Council of Machinists, 500 West Plainfield Road Countryside, IL 60525	2/18/2011	\$785.00	\$785.00
TOTAL FOR THIS PART:			\$785.00

NAME OF POLITICAL COMMITTEE

REPORTING PERIOD

FILED

FOR OFFICE USE ONLY
IDENTIFICATION NO.**SCHEDULE B**

PART 8 - EXPENDITURES

ITEMIZED EXPENDITURES FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE OF EXPENDITURE	AMOUNT	AGGREGATE AMOUNT FOR THIS REPORTING PERIOD
Adams, Richard Peoria, IL 61603 Purpose: Raffle winnings	2/28/2011 Beneficiary: Richard Adams	\$300.00	\$300.00
Irons, Marilyn Streeter, IL 61364 Purpose: Raffle winnings	2/28/2011 Beneficiary: Marilyn Irons	\$200.00	\$200.00
Wrobel, Ed 4N707 Challedon Ct. Elburn, IL 60119 Purpose: Raffle winnings	2/28/2011 Beneficiary: Ed Wrobel	\$600.00	\$600.00
TOTAL FOR THIS PART:			\$1,100.00

NAME OF POLITICAL COMMITTEE

REPORTING PERIOD

FILED

FOR OFFICE USE ONLY
IDENTIFICATION NO.

SCHEDULE B-9

EXPENDED TO: FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE OF EXPENDITURE	AMOUNT	AGGREGATE AMOUNT FOR THIS REPORTING PERIOD
--	------------------------	--------	---

Purpose:

Candidate:

Office Sought:

TOTAL FOR THIS PART:

UNDER PENALTY OF PERJURY, THIS EXPENDITURE(S) WAS NOT MADE IN COOPERATION, CONSULTATION, OR CONCERT WITH, OR AT THE REQUEST OR SUGGESTION OF ANY AUTHORIZED COMMITTEE OR AGENT OF SUCH COMMITTEE.

SIGNATURE OF TREASURER OR CANDIDATE

DATE

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan Schneider, Chairman
Wanda Rednour, Vice Chairman
Patrick Brady
John Keith
William McGuffage
Albert Porter
Jesse Smart
Robert Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2011

IL Machinists Non-partisan Political League
Sam Cicinelli
500 W Plainfield Rd
Countryside, IL 60525-3582

ID # 758

Dear IL Machinists Non-partisan Political League:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
IL State council of Machinists	2/18/11	\$4400	\$4400	*	n/a

The committee is fined a **total** of \$4400 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1100, (25% of the total amount of contribution(s) reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

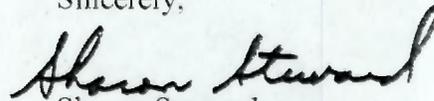
If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
10/4/10 through 11/1/10	Schedule A-1	\$1070
TOTAL AMOUNT NOW DUE		\$2170

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward

Director, Campaign Disclosure Division

SS: jr

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 AM 016

IUOE Local #649 PAC

ID# 1155

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing a Schedule A-1 Report

The Committee received a \$45,000 contribution on 1/19/11 but failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$45,000.

Darren Smith, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Smith states that the contribution in question was a transfer in from the union's International PAC fund and was comprised of individual members' contributions, none of which exceeded \$1000. As a result, he says an A-1 filing was not required.

Section 9-8.5(i) of the Campaign Disclosure Act states in part that a political action committee established by a labor organization, "*may act as a conduit in facilitating the delivery to a political action committee of contributions made through dues, levies, or similar assessments and the political action committee may report the contributions in the aggregate...*" and that the committee facilitating the delivery, "*shall disclose the amount of dues delivered or received and the name of the corporation, labor organization, association, or political action committee delivering the contributions...*" Therefore, the Committee is correct that no A-1 filing was required for this contribution. I recommend the appeal be granted. Additionally, to prevent a similar situation in the future, the Committee should be advised to report similar contributions in such a way as to make it clear that the contribution represents an aggregate of smaller dues payments from union members. (As of 3/31/11, this Committee reported a funds available balance of \$36,171.15.)



Tom Newman – Hearing Officer
July 5, 2011

State of Illinois)
County of Peoria)

STATE BOARD OF ELECTIONS

11 JUN 13 AM 10:59

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
IUOE Local #649 PAC (Engineers Political)
Respondent(s). Education)
Committee)

Case No. 11 AM 016

APPEAL AFFIDAVIT

I, Darren Smith, the Treasurer of the
(Name) (Chairman/Treasurer)

IUOE Local #649 PAC (Engineers Political Education Committee)
(Name of the Committee)

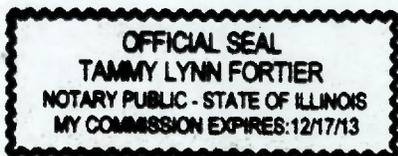
Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

An A-1 filing was NOT required as these monies were a transfer-in from the Local Union's International PAC fund and is comprised of individual member's contributions that do NOT exceed the \$1,000 threshold. The IL State Board of Elections has also confirmed several times both verbally and in writing that the A-1 is NOT necessary when these types of transfers are made.

Signed and Sworn to by:

before me this 8th Day of
June 2011
Tammy Lynn Fortier
Notary Public

Darren Smith
(Signature of Chairman/Treasurer)
(309) 697-0070 or (309) 264-8649



1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

STATE OF ILLINOIS



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

IUOE Local # 649 PAC (Engineers Political Education Committee)
Leroy Dohm, Darren D Smith
6408 W Plank Rd
Peoria, IL 61604-5237

ID# 1155

Dear IUOE Local # 649 PAC (Engineers Political Education Committee):

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
EPEC (Engineers political Education Cmte)	1/19/11	\$45,000	\$45,000	*	n/a

The committee is fined a **total** of \$45000 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$2250, (5% of the total amount of contribution(s) reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in black ink that reads "Sharon Steward".

Sharon Steward
Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

11 AM 074

Dan Rutherford Campaign Committee

ID# 6752

Respondent

REPORT OF HEARING OFFICER

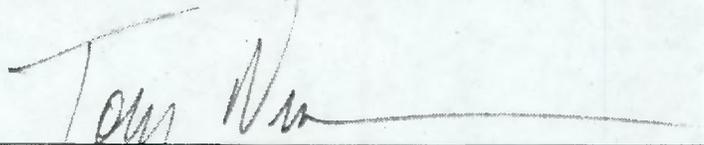
Appeal of Civil Penalty Assessment for Delinquently Filing a Schedule A-1 Report

The Committee received a \$1,359.14 contribution on 2/5/11 and failed to report it on a Schedule A-1. Additionally, the Committee had previously been assessed a \$175 civil penalty (not appealed, stayed) for failing to file a Schedule A-1 for the 2010 General Election. The total assessment is \$243.

Rex L. Schaeffer, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Schaeffer states that the receipt in question was entered incorrectly by the Committee as an individual contribution, when in fact it should have been listed under other receipts because the money was a refund from the Springfield Hilton hotel for overpayment of expenses for an inaugural reception. He includes copies of the vendor statement showing two refunds, totaling \$1,359.14. Mr. Schaeffer adds that the Committee has amended its Quarterly Report to correct the reporting of the refund.

The evidence clearly shows the initial reporting of the receipt was incorrect. The money was a refund and should be reported as such under other receipts. As a refund, no A-1 was required. I recommend the appeal be granted. (As of 6/30/11, this Committee reported a funds available balance of \$52,901.98 and investments of \$338,863.34.)



Tom Newman – Hearing Officer
August 1, 2011

State of Illinois)
County of McLean)

STATE BOARD OF ELECTIONS

11 JUL 18 AM 11:23

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Dan Rutherford Campaign Committee)
Respondent(s).)

Case No 11 AM 074

APPEAL AFFIDAVIT

I, Rex L. Schaeffer, the Treasurer of the
(Name) (Chairman/Treasurer)

Dan Rutherford Campaign Committee
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The receipt in question in the amount of \$1,359.14 from Springfield Hilton was a refund of the committee's previous expenditures to the vendor for inauguration reception charges. A copy of the banquet statement from the vendor is attached to show overpayments in the amounts of \$501.77 and \$857.37 totaling \$1,359.14. Section 10 ILCS 5/9-1.4(C) specifically states that "refunds or returns of all or part of a committee's previous expenditures shall not be considered contributions but shall be listed on disclosure reports required by this Article". Such amount was erroneously disclosed on the quarterly report as an itemized individual contribution instead of an other receipt. The quarterly report is in process of being amended to correct this disclosure error. The committee respectfully requests the penalty be abated.

Signed and Sworn to by:

before me this 15th Day of

July 2011
Carla Sue Elias

Notary Public

[Signature]
(Signature of Chairman/Treasurer)



Hilton Springfield

700 E. Adams Street • Springfield, IL 62701
Phone 217-789-1630 • Fax 217-522-5548

BEO #: 40193

Page: 1 of 1

Booked: Lisa McWard

Catering: Kristen Butcher, CMP

Printed: 1/24/2011

Banquet Check

Account: Dan Rutherford Campaign Committee	Event Date: Sunday, January 9, 2011
Post As: Dan Rutherford Campaign Committee Inauguration Even	Contact: Ms. Barb Frobish
Address: 220 West Howard Street Pontiac, IL 61764	Phone: 815-842-2475
	Fax: 815-842-3793
	On-Site:
Billing Info: Direct Bill	
Deposit: \$6,400.00	

Quantity	Food	Price	Amount
5	Assorted petit fours, mini cheesecakes, and mini desserts (50 pieces per selection)	250.00	1,250.00
10	Dozen of Assorted Jumbo Cookies, Blondies and Brownies	26.00	260.00
6	Gallons of Pre-Made Hot Chocolate Station to include: peppermint sticks, chocolate shavings, whipped cream	50.00	300.00
7	Gallon of Regular and Decaf Coffee	30.95	216.65
1	Gallons of: (1/2) Coffee, (1/2) Herbal Tea and Ice Tea (Capital Suite - Upper Level)	30.95 Per Gallon	30.95
Subtotal:			2,057.60
Service Charge %: 21.00			432.10
Tax %: 8.00			164.61
Total:			2,654.31

Quantity	Beverage	Price	Amount
210	House Wine	4.00 Each	840.00
190	Domestic Bottle Beer	3.50 Each	665.00
80	Soda (Can Soda)	2.00 Per Glass	160.00
70	Bottled Water	2.25	157.50
104	House Wine	4.00 Each	416.00
1	Chivas	7.95	7.95
Subtotal:			2,246.45
Service Charge %: 21.00			471.75
Tax %: 8.00			179.72
Total:			2,897.92

Quantity	Miscellaneous	Price	Amount
1	Blue Pipe and Drape	100.00 Per Drape	100.00
Subtotal:			100.00
Service Charge %: 21.00			21.00
Tax %: 0.00			0.00
Total:			121.00

Room Rental	Price	Amount
Room: Pinnacle Club Function: RECP	225.00	225.00
Subtotal:		225.00
Room Rental Tax %: 0.00		0.00
Total:		225.00

Grand Total:	5,898.23
Deposit:	6,400.00
Balance Due:	-501.77

Signature _____

Date _____

Hilton Springfield

700 E. Adams Street • Springfield, IL 62701
Phone 217-769-1530 • Fax 217-522-5345

BEO #: 40104
Page: 1 of 2
Booked: Lisa McWard
Catering: Kristen Butcher, CMP
Printed: 2/3/2011

Banquet Check

Account: Dan Rutherford Campaign Committee	Event Date: Monday, January 10, 2011
Post As: Dan Rutherford Campaign Committee Inauguration Even	Contact: Ms. Barb Frobish
Address: 220 West Howard Street Pontiac, IL 61764	Phone: 815-842-2475
	Fax: 815-842-3793
	On-Site:

Billing Info: Direct Bill
Deposit: \$22,000.00

Quantity	Food	Price	Amount
9	Orders of: BBQ Pulled Pork Sliders with creamy cole slaw and dollar roll buns	145.00 Per 50 Pieces	1,305.00
9	Orders of: Honey Ham with an apple relish on a flaky biscuit	145.00 Per 50 Pieces	1,305.00
6	Orders of: Jalapeno Corn Fritters	130.00 Per 50 Pieces	780.00
6	Orders of: Cheesey Hushpuppies	130.00 Per 50 Pieces	780.00
7	Orders of: Chicken Skewers with a Roasted Calhoun Peach BBQ Glaze	145.00 Per 50 Pieces	1,015.00
9	Orders of: Inside Out Hamburger Horseshoes - potato skin filled with ground beef and cheese sauce	150.00 Per 50 Pieces	1,350.00
45	Orders of: Bottled Root Beer	3.25 Per Bottle	146.25
9	Orders of: Deep Dish Chicago Style Pizze	135.00 Per 50 Pieces	1,215.00
9	Orders of: Mini Chicago Style Hot Dogs with all the fixings	135.00 Per 50 Pieces	1,215.00
3	Fresh Vegetables with dill dip	195.00 Per Tray	585.00
		Subtotal:	9,696.25
		Service Charge %: 21.00	2,036.21
		Tax %: 8.00	775.70
		Total:	12,508.16

Quantity	Beverage	Price	Amount
5	Open Bar Includes:	0.00	0.00
655	House Wine	4.00 Each	2,620.00
199	Soda	1.75 Per Glass	348.25
6	Domestic Keg Beer (4 on Reserve) Bud Light	250.00 per keg	1,500.00
75	House Wine (Capital Suite)	4.00 Each	300.00
		Subtotal:	4,768.25
		Service Charge %: 21.00	1,001.33
		Tax %: 8.00	381.46
		Total:	6,151.04

Quantity	Miscellaneous	Price	Amount
2	Plasma TV	200.00 Per TV	400.00
1	Blue Pipe and Drape (parking garage)	100.00 Per Foot	100.00
1	Red Carpet (Parking Garage)	49.95 Roll	49.95
1	LCD Data Display Package: 2500 lumen projector, cart, screen, cabling.	250.00 Package	250.00
4	Laptop Computer	50.00 Per Computer	200.00
1	LCD Data Display Package: 2500 lumen projector, cart, screen, cabling.	250.00 Package	250.00
1	Blue pipe and drape	100.00	100.00
		Subtotal:	1,348.95
		Service Charge %: 21.00	283.49
		Tax %: 0.00	0.00
		Total:	1,633.44

Hilton Springfield

700 E. Adams Street • Springfield, IL 62701
Phone 217-789-1530 • Fax 217-522-5346

BEO #: 40194

Page: 2 of 2

Booked: Lisa McWard

Catering: Kristen Butcher, CMP

Printed: 2/3/2011

Banquet Check

Account:	Dan Rutherford Campaign Committee	Event Date:	Monday, January 10, 2011
Post As:	Dan Rutherford Campaign Committee Inauguration Even	Contact:	Ms. Barb Frobish
Address:	220 West Howard Street Pontiac, IL 61764	Phone:	815-842-2475
		Fax:	815-842-3793
		On-Site:	
Billing Info: Direct Bill			
Deposit: \$22,000.00			

1 Wayport Internet Access - Initial Hook-up of T1 line (each additional line/computer hook-up is \$100.00)	175.00 Per room	175.00
1 Wayport Internet Access - Additional Hook-ups	74.99 Per Hook-up	74.99
1 Wayport Internet Access - Initial Hook-up of T1 line (each additional line/computer hook-up is \$100.00)	175.00 Per room	175.00
1 Wayport Internet Access - Initial Hook-up of T1 line (each additional line/computer hook-up is \$100.00)	175.00 Per room	175.00
	Subtotal:	599.99
	Service Charge %:	0.00 0.00
	Tax %:	0.00 0.00
	Total:	599.99

Room Rental	Price	Amount
Room: Rendezvous Room	Function: RECP 250.00	250.00
	Subtotal:	250.00
	Room Rental Tax %:	0.00 0.00
	Total:	250.00

Grand Total:	21,142.63
Deposit:	22,000.00
Balance Due:	-857.37

Signature _____

Date _____

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 30, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Dan Rutherford Campaign Committee
220 W Howard St
Pontiac, IL 61764-1808

ID# 6752

Dear Dan Rutherford Campaign Committee:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Springfield Hilton	2/5/2011	\$680	\$1359.14	*	40

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$680 for delinquently filing Schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$68, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

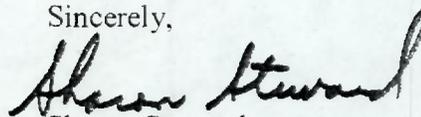
If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
October 4 through November 1, 2010	Schedule A-1	\$175
TOTAL AMOUNT NOW DUE		\$243

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward

Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

11 AM 075

Builders Association PAC

ID# 6830

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports

The Committee received a \$1,000 contribution on 1/12/11, and a \$1,000 and \$1,500 contribution on 1/24/11, and reported all three on a Schedule A-1 received by the Board on 3/1/11, 26 and 19 days late, respectively. The total assessment is \$1,750.

Al Leitschuh, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Leitschuh states that the Committee's lack of training and experience with the new IDIS v2.0 electronic filing software resulted in the delinquent filing. He says after upgrading to the new software, his staff had been entering all receipts and expenditures on a regular basis, but they were under the impression that all data was automatically uploaded to the Board once they saved and closed out of the program. Mr. Leitschuh says the new software was so much more user-friendly than the old version that the Committee did not realize a separate step for filing reports still had to be complete.

While it is ultimately the Committee's responsibility to ensure all reports are filed in a timely fashion regardless of the filing method, in order to be consistent with prior Board decisions where an electronic filing defense is used, and since this Committee has not previously raised such a defense, I recommend the appeal be granted. The Committee should be aware however that the Board is not likely to look favorably upon such a defense a second time in the future. (As of 6/30/11, this Committee reported a funds available balance of \$52,901.98 and investments of \$49,674.32.)



Tom Newman – Hearing Officer
July 29, 2011

RECEIVED JUL 05 2011

State of Illinois)
County of Cook)

STATE BOARD OF ELECTIONS

11 JUL 11 AM 9:41

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
BA PAC ID# 6830)
Respondent(s).)

Case No. 11 AM 075

APPEAL AFFIDAVIT

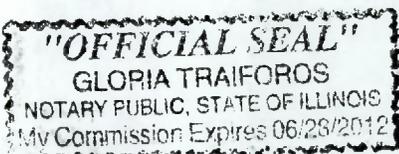
I, Albert Leitschuh, the Treasurer of the
(Name) (Chairman/Treasurer)
Builders Association PAC
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please see attached letter.

Signed and Sworn to by:
Al Leitschuh
before me this 8th Day of
July, 2011
Gloria Traiforos
Notary Public

Al Leitschuh
(Signature of Chairman/Treasurer)





STATE BOARD OF ELECTIONS
11 JUL 11 AM 9:41

Material To Your Business

OFFICERS and BOARD OF DIRECTORS

Chairman
Howard Strong, President
The George Sollitt Construction Company

Vice Chairman
Ben Johnston, Vice President
James McHugh Construction

Treasurer
Jimmy Akintonde, President
Ujamaa Construction

Immediate Past Chairman
R. Lynn Treat
Director Field Operations
Ryan Companies US

Secretary
Albert Leitschuh, CAE

Ken Egidi, President
Pepper Construction

Leon Laleunesse, President
Custom Contracting, Ltd.

Mike Mozal, President
Joseph J. Duffy Company

Jeff Raday, President
McShane Construction Co.

Brad Swaback, President
Just Rite Acoustics, Inc.

Ray Wojkovich, Vice President
Bulley & Andrews, LLC

9550 W. Higgins Road
Suite 380
Rosemont, IL 60018
(847) 318-8585 phone
(847) 318-8586 fax
www.bltrs.org



A Proud charter chapter
of The AGC of America

July 7, 2011

Sharon Steward
Director, Campaign Disclosure Division
1020 South Spring Street
PO Box 4187
Springfield, IL 62708

Dear Ms. Steward:

Thank you for your correspondence received July 5, 2011 regarding Schedule A-1 delinquency fees. I kindly request that these fees be waived; please find enclosed signed Appeal Affidavit and Waiver of Appearance forms. I have also included a copy of the letter one of my staff, Stacey Kelly, sent you on April 29, 2011 detailing our staff's lack of training of the new IDIS v.2 system. Since our data conversion to IDIS v.2 staff had been entering all receipts/expenditures into the database immediately upon processing by our accounting department; once they saved the data entry and closed out of the program, they were under the impression that the data was automatically uploaded. The "old" IDIS version had many steps to upload, validate, and file each report. As the new system was very user-friendly as we entered all information, we did not realize that each report must still be "filed" within the respective timeframe.

After sending your office the April 29th letter, staff entered all future filing dates through 2012 in our electronic calendar to ensure subsequent reports are indeed uploaded and filed appropriately. Consistent experience with IDIS v.2 during the first half of 2011 has increased our understanding of the system immensely.

I appreciate the Illinois State Board of Elections' review of this appeal, and sincerely hope we may be granted a one-time waiver of delinquency fees for this oversight.

Please contact our office if you require additional information. Thank you for your consideration.

Sincerely,

Al Leitschuh

Treasurer, Builders Association PAC

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 30, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Builders Association PAC (BA PAC)
Al Leitschuh, Michael Schultze
9550 W Higgins Rd, Ste 380
Rosemont, IL 60018-4906

ID# 6830

Dear Builders Association PAC (BA PAC):

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Bulley & Adrews	1/24/2011	\$500	\$1000	3/1/2011	19
McShane Construction	1/24/2011	\$750	\$1500	3/1/2011	19
Pepper Construction	1/12/2011	\$500	\$1000	3/1/2011	26

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$1750 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$175, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

11 AM 003

FOP Action IL State Lodge

ID# 11793

Respondent

REPORT OF HEARING OFFICER

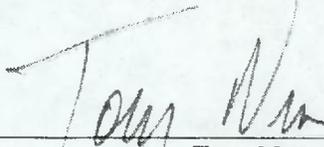
Appeal of Civil Penalty Assessment
For Delinquently Filing a Schedule A-1 Report

The Committee received a \$15,000 contribution on 1/6/11 and reported it on a Schedule A-1 received by the Board on 4/7/11, 57 days late, resulting in a civil penalty assessment of \$15,000.

Ted Street, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Street states that the contribution came from the Committee's establishing organization, and represented an aggregate of dues payments from members totaling less than one dollar per person. As a result, he says an A-1 filing was not required.

Section 9-8.5(i) of the Campaign Disclosure Act states in part that a political action committee established by a corporation, labor organization, or association, "may act as a conduit in facilitating the delivery to a political action committee of contributions made through dues, levies, or similar assessments and the political action committee may report the contributions in the aggregate..." and that the committee facilitating the delivery, "shall disclose the amount of dues delivered or received and the name of the corporation, labor organization, association, or political action committee delivering the contributions..." Therefore, the Committee is correct that no A-1 filing was required for this contribution. I recommend the appeal be granted. Additionally, to prevent a similar situation in the future, the Committee should be advised to report similar contributions in such a way as to make it clear that the contribution represents an aggregate of smaller dues payments from union members. (As of 3/31/11, this Committee reported a funds available balance of \$9,467.96.)



Tom Newman – Hearing Officer

July 5, 2011

11 JUN 15 AM 11:14

COUNTY OF SANGAMON)
STATE OF ILLINOIS)
SS)
)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF)
ILLINOIS STATE BOARD OF ELECTIONS)
Complainant)
Vs)
FOP ACTION IL STATE LODGE)
Respondent)

Case No. 11 AM 003

APPEAL AFFIDAVIT

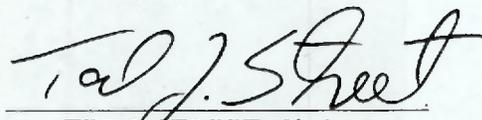
1. I TED J. STREEET , the Chairman of the FOP Action IL State Lodge, being duly sworn, deposes and states that Respondent committee can off a good reason or defense to the claims made against the committee and the assessment of a civil penalty in this matter. Such reasons and defenses are:
2. The Respondent has, at all times, made good faith efforts to comply with the rules established by the Illinois State Board of Elections.
3. The Respondent has never before had any claim or civil assessment from the Illinois State Board of Elections.
4. The Respondent believes the current claim, for delinquent filing of a Schedule A-1 form, was made in error because the Respondent was not required to file per §9-8.5 of the Election Code which states, in pertinent part:

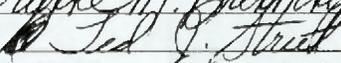
"For the purposes of this Section, a corporation, labor organization, association, or a political action committee established by a corporation, labor organization, or association may act as a conduit in facilitating the delivery to a political action committee of contributions made through dues, levies, or similar assessments and the political action committee may report the contributions in the aggregate, provided that: (i) the dues, levies, or similar assessments paid by any natural person, corporation, labor organization, or association in a calendar year may not exceed the limits set forth in this Section and (ii) the corporation, labor organization, association, or a political action committee established by a corporation, labor organization, or association facilitating the delivery

of contributions maintains a list of natural persons, corporations, labor organizations, and associations that paid the dues, levies, or similar assessments from which the contributions comprising the aggregate amount derive. A political action committee facilitating the delivery of contributions or receiving contributions shall disclose the amount of dues delivered or received and the name of the corporation, labor organization, association, or political action committee delivering the contributions, if applicable." 10 ILCS 5/9-8.5(i).

5. The Respondent is a political action committee, established by an association which acts as a conduit in delivering to the political action committee contributions made through dues of its association members and may report such contributions in the aggregate.
6. The \$15,000 contribution from the IL Fraternal Order of Police State Lodge on 1/6/11 was made by the political committee's establishing association, reporting aggregate contributions made through a portion of dues paid by the association's over 33,500 members and maintains a list of all natural person from whom dues are collected.
7. As an aggregate report of individual dues contributions, this represents less than \$1 (one dollar) per natural person, and the Respondent believes that it has not triggered the reporting requirements or penalty provisions of §9-10(c) of the Election Code, and has otherwise complied with the Illinois Campaign Disclosure Act.

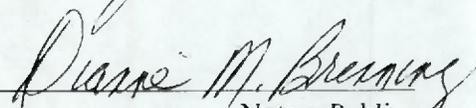
RESPECTULLY SUBMITTED AND FURTHER AFFIANT SAYETH NOT


TED J. STREET, Chairman

I,  a Notary Public of the County and State aforesaid, hereby certify that  personally known to me to be the affiant in the foregoing affidavit, personally appeared before me this day and having been by me duly sworn deposes and says that the facts set forth in the above affidavit are true and correct.

Witness my hand and official seal this the 13th day of June, 2011.

(SEAL)


Notary Public

My Commission expires:

5/28/2015.



STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
 Springfield, Illinois 62708
 217/782-4141
 Fax: 217/782-5959

James R. Thompson Center
 100 West Randolph, Suite 14-100
 Chicago Illinois 60601
 312/814-6440
 Fax: 312/814-6485



EXECUTIVE DIRECTOR
 Rupert T. Borgsmiller
 June 3, 2011

BOARD MEMBERS
 Bryan A. Schneider, Chairman
 Wanda L. Rednour, Vice Chairman
 Patrick A. Brady
 John R. Keith
 William M. McGuffage
 Albert S. Porter
 Jesse R. Smart
 Robert J. Walters

FOP Action IL State Lodge ID# 11793
 Michael. Vitale, Ted J Street
 4341 Acer Grove Dr, Ste B
 Springfield, IL 62711-6344

Dear FOP Action IL State Lodge:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
IL Fraternal Order of Police State Lodge	1/6/11	\$15,000	\$15,000	4/7/11	57

The committee is fined a **total** of \$15,000 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$750, (5% of the total amount of contribution(s) reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
 Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

11 DS 288

Friends for Hultgren ID# 13611

Respondent

REPORT OF HEARING OFFICER

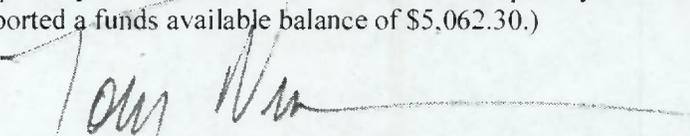
Appeal of Civil Penalty Assessment for Delinquently
Filing the 2010 December Semi-Annual Report

The Report was received by the Board on March 28, 2011, 44 days late, resulting in a civil penalty assessment of \$2,200. (The Committee had originally filed its Report on paper on January 24, 2011, 3 days late, but was subsequently informed that it was required to file electronically. Per Board rules, the Committee was given 30 days to electronically re-file the Report, but that deadline was missed, so the paper Report was considered to never have been filed.) The total assessment is \$2,200.

Randall Hultgren, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Hultgren states that the computer with the Committee's filing records on it failed so the Committee initially faxed in its Report. He says by the time a new computer was obtained and the data transferred to the new computer, the old version of the IDIS software used by the Committee could no longer be used. Mr. Hultgren says challenges installing and then using the new IDIS 2.0 software resulted in the late filing.

Based on a service request entered on an internal Board system, the Committee apparently did have problems with installing the IDIS 2.0 software around the time the Report was required to be re-filed electronically. Assistance from Board staff allowed the Committee to successfully install the program and transfer its files to the new computer. In order to be consistent with previous Board rulings where an electronic filing defense is raised, and since this Committee has not previously used such a defense, I recommend the appeal be granted. However, since the original paper Report was itself late, I recommend the Committee be assessed a civil penalty of \$150 for delinquently filing the Report. If these recommendations are accepted by the Board, as a first offense the penalty would be stayed. (As of 6/30/11, this Committee reported a funds available balance of \$5,062.30.)


Tom Newman – Hearing Officer
July 28, 2011

State of Illinois)
County of DeWitt)

STATE BOARD OF ELECTIONS

11 JUL -7 AM 11:36

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
FRIENDS FOR HULTGREEN)
Respondent(s).)

Case No. 11 DS 288

APPEAL AFFIDAVIT

I, RANDALL HULTGREEN, the CHAIRMAN of the
(Name) (Chairman/Treasurer)
FRIENDS FOR HULTGREEN
(Name of the Committee)

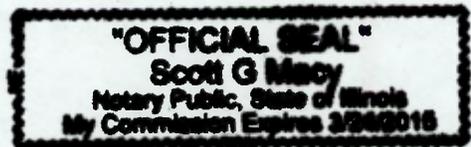
Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

THE HARD DRIVE ON THE COMPUTER WITH ALL
OF OUR ELECTRONIC FILING RECORDS COMPLETELY FAILED. OUR
REPORT WAS FAXED IN IMMEDIATELY. BY THE TIME THE RECORDS
WERE RECOVERED AND TRANSFERRED TO A NEW COMPUTER, THE
OLD FILING SOFTWARE COULD NO LONGER BE USED AND WE
HAD CHALLENGES GETTING THE NEW SOFTWARE DOWNLOADED AND
WORKING. WE ARE WILLING TO BE FINED, BUT BELIEVE THIS
AMOUNT IS EXCESSIVE WITH CIRCUMSTANCES BEYOND
OUR CONTROL.

Signed and Sworn to by:
RANDALL HULTGREEN
before me this 5th Day of
JULY, 2011

[Signature]
(Signature of Chairman/Treasurer)

Notary Public
[Signature]



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2011

Friends for Hultgren
Randall M Hultgren
1s651 Verdun Dr
Winfield, IL 60190

Committee ID: 13611

Dear Committee;

As you have been previously notified, this committee failed to electronically re-file the following document as required:

Report Type:	Semiannual Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provisions of Section 100.150 Rules & Regulations, it has been assessed a civil penalty for each day this report remained electronically unfiled. According to Board records, this report was electronically re-filed by your committee on March 28, 2011, 44 day(s) late. As such, this committee has been assessed a fine of \$2200.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.***

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of an additional penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections, with that civil penalty and any previously assessed penalty to be paid within 30 days. Therefore, you need not pay this assessed civil penalty unless another violation occurs.

If you have questions regarding the appeal process, please call Jenny Ronimous at 217/782-1543.

Sincerely;

A handwritten signature in black ink that reads "Sharon Steward".

Sharon Steward
Director, Campaign Disclosure Division

RTB: jr
Enclosure(s): appeal forms

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 AG 073

Friends of John Sullivan

ID# 16708

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

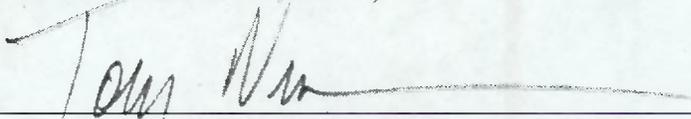
For Failure to File a Schedule A-1 for the 2010 General Election

The Committee received an \$820.06 in-kind contribution on 10/26/10 but failed to report it on a Schedule A-1. Additionally, the Committee had previously been assessed a \$600 civil penalty (appealed, appeal denied, paid) for failing to file three Schedule A-1s for the 2010 General Primary Election and a \$500 civil penalty (not appealed, paid) for failure to file a Schedule A-1 in 2011. The total assessment is \$820.06.

Jeffrey A. McPherson, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. McPherson states that the in-kind contribution was reported by the Committee using the date of the event at which the contribution was received, rather than the date the Committee actually received notice of the contribution. He says the Committee received the in-kind notification from the contributor in January of 2011.

I contacted Mr. McPherson to see if he could provide documentation to support his claim. He was able to produce a copy of the in-kind notification form received from the contributor, showing a contribution date of 10/26/10. Mr. McPherson repeated his claim that the form was received in January, although he did not know if it had been received in the mail or through some other means. Considering the evidence and the nature of the in-kind contribution, I see no reason to disbelieve the Committee's claim that the notification was not received until after the Semi-Annual Reporting period. I recommend the appeal be granted. I further recommend the Committee be ordered to amend its Semi-Annual and Quarterly Reports to show the correct date of receipt; these amendments to be filed within 30 days of the Final Board Order in this case. No new A-1 filing is required however, since the contribution falls below the new \$1000 threshold for A-1 reporting that went into effect January 1. (As of 6/30/11, this Committee reported a funds available balance of \$97,616.12.)



Tom Newman – Hearing Officer

July 26, 2011

State of Illinois)
County of _____)

STATE BOARD OF ELECTIONS

11 JUN 24 PM 12:18

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
FRIENDS OF JOHN SULLIVAN)
Respondent(s).)

Case No. 10 AG 073

APPEAL AFFIDAVIT

I, JEFFREY A. McPHERSON, the TREASURER of the
(Name) (Chairman/Treasurer)
FRIENDS OF JOHN SULLIVAN
(Name of the Committee)

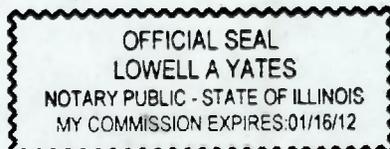
Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

IN-KIND CONTRIBUTION OF \$ 820.06 WAS REPORTED USING DATE
OF EVENT WHICH WAS 10-26-2010. I DID NOT RECEIVE
NOTIFICATION OF IN-KIND CONTRIBUTION UNTIL JANUARY, 2011.

Signed and Sworn to by:

before me this 23rd Day of
June 2011
Lowell A Yates
Notary Public

Jeffrey A. McPherson
(Signature of Chairman/Treasurer)



IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, and its value exceeded \$500, occupation & employer must be provided.

To:

Friends of John Sullivan
P.O. Box 224
Rushville, IL
62681

From:

Rob Uhe
312 S. 4th Street
Suite 200
Springfield, IL 62701
Attorney / TaylorUhe LLC
Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable)	Date	Value
University Club of Chicago 76 East Monroe Street Chicago, IL 60603 Phone: 312-696-6650	10/26/10	\$820.06
	Description	
	Food and beverages.	

The political committee must report these donations on the Form D-2, Report of Campaign Contributions and Expenditures, Part 5.



Signature of Contributor

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Friends of John Sullivan
James Rebman
PO Box 224
Rushville, IL 62681

ID# 16708

Dear Friends of John Sullivan:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Rob Uhe*	10/26/2010	\$820.06	\$820.06		

The committee is fined a **total** of \$820.06 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$411, (50% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 DS 295

Friends of District 214 ID# 20059

Respondent

REPORT OF HEARING OFFICER

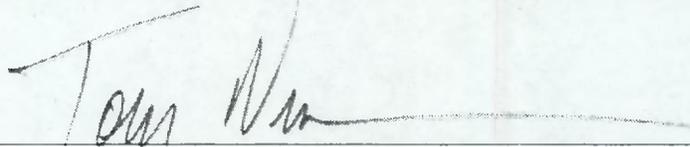
Appeal of Civil Penalty Assessment for Delinquently Filing the
2010 December Semi-Annual Report

The Report was received by the Board on January 25, 2011, 3 days late, resulting in a civil penalty assessment of \$75.

Norman V. Horler, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Horler states that the Committee attempted to file the Report electronically using the Board's IDIS 2.0 software but was unable to successfully do so because of problems with firewalls and system errors. He says attempts were also made to fax the Report to the Board, but a recurring busy signal prevented this from happening prior to the filing deadline. Mr. Horler adds that the Committee was ultimately able to electronically file the Report, but only with Board staff on the phone assisting in the process.

In order to be consistent with prior Board rulings when an electronic filing defense is raised, and since the Committee has not previously raised such a defense, I recommend the appeal be granted. (As of 6/30/11, this Committee reported a funds available balance of \$1,718.21.)



Tom Newman – Hearing Officer
July 27, 2011

State of Illinois)
County of Cook)

STATE BOARD OF ELECTIONS

11 JUL -5 AM 8:35

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of District 214)
Respondent(s).)

Case No. 11 DS 295

APPEAL AFFIDAVIT

I, Norman V Horler, the Chairman of the
(Name) (Chairman/Treasurer)
Friends of District 214
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

- The software upgrade was not ready to accept electronic filings at deadline for any organization. Using the previous software, we were never late in four years.
- Our computer firewalls both at home and at work, did not fully allow the upgrade due to system errors (on the state's part).
- The report was faxed while on phone with a contact from State Board of elections. This occurred ONLY after three straight business days of receiving a "busy signal" on State's dedicated fax line and three phone calls to get this resolved.
- The report was ultimately filed electronically but only while our treasurer (Gilbert Riley) was on phone with a contact from ISBE.

Signed and Sworn to by:

Norman V. Horler
before me this 30th Day of
June, 2011

Mirgeta Hyfantis
Notary Public

Norman V. Horler
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert L. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2011

Friends of District 214
Norm Horler
2570 N Douglas Ave
Arlington Heights, IL 60004

ID# 20059

Dear Friends of District 214;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contributions and Expenditures
Report Period:	July 1, 2010 through December 31, 2010
Filing Period:	January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 25, 2011, 3 days late. As such, this committee has been assessed a fine of \$75.

Enclosed please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of an additional penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections, with that civil penalty and any previously assessed penalty to be paid within 30 days. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal forms

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 AJ 011

Friends to Elect Toni L. Foulkes

ID# 20107

Respondent

REPORT OF HEARING OFFICER

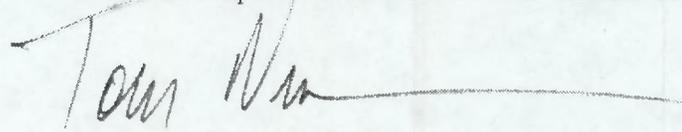
Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports

The Committee received an in-kind contribution of \$1,567 on 4/5/11 and reported it on a Schedule A-1 received by the Board on 4/14/11, 2 days late. The Committee also received a \$1,000 in-kind contribution on 4/5/11 and reported it on a Schedule A-1 received by the Board on 5/7/11, 18 days late. In addition, the Committee was previously assessed a \$400 civil penalty (not appealed, unpaid) for delinquently filing two Schedule A-1s in the first quarter of 2011. The total assessment is \$1,042.

Samuel Rivers, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Rivers states that the contributor notification for the \$1,567 in-kind contribution was sent to an old email address, and not actually received by him until 4/13/11. He states that once he discovered the email, he notified the contributor the same night to remind them to discontinue use of the old address. Mr. Rivers includes copies of the email communications and the in-kind contribution notification forms. In regards to the \$1,000 contribution, Mr. Rivers claims the notification for that in-kind was hand delivered to the Committee's office on 5/4/11. He includes a copy of that notification form as well.

Reviewing the evidence provided by the Committee, it appears both in-kind contributions were in fact timely reported, based on the dates the notifications for the in-kinds were received by the Committee. Additionally, the first in-kind, for \$1,567, was actually made up of 13 smaller contributions for staff assistance from the same contributor, and therefore arguably did not need to be reported on a Schedule A-1 at all. I recommend the appeal be granted. If this recommendation is accepted by the Board, the earlier \$400 civil penalty will still be due and owing. (As of 6/30/11, this Committee reported a funds available balance of \$33,709.86.)



Tom Newman – Hearing Officer
August 2, 2011

CHICAGO

11 JUL 27 PM 3:49

STATE BOARD OF ELECTIONS

State of Illinois)
County of COOK)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs. Friends To Elect Toni L. Foulkes)

Case No. 11AJ011

Samuel E. Rivers)
Respondent(s).)

APPEAL AFFIDAVIT

I, Samuel E. Rivers, the Treasurer of the
(Name) (Chairman/Treasurer)

Friends To Elect Toni L. Foulkes
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

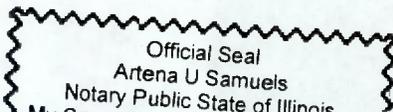
• SEE ENCLOSED DOCUMENTS

Signed and Sworn to by:

Samuel Rivers
before me this 25 Day of
July, 2011

Artena U Samuels
Notary Public

Samuel E. Rivers
(Signature of Chairman/Treasurer)



Alderman Toni L. **FOULKES**



Neighbor. Activist. Community Voice.

P.O. Box 369108
Chicago, IL 60636
773-737-3470

State of Illinois-State Board of Elections
100 W. Randolph, Suite 14-100
Chicago, IL. 60601
Ph 312/814-6400 FAX 312/814-6485

RE: Delinquent filing of A-1 Reports-letter dated June 30, 11

UFCW Local 881's \$1567 in-kind contribution dated 4/5/11, A-1 received 4/14/11.

- Contribution was emailed to samriv@peoplepc.com, an old email address, on April 11th.
- Page 13 of the attachment shows the \$1567 in-kind contribution.
- I discovered the email the evening of April 13th and notified UFCW Local 881 the same evening and asked/reminded them to dis-continue use of the peoplepc address.

Universal MazJac Enterprises' \$1000 in-kind contribution dated 4/5/11, A-1 received 5/7/11.

- Contribution was hand delivered to Friends To Elect Toni L. Foulkes office 5/4/11.

Original packet submitted to Andy Nauman 7/20/11-3:55 p.m. and subsequent email dated 7/22/11-11:52 a.m...

Feel free to contact me should you have any questions.

Sam Rivers-Treasurer

Friends To Elect Toni L. Foulkes, ID# 20107
(C) 312/369-9736
Email: samriv@att.net

Fw: Local 881 In-kinds - last batch

From: samriv <samriv@peoplepc.com>
To: "Friends to Elect Toni L. Foulkes"
Subject: Fw: Local 881 In-kinds - last batch
Date: Apr 13, 2011 8:28 PM
Attachments: [20110411155626182.pdf](#)

-----Forwarded Message-----

>From: "Faz-Huppert, Marina" <MarinaFaz-Huppert@local881ufcw.org>
>Sent: Apr 11, 2011 4:32 PM
>To: samriv <samriv@peoplepc.com>
>Cc: "Brown, Clint" <ClintBrown@Local881UFCW.org>
>Subject: Local 881 In-kinds - last batch

>

>Sam - Please find the attached in-kinds from our staff on election day.
>The last one is Clint's time for the last week including election day.
>This should be everything from 881 from the run-off. I have dated all
>the in-kinds for april 5th. Let me know if you have any questions.-
>Marina

PeoplePC Online
A better way to Internet
<http://www.peoplepc.com>

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual occupation & employer must be provided.

To Candidate/Political Committee:

From:

Friends to Elect Toni L. Foulkes

Local 881 UFCW

PO Box 369108

10400 W Higgins

Chicago, IL 60636

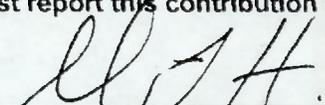
Rosemont, IL 60018

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description
	Clint Brown 10400 W Higgins Rosemont, IL 60018	4/5/11	

The political committee must report this contribution on their Form D-2, Section A, Part 5.


Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual occupation & employer must be provided.

To Candidate/Political Committee:

From:

Friends to Elect Toni L. Foulkes

Local 881 UFCW

PO Box 369108

10400 W Higgins

Chicago, IL 60636

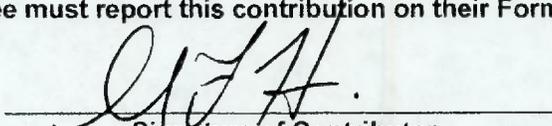
Rosemont, IL 60018

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description
Raul Cantu 10400 W Higgins Rosemont, IL 60018	4/5/11	\$300.01	staff assistance

The political committee must report this contribution on their Form D-2, Section A, Part 5.


Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual occupation & employer must be provided.

To Candidate/Political Committee:

From:

Friends to Elect Toni L. Foulkes

Local 881 UFCW

PO Box 369108

10400 W Higgins

Chicago, IL 60636

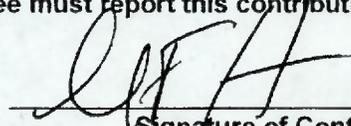
Rosemont, IL 60018

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description
Kevin Caravelli 10400 W Higgins Rosemont, IL 60018	4/5/11	\$170	staff assistance

The political committee must report this contribution on their Form D-2, Section A, Part 5.



Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual occupation & employer must be provided.

To Candidate/Political Committee:

From:

Friends to Elect Toni L. Foulkes

Local 881 UFCW

PO Box 369108

10400 W Higgins

Chicago, IL 60636

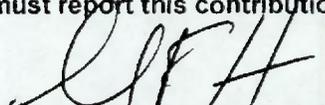
Rosemont, IL 60018

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value
Catherine Howe 10400 W Higgins Rosemont, IL 60018	4/5/11	\$248.22
	staff assistance	Description

The political committee must report this contribution on their Form D-2, Section A, Part 5.



Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services
within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

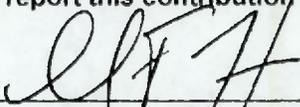
If the In-Kind was donated by an Individual occupation & employer must be provided.

To Candidate/Political Committee:	From:
Friends to Elect Toni L. Foulkes	Local 881 UFCW
PO Box 369108	10400 W Higgins
Chicago, IL 60636	Rosemont, IL 60018
	Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description
Juan Leyva 10400 W Higgins Rosemont, IL 60018	4/5/11	\$200	staff assistance

The political committee must report this contribution on their Form D-2, Section A, Part 5.



 Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual occupation & employer must be provided.

To Candidate/Political Committee:

From:

Friends to Elect Toni L. Foulkes

Local 881 UFCW

PO Box 369108

10400 W Higgins

Chicago, IL 60636

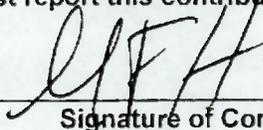
Rosemont, IL 60018

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable)	Date	Value
Anthony Malito 10400 W Higgins Rosemont, IL 60018	4/5/11	\$220.67
		Description
	staff assistance	

The political committee must report this contribution on their Form D-2, Section A, Part 5.



Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

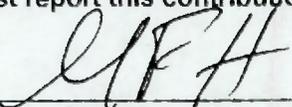
If the In-Kind was donated by an Individual occupation & employer must be provided.

To Candidate/Political Committee:	From:
Friends to Elect Toni L. Foulkes	Local 881 UFCW
PO Box 369108	10400 W Higgins
Chicago, IL 60636	Rosemont, IL 60018
	Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description
Mamie Pratt 10400 W Higgins Rosemont, IL 60018	4/5/11	\$220.67	staff assistance

The political committee must report this contribution on their Form D-2, Section A, Part 5.



Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual occupation & employer must be provided.

To Candidate/Political Committee:

From:

Friends to Elect Toni L. Foulkes

Local 881 UFCW

PO Box 369108

10400 W Higgins

Chicago, IL 60636

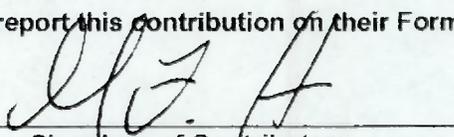
Rosemont, IL 60018

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value
	Teresa Ramirez 10400 W Higgins Rosemont, IL 60018	4/5/11
	staff assistance	Description

The political committee must report this contribution on their Form D-2, Section A, Part 5.


Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual occupation & employer must be provided.

To Candidate/Political Committee:

From:

Friends to Elect Toni L. Foulkes

Local 881 UFCW

PO Box 369108

10400 W Higgins

Chicago, IL 60636

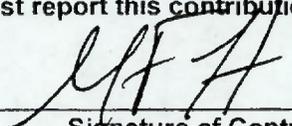
Rosemont, IL 60018

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description
Marcella Robinson 10400 W Higgins Rosemont, IL 60018	4/5/11	\$212.18	staff assistance

The political committee must report this contribution on their Form D-2, Section A, Part 5.



Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual occupation & employer must be provided.

To Candidate/Political Committee:

From:

Friends to Elect Toni L. Foulkes

Local 881 UFCW

PO Box 369108

10400 W Higgins

Chicago, IL 60636

Rosemont, IL 60018

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description
Patrick Statter 10400 W Higgins Rosemont, IL 60018	4/5/11	\$344.25	staff assistance

The political committee must report this contribution on their Form D-2, Section A, Part 5.



Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual occupation & employer must be provided.

To Candidate/Political Committee:

From:

Friends to Elect Toni L. Foulkes

Local 881 UFCW

PO Box 369108

10400 W Higgins

Chicago, IL 60636

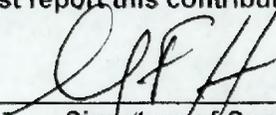
Rosemont, IL 60018

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description
Eduardo Victoria 10400 W Higgins Rosemont, IL 60018	4/5/11	\$170	staff assistance

The political committee must report this contribution on their Form D-2, Section A, Part 5.


Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual occupation & employer must be provided.

To Candidate/Political Committee:

From:

Friends to Elect Toni L. Foulkes

Local 881 UFCW

PO Box 369108

10400 W Higgins

Chicago, IL 60636

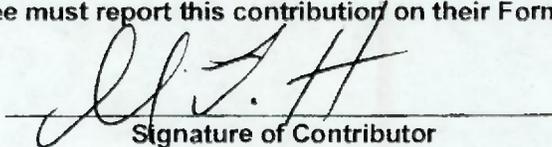
Rosemont, IL 60018

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description
Kevin West 10400 W Higgins Rosemont, IL 60018	4/5/11	\$220.67	staff assistance

The political committee must report this contribution on their Form D-2, Section A, Part 5.


Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual occupation & employer must be provided.

To Candidate/Political Committee:

From:

Friends to Elect Toni L. Foulkes

Local 881 UFCW

PO Box 369108

10400 W Higgins

Chicago, IL 60636

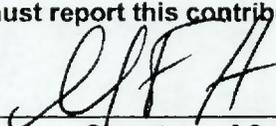
Rosemont, IL 60018

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value
Kristen Ryan 10400 W Higgins Rosemont, IL 60018	4/5/11	\$170
		Description
	staff assistance	

The political committee must report this contribution on their Form D-2, Section A, Part 5.



Signature of Contributor

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan Schneider, Chairman
Wanda Rednour, Vice Chairman
Patrick Brady
John Keith
William McGuffage
Albert Porter
Jesse Smart
Robert Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 30, 2011

Friends to Elect Toni L Foulkes
Toni Foulkes, Sam Rivers
3045 W 63rd St, Ste 1E
Chicago, IL 60629-2795

ID # 20107

Dear Friends to Elect Toni L Foulkes:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Universal MazJac Enterprise	4/5/2011	\$500	\$1000	5/7/2011	18
UFCW Local 881	4/5/2011	\$784	\$1567	4/14/2011	2

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1284 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be will be automatically reduced to \$642, (50% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

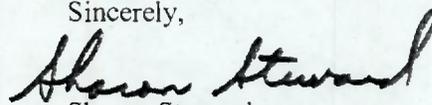
If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
January 1 through March 31	2011 Schedule A-1	\$400 (pending)
TOTAL AMOUNT NOW DUE		\$1042

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward
Director, Campaign Disclosure Division

SS: jr

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 JS 235

Friends of Stanley Moore

ID# 20715

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently
Filing the 2010 June Semi-Annual Report

The Report was received by the Board on February 9, 2011, 153 days late, resulting in a civil penalty assessment of \$5,000. Additionally, the Committee had previously been assessed a \$350 civil penalty (not appealed, stayed) for delinquently filing the 2010 December Semi-Annual Report. The total assessment is \$5,350.

Stephen Harris, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Harris states that the delinquent filing was a direct result of some health and other problems he experienced in 2010. He says at the time the Report was due, he was in the hospital in an induced coma following complications from gall bladder surgery. Mr. Harris underwent treatment that resulted in him not returning to his home until early November. Just a few weeks later, Mr. Harris says his wife unexpectedly died. He includes copies of his medical records and the death certificate for his wife.

While it is possible another Committee member such as the candidate may have been able to complete the Report, it is understandable that given the extraordinary circumstances described by Mr. Harris, the Report was filed late. The Committee completed the filing on February 9, at which time it also filed a Final Report to cease operations. I recommend the appeal be granted. If this recommendation is accepted by the Board, the stay would be returned to the previous civil penalty and I would further recommend that should the Committee remain dissolved for a period of two years following the date of the original assessment letter, that fine be abated.



Tom Newman – Hearing Officer
July 27, 2011

State of Illinois)
County of Cook)

STATE BOARD OF ELECTIONS

11 JUL -6 AM 9:09

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of Stanley Moore)
Respondent(s).)

Case No. _____

APPEAL AFFIDAVIT

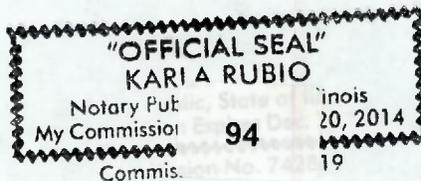
I, Stanley Moore, the Chairman of the
(Name) (Chairman/Treasurer)
Friends of Stanley Moore
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Illness of committee treasurer please see attached documentation

Signed and Sworn to by:
STANLEY MOORE
before me this 23 Day of
June, 2011
Karla Rubio
Notary Public

Stanley Moore
(Signature of Chairman/Treasurer)



Stephen Harris
1161 Partridge
Bolingbrook, Illinois 60440
June 9, 2011

Sharon Steward
Director, Campaign Disclosure Division
State Board of Elections
1020 South Spring Street
P.O. Box 4187
Springfield, Illinois 62708

Dear Director:

I am writing as the treasurer of Friends of Stanley Moore, in an effort to explain the reason for tardy filings. The committee was planning to submit a final report at the end of July, 2010, but a health problem intervened. When the filing was due on July 30, I was in the middle of a coma.

On July 4, 2010, I went to the emergency room of Adventist Bolingbrook Hospital with stomach pains. The doctors determined I needed my gall bladder removed, and scheduled an operation for the next day. When they put me under anesthesia, I aspirated, and vomit went into my lungs. I caught pneumonia, necrotizing pancreatitis and toxic shock, and the doctors induced a coma to save my life. Ten days later, while still in a coma, I was transferred to Northwestern Memorial Hospital, which was better suited to treat me. That was where I found myself when I awoke from my coma on August 10, unable to walk or talk. On August 22, I was supposed to be discharged to a rehabilitation center, but as there was no space available, I was released to a family member's care while I convalesced, and continued to receive treatment (see August 22 Discharge Instructions). My gall bladder was finally removed on September 28 (see Sept. 28 Discharge Instructions). Later my trache and stomach drainage tube were removed. Then on November 9, 2010, I had my last procedure when a stint was removed from my stomach (see Nov 9 Discharge Instructions). The next day I returned to my home, and slept in my own bed for the first time since July 3.

Then, while I was recovering at home, two and a half weeks later, my wife of 31 years died unexpectedly of an undiagnosed pancreatic mass (see copy of death certificate).

I would ask that you rescind the fines against The Friends of Stanley Moore. The filings were not late because of a disregard of deadlines, but because of the extraordinary circumstances outlined above.

If I may be of further assistance to you, please contact me at 1-630-673-4777.

Sincerely,



Stephen Harris
Committee Treasurer

CC: Jenny Ronimous

Result type: Discharge Summary NW Service
 Result date: 22 August 2010 12:15
 Result status: Authenticated
 Performed by: Kankanala, Vineel on 22 August 2010 12:17
 Verified by: Kankanala, Vineel on 22 August 2010 13:24
 Encounter info: [REDACTED] NMH, Inpatient, 7/15/2010 -

*Day 1 went
to
Belimbrae
Hospital*

Hospital Course (include reason for hospitalization and care/treatment provided):

58 y/o male with PMH of of HTN and cholelithiasis who transferred to NMH from OSH on 7/15/10 for continued care of necrotizing pancreatitis. He initially presented to OSH on 7/4/10 with abdominal pain, lipase was ~7000: gallstone pancreatitis +/- acute cholecystitis. Following ERCP, pt developed resp distress (2/2 aspiration?), was subsequently intubated. Then developed septic shock requiring pressors.

Pt was transferred to NMH MICU intubated and sedated on 7/15. CT pancreas revealed 40% necrosis of the pancreatic head and neck and some peri-pancreatic fluid. IR was unable to successfully drain the fluid. GI was consulted and recommended bowel rest, TPN, and post-pyloric trophic feeds. Surg onc was consulted and recommended no surgical intervention until pt's clinical status improved. He completed a 10 day course of imipenem on 7/24. Pt continued to have low grade fevers + moderately elevated WBC; all cultures were neg. Upper extremity dopplers revealed b/l cephalic vein thrombosis but no acute DVT, and LE dopplers were negative. CT brain was negative. On 7/28, pt began to have increased fevers (up to 104), increased WBC count up to 20s, and increased LFTs. Repeat CT on 7/28 showed enlargement of the pseudocyst and increased intra and extrahepatic ductal dilation, concerning for compression. ERCP was repeated with biliary stent placed. Repeat CT on 8/1 showed new fluid collections, possibly consistent with bilomas. IR placed one drain in these fluid collections, after which patient's LFTs began to downtrend. Blood culture from 8/3 was positive for Candida albicans; PICC line was pulled, TPN was stopped, caspofungin was started, and ID was consulted. During this time, patient had episodes of severe agitation, particularly at night, requiring haldol, fentanyl, and ativan. With treatment of the fungemia, pt's fever, leukocytosis, and agitation resolved. ID recommended a four week course from time of IR drain placement (8/3 - 8/31) to treat empirically for an intrabdominal abscess; after completion of the 10 day meropenem course (8/10), caspofungin was switched to fluconazole and cipro and flagyl were started.

Pt also developed afib w/ RVR x2 episodes, transiently placed on dilt gtt w/ conversion to NSR. Sinus rate was maintained on metoprolol + po diltiazem (po dilt weaned off with any reoccurrence of a fib; metop continued for HTN). Pt found to have pericardial effusion w/ tamponade physiology (thought 2/2 pancreatitis and to be cause of afib), s/p pericardial drain, 8/12-13; f/u TTE 8/14 w/ trivial pericardial fluid. Pt has remained in sinus rhythm for remainder of hospital course.

Printed by: Kankanala, Vineel
 Printed on: 8/22/2010 13:24

Page 1 of 7
 (Continued)

August 22 Discharge instructions 1/2

He failed multiple SBTs and required trach placement on 7/20. His respiratory status gradually improved over his MICU course, he was repeatedly successful on trach collar trials, pt now tolerating trach capper with humidified air qhs. He passed a swallow study, mechanical soft advanced to general which pt is tolerating well.

Patient was transferred to the floor on 8/14/10 in stable condition; overall very clinically improved. Because of nearly zero output from abdominal drain, CT was done, which showed a resolving but still present biloma; IR flushed drain w/ TPA and drainage resumed. While awaiting RIC placement, PT/OT worked with patient and were able to improve his functional status to where they felt him fit to be discharged home.

Condition on Discharge:

Recent Vitals (24Hr):

	T	BP	HR	RR	O2sat	FiO2
Last	98.1	149/92	88	18	95	
24HrRange	97.1-98.7	141-153/84-98	80-91	16-22	95-100	

Weight	08/21: 7AM	24hour I/O
Admit Wt (kg): 109 (07/15/10)	Intake: 370 mL	
Last Wt (kg): 79 (08/22/10)	Output: 21 mL	

General Appearance: NAD, alert, oriented x3
Chest and Lungs: ctab
Cardiovascular: rrr, no mrg
Abdomen: soft, distended, tympanic to percussion, nontender, bs+; bill drain w/ minimal brownish fluid

Extremities: no LE edema, dp 2+ b/l, wwp

Latest Results:

CBC (08/21/10 06:07)	CHEM (08/21/10 06:07)	CA (08/21/10 06:07)	MG (08/22/10 05:25)	PHOS (08/14/10 04:00)
9.7 \ 9.9 L / 361	138 106 11 / 3.9 24 0.85 \	8.7	1.9	3.5
		105 H		

PT: (08/21/10 06:07)	PTT: (07/30/10 04:00)	INR: (08/21/10 06:07)	Bedside Glucose: (08/22/10 05:20)
13.7 H	28.9	1.3 H	106

LFT (08/20/10 04:59)

AST:	29
ALT:	26
TBILI:	0.9
DBILI:	0.3 H
TPROT:	7.5
APHOS:	160 H

CT A/P (8/18/10) IMPRESSION:

Previously seen perihepatic fluid collections have all decreased in size. The

Printed by: Kankanala, Vineel
 Printed on: 8/22/2010 13:24

Page 2 of 7
 (Continued)

Discharge Instructions

HARRIS, STEPHEN - [REDACTED]

* Final Report *

Result type: Discharge Instructions
 Result date: 22 August 2010 12:06
 Result status: Authenticated
 Performed by: Kankanala, Vineel on 22 August 2010 12:15
 Verified by: Kankanala, Vineel on 22 August 2010 13:24
 Encounter info: [REDACTED] NMHI, Inpatient, 7/15/2010 -

* Final Report *

Admission date: 7/15/10 - *transfer to North Western*
 Discharge date: 8/22/10

Hospital physician:

Dr. David Neely, Attending
 Dr. Amy Gordon, Resident
 Vineel Kankanala, Medical student

Your discharge diagnoses:

Necrotizing Gallstone Pancreatitis
 ARDS (Acute Respiratory Distress Syndrome)
 AKI (Acute Kidney Injury); resolved
 Septic Shock/fungemia; resolved
 Atrial fibrillation (2 isolated episodes); resolved
 Pericardial effusion with tamponade; resolved

Procedures performed:

ERCP and sphincterotomy
 Intubation
 Tracheostomy (7/20/10)
 Percutaneous Drainage tube placement (8/2/10)
 Pericardial effusion drainage
 PICC line placement/removal

Activities you may perform (limitations noted): There are no restrictions regarding your activities; however, given your deconditioning from your lengthy

Printed by: Kankanala, Vineel
 Printed on: 8/22/2010 13:24

Page 1 of 4
 (Continued)

August 22 Discharge Instructions 3/3

Discharge Instructions

HARRIS, STEPHEN - [REDACTED]

* Final Report *

Result type: Discharge Instructions
Result date: 28 September 2010 15:21
Result status: Authenticated
Result title: Discharge Instructions
Performed by: Avila Salazar, Marisa, CNP on 28 September 2010 15:29
Verified by: Avila Salazar, Marisa, CNP on 29 September 2010 12:46
Encounter info: [REDACTED] NMH, Inpatient, 9/28/2010 -

* Final Report *

Discharge Instructions

Patient: HARRIS, STEPHEN MRN: PRETERM FIN: 000221522907
Age: 59 years Sex: Male DOB: 9/25/1951
Associated Diagnoses: None
Author: Avila Salazar, Marisa, CNP
Attachments: None

Discharge Information

Discharged from Northwestern Memorial Hospital

Admitted 9/28/2010 15:21:00.

Discharged 9/29/2010 09:47:00.

Gallstone pancreatitis (ICD9 577.0, Working, Medical).

Procedure: laparoscopic cholecystectomy, drainage of abdominal abscess, lysis of adhesions, intraop cholangiogram.

Allergies:

Allergic Reactions (Selected)

No Known Allergies

Hospital physician: MAHVI, DAVID M.

In case of emergency, contact: call 911 or go to nearest emergency department.

Discharge Plan

Patient can perform the following

Eating: yes.
Dressing: yes.
Bathing: yes, you may shower.
Cooking: yes.
Shopping: yes.
Phone use: yes.
Travel ability: yes.
Treatments: yes.
Med administration: yes.
Clean/laundry: yes.
Walking: yes.
Using stairs: yes.

Printed by: Mervyn, Megan
Printed on: 9/29/2010 12:47

Page 1 of 2
(Continued)

DEPARTMENT OF GASTROENTEROLOGY: PATIENT DISCHARGE INSTRUCTIONS / FOLLOW UP CARE

PROCEDURE: (circle)	Colonoscopy	EGD (Upper Endoscopy)	ERCP	Flexible Sigmoidoscopy	Endoscopic Ultrasound	Enteroscopy/ileoscopy
------------------------	-------------	-----------------------	------	------------------------	-----------------------	-----------------------

KEY FINDINGS:

<input type="checkbox"/> No biopsies OR polyps were removed	<input type="checkbox"/> The results will be ready in _____ days
<input type="checkbox"/> _____ polyp(s) / biopsies were taken	<input type="checkbox"/> Call your doctor's office for results in _____ days
<input type="checkbox"/> Polyps/Biopsies were taken from _____	<input type="checkbox"/> Your doctor will call you in _____ days with your results

Other findings / comments:

- Stop verapamil

- The pt is on...

What you can expect today:
 You may have gas or air gurgling in your bowel and/or stomach. Lying on your left side with your knees bent up toward your stomach will help you pass the gas out.
 If you had polyps removed or biopsies taken from your colon, you may see spots of blood from your rectum.
 If you had an Upper Endoscopy, you may have a mild sore throat for 2-3 days.
 If you had an IV started for your procedure, there may be a bruise at the site for a few days.

- MEDICATIONS**
- Coumadin instructions on your **MEDICATION LIST**
 - Do not take Aspirin or NSAIDS, such as Ibuprofen, Advil®, Aleve®, Motrin® for _____ days after your procedure.
 - You have new medications, see attached **MEDICATION LIST**
 - Prescriptions attached

- ACTIVITY**
- No activity restrictions
 - Rest today
 - No heavy lifting or strenuous activity today
 - Do NOT drive today or operate machinery today
 - Do NOT conduct any legal and/or major business today
 - Other Instructions: _____

- DIET**
- No alcoholic beverages today
 - Follow your usual diet
 - Follow a special diet: _____

Call your doctor right away if you have any of the following symptoms:

Doctor's name: Dr. Konanduri

Phone number: 312-695-5227

- Nausea with vomiting or blood
- Weakness, shortness of breath or fainting
- Severe abdominal pain (other than gas cramps), or if your stomach feels hard
- Black or tarry stools, unless you are on an iron supplement
- Swelling, excessive redness, pain or discharge at the site where the IV was started
- Fever greater than 100 degrees F (oral) with chills

If you cannot reach your doctor, go to the Northwestern Memorial Hospital Emergency Department or the Emergency Department closest to you.

IF YOU HAVE ANY FURTHER QUESTIONS, PLEASE CONTACT THE PHYSICIAN'S OFFICE AT _____

Physician Signature: _____ Nurse's Signature: _____

I HAVE READ AND UNDERSTAND ALL OF THE DISCHARGE INSTRUCTIONS LISTED ABOVE:

Patient's signature: _____ Date: _____

Discharge instructions given to the (circle): patient family member RN Initials

CERTIFICATION OF DEATH RECORD

WILL COUNTY LOCAL REGISTRAR JOLIET, ILLINOIS MEDICAL EXAMINER/CORONER CERTIFICATE OF DEATH

STATE FILE NUMBER 2010 0086870

DATE ISSUED 01/20/2011

DECEDENT'S LEGAL NAME SUZANNE C HARRIS			SEX FEMALE	DATE OF DEATH NOVEMBER 28, 2010	
COUNTY OF DEATH WILL		AGE AT LAST BIRTHDAY 58 YEARS		DATE OF BIRTH JANUARY 05, 1952	
CITY OR TOWN BOLINGBROOK			HOSPITAL OR OTHER INSTITUTION NAME ADVENTIST BOLINGBROOK HOSPITAL		
PLACE OF DEATH EMERGENCY ROOM / OUTPATIENT					
BIRTHPLACE CHAMPAIGN, IL		SOCIAL SECURITY NUMBER 324-44-0348	MARITAL STATUS AT TIME OF DEATH MARRIED	SURVIVING SPOUSE'S NAME STEPHEN DARNELL HARRIS	EVER IN U.S. ARMED FORCES? NO
RESIDENCE 1161 PARTRIDGE AVENUE			APT. NO.	CITY OR TOWN BOLINGBROOK	INSIDE CITY LIMITS? YES
COUNTY WILL	STATE IL	ZIP CODE 60490	FATHER'S NAME ALFRED KELKER		MOTHER'S NAME PRIOR TO FIRST MARRIAGE OZELLA CHAVIS
INFORMANT'S NAME STEPHEN DARNELL HARRIS			RELATIONSHIP HUSBAND	MAILING ADDRESS 1161 PARTRIDGE AVENUE, BOLINGBROOK, IL, 60490	
METHOD OF DISPOSITION CREMATION		PLACE OF DISPOSITION THE LAKES CREMATORY		LOCATION - CITY OR TOWN AND STATE LAKE VILLA, IL	DATE OF DISPOSITION DECEMBER 03, 2010
FUNERAL HOME A A RAYNER AND SONS SOUTH, 318 EAST 71ST STREET, CHICAGO, IL, 60619					
FUNERAL DIRECTOR'S NAME CHARLES S CHILDS JR			FUNERAL DIRECTOR'S ILLINOIS LICENSE NUMBER 034011784		
LOCAL REGISTRAR'S NAME JOHN J CICERO			DATE FILED WITH LOCAL REGISTRAR JANUARY 7, 2011		
CAUSE OF DEATH PART I: DEHYDRATION IMMEDIATE CAUSE a. _____ <small>(Fine disease or condition resulting in death)</small> Due to (or as a consequence of): b. PANCREATIC MASS _____ Due to (or as a consequence of): c. _____ _____ Due to (or as a consequence of):					
PART II: Enter other <i>significant conditions contributing to death</i> but not resulting in the underlying cause given in PART I HYPERTENSIVE CARDIOVASCULAR DISEASE				WAS AN AUTOPSY PERFORMED? YES WERE AUTOPSY FINDINGS USED TO COMPLETE CAUSE OF DEATH? YES	
DID TOBACCO USE CONTRIBUTE TO DEATH?		FEMALE PREGNANCY STATUS NOT PREGNANT WITHIN LAST YEAR		MANNER OF DEATH NATURAL	
DATE OF INJURY		TIME OF INJURY	PLACE OF INJURY		INJURY AT WORK?
LOCATION OF INJURY					
DESCRIBE HOW INJURY OCCURRED					IF TRANSPORTATION INJURY, SPECIFY
ATTEND THE DECEASED?	DATE LAST SEEN ALIVE	WAS MEDICAL EXAMINER OR CORONER CONTACTED?		DATE PRONOUNCED NOVEMBER 28, 2010	TIME OF DEATH 01:22 AM
CERTIFIER MEDICAL EXAMINER/CORONER				DATE CERTIFIED JANUARY 07, 2011	
NAME ADDRESS AND ZIP CODE OF PERSON COMPLETING CAUSE OF DEATH PATRICK K ONEIL, 57 N OTTAWA ST, SUITE 412, JOLIET, IL, 60432					PHYSICIAN'S LICENSE NUMBER

APPROXIMATE INTERVAL BETWEEN ONSET AND DEATH

This is to certify that this is a true and correct copy from the official death record filed with the Illinois Department of Public Health.


 John J. Cicero, M.H.A.
 Executive Dir Will Coun 101 Local Registrar Department



ANY ALTERATION OR ERASURE VOIDS THIS CERTIFICATE

THIS WORD VOID APPEARS WHEN PHOTOCOPIED

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Friends of Stanley Moore
Stephen Harris
1161 Partridge
Bolingbrook, IL 60440

ID# 20715

Dear Committee;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: Semiannual Report of Campaign Contribution and Expenditures
Report Period: January 1, 2010 through June 30, 2010
Filing Period: July 1, 2010 through July 20, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on February 9, 2011, 153 day(s) late. As such, this committee has been assessed a fine of \$5000.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed and since this is a subsequent violation the previous fine(s) for delinquent filing are now also required to be paid by the committee within 30 days:

Reporting Period	Report Type	Previous Fine Amount
July 1, 2010 to December 31, 2010	Semi-Annual	\$350
TOTAL AMOUNT NOW DUE		\$5350

If you have any questions regarding the appeal process, please call Jenny Ronimous at 217/782-1543.

Sincerely,

A handwritten signature in black ink that reads "Sharon Steward".

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s) appeal documents

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

10 AG 077

Citizens for Kay
Cmte ID: 20925
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Failing to File a Schedule A-1 Report For the 2010 General Election

The Committee failed to file an A-1 for two In Kind contributions, originally reported as coming from the Illinois Federation for Right to Life. The first receipt was valued at \$406.40 and dated October 13, 2010. The second was valued at \$96 and dated October 14, 2010. The Committee was assessed \$96. The Committee was previously fined \$424 for failing to file an A-1 for the 2008 General Election and \$87.00 for a separate A-1 not filed in connection with the same election. The Committee paid both prior assessments.

Christopher Slusser, the Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Slusser stated the Committee erred in reporting the In Kind contribution valued at \$406.40. It actually came from the Illinois Citizens for Life PAC. The affidavit included an in Kind Contribution notification from the Illinois Citizens for Life PAC, signed by Chairman Ralph Rivera, as supporting evidence. Citizens for Kay subsequently filed an amended December 2010 Semi-Annual Report that properly reported the source of the In Kind contribution in question.

I recommend the appeal be granted. The Committee's affidavit sufficiently supports the Treasurer's assertion of an initial reporting error. Since the two In Kinds at the heart of the issue came from different contributors, and neither exceeded the A-1 threshold of \$500 that existed at the time, there was no need for the Committee to file a Schedule A-1 with respect to the donations. As of June 30, 2011, Citizens for Kay reported a cash balance of \$15,561.99.



John Levin – Hearing Officer
July 26, 2011

State of Illinois)
County of Madison)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS JUL -5 AM 11:18
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens for Kay)
Respondent(s).)

Case No. 10 AG 077

APPEAL AFFIDAVIT

I, Chris Slusser, the Treasurer of the
(Name) (Chairman/Treasurer)
Citizens for Kay
(Name of the Committee)

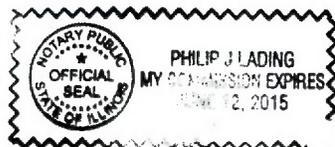
Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Citizens for Kay did not receive two in-kind contributions from IL Federation for Right to Life. We received one in-kind for \$96.00 The second in-kind in the amount of \$406.40 was from IL Citizens for Life PAC. The similarities in the names led to my error. See the attached documents for verification. The report was amended online.

Signed and Sworn to by:

Chris Slusser
before me this 30th Day of
June, 2011
Notary Public

[Signature]
(Signature of Chairman/Treasurer)





Citizens For Kay

P.O. Box 129 - Glen Carbon, IL 62034

618.980.5563 - DwightKay@DwightKay.com

"Trusted Leadership For Our Region"

June 29, 2011

State Board of Elections
Attn: Campaign Disclosure
1020 South Spring St.
Springfield, IL 62704

To: State Board of Elections
Re: Citizens for Kay Appeal, ID#20925

Citizens for Kay did not receive two in-kind forms from the IL Federation for Right to Life as noted by the Illinois State Board of Elections.

The Citizens for Kay committee received one in-kind from IL Federation for Right to Life in the amount of \$96.00 and one in-kind from Illinois Citizens for Life PAC in the amount of \$406.40.

The similarities in the names of the two committees led me to this error in reporting the IL Federation for Right to Life in-kind twice. The semi-annual has been amended to reflect the accurate in-kind donor.

I apologize for the oversight, if you have questions please call me at 618-830-4980.

Sincerely,

A handwritten signature in black ink, appearing to read "Chris Slusser", written over a white background.

Chris Slusser
Treasurer
Citizens for Kay



Illinois Federation For Right to Life



State affiliate of
national
right to life

2600 State St. Ste E, Alton, IL 62002, Toll Free (866) 465-5A8Y (2229), Local (618) 466-4122, Fax (618) 466-4134
Internet www.ifrl.org E-mail mail@ifrl.org

INVOICE

October 12, 2010

**IFRL State PAC
James Quirke, Treasurer
338 Brainerd
Libertyville, IL 60048**

Inkind contribution

HD 112 Dwight Kay - 1,600 names @ 6 cents each - \$96.00

**Citizens for Kay
P.O. Box 129
Glen Carbon, IL 62034**

**Please fax to: 847-890-6185 which is an e-account that will go to Chris
Guy who is working on Kay's campaign**

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan Schneider, Chairman
Wanda Rednour, Vice Chairman
Patrick Brady
John Keith
William McGuffage
Albert Porter
Jesse Smart
Robert Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2011

Citizens for Kay
PO Box 129
Glen Carbon, IL 62034

ID # 20925

Dear Citizens for Kay:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
IL Federation for Right to Life*	10/14/2010	\$96	\$96		
IL Federation for Right to Life**	10/13/2010		\$406.40		

The committee is fined a **total** of \$96 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$48, (50% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 AM 113

Indian Prairie Education Assn PAC for Education

ID# 21085

Respondent

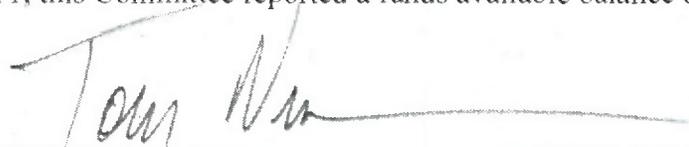
REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing a Schedule A-1 Report

The Committee received a \$8,488 contribution on 2/23/11 and failed to report it on a Schedule A-1. The total assessment is \$4,250

Valerie Dranias, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Dranias states that the receipt in question was a rebate of member dues from IPACE.

I contacted Ms. Dranias to obtain documentation to support this claim, and the Committee was able to send a copy of the rebate voucher from IPACE for the member dues contribution. Illinois disclosure law allows a labor organization to act as a conduit to deliver dues payments and to report these normally-unitemized contributions in the aggregate. Therefore, I recommend the appeal be granted. Additionally, to prevent a similar situation in the future, the Committee should be advised to report similar contributions in such a way as to make it clear that the contribution represents an aggregate of smaller dues payments from union members. (As of 6/30/11, this Committee reported a funds available balance of \$14,956.70.)



Tom Newman – Hearing Officer
August 2, 2011

State of Illinois)
County of _____)

STATE BOARD OF ELECTIONS

11 JUL 15 AM 10:30

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)

Case No. 11 AM 113

Indian Prairie Educ. Assoc. PAC for)
FD # 21085 Respondent(s). Education)

APPEAL AFFIDAVIT

I, Valerie C. Dranias, the Chairman/Treasurer of the
(Name) (Chairman/Treasurer)

Indian Prairie Education Association PAC for Education
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The \$8488 was a rebate from IPACE of a
portion of member dues. We have over
2100 members.

Signed and Sworn to by:
Valerie C. Dranias
before me this 12th Day of
July, 2011
Denise R. Cannizzaro
Notary Public

Valerie C. Dranias
(Signature of Chairman/Treasurer)



Newman, Tom

From: Welch, Randy [Randy.Welch@ieanea.org]
Sent: Monday, August 01, 2011 6:21 PM
To: Newman, Tom
Cc: valdranias@aol.com; Reed, Jim; Proefrock, Donna; Sharrard, Mark; Baston, Cynthia
Subject: Indian Prairie Education Assn PAC appeal
Attachments: ipvoucher.pdf

Mr. Newman: Val asked me to respond to your request for information since she is going to be out of town. I hope this is sufficient; if not, please let me know what else I can provide.

The Indian Prairie Education Association (IPEA), a not-for-profit labor union, is a local affiliate of the Illinois Education Association (IEA). Each member of the IPEA contributes \$20.00 to the political action committee of the IEA each year. The contributions are made by payroll deduction and are transmitted to the IEA's political action committee (IPACE).

Local affiliates of the IEA have the right to request a "rebate" of the contributions of their members. The language in the IPACE rules of operation that govern this is as follows:

Those funds received by IPACE through payroll deduction and/or through solicitation with local PACE units shall be properly accounted for and the local PACE unit share, an amount mutually agreed upon, up to 50 percent, but no less than 20%, of what is contributed from that local PACE unit, shall be returned to it upon written request.

So IEA local affiliates can request amounts from \$4.00 per member (20% of \$20.00) up to \$10.00 per member (50%). In Indian Prairie's case, they requested that \$4.00 of each of their members' donations be returned to it. The request and computation of this are attached. Unfortunately, there is a \$4.00 computation error in the payment.

When the check was received, the IPEA PAC deposited it and reported it as an itemized transfer in on its quarterly D-2 report.

Based on further research, we feel that IPACE was acting as a conduit for the transmittal of 2,121 individual contributions in the amount of \$4.00 each, and therefore the IPEA PAC should not have to file Schedule A-1 to report them. In retrospect, the confusion was probably due to the way that the receipt was reported on the D-2. If we should report similar receipts differently in the future, we would appreciate your advice on that. Thank you.

-----Original Message-----

From: Newman, Tom <INewman@elections.il.gov>
To: 'valdranias@aol.com' <valdranias@aol.com>
Sent: Fri, Jul 29, 2011 1:09 pm
Subject: Indian Prairie Education Assn PAC appeal

Val,

I am the hearing officer reviewing your committee's appeal of a civil penalty assessment for failure to file a Schedule A-1. In your appeal affidavit, you state that the \$8488

contribution from IPACE was a rebate of member dues. In cases such as this we like to get some documentation to support the claim, so I'm wondering if you have any records in regards to this rebate. If so, please provide me with a copy at your earliest convenience - either by mail, fax (217-782-5959) or email to tnewman@elections.il.gov<mailto:tnewman@elections.il.gov>.

If you have any questions or if I can be of any assistance please contact me at (217) 782-1558. Thank you for your prompt attention to this matter.

Tom Newman
State Board of Elections



ILLINOIS POLITICAL ACTION COMMITTEE FOR EDUCATION

REBATE VOUCHER
2010 - 2011

Indian Prairie Educ. Assn.
Valerie Dranias, President
c/o Illinois Education Assn.
1555 Bond Street, Suite 121
Naperville, IL 60503

ID: 117
ACCOUNT #: 6600
BPID: 5201

Table with columns for COUNT, AMOUNT, and X \$4. Rows include 09-10 REFUNDS and REMAINDER.

LEVEL I AMOUNT: 58,488.00
LEVEL II AMOUNT:
TOTAL: 58,488.00

Donna P. 2/11/2011
PREPARED BY: VOUCHER DATE:

APPROVED BY: [Signature]

19557 2/16/11
CHECK #: CHECK DATE:

APPROVED BY: [Signature] DATE MAILED:

RECEIVED
FEB 15 2011
ACCOUNTING



IPACE Rebate Request

1555 Bond Street, Suite 121 Naperville, IL 60563 phone: 630/369-1555 fax: 630/369-1561

To: Mr. Jim Reed
From: Val Dranias, IPEA President
Re: IPACE Rebate
Date February 4, 2011

RECEIVED

FEB 9 2011

This letter is a formal request from the Indian Prairie Education to receive our local's IPACE rebate. Please mail the rebate check to the following address:

Indian Prairie Education Association PAC
1555 Bond Street, Suite 121
Naperville, IL 60503

If you have any questions about our request, please call me at (630)369-1555.
Thanks,

Valerie C. Dranias
IPEA President

cc. Kathy Sheehan – Treasurer
Ralph Mason – GPA

Source Code: 5201

Region: 52

Count As Of: 2122 (as of 2/11/2011)

Initials: D. P.

1 refund in 09-10

Michelle - Lobbyist

STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
 Springfield, Illinois 62708
 217/782-4141
 Fax: 217/782-5959

James R. Thompson Center
 100 West Randolph, Suite 14-100
 Chicago Illinois 60601
 312/814-6440
 Fax: 312/814-6485



EXECUTIVE DIRECTOR
 Rupert T. Borgsmiller
 June 30, 2011

BOARD MEMBERS
 Bryan A. Schneider, Chairman
 Wanda L. Rednour, Vice Chairman
 Patrick A. Brady
 John R. Keith
 William M. McGuffage
 Albert S. Porter
 Jesse R. Smart
 Robert J. Walters

Indian Prairie Education Assn PAC for Education
 Valerie C Dranias
 1555 Bond St
 Naperville, IL 60563-0138

ID# 21085

Dear Indian Prairie Education Assn PAC for Education:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
IPACE	2/223/2011	\$4250	\$8488	*	28

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$4250 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$425, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
 Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

10 AG 080

Citizens for Circuit Judge Diane Winter
Cmte ID: 22079
Respondent

REPORT OF HEARING OFFICER

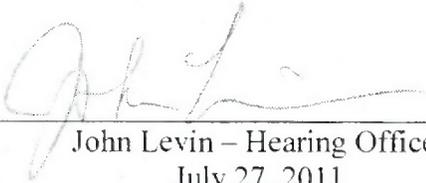
Appeal of Civil Penalty Assessment
For Failing to File a Schedule A-1 Report For the 2010 General Election

The Committee failed to file a Schedule A-1 for a \$1,000 contribution reported as received on October 21, 2010. The total assessment is \$1,000. The Committee was previously assessed \$1,000 (not appealed, stayed) for a separate A-1 violation related to the same election.

Douglas Stiles, the Chairman and Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Stiles stated the Committee erroneously reported two \$1,000 donations from Motor Werks on its original December 2010 Semi-annual Report – one dated October 18, 2010; the other, dated October 21, 2010. In fact, he said the Committee received only one such donation. In a follow-up phone conversation, Mr. Stiles stated the Committee received the donation on October 20, 2010, which is also time-stamped on a copy of the check made part of the affidavit.

I recommend the appeal be granted. The Committee has filed an amended December 2010 Semi-annual Report substantiating the timeline presented by Mr. Stiles. Accordingly, it appears the Schedule A-1 filed on October 22, 2010, and reporting the receipt in question was timely, and there was no second donation from Motor Werks requiring a separate Schedule A-1. If the Board accepts this recommendation, a stay would remain in place on the Committee's first assessment. On July 25, 2011, the Committee filed an amended Final Report with an ending balance of \$0.00.



John Levin – Hearing Officer
July 27, 2011

State of Illinois)

County of Lake)

STATE BOARD OF ELECTIONS

11 JUN 27 PM 12:25

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Citizens for Circuit Judge Diane Winter)
Respondent(s).)

Case No. 10 AG 080
ID# 22079

APPEAL AFFIDAVIT

I, Douglas W. Stiles, the Chairman/Treasurer of the
(Name) (Chairman/Treasurer)

CITIZENS for Circuit Judge Diane Winter
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please see Attached Statement
and Documents.

Signed and Sworn to by:

before me this 24th Day of
June, 2011

Deborah K Killian
Notary Public

Douglas W Stiles
(Signature of Chairman/Treasurer)



Attachment to Appeal Affidavit
Citizens for Circuit Judge Diane Winter
ID #22079

The Motor Werks check in question was inadvertently entered twice in the schedules. The first entry on the A-1 was properly filed within 2 days of receipt and the check was listed using the date of the check 10/18/10. The second and mistaken listing of the same check was on the D-2 Semiannual Report. The check was listed using the date of deposit of the check. I have attached the following documents in support for your review.

1. Motor Werks check page 1
2. Bank Account showing the deposit of the check on 10/21/10 pages 2-3
3. Deposit Slip for 10/21/10 showing 2 checks deposited page 4
4. 1st check deposited page 5
5. 2nd check deposited (Motor Werks) page 6
6. A-1 showing the 10-18-10 date page 7
7. D-2 Semiannual Report showing both the 10-18 and 10-21-10 dates page 9

It is my hope the above documentation establishes to your satisfaction the inadvertent reporting of the same check using two different dates on the entry.

MOTOR WERKS

BARRINGTON ROAD AT DUNDEE ROAD
1475 S. BARRINGTON ROAD
BARRINGTON, IL 60010
(847) 381-8900

THIS CHECK CONTAINS SECURITY FEATURES LISTED BELOW

- Microprint signature lines and border.
- Two color border that bleeds together in the middle.

70-160
719

First Midwest Bank

VENDOR	CHECK #	DATE	OP	BANK ACCT.	AMOUNT
--------	---------	------	----	------------	--------

	001250	10/18/2010	LE	202M	\$1,000.00
--	--------	------------	----	------	------------

*** ONE THOUSAND AND 00/100 DOLLARS

PAY
TO THE
ORDER
OF

CITIZENS FOR JUDGE DIANE WINTER

[Handwritten Signature]

CHEKSAF 

7454 SFE58638 Q (07/10)

MOTOR WERKS OF BARRINGTON, INC. • BARRINGTON, IL 60010

65709 CC696450 Q (08/10)

GL #	CONTROL	REFER	AMOUNT	DISC/MEMO	DESC	
66	00001250		1000.00			* LE 10/18/10 001250 10/18/10 10:46

NOTE: CONTRIBUTION

OCT 20 2010



ASSOCIATED BANK N.A.
200 N ADAMS ST
PO BOX 19097
GREEN BAY WI 54307-9097
1-800-728-3501

FINANCIAL STATEMENT OF ACCOUNTS

Statement Activity Period
10/01/2010 - 10/31/2010

Bank: 001

Mail Code: 0

CITIZENS FOR CIRCUIT JUDGE DIANE WINTER
9 N COUNTY ST
WAUKEGAN IL 60085-8104

Spend less time paying bills and more time running your business. Our Business Online Bill Pay can save you time and money, while enabling you to organize and control your bill payment process. To learn more and enroll online, visit us at associatedbank.com or call your Relationship Manager today.

FINANCIAL SUMMARY	ACCOUNT#	BALANCE
DEPOSIT ACCOUNTS		
Business Choice Checking	#2153086794	\$6,932.33

DEPOSIT ACCOUNTS

Business Choice Checking	#2153086794	
Beginning Balance		17,485.68
Plus: Deposits and Other Additions		5,525.00
Minus: Checks Paid		16,078.35
ENDING BALANCE ON 10/31/2010		\$6,932.33

Deposits and Other Additions		
10/12/2010	CUSTOMER DEPOSIT	925.00
10/15/2010	CUSTOMER DEPOSIT	2,400.00
10/15/2010	CUSTOMER DEPOSIT	650.00
10/15/2010	CUSTOMER DEPOSIT	350.00
10/21/2010	CUSTOMER DEPOSIT	1,050.00
10/25/2010	CUSTOMER DEPOSIT	150.00
	TOTAL	\$5,525.00
	TOTAL # OF ITEMS	6

Checks Paid

DATE	CHECK#	AMOUNT	DATE	CHECK#	AMOUNT
10/07/2010	1093	3,790.00	10/21/2010	1099	3,790.00
10/07/2010	1094	245.00	10/22/2010	1100	754.48
10/08/2010	1095	2,422.08	10/28/2010	1101	60.00
10/15/2010	1096	1,595.58	10/27/2010	1102	200.00
10/18/2010	1097	215.00	10/28/2010	1104	140.00
10/18/2010	1098	500.00	10/28/2010	1105	2,366.21
			TOTAL		\$16,078.35
			TOTAL # OF ITEMS		12

* Indicates a check number missing from sequence

Balance Summary

DATE	BALANCE	DATE	BALANCE	DATE	BALANCE
10/07/2010	13,450.68	10/15/2010	13,758.02	10/22/2010	9,548.54
10/08/2010	11,028.60	10/18/2010	13,043.02	10/25/2010	9,698.54
10/12/2010	11,953.60	10/21/2010	10,303.02	10/27/2010	9,498.54

Balance Summary (continued)

<u>DATE</u>	<u>BALANCE</u>
10/28/2010	6,932.33

Statement Period Ledger Average Balance

\$12,074.73



Associated
Bank

Associated Banc-Corp Services, Inc.

We are happy to provide you with a copy of the item you requested.

Associated Bank		MEMO (10 09)	
ACCOUNT NUMBER		CHECKING / MONEY MARKET DEPOSIT	
[REDACTED]		\$	1,050.00
Citizens for Sudge Dave [Signature]		[Signature]	
(PLEASE PRINT NAME)		(SIGNATURE REQUIRED IF RECEIVING CASH)	
SPACE BELOW FOR BANK USE ONLY			
[REDACTED]			
1,050.00			
10/21/2010 12:06 0016 210			
160016101021 Commercial Deposit			
[REDACTED]			
CASH		POLLING	CASH
CHECKS DEPOSITED		POLLING	CASH
1	1000.00		
2			
3			
4			
5			
6			
7			
8			
9			
10			
11			
12			
SUB-TOTAL			
LESS CASH WITHHELD			
NET DEPOSIT		1050.00	00

ENTER NET DEPOSIT AMOUNT ON FRONT OF THIS TICKET

Associated Banc-Corp Services, Inc.

We are happy to provide you with a copy of the item you requested.

MICHAEL B. BETAR LEIA A. BETAR PH. 847-356-8638 285 MEADOWLARK CIRCLE LINDENHURST, IL 60046	06-05	2806	70-100/718 43211
Date <u>10-6-10</u>			
Pay to the Order of <u>Citizens & East River Writer</u>	\$ <u>50.00</u>		
<u>Fifty and 00/100</u>		Dollars	
Total Advantage Checking			
 First Midwest Bank www.firstmidwest.com			
For			
PAY TO THE ORDER OF ASSOCIATED BANK WALL KEGAN, IL 60888 FOR DEPOSIT ONLY CITIZENS FOR CIRCUIT JUDGE DIANE WRITER 2803 28794			

Associated Banc-Corp Services, Inc.

We are happy to provide you with a copy of the item you requested.

	BARRINGTON ROAD AT DUNDEE ROAD 1475 S BARRINGTON ROAD BARRINGTON, IL 60015 (847) 381-6000	<ul style="list-style-type: none">• Signpoint signature lines and borders.• Top outer border that binds together in the middle.		70-180 719	
STANDARD	ACCOUNT	DATE	TO	FOR DEPOSIT ONLY	AMOUNT
	001250	10/18/2010	LE	202M	\$1,000.00
*** ONE THOUSAND AND 00/100 DOLLARS ***					
PAY TO THE ORDER OF	CITIZENS FOR JUDGE DIANE WINTER				
					
PAY TO THE ORDER OF ASSOCIATED BANK WALKEGAN, IL 60086 FOR DEPOSIT ONLY CITIZENS FOR JUDGE DIANE WINTER 2103 600 714					

Printable A1

Citizens for Circuit Judge Diane Winter

A-1 (\$500+ 30 days prior)

2010 GE

Contributed By	Address	Amount	Received By	Description	Vendor Name	Vendor Address
Motor Werks	1475 S. Barrington Road Barrington, IL 60010	\$1,000.00 10/18/2010	1A Citizens for Circuit Judge Diane Winter			

Records 1 to 1 of 1

PUBWEB2

Citizens for Circuit Judge Diane Winter
D-2 Semiannual Report
7/1/2010 to 12/31/2010

This report has 7 itemized Individual Contributions totaling \$3,100.00

Contributed By	Address	Amount	Description	Vendor Name	Vendor Address
Knudson, Mark	1 Kensington Dr. North Barrington, IL 60010	\$250.00 10/14/2010	Individual Contribution Citizens for Circuit Judge Diane Winter		
Lumber, James	112 Lincoln Round Lake, IL 60073	\$250.00 10/15/2010	Individual Contribution Citizens for Circuit Judge Diane Winter		
Massucci, Raymond	750 W. Northwest Highway Arlington Heights, IL 60004	\$200.00 10/14/2010	Individual Contribution Citizens for Circuit Judge Diane Winter		
Motor Werks	1475 S. Barrington Road Barrington, IL 60010	\$1,000.00 10/18/2010	Individual Contribution Citizens for Circuit Judge Diane Winter		
Motor Werks	1475 S. Barrington Rd. Barrington, IL 60010	\$1,000.00 10/21/2010	Individual Contribution Citizens for Circuit Judge Diane Winter		
Salvi, Al	24558 West Lake Fairfield Lane Mundelein, IL 60060	\$200.00 9/29/2010	Individual Contribution Citizens for Circuit Judge Diane Winter		
Vogler, Joseph	680 Ferndale Gurnee, IL 60031	\$200.00 10/12/2010	Individual Contribution Citizens for Circuit Judge Diane Winter		

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2011

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Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Citizens for Circuit Judge Diane Winter
Douglas W Stiles
9 N County St.
Waukegan, IL 60085

ID# 22079

Dear Citizens for Circuit Judge Diane Winter:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Motor Werks*	10/21/2010	\$1,000	\$1,000		

The committee is fined a **total** of \$1000 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$100, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. *If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.* Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

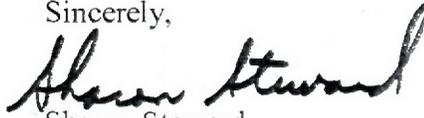
If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
October 4, 2010 to November 1, 2010	Schedule A-1	\$100
<i>TOTAL AMOUNT NOW DUE</i>		\$200

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. You will be notified of this payment due date following the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward
Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the December 2010 Semi-annual Report but should have been reported on a Schedule A-1 Report of Campaign Contributions of more than \$500.00, within 2 business days of receipt.

** This contribution did not, by itself, necessitate filing of a Schedule A-1. No fine was assessed for this contribution – it is listed for informational purposes only. A subsequent contribution, when aggregated with this contribution, exceeded the "more than \$500.00" threshold and was used as the basis for the fine assessment.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 GE 035

Citizens to Elect Steve Egan

ID# 22615

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
the Pre-Election Report for the 2010 General Election

The Report was received by the Board on January 18, 2011, 11 days late, resulting in a civil penalty assessment of \$1100.

Steve Egan, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Egan states that the Pre-Election Report was mistakenly filed by an outside firm hired to file the Committee's reports. He says a Statement of Non-Participation should actually have been filed, since after being defeated in the primary election the Committee had no further activity.

Mr. Egan was defeated in the primary election, and the Committee showed no financial activity in regards to the general election. A Pre-Election Report was therefore not necessary and should have been filed instead as a Statement of Non-Participation. I recommend the appeal be granted. (As of 6/21/11, this Committee filed a Final Report showing a funds available balance of \$0.)



Tom Newman – Hearing Officer

July 20, 2011

State of Illinois)
)
County of Cook)

STATE BOARD OF ELECTIONS

11 JUN 21 AM 11:02

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
CITIZENS TO ELECT STEVE EGAN)
Respondent(s).)

Case No. 10 GE 035

APPEAL AFFIDAVIT

I, STEVE EGAN, the CHAIRMAN of the
(Name) (Chairman/Treasurer)
CITIZENS TO ELECT STEVE EGAN
(Name of the Committee)

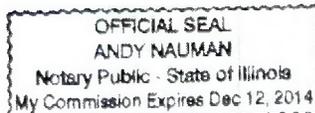
Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

PARTICIPATED IN THE 2010 CONSOLIDATED PRIMARY
AND HIRED AN OUTSIDE FIRM FOR REPORT FILING
AND WAS UNSUCCESSFUL IN THE PRIMARY WITH NO
FURTHER ACTIVITY, BUT THE FIRM MISTAKENLY FILED A
PRE-ELECTION REPORT INSTEAD OF A NON-PARTICIPATION
REPORT LATE RESULTING IN THE FINE.

Signed and Sworn to by:

Steve Egan
before me this 21 Day of
June, 2011
Andy Nauman
Notary Public

Steve Egan
(Signature of Chairman/Treasurer)



BASED ON THE DECEMBER 2010 SEMI-ANNUAL
REPORT, THE COMMITTEE HAD NO ACTIVITY
AND A NON-PARTICIPATION RATHER THAN A
PRE-ELECTION REPORT SHOULD HAVE BEEN FILED.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 S. Spring Street
PO Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
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Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2011

Citizens to Elect Steve Egan
Steve Egan
PO Box 2652
Joliet, IL 60434-2652

ID# 22615

Dear Citizens to Elect Steve Egan;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Pre-Election Report of Campaign Contributions
Report Period:	July 1, 2010 through October 3, 2010
Filing Period:	October 4, 2010 through October 18, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled or until the date of the election. According to Board records, this report was received by the Board on January 18, 2011, 61 day(s) late. As such, this committee has been assessed a fine of \$1100.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be stayed. Any subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of an additional penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections, with that civil penalty and any previously assessed penalty to be paid within 30 days. Therefore, you need not pay this assessed civil penalty unless another violation occurs.

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS:jr
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 AM 126

Gery Chico for Mayor ID# 22977

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to
File a Schedule A-1 Report

The Committee received a \$1,000 contribution on 1/27/11 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$500.

Marcus Nunes, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Nunes states that the contribution in question was listed on the Committee's Quarterly Report as coming from Tom Kehoe, but was actually received from Mr. Kehoe's wife, Nancy McGowan. He says an A-1 was filed on 1/31/11; properly listing the contribution from Nancy McGowan, but for some reason the contribution was listed in error on the Quarterly Report. Mr. Nunes adds that the Quarterly Report has since been amended to show the proper contributor.

I contacted Mr. Nunes to see if he had documentation to support his claim. He was able to provide a copy of the contribution check that lists both Thomas Kehoe and Nancy McGowan in the account information, but was signed by Nancy McGowan. It therefore appears the contribution was correctly reported in a timely fashion on the Schedule A-1 filed on 1/31/11. I recommend the appeal be granted. (As of 6/30/11, this Committee reported a funds available balance of \$52,901.98 and investments of \$16,241.55.)



Tom Newman – Hearing Officer
July 29, 2011

State of Illinois)
County of COOK)

RECEIVED

JUL -5 2011

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

State Board of Elections

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Gery Chico For Mayor)
Respondent(s).)

Case No. _____

APPEAL AFFIDAVIT

I, MARCUS J. NUNES, the Treasurer of the
(Name) (Chairman/Treasurer)
Gery Chico For Mayor
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See Attached Sheet

Signed and Sworn to by:
Marcus Nunes
before me this 5 Day of
July, 2011

[Signature]
(Signature of Chairman/Treasurer)



Appeal Affidavit

1. The Committee is charged with failing to file an A-1 report for Thomas Kehoe, who is listed as contributing \$1000 on January 27, 2011 in the Quarterly filing for March 31, 2011.
2. On January 27, 2011, Nancy McGowan, Thomas Kehoe's wife, made a \$1000 contribution, which was properly reported on the A-1 for that period. Thomas Kehoe did not make a contribution then, or any time thereafter, to the Committee.
3. For reasons unknown, between the date of the contribution and the date of the quarterly report, the record was altered to substitute the name of Thomas Kehoe for Nancy McGowan.
4. On May 23, 2011, the Illinois State Board of Elections called to the Committee's attention the absence of a contribution record for Nancy McGowan in the Quarterly Report. Investigation revealed the error and an amended Quarterly Report has been filed. The amendment correctly removes Thomas Kehoe's name and replaces it with Nancy McGowan.
5. Since there was, in fact, only one contribution which was properly reported and has now been correctly documented, and since Thomas Kehoe has been removed from the quarterly report, the Committee did not fail to file a required A-1 report. The Committee respectfully requests that this Appeal be granted and that the charge be withdrawn and that no assessment of a civil penalty be made in this instance.
6. Attached hereto is a letter from the Committee Treasurer to the Illinois State Board of Elections dated June 28, 2011 discussing the same issue and the changes made.

June 28, 2011

Mr. Anthony Panzani
Division of Campaign Disclosure
James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago, IL 60601

Re: Gery Chico for Mayor – ISBE Letter Dated May 23, 2011

Dear Mr. Panzani:

In response to your letter of May 23, 2011 please be advised as follows:

1. All expenditures identified for Merchant Bankcard Services were charges associated with credit card contribution processing. They are the actual vendor and no changes are required.
2. The Committee never received the described contribution. No change is required.
3. It appears that the record of Ms. McGowan's contribution was inadvertently altered to another name (Tom Kehoe, her husband) after the contribution was recorded. It was therefore reported in the Quarterly report under that name. We will amend our report to modify the McGowan contribution to reflect the correct name. We note that a matching contribution for John Shaffer does appear on our Quarterly report.
4. Sudler & Company made their contribution on 1/5/11 as per the A-1. Because of communication errors, the check was returned with a stop payment. A replacement check was issued and the contribution was updated to match the cleared deposit. Since the contribution had already been reported on an A-1, it was not reported again. No change is required.
5. Martin Castro scheduled a fundraiser for early January 2011. He was required to pay for food and beverage in advance and he paid \$2000 in December 2010, which in-kind contribution was reported. When the actual fundraiser took place in January, his contribution was accidentally reported again, showing up on the A-1. However, since this was a duplicate, it was removed and not reported again on the quarterly report, which is correct. No change is required.
6. We believe this explains the discrepancies between the two reports. This appears to result from a desire to promptly report transactions, which on rare occasions need to be revised. Given that the campaign, which raised over four million

CHICO & NUNES, P.C.

ATTORNEYS AT LAW

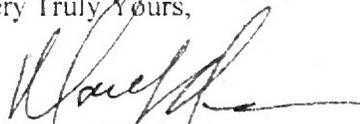
Mr. Anthony Panzani

Page 2

dollars with a minimum of errors, is over, we do not expect similar issues for the foreseeable future.

If you have any additional questions, please feel free to contact me at 312-884-5650.

Very Truly Yours,



Marcus J. Nunes

THOMAS G. KEHOE
NANCY M. MCGOWAN
2108 W. WALNUT
CHICAGO, IL 60612

2-2566/710

1796

Arbor Day Foundation

DATE 1-25-11

PAY TO

THE ORDER OF

Gery Chico for Mayor \$ 1,000.00
One Thousand and 00/100 DOLLARS



HARRIS.

Harris N.A.

MEMO

Nancy McGowan
1796 MP

PRINT DATE

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 30, 2011

Gery Chico for Mayor ID# 22977
James A Wright, Marcus J Nunes
333 W Wacker Dr #1800
Chicago, IL 60606-1288

Dear Gery Chico for Mayor:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Tom Kehoe	1/27/2011	\$500	\$1000	*	51

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

11 AM 001

LaSalle County Democratic Central Committee

ID# 121

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing a Schedule A-1 Report

The Committee received a \$1000 contribution on 2/3/11, a \$1000 contribution on 2/25/11 and a third \$1000 contribution on 3/1/11, and reported all three on a Schedule A-1 received by the Board on 3/30/11, 36, 19, and 17 days late respectively, resulting in a civil penalty assessment of \$3000.

James Conness, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Conness states that the Committee misunderstood the new rules related to Schedule A-1 filings. He says they did not immediately file an A-1 for the first contribution because they had no primary election in February, and therefore thought an A-1 was not necessary. Mr. Conness states that the Committee did not immediately file A-1s for the other two contributions because they were both received more than 30 days prior to the April election, and the committee did not realize that the old rules, which would not have required A-1s for such contributions, were no longer in effect.

Effective January 1 of 2011, Schedule A-1 filings are required for contributions of \$1000 or more received at any time, not just within the 30 days prior to an election. Mr. Conness has indicated that he now understands the filing requirements; unfortunately this does not excuse the late A-1 filings. I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional, I further recommend the penalty be reduced to 5% of the original assessment, or \$150. If these recommendations are accepted by the Board the civil penalty of \$150 will be due and owing. (As of 6/30/11, this Committee reported a funds available balance of \$8,027.05.)



Tom Newman – Hearing Officer

July 6, 2011

State of Illinois)
County of LaSalle)

STATE BOARD OF ELECTIONS

11 JUN 17 AM 9:39

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
LaSalle County Democratic Central Comm.)
Respondent(s).)

Case No. 11 AM 001

APPEAL AFFIDAVIT

I, JAMES CONNESS, the TREASURER of the
(Name) (Chairman/Treasurer)
LaSalle County Democratic Central Committee
(Name of the Committee)

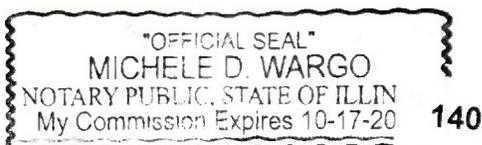
Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The LaSalle County Democratic Central Committee encompasses only LaSalle County and we did not have a February 22nd primary election. We, mistakenly, were understanding that we did not have to file the A1 form since LaSalle County did not have the February primary and that we would file the A1 form at the 1st quarter filling period after March 31st. This being for the funds received on 2/3/11. For the funds received on 2/25/11 & 3/1/11 we, mistakenly, were going by the previous rules where an A1 during any time outside the 30 days before an election the A1 would be filed at the next quarterly file date. The A1 filing before January 1, 2011 was required in the 30 day period before an election period. I do now realize that an A1 is to be filed within two days during the thirty day period before an election and also within five business days at any other time. We are not a very big political fund so we make a few entries every couple of weeks. I do apologize for your time being taken in this matter.

Signed and Sworn to by:

James Conness
before me this 16th Day of
June, 2011
Michele D Wargo
Notary Public

James Conness
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

LaSalle County Democratic Central Committee
PO Box 591
Ottawa, IL 61350-0591

ID# 121

Dear LaSalle County Democratic Central Committee:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
IBEW Education Committee	3/1/11	\$1000	\$1000	3/30/11	17
IL Laborers Legislative Committee	2/3/11	\$1000	\$1000	3/30/11	36
N Central IL Laborers Dist Cncl PAC	2/25/11	\$1000	\$1000	3/30/11	19

The committee is fined a **total** of \$3000 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$150, (5% of the total amount of contribution(s) reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 AJ 002

Knox County Democratic Central Committee

ID# 287

Respondent

REPORT OF HEARING OFFICER

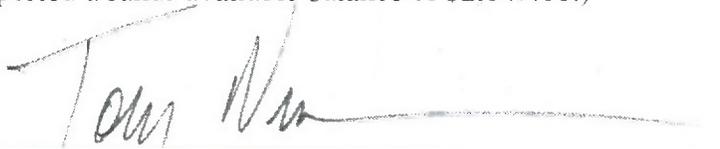
Appeal of Civil Penalty Assessment for Delinquently
Filing a Schedule A-1 Report

The Committee received a \$1,000 contribution on 4/28/11, and reported it on a Schedule A-1 received by the Board on 5/9/11, 2 days late, resulting in a civil penalty assessment of \$500.

Lisa England, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. England states that the delinquent filing was the result of this being the first time the Committee had received a \$1,000 contribution requiring an A-1 filing.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first A-1 violation for this Committee, I also recommend the penalty be reduced to 10% of the original assessment, or \$50. As a first violation, the penalty is stayed. (As of 6/30/11, this Committee reported a funds available balance of \$2,049.88.)



Tom Newman – Hearing Officer
July 28, 2011

State of Illinois)
County of Knox)

STATE BOARD OF ELECTIONS

11 JUL 11 AM 7:59

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:)
ILLINOIS STATE BOARD OF ELECTIONS.)

Complainant)

Vs.)
Knox Co. Democratic Central Comm.)
Respondent(s).)

Case No. 11 AS 002

APPEAL AFFIDAVIT

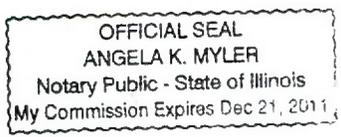
I, Lisa M. England, the Treasurer of the Knox Co. Dem. Central Comm. of the Knox County Democratic Central Committee
(Name) (Chairman/Treasurer) (Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

First time getting a large sum
under the new law.

Signed and Sworn to by:
Lisa England
before me this 8th Day of July, 2011
Angela K Myler
Notary Public

Lisa M. England
(Signature of Chairman/Treasurer)



STATE OF ILLINOIS



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 30, 2011

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Knox County Democratic Central Committee ID# 287
PO Box 2
Galesburg, IL 61402-0002

Dear Knox County Democratic Central Committee:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
IUOE Local 649 PAC	4/28/2011	\$500	\$1000	5/11/2011 09	2

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 AG 066

Illinois Hospital Association PAC (IHA PAC) ID# 675

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently
Filing Schedule A-1s for the 2010 General Election

The Committee received a \$50,000 contribution on 10/8/10 and a \$200,000 contribution on 10/8/10, but failed to report either on a Schedule A-1, resulting in a civil penalty assessment of \$250,000.

Kathleen Dunn, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Dunn states that the failure to file an A-1 for the two contributions resulted in part from the Committee's use of two separate bank accounts. She says normally, daily receipts are posted to a lockbox at one bank and then periodically transferred to a savings account at the other bank, which is located in the same building as the Committee. When the two contributions were received on October 8, because of their size Ms. Dunn says staff decided to deposit them directly into the bank account located in the same building. At this time, Ms. Dunn states that the manager who oversees the PAC was on maternity leave and the clerk who enters cash receipts was on vacation. The individual who dealt with reporting the receipts listed the lockbox cash receipts, but omitted the two contributions that were never put in the lockbox. The oversight was not discovered until the Committee's Semi-Annual Report was being prepared. Ms. Dunn adds that as a result of this incident, the Committee has implemented new procedures and controls to make sure similar mistakes do not happen in the future.

While the Committee's explanation of events does make it easy to see how the Schedule A-1 reporting was missed in this instance, it unfortunately does not excuse the oversight nor relieve the Committee of its reporting obligation. I therefore recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I recommend the civil penalty be reduced to 10% of the original assessment, or \$25,000. If this recommendation is accepted by the Board, the total civil penalty of \$25,000 will be due and owing. (As of 6/30/11, this Committee reported a funds available balance of \$1,314,287.88.)


Tom Newman – Hearing Officer

July 20, 2011

11 JUN 24 PM 3:44

State of Illinois)
County of Sangamon)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Illinois Hospital Assn PAC (IHA PAC))
Respondent(s).)

Case No. 10AG066

APPEAL AFFIDAVIT

I, Kathleen Dunn, the Treasurer of the
(Name) (Chairman/Treasurer)

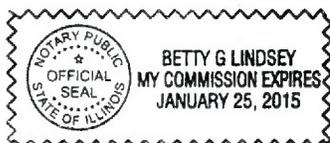
Illinois Hospital Assn PAC (IHA PAC)
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attached letter

Signed and Sworn to by:
Betty Lindsey
before me this 24th Day of
June, 2011
Betty G Lindsey
Notary Public

Kathleen Dunn
(Signature of Chairman/Treasurer)



June 24, 2011

State Board of Elections
ATTN: Campaign Disclosures
1020 S. Spring Street
Springfield, IL 62704



Dear State Board of Elections:

The Illinois Hospital Association PAC (IHA PAC) has two bank accounts, one at Healthcare Associates Credit Union (HACU) and one at JPMorgan. The daily receipts are posted to a lockbox at JPMorgan and then transferred, from time-to-time, to a savings account at HACU. It is important to know that the offices of the IHA PAC, Illinois Hospital Association, and HACU are all in the same building in Naperville.

On October 8, 2010, IHA PAC received two contributions from two of IHA's subsidiaries totaling \$250,000. Due to the size of the checks, the contributions were deposited directly to the HACU account rather than the JPMorgan lockbox. The reason for this was that everything occurred in the Naperville building. The checks were processed there by the IHA subsidiaries and were then delivered to the IHA PAC there. So staff decided to walk the checks over to the HACU's office and deposit them immediately in the HACU.

During this time period, the manager who oversees the IHA PAC was on maternity leave. In addition, the Accounts Receivable Clerk who enters cash receipts was on vacation. The individual providing coverage during this time reported the JPMorgan lockbox cash receipts; however, she did not enter the HACU cash receipts – the two checks. When she was later preparing the D-2, she discovered that the \$250,000 contributions had not been posted to IDIS and immediately did so. Unfortunately, this posting was done outside of the A-1 timeframe and an A-1 was not generated. Both contributions were reported on the D-2.

In order to avoid errors in the future, we have evaluated our procedures and put into place additional controls. One such control involves peer review of data entry by another accounting clerk, with final review and sign off by the Controller. Additionally, we have limited the personnel authorized to make deposits to HACU and all deposits must be reviewed by the Controller prior to deposit.

We apologize for the error in not reporting the contributions on the A-1. The failure to timely report was not intentional and we quickly reported it as soon as the error was identified. In light of these circumstances and the steps we have taken to avoid a similar problem in the future, we respectfully ask the Board to waive or substantially reduce the proposed penalty. We appreciate your consideration of this appeal.

Sincerely,

A handwritten signature in cursive script that reads "Kathleen Dunn". The signature is written in black ink and is positioned above the printed name.

Kathleen Dunn
Treasurer

1151 East Warrenville Road
P.O. Box 3015
Naperville, Illinois 60566
630.276.5400
www.ihatoday.org

Please make checks payable to IHA PAC.
Contributions made to IHA PAC are not deductible for federal income tax purposes.

A copy of our report filed with the State Board of Elections is (or will be) available for purchase from the State Board of Elections, Springfield, Illinois.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Illinois Hospital Assn PAC (IHA PAC)
700 S 2nd St
Springfield, IL 62704-2516

ID# 675

Dear Illinois Hospital Assn PAC (IHA PAC):

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Association Management Resources*	10/8/2010	\$50,000	\$50,000		
IL Risk Management Services*	10/8/2010	\$200,000	\$200,000		

The committee is fined a **total** of \$250,000 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$25000, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.*** Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 AM 012

IL Coin Machine Operators Assoc. PAC

ID# 712

Respondent

REPORT OF HEARING OFFICER

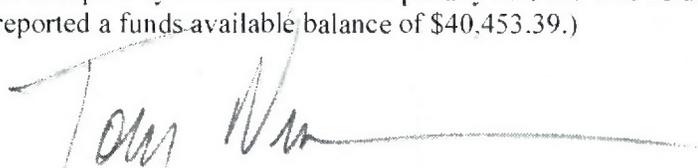
Appeal of Civil Penalty Assessment
For Delinquently Filing a Schedule A-1 Report

The Committee received five contributions totaling \$7995.76 on 1/5/11 and reported them on a Schedule A-1 received by the Board on 1/21/11, 6 days late. Additionally, the Committee had previously been assessed a \$900 civil penalty (appealed, appeal granted) for delinquently filing a Schedule A-1 in connection with the 2010 General Election. The total assessment is \$7995.76

Jason Rowell, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Rowell states that the Committee attempted to electronically file the required A-1 report on 1/5/11, but was unable to do so because of a problem with the Board's electronic filing software. The IDIS version 2.0 program at that time did not give an option for filing a Schedule A-1. Mr. Rowell says he contacted the Board and was told to complete the Schedule A-1 on paper and file it by facsimile transmission, which he says was done on 1/6/11. Mr. Rowell says the next time the Committee logged into the program, on 1/21/11, the A-1 was showing under "Reports Due Now", so they re-filed the report electronically.

There was in fact a technical issue with the IDIS electronic filing software that prevented electronic filing of a Schedule A-1 until approximately January 15. As a result, committees that filed an A-1 on paper during this time, but later re-filed the report electronically were not assessed a civil penalty. However, the faxed version of the A-1 which Mr. Rowell says was transmitted on 1/6/11 was apparently never received by the Board. Without that filing, the Committee does not qualify for the amnesty given to other committees who initially filed on paper. I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional, I further recommend the penalty be reduced to 5% of the original assessment, or \$400. If these recommendations are accepted by the Board the civil penalty of \$400 will be due and owing. (As of 3/31/11, this Committee reported a funds available balance of \$40,453.39.)



Tom Newman – Hearing Officer

July 5, 2011

State of Illinois)
)
County of _____)

STATE BOARD OF ELECTIONS

11 JUN 16 AM 10:04

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
IL Coin Machine Operators Assoc PAC)
Respondent(s).)

Case No. 11AM 012

APPEAL AFFIDAVIT

I, Jason Rowell, the Chairman of the
(Name) (Chairman/Treasurer)
IL Coin Machine Operators Assoc PAC
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Our committee tried to file Schedule A-1 via IDIS version 2 on the date the contributions were received, January 5, 2011. However under the 'Reports' tab there was no option for Schedule A-1. A call was placed to the State Board of Elections and we were told that there was a glitch with IDIS version 2 and Schedule A-1s were not able to be filed online at this time. We were advised to complete the Schedule A-1 by paper and fax to 217-557-5630. Our Treasurer, Phil Webb, faxed the signed Schedule A-1 on 1/6/11. The next date we logged into IDIS2 was on 1/21/11. It was during this login that we noticed under 'Reports Due Now' it was showing the previously faxed in Schedule A-1 as being due. At 2:36pm we called the State Board of Elections at 217-782-4141 and spoke with John. John advised us to re-file the Schedule A-1 online.

Signed and Sworn to by:
JASON ROWELL
before me this 15 Day of
June, 2011
Denise Evans
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Il Coin Machine Operators Assoc. PAC
Phil Webb
7501 Meyer Rd.
Spring Grove, IL 60081

ID# 712

Dear Il Coin Machine Operators Assoc. PAC:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Richard Figarelli	1/5/11	\$1190.76	\$1190.76	1/21/11	6
Vincent Gumma	1/5/11	\$1085	\$1085	1/21/11	6
Teresa Leschkies	1/5/11	\$1410	\$1410	1/21/11	6
Jason Rowell	1/5/11	\$2575	\$2575	1/21/11	6
Ronald Selcke	1/5/11	\$1735	\$1735	1/21/11	6

The committee is fined a **total** of \$7995.76 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$400, (5% of the total amount of contribution(s) reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 AG 043

Champaign County Republican Central Committee

ID# 992

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to File Schedule A-1 Reports
for the 2010 General Election

The Committee received a \$25,000 contribution on 10/18/10 and a \$6,000 contribution on 10/25/10, but failed to report either on a Schedule A-1. The total assessment is \$31,000.

Habeeb Habeeb, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Habeeb states that the failure to file the required A-1s was simply an oversight by the Committee, partly as a result of his being relatively new in the position of Treasurer.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I recommend the civil penalty be reduced to 10% of the original assessment, or \$3,100. If these recommendations are accepted by the Board, the total assessment of \$3,100 will be due and owing. (As of 6/30/11, this Committee reported a funds available balance of \$14,976.49, with additional investments of \$89,216.80.)



Tom Newman – Hearing Officer
July 21, 2011

STATE BOARD OF ELECTIONS

11 APR -8 PM 3:01

State of Illinois)
County of _____)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
CHAMPAIGN COUNTY REPUBLICAN CENTRAL)
Respondent(s). COMMITTEE)

Case No. 10 AG 043

APPEAL AFFIDAVIT

I, HABEEB HABEEB, the Treasurer of the
(Name) (Chairman/Treasurer)
CHAMPAIGN COUNTY REPUBLICAN CENTRAL COMMITTEE
(Name of the Committee)

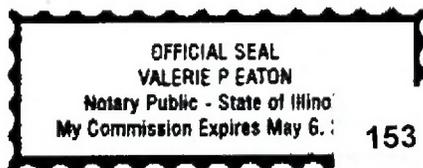
Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

This is our first delinquent schedule A-1 & I apologize
for the error. It was simply an oversight, not a willful or
wanton violation. I am relatively new at this position &
again apologize for the oversight. The committee, as of March 31, 2010
only had ≈ \$3,900⁰⁰ in the bank & this will be a hardship.

Thank you

Signed and Sworn to by:
Habeeb Habeeb
before me this 4th Day of
April, 2011
Valerie P. Eaton
Notary Public

Habeeb Habeeb
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2011

Champaign County Republican Central Committee
Brett Penick
1702 S Orchard Dr
Mahomet, IL 61853

ID# 992

Dear Champaign County Republican Central Committee;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Lincoln Club of Champaign County	10/18/2010	\$25,000	\$25,000	*	
	10/25/2010	\$6,000	\$6,000	*	

The committee is fined a **total** of \$31,000 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total *does not* reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$3,100, (10% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. *If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the amount of your penalty.* Notice of Appeal must be filed within 30 days of the date of this assessment for it to be considered.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

11 AM 014

Trucking Industry PAC

ID# 1021

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
Schedule A-1 Reports

Between 1/3/11 and 3/23/11 the Committee received a total of 15 contributions of \$1000 or more, totaling \$26,750 in all, and reported them on a Schedule A-1 received by the Board on 4/14/11, between 65 and 14 days late. The total assessment is \$26,750.

Matthew Hart, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Hart states that the Committee filed its Report on April 14 but was later notified that the wrong Report had been filed. After contacting the Board for assistance on May 4, he says the corrected Report was submitted.

The defense Mr. Hart offers relates to the late filing of the Committee's Quarterly Report, for which no penalty was assessed due to a general amnesty approved by the Board. The Committee offers no defense however, for the late filing of the Schedule A-1s at issue. I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since these are the first set of delinquent A-1s for this Committee, I recommend the penalty be reduced to 5% of the original assessment, or \$1338. If these recommendations are accepted by the Board, the \$1338 civil penalty will be due and owing. (As of 6/30/11, this Committee reported a funds available balance of \$83,453.26.)



Tom Newman – Hearing Officer
July 22, 2011

State of Illinois)
County of _____)

STATE BOARD OF ELECTIONS

11 JUN 30 PM 3:11

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
TRUCKING INDUSTRY PAC)
Respondent(s).)

Case No. 11 AM 014

APPEAL AFFIDAVIT

I, MATTHEW HART, the TREASURER of the
(Name) (Chairman/Treasurer)
TRUCKING INDUSTRY PAC
(Name of the Committee)

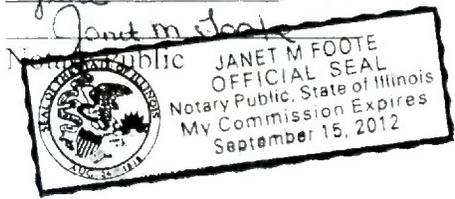
Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

(see attached letter)

Signed and Sworn to by:

before me this 30th Day of
June, 2011

[Signature]
(Signature of Chairman/Treasurer)



7000 S. Adams, Suite 130
Willowbrook, IL 60527
Phone (630) 654-0884
Fax (630) 654-0899



www.iltrucking.org

932 S. Spring St.
Springfield, IL 62704
Phone (217) 789-6017
Fax (217) 544-4206

Illinois State Board of Elections
Campaign Disclosure Division
1020 S. Spring St.
Springfield, IL 62704

June 30, 2011

The Trucking Industry PAC is appealing the assessment of a civil penalty in regard to the filing of Schedule A-1 Report of Campaign Contributions of \$1,000 or more.

On April 14, 2011, we submitted the report of the Trucking Industry PAC using the new IDIS system for the first time. We received confirmation number #430782 confirming this was received by the Board of Elections on 4/14/2011 at 3:50 PM (copy of confirmation attached).

On May 4, 2011 ITA Executive Administrator Janet Foote received notification that the Trucking Industry PAC report was not filed. Ms. Foote immediately called the State Board of Elections office regarding the matter and spoke to an employee at the Board of Elections by the name of Kim, who informed us that we had submitted the wrong report.

Board of Elections employee Kim stayed on the phone with Ms. Foote and walked her through the steps to correctly file the report using the new IDIS system and this corrected report was submitted on May 4, 2011 at 11:42:58 AM. Kim also informed Ms. Foote that since this was a mistake and we did not have a history of filing late, there would be no penalties.

Therefore, since the Trucking Industry PAC did file a report on April 14 before the deadline, and since Trucking Industry PAC has a history of always filing on time, we request that the civil penalty be dismissed.

If you should have additional questions, please call me at (630) 654-0884.

Sincerely,

Matthew W. Hart
Treasurer, Trucking Industry PAC
Executive Director, Illinois Trucking Association

Kim
Illinois State Board of Elections
Receipt for Report Filed
Trucking Industry Pac

An A-1 Report -

was received by the State Board of Elections on

4/14/2011 at 3:50:49PM

The confirmation number is: 430782

Receipt Printed: 4/14/2011 3:54:09PM

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Trucking Industry PAC
Gerald Cooper Jr
30 W Monroe #600
Chicago, IL 60603

ID# 1021

Dear Trucking Industry PAC:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Leader Express	3/23/11	\$1500	\$1500	4/14/11	14
Osco Oil	2/22/11	\$1000	\$1000	4/14/11	38
Reliable Transportation Specialists	2/7/11	\$1000	\$1000	4/14/11	47
Scopelitis, Garvin, Light, Hanson & Feary	3/2/11	\$1500	\$1500	4/14/11	32
Sharkey Transportation	3/8/11	\$1000	\$1000	4/14/11	28
The Custom Companies	3/8/11	\$6000	\$6000	4/14/11	28
United Parcel Service (UPS)	2/15/11	\$2500	\$2500	4/14/11	42
Bear Cartage & Intermodal	2/8/11	\$1500	\$1500	4/14/11	43
Cassidy Tire	1/31/11	\$1750	\$1750	4/14/11	49
Chicago Mack	1/28/11	\$3000	\$3000	4/14/11	50
Cummins NPower	1/3/11	\$1000	\$1000	4/14/11	65
Ferrara Pan Candy	1/3/11	\$2000	\$2000	4/14/11	65
HNI Truck Group	1/13/11	\$1000	\$1000	4/14/11	57
House of Trucks	2/16/11	\$1000	\$1000	4/14/11	38
Joe Dieter & Sons	1/13/11	\$1000	\$1000	4/14/11	57

The committee is fined a **total** of \$26,750 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total *does not* reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

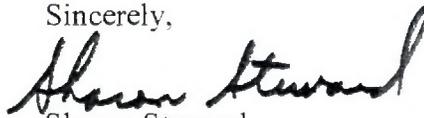
Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1338, (5% of the total amount of contribution(s) reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.*

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward

Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

11 AM 073

Naperville Township Republican Org

ID# 4987

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing a Schedule A-1 Report

The Committee received a \$1,099 in-kind contribution on 1/7/11 and reported it on a Schedule A-1 received by the Board on 1/18/11, 1 day late. The total assessment is \$550.

Robert Wegner, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Wegner states that the in-kind contribution was a donation in support of office space for the Committee headquarters. He says as a repeating monthly entry these contributions can be arbitrarily recorded on any date of a given month. Mr. Wegner adds that the infraction was committee inadvertently.

In regards to an in-kind contribution, Section 100.120 of the Board's Rules and Regulations states in part, "*If no notification has been received, the in-kind contribution is deemed received on the date the candidate, chairman or treasurer of the recipient committee, or the public official, obtains knowledge of the in-kind contribution.*" As a monthly rent contribution, it can be argued the Committee has knowledge of the in-kind on the first date of each month, and perhaps should be reported as such rather than selecting an arbitrary date. But in any case, based on the date reported by the Committee as the receipt date for this in-kind contribution, the Schedule A-1 was clearly filed late. I recommend the appeal be denied. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first A-1 violation for this Committee, I further recommend the penalty be reduced to 10% of the original assessment, or \$55. As a first violation, the penalty is stayed. (As of 6/30/11, this Committee reported a funds available balance of \$52,901.98 and investments of \$5,579.13.)



Tom Newman – Hearing Officer
July 29, 2011

State of Illinois)
County of DePage)

STATE BOARD OF ELECTIONS
11 JUL 15 AM 10:30

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Committee ID 4987)
Respondent(s).)

Case No. 11 AM 073

APPEAL AFFIDAVIT

I, Robert L. Wegner, the Treasurer of the
(Name) (Chairman/Treasurer)
Naperville Township Republican Organization
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

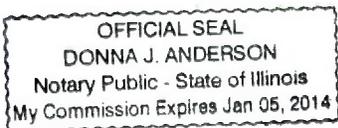
Please see attached defense and request.

Signed and Sworn to by:

Robert L. Wegner
before me this 12th Day of

July, 2011
Donna J. Anderson
Notary Public

Robert L. Wegner
(Signature of Chairman/Treasurer)



- Whereas the penalty assessed is for filing an A-1 in January, 2011 just one day late;
- Whereas the A-1 in question records only an In-Kind donation in the amount of \$1099 to the Naperville Township Republican Organization (NTRO) from the Marquette Water Street/Webster Street District Development Corporation in support of the NTRO headquarters;
- Whereas said In-Kind is a repeating monthly entry in our filing process that can arbitrarily be recorded in IDIS on any date of a given month;
- Whereas no cash, checks or other monies were actually exchanged between these parties;
- Whereas NTRO has had an exceptional filing history with the Board of Elections since mid-2009;
- Whereas said infraction was committed inadvertently;

Therefore, I respectfully appeal this sanction and ask that the Board dismiss it in its entirety and remove it from all records.

Robert L. Wegner
(630) 637-1878
bobntro52@gmail.com

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 30, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Naperville Township Republican Org
Robert Wegner
1117 Holly Ct
Naperville, IL 60540-7227

ID# 4987

Dear Naperville Township Republican Org:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Marquette Water St/Webster St Dist Dev	1/7/2011	\$550	\$1099	1/18/2011	1

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$550 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$55, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 AJ 005

Citizens for Moffitt

ID# 7982

Respondent

REPORT OF HEARING OFFICER

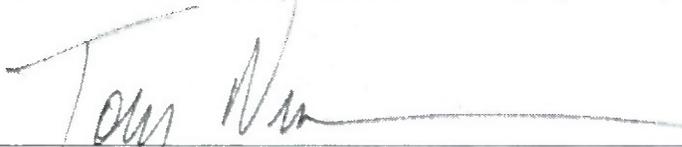
Appeal of Civil Penalty Assessment for Delinquently
Filing a Schedule A-1 Report

The Committee received a \$3,000 contribution on 5/9/11, a \$2,000 contribution on 5/19/11, and a \$1,000 contribution on 5/19/11, and reported all three on a Schedule A-1 received by the Board on 6/1/11, 11, 3 and 3 days late, respectively. The total civil penalty assessment is \$3,000.

Carolyn Moffitt, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Moffitt admits that she missed the deadline for reporting the three contributions, as a result of the changes to the law affecting A-1 reporting that went into effect this year. She adds that she has since filed multiple A-1s on time and intends to be in compliance for all future reporting.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I further recommend the penalty be reduced to 10% of the original assessment, or \$300. If these recommendations are accepted by the Board, the \$300 civil penalty will be due and owing. (As of 6/30/11, this Committee reported a funds available balance of \$52,901.98 and investments of \$75,258.95.)



Tom Newman – Hearing Officer
July 28, 2011

State of Illinois)
County of Knob)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS.)
Complainant)
Vs.)
Citizens for Moffitt, Carolyn Moffitt)
Respondent(s). treas.)

Case No. 11 AS 005

APPEAL AFFIDAVIT

I, Carolyn Moffitt, the Treasurer of the
(Name) (Chairman/Treasurer)
Citizens for Moffitt
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I have consistently filed reports on time for many years.
When I realized I had missed the deadline for new rules re. AI reporting, I
immediately self-reported it to Ms Steward in Springfield. Then I filed them.
I was less than 2 weeks late on 1 deposit and 3 days late for the others.
Since then I have filed 17 AIs on time and intend to be in compliance
for all future reporting. Thank you for your consideration in waiving
the assessment of a civil penalty.

Signed and Sworn to by:
Carolyn Moffitt
before me this 8th Day of
July, 2011
Catherine J. Heimann
Notary Public

Carolyn Moffitt
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 30, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Citizens for Moffitt
Tom L Wilson
503 Kings Canyon Blvd
Galesburg, IL 61436

ID# 7982

Dear Citizens for Moffitt:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Alexis Fire Equipment	5/9/2011	\$1500	\$3000	6/1/2011	11
BNSF Railway	5/19/2011	\$1000	\$2000	6/1/2011	3
Fed of Ind. Colleges & Universities	5/19/2011	\$500	\$1000	6/1/2011	3

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$3000 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$300, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 AM 021

Friends for Poe

ID# 9963

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently
Filing a Schedule A-1

The Committee received a \$2500 contribution on 1/28/11, and reported it on a Schedule A-1 received by the Board on 2/24/11, 12 days late, resulting in a civil penalty assessment of \$2500.

Stacey Bishop, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Bishop states that the Schedule A-1 was filed late because she was unaware that the law had changed in January, requiring contributions of \$1000 or more to be reported on a Schedule A-1 within 5 business days. She adds that once she became aware of the requirement, she filed the A-1, but by then it was 12 days late.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional, I recommend the civil penalty be reduced to 5% of the original assessment, or \$125. As a first violation, the penalty is stayed. (As of 6/30/11, this Committee reported a funds available balance of \$102,213.67.)



Tom Newman – Hearing Officer
July 20, 2011

State of Illinois)
County of Sangamon)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **11 JUN 23 PM 2:46**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS.)

Complainant)

Vs.)

Case No. 11 AM 021

Friends for Poe)
Respondent(s).)

APPEAL AFFIDAVIT

I, Stacey Bishop, the Treasurer of the
(Name) (Chairman/Treasurer)
Friends for Poe
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

* please see attached sheet *

Signed and Sworn to by:
STACEY BISHOP
before me this 23 Day of
June, 2011
Elaine Funk
Notary Public

Stacey Bishop
(Signature of Chairman/Treasurer)



Appeal Affidavit
Friends for Poe
June 23, 2011

The contribution received on January 28, 2011 from Midwest Technical Institute was filed in error without reporting a Schedule A-1. I was unaware of the law that changed in January 2011 that states that any contribution of \$1000 or more needed to be filed with a Schedule A-1. I eventually filed an A-1 on February 24, 2011 when I realized that an A-1 was required, which made me 12 days late in filing the A-1.

STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

June 3, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Friends for Poe ID# 9963
Stacey Bishop
212 Westhampton Rd
Sherman, IL 62684

Dear Friends for Poe:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Midwest Technical Institute	1/28/11	\$2500	\$2500	2/24/11	12

The committee is fined a **total** of \$2500 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$125, (5% of the total amount of contribution(s) reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

10 JS 234

Illinois Staffing Association ID# 13984

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
the June 2010 Semi-Annual Report

The Report was received by the Board on January 28, 2011, 145 days late, resulting in a civil penalty of \$5000. Additionally, the Committee had previously been assessed a \$800 civil penalty (not appealed, unpaid) for delinquently filing the June 2009 Semi-Annual Report and a \$300 civil penalty (not appealed, unpaid) for delinquently filing the December 2010 Semi-Annual Report. The total assessment is \$6100.

Gail Robson, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Robson states that due to decreasing membership and funds, the Committee has not made political contributions since 2007, nor has it taken in any money. She adds that deactivation of the Committee's website and email and some electronic filing difficulties have resulted in the group's late filings.

Under disclosure law, Semi-Annual Report filings were required regardless of whether the Committee had activity during the reporting period. While the Committee's problems are unfortunate, they do not constitute a valid excuse for the delinquent filing. I recommend the appeal be denied. If this recommendation is accepted by the Board, the total assessment of \$6100 will be due and owing. (As of 6/30/11, this Committee reported a funds available balance of \$221.19.)



Tom Newman – Hearing Officer
July 21, 2011

STATE BOARD OF ELECTIONS
11 JUN 27 PM 12:25

State of Illinois)
County of COOK)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Illinois Staffing Association)
Respondent(s).)

Case No. 10 JS 234

APPEAL AFFIDAVIT

I, Gail Robson, the Chairman of the
(Name) (Chairman/Treasurer)
Illinois Staffing Association
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Violations resulting in this civil penalty were inadvertent, involved zero contributions and were corrected.

At the time of these instances the Association was experiencing difficulties which nearly caused discolvement. Please see attached document for detailed reasons + defenses. Please note that the Illinois Staffing Association authorizes Yvonne Lemperis to represent this Association in providing more information and to appear in any future hearings related to this appeal. Thank you.

Signed and Sworn to by:
Karen Duff
before me this 23 Day of
June, 2011
Karen Duff
Notary Public

(phone: 773-290-3240)

[Signature]
(Signature of Chairman/Treasurer)



Dear State Board of Elections:

We are writing in valiant effort to appeal this civil penalty and to explain circumstances to illustrate that our organization completed the filings with inadvertent errors and challenges under very trying circumstances. We take these matters very seriously and regret that we are in this situation.

Please note that our association is a very small non-profit organization which has averaged 25 small company members since 2007. At points during these economic times, our membership has decreased to a low number with lack of executive board participation to the point of nearly dissolving the association. Through the efforts of a handful of people we are slowly building our membership, participation and involvement toward the betterment of the search and temporary staffing business and industry.

Due to lacking membership and funds, we have not had any recent activity and the last contribution our association made was in **2007**. In January of 2009 we had an expenditure which was a payment to a local lobbyist which we had not paid for almost 2 years and at that point paid him all but \$200 in our account to meet our past obligation to retain him, even though our lobbying efforts were non-existent. **We have not retained a lobbyist since the end of 2008 and do not retain one currently.**

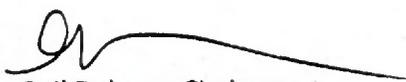
During the filings noted in this penalty assessment there were two occasions when we experienced electronic filing difficulties and did not realize that the filing did not go through and on another occasion we had very low resources and were not aware of a violation due to the deactivation of our website and email. On several occasions we have contacted the Springfield office for assistance because once we were aware of any filing error or gap, we corrected it and called the Springfield office to confirm corrections and compliance.

A final factor is that although the name of Kris Swanson has been on file as the Treasurer, she has been inactive as Treasurer for quite some time. We have been seeking a replacement for a long time and did not find a suitable and stable replacement until recently when one of our new officers agreed to take on the responsibility. We reported this change to the Springfield office and the change has been formally updated in our file. We have also changed our Association name and are in the process of submitting this change. We have hopes of growing as an association and possibly re-activating our political action efforts as we stabilize and grow.

We would be available and anxious to provide additional detail to answer any questions you may have. **Please consider our appeal and note that any violations of the Election Code were inadvertent, corrected and involved zero contribution amounts.** We do not anticipate being in this predicament going forward. We thank you for your time and consideration.

Sincerely,
ILLINOIS STAFFING ASSOCIATION (Phone: 773.290.3240)


Yvonne Lemperis, (Assisted with electronic filings)


Gail Robson, Chairman

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2011

Illinois Staffing Association
Kris Swanson
645 Executive Dr
Willowbrook, IL 60527

ID# 13984

Dear Committee;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: Semiannual Report of Campaign Contribution and Expenditures
Report Period: January 1, 2010 through June 30, 2010
Filing Period: July 1, 2010 through July 20, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 28, 2011, 145 day(s) late. As such, this committee has been assessed a fine of \$5000.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previous fines for delinquent filing are now also required to be paid by the committee within 30 days:

Reporting Period	Report Type	Previous Fine Amount
January 1, 2009 to June 30, 2009	Semi-Annual Report	\$800
July 1, 2010 to December 31, 2010	Semi-Annual Report	\$300
TOTAL AMOUNT NOW DUE		\$6100

If you have any questions regarding the appeal process, please call Jenny Ronimous at 217/782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s) appeal documents

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

10 AG 053

Citizens for Fred Crespo

18583

Respondent

REPORT OF HEARING EXAMINER

Appeal of Civil Penalty Assessment for Failing to Electronically File
A Schedule A-1 for the 2010 General Election

This committee received one contribution of \$2,000 on 10/12/10 and listed it on a Schedule A-1 (filed on paper) received by the Board on 10/13/10; a second contribution of \$30,300 on 10/12/10 and listed it on a Schedule A-1 (filed on paper) received by the Board on 10/13/10; a third contribution of \$6,670.65 on 10/13/10 and listed it on a Schedule A-1 (filed on paper) received by the Board on 10/15/10. In addition, this Committee had previously been assessed a \$650 civil penalty (not appealed, paid) for delinquently filing the June 2005 Semi-Annual Report; a \$50 civil penalty (appealed, appeal denied, paid) for delinquently filing the December 2005 Semi-Annual Report; a \$1,000 civil penalty (not appealed, paid) for delinquently filing the 2006 GP Pre-Election Report; a \$125 civil penalty (not appealed, penalty reduced, paid) for failing to file a Schedule A-1 for the 2006 GE; a \$854 civil penalty (not appealed, penalty reduced, paid) for failing to file a Schedule A-1 for the 2008 GE; a \$1,000 civil penalty (not appealed, penalty reduced, paid) for failing to file a Schedule A-1 for the 2008 GE; a \$5,000 civil penalty (appealed, granted) for failing to file a Schedule A-1 for the 2010 GE. The total assessment is \$38,970.65.

The Respondent was represented by Michael Kasper at the June 27 appeal hearing. Also Jennifer Leak participated in a portion of the appeal hearing via telephone.

Michael Kasper stipulated to the fact that Citizens for Fred Crespo filed a paper Schedule A-1 10/13/10 with contributions being reported as being received on 10/12/10 from Whitehall North LLC for \$2,000 and the Democratic Party of Illinois for \$30,300 and another paper Schedule A-1 was filed on 10/15/10 with a contribution being reported as being received on 10/13/10 from the Democratic Party of Illinois for \$6,670.85. These two Schedule A-1s were filed within 2 business days of the reported contributions and therefore were filed timely. However, Mr. Kasper does not believe the State Board of Elections has the statutory authority to require a committee to re-file a Schedule A-1 electronically or consider the paper filing as a never having been filed if the filing is not re-filed electronically within 30 days. Mr. Kasper understands why electronic filing is required but he believes the committee met its responsibility in 5/9-10(b-5) when they reported the contributions within 2 business days on two separate Schedule A-1s. Mr. Kasper believes the State Board of Elections does not have the authority to impose an apparent electronic filing violation, 5/9-28, which has no penalty upon it onto a Schedule A-1 violation, 5/9-10, which has a penalty. In addition Citizens for Fred Crespo currently has an accountant, Jennifer Leake, tasked with completing the committee's financial disclosure reports. Ms. Leake stated that Citizens for Fred Crespo had been filing all of its reports electronically and that when the committee received a contribution they would forward the information to the accounting firm who then filed the disclosure documents. Ms. Leake stated that the accounting firm around the time of the Schedule A-1 violations had not received a contribution in a few days so they looked into the matter. Apparently Brianna Hudkins, who was

described as a volunteer campaign worker, took it upon herself to file a few documents on her own without giving the information to the accountants. Ms. Leake stated that Brianna Hudkins was paid by an outside source to work for the committee and was subsequently fired shortly after this took place. She said the State Board of Elections was contacted and they were informed that the software would not allow the committee to file the contributions if they were not filed within the two business days as required. Ms. Leake also stated that she did not receive the November 4, 2010 Notice which outlined that Citizens for Fred Crespo was required to file all reports electronically including the Schedule A-1s that were filed on paper.

I recommend the appeal be denied for lack of an adequate defense. Mr. Kasper's believes that the State Board of Elections does not have the statutory authority to determine a paper filing as never been filed if the committee does not re-file the reports within 30 days of receiving a notice. However, JCAR approved this rule and has determined the State Board of Elections does have this authority and I believe Section 100.150 of the Board's Rules and Regulations clearly covered this matter. Section 100.150 (c) of the Board Rules and Regulations read: "*Once a committee is required to file its reports electronically under Section 9-28 of the Election Code, it must continue to file all reports (semiannual, amended semiannual, pre-election, amended pre-election, final, amended final, Schedule A-1) electronically, except as follows: 3) A paper report shall be considered a timely filing if at least one previous report was required to have been filed electronically and the committee had never been notified by the Board that it was required to electronically file its reports, provided that the report is filed electronically within 30 days after the notification referred to in subsection (c)(1). If the report is not filed electronically within this 30 day period, it shall be considered as never having been filed and the civil penalties mandated by 26 Ill. Adm. Code 125.425 will accrue from the date of the filing deadline.*" Since the State Board of Elections mailed a notice to the committee's address on November 4, 2010 (attached) and they have been filing their required reports electronically since 2005 the Board met its requirement for notification. The political committee would then be responsible for getting this information to their accountant, not the State Board of Elections. In regards to the Brianna Hudkins taking it upon herself to file Schedule A-1s on paper and not forwarding the information to the committee's accountant this is an unfortunate circumstance, but this is an internal matter within the committee and has no bearing on the committee's responsibility to file its reports appropriately and timely. In relation to someone within the committee contacting someone at the State Board of Elections and being informed that they could no longer electronically file a Schedule A-1 outside of the 2 business days I believe there may have been some misinterpretation issues or misunderstanding in that conversation. Ms. Leake did not identify who contacted the State Board of Elections or who they talked to but that does not sound like a response that would have been given by the staff at the State Board of Elections. The IDIS program allows a Schedule A-1 to be electronically filed as long as the report would have been attempted to have been filed prior to filing the semi-annual report. The committee was required to re-file the Schedule A-1 by December 4, 2010 and they did not file the semi-annual report until 1/20/11 so this would not have prohibited them from filing the Schedule A-1. The program in fact would have prompted them when they entered the contribution that a Schedule A-1 was due and that report would have stayed on the reports due now list until the committee filed the semi-annual report. Therefore I do not believe an adequate defense has been given in relation to the Schedule A-1 violations. However, since there is no indication that these violations were anything other than inadvertent and unintentional, I therefore recommend that the civil penalty be reduced to 75% of the original assessment, or \$29,228, since this is the third election for which a penalty has been assessed for a delinquent Schedule A-1. If this recommendation is accepted by the Board the \$29,228 civil penalty will be due and owing. (As of 3/31/11, this committee reported a funds available balance of \$37,092.44.)


Andy Nauman - Hearing Examiner
June 30, 2011

State of Illinois)
County of Cook)

STATE BOARD OF ELECTIONS

11 MAR 28 AM 11:12

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
Citizens for Fred Crespo)
Respondent(s).)

Case No. 10 AG 053

APPEAL AFFIDAVIT

I, George Korbakes, the treasurer of the
(Name) (Chairman/Treasurer)
Citizens for Fred Crespo
(Name of the Committee)

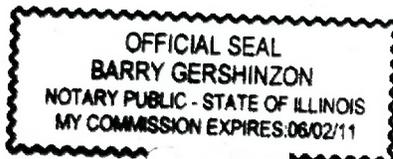
Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The assessment was improperly imposed
+ the committee will offer evidence that
all reporting + disclosure requirements
were met.

Signed and Sworn to by:
[Signature]
before me this 23 Day of
March, 2011

[Signature]
(Signature of Chairman/Treasurer)

Notary Public



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan Schneider, Chairman
Wanda Rednour, Vice Chairman
Patrick Brady
John Keith
William McGuffage
Albert Porter
Jesse Smart
Robert Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 9, 2011

Citizens for Fred Crespo
Steve Caramelli
6045 Canerbury Ln.
Hoffman Estates, IL 60192

ID # 18583

Dear Citizens for Fred Crespo;

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of more than \$500, within 2 business days of receipt as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Whitehall North LLC	10/13/2010	\$2,000	\$2,000	*	
Democratic Party of Illinois	10/12/2010	\$30,300	\$30,300	*	
Democratic Party of Illinois	10/13/2010	\$6,670.65	\$6,670.65	*	

The committee is fined a **total** of \$38,970.65 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b-5) of the Election Code, the Board may impose fines for violations not to exceed 100% of the total amount of the contributions that were untimely reported, but in no case when a fine is imposed shall it be less than 10% of the total amount of the contributions that were untimely reported.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$19,486, (50% of the total amount reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period had expired. This amount must be paid (including any previously assessed fines), within 30 days of the issuance of the Order.

Enclosed please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. ***If you have a legitimate reason for your delinquent filing, it would behoove you to send in the appeal form since the Board has the discretion to take extenuating and other circumstances into account in determining the***

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

11 AM 096

The New Students First Party ID# 18578

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports

The Committee received a \$1,000 contribution on 1/19/11, a \$1,000 contribution on 1/25/11, a \$1,000 contribution on 2/24/11, a \$2,500 contribution on 3/4/11, a \$4,000 contribution on 3/4/11, and a \$1,300 contribution on 3/7/11, but failed to report any of these on a Schedule A-1. The total assessment is \$5,400.

Emanuel C. Welch, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Welch states that during the spring, he was assisting various candidates running for election for the first time, leading to a very busy election season. He says the A-1 filings were overlooked, although he adds that the contributions in question were reported by the Committee on its Quarterly Report. Mr. Welch described the failure to file the A-1s as an unintentional oversight.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I further recommend the penalty be reduced to 10% of the original assessment, or \$540. If these recommendations are accepted by the Board, the \$540 civil penalty will be due and owing. (As of 6/30/11, this Committee reported a funds available balance of \$52,901.98 and investments of \$19,731.32.)



Tom Newman – Hearing Officer

August 1, 2011

State of Illinois)
County of Cook)

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLIOIS**

IN THE MATTER OF:)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
VS.)
NEW STUDENTS FIRST PARTY,)
Respondent.)

CASE NO. 11 AMOAL6

APPEAL AFFIDAVIT

I, Emanuel C. Welch, the Chairman/Treasurer of the New Students First Party Committee, first being duly sworn, deposes and states that the committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

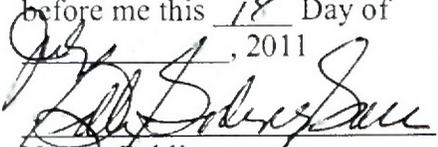
During the timeframe in which the referenced contributions were received, I was involved in preparation for the April 5, 2011 election and assisting various candidates running for election for the first time. Needless to say, this past election season was extremely busy. During which timeframe, the New Students First Party received various contributions from multiple sources.

Although a Schedule A-1 Report was overlooked and not filed within the two day timeframe, the contributions were in fact reported. Such omission was the result of an oversight and not willful and intentional disregard for the reporting requirements and deadlines.

Additionally, the New Students First Party has no prior violations for failing to disclose campaign contributions.

In assessing fines, this Board may consider whether violations were inadvertent and whether the committee has any past violations. The New Students First Party respectfully requests that the imposed fines for its failure to file Schedule A-1 Reports of Campaign Contributions exceeding \$1,000 be waived. Pursuant to Section 125.425 Civil Penalty Assessments, the Board has the authority to waive the imposed fine in cases where violations of the reporting requirements are negligent or inadvertent. Such is the case in this matter.

Signed and Sworn to
before me this 18 Day of

July, 2011

Notary Public


Emanuel C. Welch



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 30, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

The New Students First Party
Reatha "Sue" Henry
2300 S 18th Ave
Broadview, IL 60155

ID# 18578

Dear The New Students First Party:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
DelGaldo Law Group	3/7/2011	\$650	\$1300	*	24
SEIU Local 73	2/24/2011	\$500	\$1000	*	25
David Suarez	1/25/2011	\$500	\$1000	*	50
Billy Welch	3/4/2011	\$2000	\$4000	*	24
Emanuel Welch	3/4/2011	\$1250	\$2500	*	24
Citizens to Elect Ronald M Serpico	1/19/2011	\$500	\$1000	*	54

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$5400 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$540, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

10 JS 238

Citizens to Elect Charles Laskonis ID# 18887

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing the
2010 June Semi-Annual Report

The Report was received by the Board on April 21, 2011, 202 days late, resulting in a civil penalty assessment of \$5000. Additionally, the Committee had previously been assessed a \$450 civil penalty (not appealed, stayed) for delinquently filing the 2010 December Semi-Annual Report. The total assessment is \$5450.

Charles Laskonis, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Laskonis states that the delinquent filing was the result of computer problems and the Board's old electronic filing software. He says the Committee attempted to submit the Report in a more timely fashion using the old IDIS software, but was unaware that the filing did not work. Mr. Laskonis adds that when the Committee upgraded to the newer IDIS 2.0 software, the "reports due now" list did not show the Semi-Annual Report in question as overdue. He says ultimately, assistance was required from Board staff to enable the Committee to file the required Report. Mr. Laskonis states that the Committee had no actual financial activity to report and he believes the older, more cumbersome software, combined with the change to the newer software represented a unique situation and a major change in the filing process for the Committee. He says this is the reason the Report went unfiled for such a long duration.

The Report in question was one that was still covered by the older version of the IDIS electronic filing software. As such, the Committee should not have needed to upgrade to IDIS 2.0 to file the Report. While Mr. Laskonis may have been unaware that his initial filing attempt was unsuccessful, this should have become clear to him after being sent notice from the Board that the Semi-Annual Report had not been filed. Section 100.150(c)(5) of the Board's Rules and Regulations states: "*If a committee is assessed a civil penalty for delinquently filing a report required to be filed electronically, and in the course of its appeal, raises the defense that computer related issues (including, but not limited to, software, firewalls, system failures)*

prohibited the timely filing of an electronic report, the Board may consider that defense when determining the final outcome of the appeal.” While the Committee apparently did experience difficulties with the electronic filing, including the upgrade to IDIS 2.0, the fact remains that this Committee is not required to file reports electronically, so the Report could have been filed on paper. Additionally, even allowing for an electronic filing defense, the fact remains that the Report was not filed until nine months after the filing deadline, which seems to show a lack of diligence on the Committee’s part to resolve any electronic filing issues that may have occurred. I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay will be lifted from the earlier civil penalty and the total assessment of \$5450 will be due and owing. (As of 6/30/11, this Committee reported a funds available balance of \$3,080.65.)



Tom Newman – Hearing Officer

July 27, 2011

State of Illinois)
County of _____)

STATE BOARD OF ELECTIONS

11 JUL -5 AM 11:18

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
CITIZENS TO ELECT CHARLES LASKONIS)
Respondent(s).)

Case No. 10 JS 238

APPEAL AFFIDAVIT

I, CHARLES LASKONIS, the CHAIRMAN of the
(Name) (Chairman/Treasurer)
CITIZENS TO ELECT CHARLES LASKONIS
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

THE REASON FOR THIS OVERSIGHT IS BECAUSE OF THE
OLD SOFTWARE AND COMPUTER PROBLEMS. I ATTEMPTED TO SUBMIT
THE REPORT IN A MORE TIMELY FASHION AND WAS UNAWARE THAT
IT DID NOT WORK. FURTHERMORE AFTER LOADING THE NEW
SOFTWARE IT INDICATED THAT THERE WERE NO REPORTS DUE ON THE
"REPORTS DUE NOW" LIST. AFTER RECEIVING A LETTER STATING A REPORT
WAS DUE I HAD TO CONTACT (CONT.)

Signed and Sworn to by:
Robin R. Perez
before me this 30th Day of
June, 2011
Robin R. Perez
Notary Public


(Signature of Chairman/Treasurer)



(CONT.) THE STATE BOARD OF ELECTIONS IDIS TECHNICAL ASSISTANCE SO THEY COULD TALK ME THROUGH A COMPLICATED RESET OF THE DATE SO THAT I COULD FILE. THEY WERE UNSUCCESSFUL IN THIS ATTEMPT AND ACTUALLY HAD TO REMOTELY ACCESS MY COMPUTER SO IT COULD BE RESET SO I COULD FILE.

I FEEL THIS OLDER, MUCH MORE CUMBERSOME SOFTWARE COUPLED WITH THE CHANGE TO THE NEW SOFTWARE REPRESENTED A UNIQUE SITUATION AND A MAJOR CHANGE IN THE REPORTING AND FILING PROCESS AND WAS THE PRINCIPAL REASON THE REPORT WENT UNFILED FOR SUCH A LONG DURATION. IT WAS NOT INTENTIONAL.

ALSO THERE WERE NO DONATIONS OR EXPENDITURES DURING THIS TIME SO THEY DID NOT GO UNREPORTED. IT SIMPLY WAS A CARRYOVER OF THE OLD BALANCE.

I ASK THE BOARD FOR ~~LENIENCY~~ LENIENCY. COMPUTERS AND THE IDIS SOFTWARE ARE VERY INTIMIDATING FOR SOMEONE WHO IS NOT FAMILIAR WITH IT. HAD I BEEN ABLE TO FILE PAPER REPORTS INSTEAD THE REPORT WOULD NOT HAVE BEEN LATE OR MISSED.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Citizens to Elect Charles Laskonis
Charles Laskonis, Laura Laskonis
1815 Vernon St.
Rockford, IL 61103-45444

ID# 18887

Dear Committee;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	Semiannual Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2010 through June 30, 2010
Filing Period:	July 1, 2010 through July 20, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 21, 2011, 202 day(s) late. As such, this committee has been assessed a fine of \$5000.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

Reporting Period	Report Type	Previous Fine Amount
July 1 through December 31, 2010	Semi-Annual	\$450
TOTAL AMOUNT NOW DUE		\$5450

If you have any questions regarding the appeal process, please call Jenny Ronimous at 217/782-1543.

Sincerely,

Handwritten signature of Sharon Steward in black ink.

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s) appeal documents

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

11 AM 100

23rd Ward Regular Democratic Organization
Cmte ID: 19167
Respondent

REPORT OF HEARING OFFICER

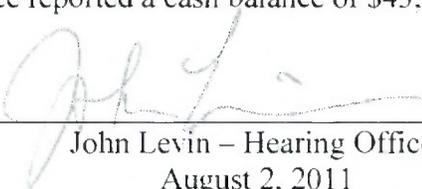
Appeal of Civil Penalty Assessment
For Delinquently Filing a Schedule A-1 Report

The Report was received April 10, 2011, 23 days late, resulting in an assessment of \$500. The receipt requiring an A-1 Report was from Fair Share Fine Food, dated February 28, 2011, in the amount of \$1,000. This is the Committee's first assessment.

Michael Zalewski, the Chairman of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

In the Affidavit, Mr. Zalewski included a letter from Kathleen Mucker on behalf of J. Quinn Muckler, Ltd., Certified Public Accountant. Ms. Muckler indicated she is the person responsible for the Committee's filings. She stated the receipt in question was recorded timely, but "due to human error" the Schedule A-1 was not filed until the oversight was detected during preparation of the Quarterly Report for the period ending March, 31, 2011.

I recommend the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, the assessment would be stayed as the product of a first offense. As of June 30, 2011, the Committee reported a cash balance of \$43,815.99.



John Levin – Hearing Officer
August 2, 2011

State of Illinois)
County of Cook)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS 11 JUL 13 PM 3:12
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
23rd Ward Democratic Organization)
Respondent(s).)

Case No. 11 AM 100

APPEAL AFFIDAVIT

I, Michael R Zalewski, the Michael R Zalewski of the
(Name) (Chairman/Treasurer)

23rd Ward Regular Democratic Organization
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

SEE ATTACHED LETTER

Signed and Sworn to by:

Michael R. Zalewski
before me this 19 Day of
July 2011.

Laura Lee Nieciak
Notary Public

Michael R Zalewski
(Signature of Chairman/Treasurer)

Michael R Zalewski July 19, 2011



J. Quinn Mucker, Ltd.

Certified Public Accountant

July 7, 2011

State Board of Election
State of Illinois
1020 South Spring St
Springfield, IL 62708

Attn: Sharon Steward

RE: 23rd Ward Regular Democratic Organization
ID#19167

Ms. Steward:

At the request of our client named above and as the one responsible for preparing the A1/ D2 reports I write to respond to the June 30th 2011 letter sent by the State Board of Elections, copy enclosed.

The deposit in question was recorded timely but due to human error the A1 was not sent until the 1st Qtr 2011 D2 Report was being prepared and the omission was discovered. There was no intent to not report the contribution; we follow the regulations as we perceive them and will be more careful in the future to avoid recording errors.

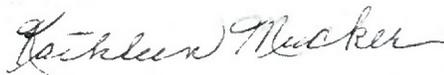
We enclose the following to comply with your procedures:

- Completed Appeal Affidavit
- Completed Waiver of Appearance

Thank you for your attention to this matter.

Kindly acknowledge receipt of this letter on the copy, which is provided for your convenience.

Sincerely,



Kathleen Mucker
For J. Quinn Mucker, Ltd.

STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

June 30, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

23rd Ward Regular Democratic Organization ID# 19167
Michael R Zalewski, James Cacciottolo
5838 S Archer Ave
Chicago, IL 60638-1637

Dear 23rd Ward Regular Democratic Organization:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Fair Share Fine Food	2/28/2011	\$500	\$1000	4/10/2011	23

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 AM 045

ActBlue Illinois ID# 19891

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
Schedule A-1 Reports

Between 1/4/11 and 3/19/11, the Committee received a total of 20 contributions of \$1000 or more for a total of \$37,500, and reported these on a Schedule A-1 received by the Board on 3/29/11, ranging from 53 to 6 days late. Additionally, the Committee had previously been assessed a \$500 civil penalty (appealed, appeal denied, paid) for delinquently filing a Schedule A-1 for the 2010 General Election and civil penalties totaling \$480 (not appealed, paid) for delinquently filing several Schedule A-1s for the 2008 General Primary Election. The total assessment is \$37,500.

Steven Gold, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Gold states that a number of factors contributed to cause the late filing. He says at the time changes to the Campaign Disclosure Law went into effect on January 1, 2011, there was still some confusion about the A-1 reporting requirements. In that the original interpretation of the law was that aggregate contributions totaling \$1000 or more would require A-1 reports. Mr. Gold says only after January 1 were the rules clarified to indicate that aggregate contributions would not have to be reported on a Schedule A-1. He adds that the Committee's internal software used for recording and reporting incoming contributions also had a "minor bug" that prevented Committee staff from being notified when an A-1 was required. Finally, Mr. Gold questions whether the Board should offer an amnesty from Schedule A-1 fines for the first quarter of 2011, since a similar amnesty was given for the less-complicated filing of Quarterly Reports.

The Committee is correct in noting that there was initially some confusion about whether aggregate contributions totaling \$1000 or more would require A-1 reporting. However, this had no impact on these 20 contributions received by the Committee, because each by itself totaled \$1000 or more, and therefore would have required an A-1 regardless of the aggregate issue. The issue of the software bug raised by Mr. Gold is solely the Committee's responsibility and does

not relieve the Committee of its A-1 filing requirement. I therefore recommend the appeal be denied. However, since there is no indication the violations in question were anything other than inadvertent and unintentional, and these represent the third set of delinquent A-1 filings by this Committee, I further recommend the civil penalty be reduced to 50% of the original assessment, or \$18,750. If these recommendations are accepted by the Board, the total assessment of \$18,750 will be due and owing. (As of 6/30/11, this Committee reported a funds available balance of \$4,356.27.)

A handwritten signature in cursive script, appearing to read "Tom Newman", is written over a horizontal line.

Tom Newman – Hearing Officer

July 27, 2011

State of Illinois)
)
County of _____)

STATE BOARD OF ELECTIONS

11 JUL -5 AM 11:18

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
ActBlue Illinois)
Respondent(s).)

Case No. 11 AM 045

APPEAL AFFIDAVIT

I, Steven Gold, the Chairman of the
(Name) (Chairman/Treasurer)
ActBlue Illinois
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please see attached.

Signed and Sworn to by:
Steven Gold
before me this 30th Day of
June, 2011
Daniel Huber
Notary Public

A. E. J. J. J.
(Signature of Chairman/Treasurer)

Attachment to Appeal Affidavit of ActBlue Illinois

ActBlue Illinois failed to timely file A-1 reports disclosing twenty contributions of \$1,000 or more. These contributions were not disclosed during the required time frame due to a technological error. All of these contributions were disclosed on A-1 reports on ActBlue Illinois's own initiative immediately upon discovering the error, before the Board of Elections took any action with regard to the contributions.

A number of factors contributed to the error. The General Assembly adopted new reporting requirements in 2010, which the staff of ActBlue Illinois followed closely. Part of these new requirements was a change from brief, infrequent periods preceding elections during which A-1 reports must be filed, to a constant requirement that reports be filed whenever a contributor exceeded the threshold regardless of the time of year. As the Board of Elections worked to implement this new reporting requirement, there was conflicting information released, particularly related to how the threshold was calculated. Initial indications – as late as November 2010 – were that contributions would be aggregated to determine whether the threshold was met. Only after the new law took effect on January 1, 2011 was a final rule issued stating that there would be no aggregation requirement. The changing standards and lack of finalized rules until even after the new law had taken effect made planning our reporting processes difficult, which contributed directly to this violation.

Ultimately, it was a computer error which led to ActBlue Illinois's failure to report on time. During the first three months of 2011 alone, when the violation occurred, ActBlue Illinois received over \$139,000 in contributions from approximately 800 different people; and during the same period, ActBlue's national operation received over \$9 million in contributions from over 125,000 people. It is simply not possible for a person to monitor all of this activity manually each day to identify contributions that require reporting. Effective monitoring requires the assistance of sophisticated technology. Despite our best efforts to ensure that the technology we developed to solve this problem – working under an atmosphere of uncertainty about the rules – was flawless, a minor bug which made its way into the software meant that the activity was being monitored, but the notification produced by the software never reached the staff responsible for completing the report. While preparing to file our first quarter D-2 report, we noticed the contributions that should have been reported previously and submitted them immediately, reporting all of them that very day. We have also taken steps since that time to repair the software, and we have successfully reported – on time – all ten reportable contributions received.

I would like to respectfully request that the fine imposed on ActBlue Illinois for this violation be waived or substantially reduced in light of the fact that: 1. the rules regarding reporting of A-1 contributions were not clearly defined early enough to leave sufficient time to comply; 2. the failure to report was inadvertent, the result of a highly technical computer error; and 3. ActBlue Illinois took steps to correct the oversight immediately and has resolved the problem for the future.

It is my understanding that the Board has made the decision to offer amnesty from reporting fines to those committees that have failed to file the first quarter D-2 report due to confusion caused by the new reporting rules. It would seem entirely appropriate that ActBlue Illinois be extended the same courtesy in the case of A-1 reporting, which is substantially more complicated than quarterly reports. The size of this fine as imposed on ActBlue Illinois carries no deterrent effect since this error was inadvertent and has been corrected. As such, it serves no purpose other than to punish ActBlue Illinois for an innocent mistake. This is particularly the case given that all of the money ActBlue Illinois raises is contributed directly to other candidates and committees which ActBlue's contributors identify for support. None of the funds used by ActBlue Illinois to pay any fines imposed will be derived from contributions made but not reported in a timely manner; all of those funds have been sent to the recipients selected by the original contributors. Rather than disgorging illegitimate funds, a fine imposed will force ActBlue Illinois essentially to relinquish the funds twice. This would be a particularly harsh punishment since more than a quarter of the contributions reported late were in any case disclosed well in advance of the 2012 election that they were designated for, essentially causing no harm to the voting public.

If any additional information would be helpful in the disposition of this appeal, please do not hesitate to contact me at (617) 517-7636 or by email at steve@actblue.com. Thank you.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

ActBlue Illinois
Matt DeBergalis, Erin Hill
PO Box 382110
Cambridge, MA 02238-2110

ID# 19891

*u/b
8/2/2011*

Dear ActBlue Illinois:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

7150

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Abdul Ahed	2/8/11	\$1000	\$1000	3/29/11	32 ✓
Steven Cohen	1/4/11	\$1000	\$1000	3/29/11	53 ✓
Paul Coladonato	1/10/11	\$5000	\$5000	3/29/11	49 ✓
Michelle Collins	1/26/11	\$1000	\$1000	3/29/11	41 ✓
Scott Gendell	2/18/11	\$1000	\$1000	3/29/11	26 ✓
John George	2/11/11	\$1000	\$1000	3/29/11	30 ✓
Keith Hebeisen	2/27/11	\$1500	\$1500	3/29/11	18 ✓
Amin Ibrahim	2/8/11	\$1000	\$1000	3/29/11	32 ✓
Dan Johnson	3/13/11	\$1500	\$1500	3/29/11	11 ✓
James Masterson	2/15/11	\$1000	\$1000	3/29/11	2/
S Jin park	2/11/11	\$2500	\$2500	3/29/11	30
Paula Riggins	2/18/11	\$2000	\$2000	3/29/11	26
Gary Sagui	3/19/11	\$5000	\$5000	3/29/11	6
Heather Steans	2/17/11	\$2500	\$2500	3/29/11	26

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Lawrence Suffredin Jr	1/27/11	\$1000	\$1000	3/29/11	40
Lawrence Suffredin Jr	3/8/11	\$1000	\$1000	3/29/11	14
Thomas Vanden Berk	2/3/11	\$1500	\$1500	3/29/11	35
Mark Weisberg	1/29/11	\$1000	\$1000	3/29/11	39
Kanye West	2/17/11	\$5000	\$5000	3/29/11	26
James Wisman	2/26/11	\$1000	\$1000	3/29/11	18

The committee is fined a **total** of \$37,500 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

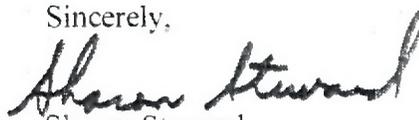
Since this is the third or subsequent delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$18750, (50% of the total amount of contribution(s) reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 1020 S Spring Street, Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,



Sharon Steward

Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 AM 107

Arab American Democratic Club

ID# 20046

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports

The Committee received a \$1,500 contribution on 1/18/11 and a \$1,000 contribution on 1/25/11, and reported both on a Schedule A-1 received by the Board on 4/14/11, 54 and 49 days late respectively. The total assessment is \$1,250.

Miriam Zayed, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Zayed states that the Committee understood the filing deadlines for Quarterly Reports, but did not realize that changes to disclosure law in 2011 included a requirement for contribution of \$1,000 or more to be reported promptly on a Schedule A-1. She adds that had she known of the requirement, she would have made sure to file the A-1s on time.

It should be noted that Board staff did their best to inform all political committees of changes to the law that went into effect on January 1, including sending notice of these to all committees and posting information on the Board's website. In any case, ignorance of the filing requirements is not a valid excuse. I recommend the appeal be denied. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I further recommend the penalty be reduced to 10% of the original assessment, or \$125. If these recommendations are accepted by the Board, the \$125 civil penalty will be due and owing. (As of 6/30/11, this Committee reported a funds available balance of \$52,901.98 and investments of \$9,959.15.)



Tom Newman – Hearing Officer
July 29, 2011

State of Illinois)
County of Cook)

STATE BOARD OF ELECTIONS

11 JUL 19 AM 10:09

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
ARAB AMERICAN DEMOCRATIC CLUB)
Respondent(s).)

Case No. 11 AM 107

APPEAL AFFIDAVIT

I, MIRIAM ZAYEA, the TREASURER of the
(Name) (Chairman/Treasurer)
ARAB AMERICAN DEMOCRATIC CLUB
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

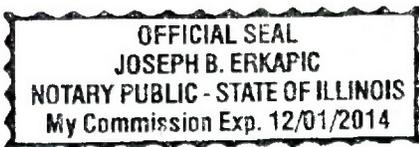
see attached letter

Signed and Sworn to by:

MIRIAM R. ZAYEA
before me this 14TH Day of
JULY, 2011

Miriam Zayea
(Signature of Chairman/Treasurer)

[Signature]
Notary Public



State Board of Elections
State of Illinois
1020 South Spring Street
PO Box 4187
Springfield, IL 62708

Dear Board Members,

**Re: Arab American Democratic Club
ID # 20046**

I am sending this letter as an attachment to the Appeal Affidavit for my organization, the Arab American Democratic Club. We have been assessed a penalty of \$125.00 because I failed to file Schedule A-! Report of Campaign contributions pf \$1000.00 of more as required by the Illinois Campaign Disclosure Act.

First, I would like to apologize to the board members for not filing this report. I was not aware of such filing. From what I understood, the 2011 reports had to be filed before April 15th, July 15th and January 17th of 2012. I did not know I needed to come in within 3 business days to report large donations. This requirement is new and I was not aware of it.

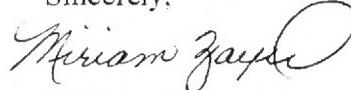
From the time our organization was required to file quarterly reports, I have been very faithful in going to your downtown Chicago office and filing these reports. I have worked with Andy and many others of your staff. I have come in way before the deadline and completed my reports. Each and every time I went downtown, I took the train. I care for my elderly mother and mother-in-law and have had to pay someone to come in and watch them while I took care of my organization's filing requirements. I believe that I have been very responsible in meeting your requirements. Had I known of the special filings for amounts over \$1000, I assure you I would have sacrificed my time and family obligations to file such reports.

You may contact the staff at the Thompson Center and they can vouch for me. They will inform you that since 2005 I have never been late or out of compliance in filing the reports.

Paying this fine will probably cause a hardship to our organization. As you know because of the dire economic situation, people are not donating much to political organizations. At the last filing, April 1 thru June 30, 2011, our organization reported no income. We have had very little activity. Once a year we hold our annual brunch and that keeps us floating thru the year.

I am sorry for the lengthy letter but I am kindly requesting that you grant this appeal and waive the penalty. I assure you that in the future I will make every effort to comply with the requirements of the Board of Elections.

Sincerely,

A handwritten signature in cursive script that reads "Miriam Zayed". The signature is written in black ink and is positioned above the printed name and title.

Miriam Zayed
Treasurer

July 14, 2011

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 30, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Arab American Democratic Club
Miriam Zayed
9110 Sheri Ln
Orland Park, IL 60462-2179

ID# 20046

Dear Arab American Democratic Club:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Malik Ali	1/25/2011	\$500	\$1000	4/14/2011	49
Ziyad Brothers Importing	1/18/2011	\$750	\$1500	4/14/2011	54

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$1250 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$125, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

10 JS 241

Citizens to Elect Diane Seiler
Respondent

ID# 21566

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing the 2010 June Semi-Annual Report

The Report was received by the Board on 4/21/11, 202 days late, resulting in a civil penalty assessment of \$5000. Additionally, the Committee was previously assessed a \$175 civil penalty (not appealed, stayed) for delinquently filing the 2010 December Semi-Annual Report. The total assessment is \$5175.

Diane Seiler, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Seiler states that she was unaware of the missed June Semi-Annual Report until she was notified of it by the Board after the Committee had filed a Final Report and closed (which it did in March of 2011.) She states that the problem resulted from the fact that during the entire 2010 year she was in the midst of a divorce. Ms. Seiler says her now ex-husband held her mail, and the only mailings she received were those that were listed in her name personally, which were forwarded by the Postal Service. The forwarded mailings did not include those in her Committee's name, so she only received notification of the missed filing when she was sent a Pre-Complaint letter from the Board in March of 2011, at which time she filed the Semi-Annual Report. She adds that the Committee had no activity during that time period.

While it is possible the Committee did not receive a notice and reminder about the Semi-Annual Report as Ms. Seiler indicated, it is ultimately the responsibility of the Committee to make sure its mailing address and other information is kept up to date with the Board. It should be noted that the Committee did file an amended Statement of Organization in July of 2010, but did not include a change of mailing address. So while I am sympathetic to the problem faced by Ms. Seiler in regards to her mail, the fact remains that to allow this defense would open the door for any other committee that did not update its address information with the Board to file a similar appeal. I recommend the appeal be denied. If this recommendation is accepted by the Board, the stay would be lifted from the earlier penalty and the total assessment of \$5175 would be due and owing. However, since the Committee has filed a Final Report, I further recommend that should the Committee remain dissolved for a period of 2 years following the date of the Final Order imposing the penalty, the fine be abated.



Tom Newman – Hearing Officer
July 6, 2011

State of Illinois)
County of Will)

STATE BOARD OF ELECTIONS

11 JUN 17 AM 10:45

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens to Elect Diane Seiler)
Respondent(s).)

Case No. 10 JS 241

APPEAL AFFIDAVIT

I, Diane H. Seiler, the Chairman of the
(Name) (Chairman/Treasurer)
Citizens to Elect Diane Seiler
(Name of the Committee)

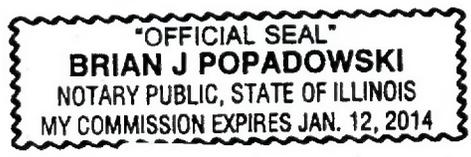
Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I respectfully submit that I was unaware of a missing filing identified as the June 2010 Semiannual Report until notified after the Committee had been closed. Immediately upon notification a paper report was submitted with the guidance of personnel from the Illinois State Board of Elections. During the entire 2010 year, I was in the midst of a divorce in which my now x-husband held mail and the forwarding order that was in place with the US Postal Service failed to pick-up the Committee Name, and only forwarded mail in my name personally. It should be noted that during the time period identified, there were no changes in the total receipts held by the Committee which at the time of Committee closure was \$399.99.

At the time of committee closure, I was not aware that any additional reports were outstanding, and closure was completed with the assistance of State Board of Election Personnel to do so. I am requesting leniency by this jurisdiction on imposing such a fine on a committee having very little assets, which has since been closed. Mitigating circumstances that were beyond my control directly contributed to this oversight, to which I can only apologize and request your assistance at this time.

Signed and Sworn to by:
Diane H. Seiler
before me this 15th Day of
June, 2011
Brian J Popadowski
Notary Public

Diane H. Seiler
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Citizens to Elect Diane Seiler
Diane Seiler
602 Rodney Ct
Lockport, IL 60441-3349

ID# 21566

Dear Committee;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: Semiannual Report of Campaign Contribution and Expenditures
Report Period: January 1, 2010 through June 30, 2010
Filing Period: July 1, 2010 through July 20, 2010

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on April 21, 2011, 202 day(s) late. As such, this committee has been assessed a fine of \$5000.

Enclosed please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed and since this is a subsequent violation the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days:

Reporting Period	Report Type	Previous Fine Amount
July 1 through December 31, 2010	Semi-Annual	\$175
TOTAL AMOUNT NOW DUE		\$5175

If you have any questions regarding the appeal process, please call Jenny Ronimous at 217/782-1543.

Sincerely,

A handwritten signature in black ink that reads "Sharon Steward".

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s) appeal documents

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 DS 321

Committee to Defeat Judge Kathleen Pantle

ID# 22971

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

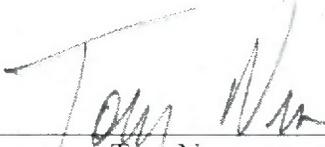
For Delinquently Filing the 2010 December Semi-Annual Report

The Committee filed a Final Report in lieu of the Semi-Annual Report. The Report was received by the Board on 2/9/11, 14 days late, resulting in a civil penalty assessment of \$350.

Leo Holt, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Holt states that a Pre-Election Report filed by the Committee in November was dated erroneously as 11/17/10, when it should actually have been dated 1/17/11 (making it a Semi-Annual Report rather than a Pre-Election Report.)

Regardless of the date Mr. Holt intended to put on the Pre-Election Report, it was actually filed in November, well ahead of the start of the filing period for the Semi-Annual Report. Additionally, on its Final Report, the Committee showed a transaction in December that should have been included on a Semi-Annual filing. As a result, it is difficult to find a rationale for the Pre-Election Report being considered to be anything other than a Pre-Election Report for the 2010 General Election. It would not qualify as a Semi-Annual Report filing. It seems likely the Committee was confused about the reporting requirements and filing deadlines (the dates covered by its reports do not match up to the actual reporting periods for these filings), but this is not an excuse for a delinquent filing. I recommend the appeal be denied for lack of an adequate defense. As a first violation, the penalty is stayed.



Tom Newman – Hearing Officer

July 6, 2011

State of Illinois)
)
County of _____)

STATE BOARD OF ELECTIONS

11 JUN 17 AM 10:26

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)

Vs.)
)
COMMITTEE TO DEFEAT)
)
JUDGE KATHLEEN PANTLE)
Respondent(s).)

Case No. 11 DS 321

APPEAL AFFIDAVIT

I, WEO E. HOYT, the CHAIRMAN/TREASURER of the
(Name) (Chairman/Treasurer)

COMMITTEE TO DEFEAT JUDGE KATHLEEN PANTLE
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

THE COMMITTEE FILED ITS PRE-ELECTION REPORT
COVERING THE PERIOD 9/21/10 TO 11/11/10 DATED
1/17/11 ERRONEOUSLY, SHOULD HAVE BEEN 1/17/10. THE COMMITTEE
FILED ITS FINAL REPORT COVERING THE PERIOD
11-1-10 TO 2-2-11 DATED 2-2-11

Signed and Sworn to by:
Antonio Caratachea Jr
before me this 14th Day of
June 2011
[Signature]
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert L. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2011

Committee to Defeat Judge Kathleen Pantle
Leo Holt
3211 S Rhodes Ave
Chicago, IL 60616

ID# 22971

Dear Committee to Defeat Judge Kathleen Pantle;

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: Semiannual Report of Campaign Contributions and Expenditures
Report Period: July 1, 2010 through December 31, 2010
Filing Period: January 3, 2011 through January 20, 2011

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on February 9, 2011, 14 days late. As such, this committee has been assessed a fine of \$350.

Enclosed please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of an additional penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections, with that civil penalty and any previously assessed penalty to be paid within 30 days. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal forms

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 D1-04

Citizens for Beverly D Rogers

ID# 23107

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently
Filing a Statement of Organization

The Statement was received by the Board on November 19, 2010, 47 days late, resulting in a civil penalty assessment of \$1,175.

Odell Harper, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Harper states that the Committee faxed the Form D-1 Statement of Organization two days after finalizing the officers for the Committee. He says it was not known that a D-1 had to be filed when requesting a name for a proposed committee. Mr. Harper adds that the Committee filed an amended Statement of Organization on December 27, 2010.

The D-1 originally filed by the Committee showed a creation date of 11/15/10. However, the Semi-Annual Report subsequently filed showed the Committee exceeded \$3,000 in receipts as of 8/27/10, making that the Committee's actual date of creation. The amended D-1 filed in December did not change the creation date, and requesting a name for a committee has nothing to do with the date requirement for filing a Statement of Organization. The D-1 must be filed within 10 business days of reaching the \$3,000 threshold, or within 2 business days if the creation date is within the 30 days prior to an election. I recommend the appeal be denied for lack of an adequate defense. As a first violation, the penalty is stayed. Additionally, since this Committee filed a Final Report on 7/15/11, I further recommend that should the Committee remain dissolved for a period of 2 years following the date of the Final Order imposing the penalty, the fine be abated.



Tom Newman – Hearing Officer

July 28, 2011

State of Illinois)
County of COOK)

STATE BOARD OF ELECTIONS

11 JUL -8 AM 10:08

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens For Beverly D. Rogers)
Respondent(s).)

Case No. 11 01-04

APPEAL AFFIDAVIT

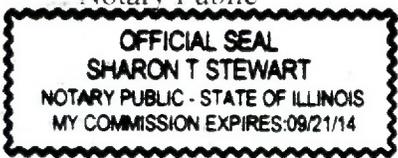
I, Odell Harper, the Treasurer of the
(Name) (Chairman/Treasurer)
Citizens For Beverly D. Rogers
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The Committee filed a D-1 on November 18, 2010 when it was
fixed to your office, two days after the committee had finalized
all officers of the committee. It was not known that a D-1
had to be filed when a request for the name of a proposed
committee is to be formed. Subsequently the committee filed
an amendment of the D-1 on December 27, 2010.

Signed and Sworn to by:
Odell Harper
before me this 29th Day of
June, 2011
Sharon T. Stewart
Notary Public

Odell Harper (Treasurer)
(Signature of Chairman/Treasurer)



F A X

**Citizens for
Beverly D. Rogers**

6216 W. North Ave.
Chicago, IL 60639
773-622-6900

To: State Board of Elections
Fax number: 312-814-6485

From: Citizens for Beverly D. Rogers
Fax number: 773-622-6775

Date: 11/18/2010

Regarding:
D-1 Statement of Organization

Phone number for follow-up:
773-622-6900

Comments:

Number of pages with the cover sheet (3)

Form D-1 (Statement of Organization)

Beverly D. Rogers



FORM

D-1

STATEMENT OF ORGANIZATION

PLEASE TYPE OR PRINT IN BLACK INK

FOR OFFICE USE ONLY

Full name and complete mailing address of Political Committee:

Citizens for Beverly D. Rogers
6216 W. North Avenue
Chicago, Il. 60639

POLITICAL COMMITTEE
IDENTIFICATION No.

E-MAIL ADDRESS: beverlydrogersfor29thward@gmail.com

CHECK HERE IF ADDRESS CHANGE

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

1.	DATE COMMITTEE CREATED: 11/15/10	2.	AMOUNT OF FUNDS AVAILABLE AS OF CREATION DATE :\$ 3,000.00
3.	<input checked="" type="checkbox"/> NEW COMMITTEE (MUST BE FILED WITHIN 10 DAYS OF CREATION OR WITHIN 2 DAYS IF CREATED WITHIN 30 DAYS BEFORE AN ELECTION.) <input type="checkbox"/> AMENDMENT (MUST BE FILED WITHIN 10 DAYS OF <u>ANY</u> CHANGES. ENTER ONLY THOSE CHANGES FROM LAST D-1 ON FILE.) <input type="checkbox"/> REACTIVATING		
4.	POLITICAL COMMITTEE'S DESIGNATION: <u>ALL COMMITTEES CHOOSE ONE:</u> <input checked="" type="checkbox"/> CANDIDATE POLITICAL COMMITTEE* <input type="checkbox"/> POLITICAL ACTION COMMITTEE <input type="checkbox"/> POLITICAL PARTY COMMITTEE <input type="checkbox"/> BALLOT INITIATIVE COMMITTEE <u>ALL NEW COMMITTEES MUST ALSO CHOOSE ONE:</u> <input type="checkbox"/> STATE POLITICAL COMMITTEE <input type="checkbox"/> STATE & LOCAL POLITICAL COMMITTEE <input checked="" type="checkbox"/> LOCAL POLITICAL COMMITTEE <p>*For purposes of contribution limits and reporting requirements a single Candidate Political Committee supporting a candidate for multiple offices elected at different elections must designate an election cycle by listing the appropriate office. This office is: _____</p>		
5.	POLITICAL COMMITTEE'S AREA OF ACTIVITY, SCOPE, AND PARTY AFFILIATION. A. THIS COMMITTEE WILL PRIMARILY OPERATE IN THE FOLLOWING COUNTY(IES) OR DISTRICT(S): (not applicable if operating statewide or supporting/opposing statewide candidates or ballot initiatives) Cook County B. POLITICAL PARTY AFFILIATION: <u>Democrat</u> C. NAME AND ADDRESS OF EACH SPONSORING ENTITY:		
6.	PURPOSE OF THE POLITICAL COMMITTEE. To support the candidacy of Beverly D. Rogers for the office of Alderman, 29th ward, city of Chicago		
7.	CANDIDATE(S) THE COMMITTEE IS SUPPORTING OR OPPOSING. (IF AMENDING, LIST ALL AS OF TODAY'S DATE.)		

NAME AND ADDRESS	SUPPORT	OPPOSE	OFFICE	PARTY AFFILIATION
Beverly D. Rogers 1919 N. Lotus Ave. Chicago, Il. 60639	<input checked="" type="checkbox"/>	<input type="checkbox"/>	alderman, 29th ward, city of Chicago	Non Partisan Office

IF MORE SPACE FOR INFORMATION IS REQUIRED

PLEASE ATTACH ADDITIONAL SHEETS.

COMMITTEE NAME: Citizens for Beverly D. Rogers	POLITICAL COMMITTEE IDENTIFICATION No.:
--	---

8. REQUIRED COMMITTEE OFFICERS.

POSITION	NAME	MAILING ADDRESS, DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS
CHAIRMAN	Wylie Rogers	1919 N. Lotus Ave. Chicago, Il. 60639 phone: 773-385-3019
TREASURER	Odell Harper	5300 W. Congress Pkwy. Chicago, Il. 60644 phone: 773-378-5171

9. POSITION, NAME & MAILING ADDRESS OF EACH CUSTODIAN OF THE COMMITTEE'S BOOKS AND ACCOUNTS.

POSITION	NAME	MAILING ADDRESS, DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS
treasurer	Odell Harper	6216 W. North Avenue, Chicago, Il. 60639 phone: 773-378-5171 email: beverlydrogersfor29thward@gmail.com

**10. LIST OF ALL FINANCIAL INSTITUTIONS AND OTHER REPOSITORIES OF THE COMMITTEE FUNDS.
(IF AMENDING, LIST ALL AS OF TODAY'S DATE.)**

NAME	MAILING ADDRESS AND PHONE NUMBER
Charter One Bank	6700 W. North Avenue, Chicago, Il. 60707-0397 phone: 773-622-5000

11. DISPOSITION OF RESIDUAL FUNDS IN THE EVENT OF DISSOLUTION OR TERMINATION OF THE COMMITTEE:

- RETURN TO CONTRIBUTORS IN AMOUNTS NOT TO EXCEED THEIR INDIVIDUAL CONTRIBUTIONS.
- TRANSFER TO ANOTHER POLITICAL COMMITTEE: _____
- TRANSFER TO A CHARITABLE ORGANIZATION: Citizens United for Change

IF MORE SPACE FOR INFORMATION IS REQUIRED, PLEASE ATTACH ADDITIONAL SHEETS.

VERIFICATION- BALLOT INITIATIVE COMMITTEES ONLY

I DECLARE THAT THIS **BALLOT INITIATIVE COMMITTEE** IS FORMED FOR THE PURPOSE OF SUPPORTING OR OPPOSING A QUESTION OF PUBLIC POLICY. ALL CONTRIBUTIONS AND EXPENDITURES OF THE COMMITTEE WILL BE USED FOR THE PURPOSE DESCRIBED IN THIS STATEMENT OF ORGANIZATION. THE COMMITTEE MAY ACCEPT UNLIMITED CONTRIBUTIONS FROM ANY SOURCE, PROVIDED THAT THIS BALLOT INITIATIVE COMMITTEE DOES NOT MAKE CONTRIBUTIONS OR EXPENDITURES IN SUPPORT OF OR OPPOSITION TO A CANDIDATE OR CANDIDATES FOR NOMINATION FOR ELECTION, ELECTION, OR RETENTION, AND FAILURE TO ABIDE BY THESE REQUIREMENTS SHALL DEEM THIS COMMITTEE IN VIOLATION OF THIS ARTICLE. (10 ILCS 5/9)

PRINTED AND WRITTEN SIGNATURE OF COMMITTEE CHAIRPERSON _____ **DATE** _____

VERIFICATION: ALL POLITICAL COMMITTEES INCLUDING BALLOT INITIATIVE COMMITTEES

I DECLARE THAT THIS STATEMENT OF ORGANIZATION (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND, TO THE BEST OF MY KNOWLEDGE AND BELIEF, IS A TRUE, CORRECT, AND COMPLETE STATEMENT OF ORGANIZATION AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE STATEMENT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO \$5000.

Beverly D. Rogers _____ *Beverly D Rogers Nov 17, 2010* _____
PRINTED AND WRITTEN SIGNATURE OF TREASURER OR CANDIDATE _____ **DATE** _____

THE ILLINOIS STATE BOARD OF ELECTIONS REQUIRES THE DISCLOSURE OF INFORMATION THAT IS NECESSARY IF YOU QUALIFY AS A POLITICAL COMMITTEE AS OUTLINED UNDER PUBLIC ACT 78-1183. WILLFUL FAILURE TO FILE OR WILLFUL FILING OF FALSE OR INCOMPLETE INFORMATION REQUIRED BY THIS ARTICLE SHALL CONSTITUTE A BUSINESS OFFENSE SUBJECT TO A FINE OF UP TO \$5000. THIS FORM IS IN COMPLIANCE WITH THE FORMS MANAGEMENT PROGRAM ACT.

ALL POLITICAL COMMITTEES RETURN TO:

STATE BOARD OF ELECTIONS PO BOX 4187 1020 S SPRING ST SPRINGFIELD, IL 62708-4187 fax: 217-557-5630 e-mail: D1@ELECTIONS.IL.GOV (D-1s ONLY)	STATE BOARD OF ELECTIONS JAMES R. THOMPSON CENTER 100 W RANDOLPH ST, STE 14-100 CHICAGO, IL. 60601-3232 fax: 312-814-6485 e-mail: D1@ELECTIONS.IL.GOV (D-1s ONLY)	LOCAL POLITICAL COMMITTEES AND STATE & LOCAL POLITICAL COMMITTEES RETURN ORIGINAL TO: STATE BOARD OF ELECTIONS AND A COPY TO EACH APPROPRIATE COUNTY CLERK.
--	---	--

Amended



FORM

D-1

STATEMENT OF ORGANIZATION

PLEASE TYPE OR PRINT IN BLACK INK

FOR OFFICE USE ONLY

Full name and complete mailing address of Political Committee:

Citizens For Beverly S. Rogans
6216 W. North Ave.
Chicago, IL 60639

E-MAIL ADDRESS:

CHECK HERE IF ADDRESS CHANGE

POLITICAL COMMITTEE IDENTIFICATION No.

23107

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

1. DATE COMMITTEE CREATED: 2. AMOUNT OF FUNDS AVAILABLE AS OF CREATION DATE :\$

3. NEW COMMITTEE (MUST BE FILED WITHIN 10 DAYS OF CREATION OR WITHIN 2 DAYS IF CREATED WITHIN 30 DAYS BEFORE AN ELECTION.)
AMENDMENT (MUST BE FILED WITHIN 10 DAYS OF ANY CHANGES. ENTER ONLY THOSE CHANGES FROM LAST D-1 ON FILE.)
REACTIVATING

4. POLITICAL COMMITTEE'S DESIGNATION:
ALL COMMITTEES CHOOSE ONE:
CANDIDATE POLITICAL COMMITTEE*
POLITICAL ACTION COMMITTEE
POLITICAL PARTY COMMITTEE
BALLOT INITIATIVE COMMITTEE
ALL NEW COMMITTEES MUST ALSO CHOOSE ONE:
STATE POLITICAL COMMITTEE
STATE & LOCAL POLITICAL COMMITTEE
LOCAL POLITICAL COMMITTEE
*For purposes of contribution limits and reporting requirements a single Candidate Political Committee supporting a candidate for multiple offices elected at different elections must designate an election cycle by listing the appropriate office. This office is:
29th Ward Alderman, City of Chicago

5. POLITICAL COMMITTEE'S AREA OF ACTIVITY, SCOPE, AND PARTY AFFILIATION.
A. THIS COMMITTEE WILL PRIMARILY OPERATE IN THE FOLLOWING COUNTY(IES) OR DISTRICT(S).
B. POLITICAL PARTY AFFILIATION:
C. NAME AND ADDRESS OF EACH SPONSORING ENTITY:

6. PURPOSE OF THE POLITICAL COMMITTEE.

7. CANDIDATE(S) THE COMMITTEE IS SUPPORTING OR OPPOSING. (IF AMENDING, LIST ALL AS OF TODAY'S DATE.)

Table with 5 columns: NAME AND ADDRESS, SUPPORT, OPPOSE, OFFICE, PARTY AFFILIATION

IF MORE SPACE FOR INFORMATION IS REQUIRED, PLEASE ATTACH ADDITIONAL SHEETS.

COMMITTEE NAME: *Citizens For Beverly A. Rogans* POLITICAL COMMITTEE IDENTIFICATION No.: *23107*

8. REQUIRED COMMITTEE OFFICERS.

POSITION	NAME	MAILING ADDRESS, DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS
CHAIRMAN		
TREASURER		

9. POSITION, NAME & MAILING ADDRESS OF EACH CUSTODIAN OF THE COMMITTEE'S BOOKS AND ACCOUNTS.

POSITION	NAME	MAILING ADDRESS, DAYTIME PHONE NUMBER, AND E-MAIL ADDRESS

10. LIST OF ALL FINANCIAL INSTITUTIONS AND OTHER REPOSITORIES OF THE COMMITTEE FUNDS. (IF AMENDING, LIST ALL AS OF TODAY'S DATE.)

NAME	MAILING ADDRESS AND PHONE NUMBER

11. DISPOSITION OF RESIDUAL FUNDS IN THE EVENT OF DISSOLUTION OR TERMINATION OF THE COMMITTEE:

- RETURN TO CONTRIBUTORS IN AMOUNTS NOT TO EXCEED THEIR INDIVIDUAL CONTRIBUTIONS.
- TRANSFER TO ANOTHER POLITICAL COMMITTEE: _____
- TRANSFER TO A CHARITABLE ORGANIZATION: _____

IF MORE SPACE FOR INFORMATION IS REQUIRED, PLEASE ATTACH ADDITIONAL SHEETS.

VERIFICATION- BALLOT INITIATIVE COMMITTEES ONLY

I DECLARE THAT THIS **BALLOT INITIATIVE COMMITTEE** IS FORMED FOR THE PURPOSE OF SUPPORTING OR OPPOSING A QUESTION OF PUBLIC POLICY, ALL CONTRIBUTIONS AND EXPENDITURES OF THE COMMITTEE WILL BE USED FOR THE PURPOSE DESCRIBED IN THIS STATEMENT OF ORGANIZATION. THE COMMITTEE MAY ACCEPT UNLIMITED CONTRIBUTIONS FROM ANY SOURCE, PROVIDED THAT THIS BALLOT INITIATIVE COMMITTEE DOES NOT MAKE CONTRIBUTIONS OR EXPENDITURES IN SUPPORT OF OR OPPOSITION TO A CANDIDATE OR CANDIDATES FOR NOMINATION FOR ELECTION, ELECTION, OR RETENTION, AND FAILURE TO ABIDE BY THESE REQUIREMENTS SHALL DEEM THIS COMMITTEE IN VIOLATION OF THIS ARTICLE. (10 ILCS 5/9)

PRINTED AND WRITTEN SIGNATURE OF COMMITTEE CHAIRPERSON _____ **DATE** _____

VERIFICATION: ALL POLITICAL COMMITTEES INCLUDING BALLOT INITIATIVE COMMITTEES

I DECLARE THAT THIS STATEMENT OF ORGANIZATION (INCLUDING ANY ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND, TO THE BEST OF MY KNOWLEDGE AND BELIEF, IS A TRUE, CORRECT, AND COMPLETE STATEMENT OF ORGANIZATION AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE STATEMENT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO \$5000.

Beverly D. Rogans _____ *Beverly D. Rogans* _____ *Dec 27, 2010* _____
PRINTED AND WRITTEN SIGNATURE OF TREASURER OR CANDIDATE _____ **DATE** _____

THE ILLINOIS STATE BOARD OF ELECTIONS REQUIRES THE DISCLOSURE OF INFORMATION THAT IS NECESSARY IF YOU QUALIFY AS A POLITICAL COMMITTEE AS OUTLINED UNDER PUBLIC ACT 78-1183. WILLFUL FAILURE TO FILE OR WILLFUL FILING OF FALSE OR INCOMPLETE INFORMATION REQUIRED BY THIS ARTICLE SHALL CONSTITUTE A BUSINESS OFFENSE SUBJECT TO A FINE OF UP TO \$5000. THIS FORM IS IN COMPLIANCE WITH THE FORMS MANAGEMENT PROGRAM ACT.

ALL POLITICAL COMMITTEES RETURN TO:

STATE BOARD OF ELECTIONS PO BOX 4187 1020 S SPRING ST SPRINGFIELD, IL 62708-4187 fax: 217-557-5630	STATE BOARD OF ELECTIONS JAMES R. THOMPSON CENTER 100 W RANDOLPH ST, STE 14-100 CHICAGO, IL. 60601-3232 fax: 312-814-8485	LOCAL POLITICAL COMMITTEES AND STATE & LOCAL POLITICAL COMMITTEES RETURN ORIGINAL TO: STATE BOARD OF ELECTIONS AND A COPY TO EACH APPROPRIATE COUNTY CLERK.
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e-mail: D1@ELECTIONS.IL.GOV(D-1s ONLY) e-mail: D1@ELECTIONS.IL.GOV(D-1s ONLY)

1/6/2011

Citizens for Beverly D Rogers
6216 W North Ave
Chicago, IL 60639-3831

State of Illinois
State Board of Elections
Campaign Disclosure Division

1020 South Spring St.
PO Box 4187
Springfield, Illinois 62708

100 West Randolph St.
Suite 14-100
Chicago, Illinois 60601

Candidate 23107 04
Citizens for Beverly D Rogers

PLEASE RETAIN THIS RECEIPT FOR YOUR RECORDS

The Illinois State Board of Elections has received the following document(s) from your committee.
If this information is incorrect, notify our office at (217)782-4141 or (312)814-6440.

1/4/2011 8:35:28AM

Received in Springfield D-1 Statement of Organization

Amendment

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2011

Citizens for Beverly D Rogers
Odell Harper
5300 W Congress Pkwy
Chicago, IL 60644

ID# 23107

Dear Citizens for Beverly D Rogers:

This letter is to inform you that this committee failed to file its' D-1 Statement of Organization during the requisite 10-day filing period.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-3 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on November 19, 2010, 47 day(s) late. As such, this committee has been assessed a fine of \$1175.

Enclosed please find Section 10 ILCS 5/9-3 of the Election Code and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Please be advised that subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of an additional penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections. Therefore, you need not pay this assessed civil penalty unless another violation occurs. If you have questions regarding the appeal process, please call Jennifer Ronimus at 217-782-1543.

Sincerely,

A handwritten signature in black ink that reads "Sharon Steward". The signature is written in a cursive style.

Sharon Steward

Director, Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

11 AM 146

Committee to Elect Jeff Radford Sr.

ID# 23393

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to File a Schedule A-1 Report

The Committee received a \$3,500 and a \$2,000 contribution on 2/22/11, and reported both on a Schedule A-1 received by the Board on 4/14/11, 31 days late, resulting in a civil penalty assessment of \$2,750.

Jeff Radford, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Radford states that after having just started his Committee, he was not up to date on how reporting worked and so he misunderstood the filing deadline for the contributions in question.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent or unintentional, and since this is the first set of A-1 violations for this Committee, I recommend the penalty be reduced to 10% of the original assessed amount, or \$275. If these recommendations are accepted by the Board, the \$275 civil penalty will be due and owing. (As of 6/30/11, this Committee reported a funds available balance of \$52,901.98 and investments of \$2,226.41.)



Tom Newman – Hearing Officer
August 2, 2011

State of Illinois)
County of St Clair)

STATE BOARD OF ELECTIONS

11 AUG -1 AM 11:08

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Committee to Elect Jeff Radford Sr)
Respondent(s).)

Case No. 11 AM 146

APPEAL AFFIDAVIT

I, Jeff Radford Sr, the Chairman of the
(Name) (Chairman/Treasurer)
Committee to Elect Jeff Radford Sr
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

see attached

Signed and Sworn to by:
Christina M Rachell
before me this 29th Day of
July, 2011
Christina M Rachell
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



I had just started my committee and was not up to date on hoe everything worked. Called and talked to some one but I misunderstood them on what date to file. I am now trying to more alert on all up dates and what they en-tail.

Thank You
Jeff Radford
Committee to Elect Jeff Radford

618-207-1181

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 30, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Committee to Elect Jeff Radford Sr
Jeff Radford Sr, Andrea Radford
1318 Saint Zita Ln
Cahokia, IL 62206-2225

ID# 23393

Dear Committee to Elect Jeff Radford Sr:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Thomas Baker	2/22/2011	\$1750	\$3500	4/14/2011	31
United Democratic Club of Cahokia	2/22/2011	\$1000	\$2000	4/14/2011	31

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$2750 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$275, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

11 AM 149

United for 89

ID# 23420

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports

The Committee received a \$2,000 contribution on 3/22/11, and reported it on a Schedule A-1 received by the Board on 4/19/11, 18 days late. The Committee also received a \$5,753 in-kind contribution on 3/8/11 and two \$5,075 in-kind contributions on 3/28/11, and failed to report any of those three contributions on a Schedule A-1. The total assessment is \$8,960.

Marie E. Urso, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Urso states that as a new Committee, her group was unaware of the A-1 reporting requirement for large contributions. She says she was shocked when she learned of the delinquent A-1 filings, because she did not realize the contributions had to be reported anywhere other than the Quarterly Report.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I further recommend the penalty be reduced to 10% of the original assessment, or \$896. If these recommendations are accepted by the Board, the civil penalty of \$896 will be due and owing. (As of 6/30/11, this Committee reported a funds available balance of \$2,303.17.)



Tom Newman – Hearing Officer

August 1, 2011

State of Illinois)
County of Cook)

STATE BOARD OF ELECTI
11 JUL 20 AM 11:30

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
United for 89)
Respondent(s).)

Case No. 11 Am 149

APPEAL AFFIDAVIT

I, Marie E. Urso, the Chairman and Treasurer of the
(Name) (Chairman/Treasurer)
United for 89
(Name of the Committee)

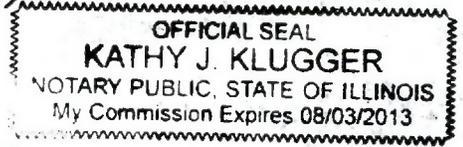
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

This committee was delinquent in filing
Schedule A-1's Report of Campaign contributions
of \$1000.⁰⁰/₁₀₀ in a timely manner. This was
due to the fact that we did not know
there was a different time line for this

(continued on next page)

Signed and Sworn to by:
Marie E. Urso
before me this 18 Day of
July 2011
Kathy J. Klugger
Notary Public

Marie E. Urso
(Signature of Chairman/Treasurer)



tupe of contributions.

We are a new committee and I am a first time chairman and treasurer. In addition, I am a complete novice in filing campaign disclosure reports. I have been in contact with the staff at the State Board of Elections seeking assistance in filing quarterly reports. After several calls to the staff, I was finally able to file the last quarterly report on time.

When I received your assessment letter informing me that I was delinquent in filing the Schedule A-1 report on time, I was shocked. Again, I was completely unaware of the deadline for reporting of \$1000⁰⁰ or more in contributions. Therefore, I was late in filing the report. I truly believed this had to be done in the quarterly report.

Please believe me when I say that I was remiss in filing Schedule A-1 because I did not have the necessary information regarding the amendment of Public Act 96-832. This was done inadvertently and I extend my full apologies to you. Thank you in advance for help in this matter!

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 30, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

United for 89 ID# 23420
Marie E Urso
908 Norwood St
Melrose Park, IL 60160

Dear United for 89:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
A Lamp Concrete Contractors	3/22/2011	\$1000	\$2000	4/10/2011	18
New Student First Party	3/8/2011	\$2877	\$5753	4/19/2011	28
Citizens for Ronald Serpico	3/28/2011	\$2538	\$5076	4/19/2011	14
Citizens for Karen Yarbrough	3/28/2011	\$2538	\$5076	4/19/2011	14

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$8960 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$896, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 AM 155

Hannah 4 LFHS

ID# 23484

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to File a Schedule A-1 Report

The Committee received a \$1,000 contribution on 3/21/11 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$500.

Philip Emmanuele, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Emmanuele states that the missed A-1 filing was an oversight by the Committee, as a result of inexperience and a lack of understanding of disclosure laws. He adds that the Committee had not expected to receive a \$1,000 contribution.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent or unintentional, and since this is the first A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessed amount, or \$50. As a first violation, the penalty is stayed. Additionally, since this Committee filed a Final Report (on 7/14/11), I further recommend that should the Committee remain dissolved for a period of two years following the date of the Final Order imposing the fine, the fine be abated.



Tom Newman – Hearing Officer
August 2, 2011

State of Illinois)
County of Lake)

STATE BOARD OF ELECTIONS

11 AUG -1 AM 10:20

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
Hannah 4 LFHS)
Respondent(s).)

Case No. 11 AM 155

APPEAL AFFIDAVIT

I, Phillip Emmanuele the Chairman of the
(Name) (Chairman/Treasurer)
Hannah 4 LFHS
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

My wife was a first time candidate in the April 2011 election for school board. We tried our best to understand all the laws and requirements, but missed the A-1 filing (we were surprised at receiving a \$1,000 donation). I apologize for this oversight, and hope it does not harm Hannah should she decide to run again. We appreciate the opportunity to appeal.

Signed and Sworn to by:

before me this 26 Day of
July, 2011

[Signature]
Notary Public

[Signature]
(Signature of Chairman/Treasurer)



1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

STATE OF ILLINOIS



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 30, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Hannah 4 LFHS ID# 23484
Philip Emmanuele
1085 Forest Hill Rd
Lake Forest, IL 60045-4007

Dear Hannah 4 LFHS:

This committee has failed to file the following Schedule A-1's. Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Daniel Weiss	3/21/2011	\$500	\$1000	*	18

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500 for delinquent filing schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

A handwritten signature in black ink that reads "Sharon Steward".

Sharon Steward
Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 MA 041

New Children's First Party

ID# 23568

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing a Statement of Organization and Schedule A-1 Reports

The Committee's Statement of Organization was received by the Board on March 22, 2011, 6 days late, resulting in a civil penalty assessment of \$300. In addition, the Committee received an \$11,999 in-kind contribution on 3/10/11, and two \$8,967 in-kind contributions on 4/13/11, and failed to report any of those three on a Schedule A-1. The total assessment is \$14,967.

Eduardo Anguiano, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Anguiano states that the Committee was newly formed for the April 2011 election and was unfamiliar with the new reporting requirements. He says the late filing of the D-1 Statement of Organization was inadvertent, and he put the form in the mail as soon as he discovered the filing was late. Mr. Anguiano says he also misunderstood the A-1 filing requirement, thinking that larger contributions only needed to be included on the Committee's regular reports. He again states that human error was the reason for the failure to file.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the A-1 violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I further recommend the penalty for the A-1 violations be reduced to 10% of the original assessment, or \$1,497. If these recommendations are accepted by the Board, the total penalty of \$1,797 (which includes the \$300 penalty for the late D-1) will be due and owing. However, since the Committee filed a Final Report (on 4/18/11), I also recommend that should the Committee remain dissolved for a period of two years from the date of the Final Order imposing the fine, the fine be abated.



Tom Newman – Hearing Officer

August 1, 2011

State of Illinois)
County of Cook)

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLIOIS**

IN THE MATTER OF:)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
VS.)
NEW CHILDREN'S FIRST PARTY,)
Respondent.)

CASE NO. 11 MA041

APPEAL AFFIDAVIT

I, Eduardo Anguiano, the Chairman/Treasurer of the New Children's First Party Committee, first being duly sworn, deposes and states that the committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

At the time of its creation, the New Children's First Party was a newly formed committee with new candidates for the April 5, 2011 election. At the time the committee was formed and thereafter, the committee members were immersed in last minute activities preparing for the upcoming election, which was within a few short weeks. During which time, I was also becoming familiar with the new reporting requirements.

In the midst of final preparations for the election, I inadvertently missed the two day deadline in which to file the D-1 form, which on the calendar fell on a Saturday. However, in a good faith effort and attempt to comply with reporting requirements, the D-1 form was immediately placed in the mail on Thursday, March 17, 2011, but was not received until the

following Tuesday. (See Exhibit A- Receipt). The failure to insure that the statement of organization was received by the Board on or before the due date was entirely inadvertent and unintentional. Furthermore, immediate action was taken by me to address the violation.

Also, the New Children's First Party has no previous violations of the reporting requirements. This is the first violation for failure to file the statement of organization. Now that I am more familiar with the reporting requirements and filing deadlines, I intend to make all diligent efforts to comply with such in the future.

The failure to file the required Schedule A-1 form was also inadvertent. Prior to filing the statement of organization, I contacted the State Board of Elections Chicago office with questions regarding the time in which I was required to file the contribution report. I was told that the contributions should be filed with the next quarterly report or final report. I was mistakenly under the assumption that all contributions needed to be reported in that manner. Consequently, I reported all campaign contributions with the committee's final report.

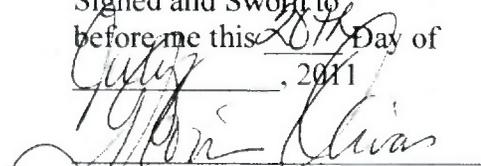
Although one of the campaign contribution disclosures was 6 days late, the other two disclosures were only one day late. Nonetheless, all contributions were disclosed in the D-2 Final Report for reporting period March 17, 2011 through April 15, 2011 under Schedule I listing in-kind contributions. Additionally, the New Children's First Party has no prior violations for failing to disclose campaign contributions. The failure to disclose the aforementioned contributions within the two day deadline was not intentional.

Given that this Board may consider past violations and the circumstances surrounding such violations for failure to file the statement of organization, the New Children's First Party respectfully requests that the fines for the failure to file its D-1 Statement of Organization be waived. Likewise, given that this Board may consider the number of days contributions were

reported late, past violations, and whether an attempt was made to disclose contributions, the New Children's First party also respectfully requests that the imposed fines for its failure to timely file Schedule A-1 Reports of Campaign contributions exceeding \$1,000 be waived.

Pursuant to Section 125.425 Civil Penalty Assessments, the Board has the authority to waive the imposed fine in cases where violations of the reporting requirements are negligent or inadvertent. Such is the case in this matter. The failure to comply with the filing and disclosure requirements in this matter were the result of pure human error lacking willful and intentional disregard for such requirements and deadlines, and proper steps were taken to remedy those violations.

Signed and Sworn to
before me this 20th Day of
July, 2011



Notary Public





Eduardo Anguiano

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Bryan Schneider, Chairman
Wanda Rednour, Vice Chairman
Patrick Brady
John Keith
William McGuffage
Albert Porter
Jesse Smart
Robert Walters

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 30, 2011

New Children's 1st Party
Eduardo Anguiano
312 N. 17th Ave
Melrose Park, IL 60160

ID# 23568

Dear New Children's 1st Party:

This letter is to inform you that this committee failed to file its D-1 Statement of Organization during the requisite filing period.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-3 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on March 22, 2011, 6 day(s) late. As such, this committee has been assessed a fine of \$300.

In addition, this committee failed to file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Emanuel Welch	3/10/2011	\$5999	\$11999	*	6
Citizens for Ron Serpico	4/13/2011	\$4484	\$8967	*	1
Citizens for Karen Yarbrough	4/13/2011	\$4484	\$8967	*	1

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$14967 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1497, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 AM 028

Friends of James G Burke

Cmte ID: 14785

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing A-1 Reports

The Committee delinquently filed A-1s with respect to the following contributions: \$1,000.00, received on January 4, 2011, (51 days late); \$1,000.00, received on March 4, 2011, (11 days late); \$1,000.00, received on March 7, 2011 (11 days late); and \$1,500.00, received on March 7, 2011 (11 days late). The Committee was previously fined \$1,000.00 (reduced on appeal, expiration) for delinquently filing an A-1 with respect to the 2003 Consolidated Election.

Lucella Burke, the Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Ms. Burke stated the January 4th donation from Millwright Union 2158 was erroneously reported by the Committee as being in the amount of \$1,000.00. She said the donation was, in fact, for \$100.00, which was noted by the Committee in an amended Quarterly Report filed May 11, 2011, and a subsequent letter. There is no defense provided with respect to the other receipts in question.

I recommend the appeal be granted regarding the January 4th donation and denied for lack of an adequate defense with respect to the other three donations. Those three receipts total \$3,500.00. Since this is treated as the first delinquent Schedule A-1 filing by the Committee, if the Board accepts this recommendation, the civil penalty would automatically be reduced by rule to \$175.00 (5% of the total amount of contributions listed above). As of March 31, 2011, the Committee's cash balance was reported as \$ \$1,098.19.



John Levin – Hearing Officer

June 21, 2011

State of Illinois)
County of Lee)

STATE BOARD OF ELECTIONS

11 JUN -8 PM 2:22

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Respondent(s).)

Case No. 11AM028

14785

APPEAL AFFIDAVIT

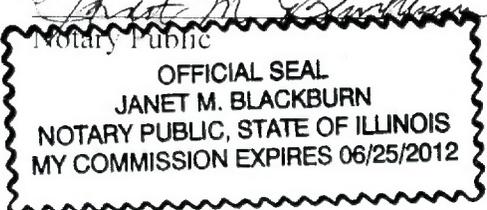
I, Lucella Buske, the treasurer of the
(Name) (Chairman/Treasurer)
Friends of Jon Buske
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I made an error on the first written report that the McIlwright Union (David Foyle) 2158 had contributed \$1000. It was actually only \$100. I sent a corrected copy immediately to Springfield and received a reply that it was corrected. When I filed electronically I put it in as 100. Then wrote another letter as requested earlier explaining it again.

Signed and Sworn to by: Lucella Buske
before me this 6th Day of June, 2011

Lucella Buske
(Signature of Chairman/Treasurer)



May 11-11
STATE BOARD OF ELECTIONS
11 MAY 13 AM 11:45

14785-6

Dear Tom,

I corrected the date on the IBEW to 3-4-11. The Millwright Union check was listed as

being a \$1,000. on the first report that I submitted. I quickly sent a corrected one to your

office and recieved a reply that it was corrected. I'll send a copy of that. It was then left off

that report as it was not needed on that form as it was only \$100. not \$1,000. I'm sorry

to have cofused everyone by this error.

Lu Burke
Treasurer
Friends of James G. Burke

STATE BOARD OF ELECTIONS
11 JUN 13 AM 10:59

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 3, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Friends of James G Burke
James G Burke
84 S Galena Ave
Dixon, IL 61021

ID# 14785

Dear Friends of James G Burke:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Millwright Union 2158	1/4/11	\$1000	\$1000	3/29/11	51
IBEW Local 364	3/4/11	\$1000	\$1000	3/29/11	11
North Central IL Laborers	3/7/11	\$1000	\$1000	3/29/11	11
Northwester IL Bldg & Construction	3/7/11	\$1500	\$1500	3/29/11	11

The committee is fined a **total** of \$4500 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total *does not* reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$225, (5% of the total amount of contribution(s) reflected above) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

11 AM 133

Orland Park United Party

ID# 23147

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports

The Committee received a \$1,000 contribution on 1/7/11 and reported it on a Schedule A-1 received by the Board on 1/18/11, 1 day late; and a \$2,202 in-kind contribution on 3/1/11 and 3/14/11, and reported both on a Schedule A-1 received by the Board on 3/25/11, 12 and 7 days late respectively. The total assessment is \$2,710.

Sharon Schussler, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Schussler states that the contribution received on 1/7/11 was part of the initial funds received by the Committee to open a bank account, and she did not realize an A-1 was required. In regards to the in-kind contributions, Ms. Schussler says the Committee accidentally used the incorrect date of receipt on the Schedule A-1. She says notification of the contribution, which was for office space, was received by the Committee on 3/14/11 and the notification form was faxed to the Board on that date. Additionally, the contribution appears to have been accidentally reported twice on the Schedule A-1, with the wrong date of 3/1/11 used for the incorrect duplicate entry.

In regards to the \$1,000 contribution on 1/7/11, while this may have represented funds received by the Committee to open a bank account, the Committee had previously filed a Statement of Organization showing a creation date for the Committee of 10/20/10. Therefore an A-1 was clearly required, even if this was the first actual contribution received. As for the in-kind contribution, the Board has no record of having received the in-kind contribution notification form faxed by the Committee, and the form itself clearly states "Do not send this form to the State Board of Elections of the County Clerk." However, since the Committee did provide a fax confirmation showing the form faxed to the Board on 3/14/11, I am inclined to give the Committee credit for at least a good faith effort to timely report the contribution. Additionally, it seems clear the in-kind contribution was in fact accidentally reported twice on the Schedule A-1, when it should only have been listed once, with the 3/14/11 date of receipt. Therefore, I recommend the appeal be granted in regards to the in-kind contributions but denied in regards to the 1/7/11 contribution. Additionally, since there is no indication the violation was

anything other than inadvertent and unintentional, I recommend the penalty be reduced to 10% of the original assessment for this particular violation, or \$50. As a first violation, the penalty is stayed. Finally, since the Committee filed a Final Report 6/7/11, I also recommend that should the Committee remain dissolved for a period of two years following the date of the Final Order imposing the fine, the fine be abated.

A handwritten signature in cursive script, appearing to read "Tom Newman", written in dark ink. The signature is positioned above a horizontal line.

Tom Newman – Hearing Officer
July 29, 2011

State of Illinois)
)
County of Cook)

STATE BOARD OF ELECTIONS

11 JUL 19 AM 10:47

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
Orland Park United Party)
Respondent(s).)

Case No. 11 Am 133

APPEAL AFFIDAVIT

I, Sharon Schussler, the Treasurer of the
(Name) (Chairman/Treasurer)
Orland Park United Party
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attached

Signed and Sworn to by:
Sharon Schussler
before me this 14th Day of
July, 2011
Linda J Mastey
Notary Public

Sharon Schussler
(Signature of ~~Chairman~~/Treasurer)



The A-1 for funds received from Citizens for Schussler was one day late because I was not familiar with the new rules regarding A-1 forms. I did not realize that an A-1 was required for initial funds received to open a bank account for the new Orland Park United Party.

With regards to the In Kind Contribution from 14470 L.G. LLC, I did not know that an In Kind Contribution required an A-1. The June 30, 2011 notice to the Orland Park United Party contains incorrect information regarding date and amount of contribution from this entity. On March 1, 2011 14470 L.G. LLC gave the Orland Park United Party permission to occupy a vacant store from March 1, 2011 until April 5, 2011. The contributor did not provide the value of the In Kind Contribution until March 14, 2011. On the date the information was received an "In Kind Contribution Notification " was faxed to your Chicago office showing the occupancy period and the value of the contribution. A copy of the Notice and fax confirmation is attached. This In Kind Contribution was shown on Schedule I of the Quarterly D-1 that was filed on April 12, 2011.

I request that the fine be waived for the following reasons:

1. I made a good faith attempt to comply with all filing requirements.
2. All requested information was disclosed.
3. The amounts of the contributions were not large.
4. The notification of the initial contribution from Citizens for Schussler was only one day late.
5. The notification regarding the In Kind Contribution was given to the Board on the same day the information was received from the contributor.
6. The Orland Park United Party has been disbanded and there are no funds available to pay a fine.

Fax Confirmation Report

Edward Schussler
7083498637
Mar-14-2011 9:41AM

Job	Date	Time	Type	Identification	Duration	Pages	Result
40	3/14/2011	9:40:56AM	Send	13128146485	0:38	1	OK

COPY

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of donated goods or services of more than \$50 within five (5) business days.

Do not send this form to the State Board of Elections or the County Clerk.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, and its value exceeded \$500, occupation & employer must be provided.

To: DELAWARE PARK UNITED From: 14470 L.G. LLC.
19455 S Ridgely 100 E 14th St
O.P., IL 60607 UNIT 2305
CHICAGO, IL 60605
 Occupation & Employer (If applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (If applicable)	Date	Value
<u>14470 L.G. LLC</u> <u>100 E 14th St</u> <u>UNIT 2305</u> <u>CHICAGO, IL</u>	<u>3-1-11</u>	<u>2,202.00</u>
Description <u>RENT FOR OFFICE SPACE 3-1-11 TO 4-5-11</u>		

The political committee must report these donations on a Form D-2, Report of Campaign Contributions and Expenditures, Part 5.

Signature of Contributor

Revised 6/12/04

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
June 30, 2011

BOARD MEMBERS
Bryan A. Schneider, Chairman
Wanda L. Rednour, Vice Chairman
Patrick A. Brady
John R. Keith
William M. McGuffage
Albert S. Porter
Jesse R. Smart
Robert J. Walters

Orland Park United Party
Edward G Schussler III, Sharon Schussler
14455 Ridge Ave
Orland Park, IL 60462-1961

ID# 23147

Dear Orland Park United Party:

This committee has failed to file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Fine Assessed</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>
Citizens for Schussler	1/7/2011	\$500	\$1000	1/18/2011	1
14470 LG	3/1/2011	\$1101	\$2202	3/25/2011	12
14470 LG	3/14/2011	\$1101	\$2202	3/25/2011	7

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$2710 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$271, (10% of the above referenced fine amount) if you do not choose to file an appeal under the Board's present policy. The total amount due will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

STATE BOARD OF ELECTIONS

**1020 South Spring Street
Springfield, Illinois 62704
217/782-4141**

Sharon Steward

Director, Division of Campaign Disclosure

To: Steve Sandvoss, General Counsel

Re: Payment Plan for Cook County Democratic Women (ID 945)

Date: 7/29/11

The amount of the outstanding civil penalty after receipt of payments of \$450 on 5/3/11 and \$413 on 7/1/11 is \$4412. If broken into 12 equal monthly payments, this would equal \$367.66 per month.

June 29, 2011

STATE BOARD OF ELECTIONS

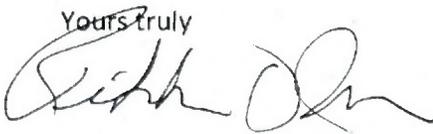
11 JUL -1 AM 11:55

To whom it may concern,

This letter is to inform you that Cook County Democratic women located at 3584 Edward Drive, Crete Illinois 60417, is writing this letter of our intention to pay our dept. As you know we made a payment of \$450. We are going to hand deliver a payment of \$413 today to your Chicago office. We would like to pay the balance in 12 even payments computed by you.

For your convinces we are also including our state, local, and committee id numbers. Our state number is 3909. Our local number is 610. Our committee number is 945. Thanks for your understanding.

Yours truly

A handwritten signature in black ink, appearing to read "Rikki D. Jones", written over the typed name.

Rikki D. Jones

Chair

STATE BOARD OF ELECTIONS
1020 South Spring Street
Springfield, Illinois 62704
217/782-4141

Sharon Steward

Director, Campaign Disclosure Division

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, Steve Sandvoss, General Counsel

Re: Civil Penalty Assessments Necessitating a Final Board Order

Date: August 5, 2011

Listed below are committees that have been assessed a civil penalty for delinquently filing the June 2009 and 2010 Semi-annual report, the December 2010 Semi-annual report, the November 2010 Pre-election report, Schedule A-1's and "multi-assessments". These violations were not appealed & should be issued a Final Board Order.

June 2009 Semi-annual Report			
Cmte Name	Cmte No	Amt of Fine	Previous Violations
Friends for Booker **	21773	5000	none
June 2010 Semi-annual Report			
Cmte Name	Cmte No	Amt of Fine	Previous Violations
40 th Ward Regular Dem Org	832	4625	None
Chicago 24 th Ward Org	20103	4250	None
Citz for Tyler Smith	21819	4625	1 pre
Dolton South Suburban Party **	21989	4200	2 semi, A-1
Nick Valdez Cmte **	22128	5000	None
Citz for Simons **	22160	3400	None
Friends to Elect Avon One **	22766	4150	None
December 2010 Semi-annual Report			
Cmte Name	Cmte No	Amt of Fine	Previous Violations
Mercer Co Republican Central Cmte	5166	1525	None
Pulaski Co Dem Central Cmte **	5680	825	3 semi
IPACE of Alton	5778	3250	None
Cmte to Elect Dick Koritz States Attny **	1185	2100	2 semi
Citz for David Miller	14923	2200	Semi, pre, A1 (pd)
Cmte to Elect James P Kennedy	15132	1875	None
Cmte to Elect David Marquez **	15997	1950	None
Chicago Republican Party	18099	1725	None
Friends of Dale Berman **	18528	800	1 pre, 1 semi
Sangamon County Young Republicans	19337	1400	None
Citz to elect Andre Thapedi	19352	3400	1 pre
Il League of Conservation Voters PAC **	19483	3850	1 semi
Citz to Elect Patrick Sherlock **	20897	2700	3 semi, 1 pre (pd)
Coalition for Property Rights	20951	4425	1 semi
Lee for IL **	21396	400	None
Citz for Zane Seipler **	22259	3400	1 semi

Cmte Name	Cmte No	Amt of Fine	Previous Violations
Friends of Art Judge	22268	3750	1 semi
John Nocita for Senate **	22321	1475	None
Citz to Elect Tillman **	22421	1325	None
Joshua Qualls Dem Political Cmte **	22426	50	None
Friends of Ed Michalowski	22492	1600	None
Dan Lindsay for State Rep **	22496	600	1 semi
Scholz for Judge Cmte **	22579	1825	None
Robert Martinez for IL	22601	1475	None
Citz to Elect Theodore Lagerwall Jr **	22604	75	None
Citz for Angel Garcia **	22668	50	1 semi
Cicero Republican Org	22670	1825	None
IL 4 th Dist Republican Org	22671	1825	None
Friends of Mayor Charles Griffin	22696	1800	None
Citz for Howe **	22815	25	None
Jay Schaefer for Adams Co Clerk **	22900	1400	None
Broadview United	22948	1425	None
Citz to Elect Christopher Cooper **	22951	1200	None
Citz to Back Matt	22957	575	None
Citz for Dennis Palys **	22999	1900	None
Friends of Jake Miller **	23022	500	None
Cmte for Property Tax Relief **	23048	1200	None
Citz to Elect CB Johnson for the 29 th Ward **	23088	1675	None
Citz to Elect Elida M Cruz	23101	700	none
Laborers Political Action 7 Education League	23199	2600	None
November 2010 Pre-election Report			
Cmte Name	Cmte No	Amt of Fine	Previous Violations
DuPage Young Republicans	6351	1100	None
E St Louis Republican Central Cmte	18551	1100	None
Citz for Diane Evertsen	22600	1100	None
Friends of John Guevara	22818	1100	1 semi
Friends of David L George **	23008	1100	1 semi, 1 A1 (pd)
Multi-assessment (MA)			
Cmte Name	Cmte No	Amt of Fine	Previous Violations
Realtors PAC of IL **	11111	9950	3 semi, viol of bd order
Kane County Rep Advisory Council	18202	4900	None
Friends of Ike Carothers **	19970	8675	None
Friends of Jerry Johnson	20841	8750	None
Cmte to Elect Anita Rivkin Carothers **	21035	10000	1 semi
New Broadview Tea Party **	21948	5375	1 semi
Friends of Sherman Jones	22764	6100	1 semi
Goodman for School Board **	23588	450	none
Schedule A-1 (10 AG)			
Cmte Name	Cmte No	Amt of Fine	Percentage Reduction
Il Restaurateurs PAC	543	1000	100
Dan Rutherford Campaign Cmte	6752	175	18

Republican Renaissance PAC	21016	1000	500
Cmte to Elect Rich Morthland	22181	10000	1000
"Sam" McCann for Senate	22255	40	4
Fox for Comptroller **	22367	20	2
Turelli for Tomorrow	22416	500	50
Craig Taylor Campaign	22812	2000	200
Schedule A-1 (11 AM)			
Cmte Name	Cmte No	Amt of Fine	Percentage Reduction
Montgomery Co Republican Central Cmte	314	2500	125
Madison Co Republican Central Cmte	384	1000	50
Will Co Republican Central Cmte	695	2698.84	135
Kendal Co Republican Central Cmte	696	1591.20	80
Ameren IL PAC	1033	5535.29	277
MORePAC	4551	15249.15	763
Citz for Joe Moore	6380	1000	50
Gerald L Schweighart for Mayor **	10791	1000	50
Italian-American Pol Coalition	10893	1000	50
Gail Mitchell for Mayor	11188	1000	50
Il Rental/Purchase Dealers PAC	11278	1575	79
Citz for Maloney	16337	1000	50
New 37 th Ward Democratic Org	18118	10000	2500
Citz for May	18404	1950	98
Friends for Franco	19135	1000	50
Chicago Young Republicans	19300	4000	200
Gay & Lesbian Victory Fund	19563	2500	125
Citz for Linda Holmes	19641	2500	125
Citz to Elect Alvin Parks Jr	19743	13000	650
Citz for Waguespack	19898	1000	50
Friends to Elect Toni Foulkes	20107	7999.13	400
Friends of Anne Schaible **	2649	1000	50
Carol for Chicago **	22987	15000	750
Maroney for Mayor **	23091	2000	100
Citz for Laegler for City Council **	23149	2500	125
Neighbors United for Mark Kaplan	23188	1000	50
2 nd Ward Democratic Org	23191	2500	125
Patricia Horton for City Clerk	23214	2000	100
Citz for Williams	23271	1000	50
Friends of Gary Carter for IECC Mission **	23329	2500	125
Concerned Citz of the 50 th Ward **	23341	7875	394
Citz for John Milhiser	23374	1000	50
Friends of Joshua Schipp	23424	3000	150
Citz for Bernadette Wiggins	23444	1000	50
Citz to elect Michael Dunn	23508	4000	200

(** - DENOTES COMMITTEES THAT HAVE FILED A FINAL REPORT)

STATE BOARD OF ELECTIONS
1020 South Spring Street
Springfield, Illinois 62704
217/782-4141

To: Rupert T. Borgsmiller, Executive Director, Members of the Board

Re: Payment of Civil Penalties - Informational

Date: 8/4/2011

The following committees have made payment of outstanding civil penalties for the period of 6/21/11 – 8/3/11

Cmte ID	Cmte Name	Amt of Check	
21760	Friends of Eddie Lee Jackson	\$8,487.00	
4331	United Party of Justice/Citizens Party	\$550.00	
523	Riverside Township Regular Republican Org. Inc	\$125.00	
21016	Republican Renaissance PAC	\$250.00	
23008	Friends of David L George	\$145.00	
19170	Illinois Citizens for Ethics	\$200.00	
384	Madison Co Republican Central Cmte	\$50.00	
945	Cook County Democratic Women	\$413.00	
23603	Citizens to Elect Ashby	\$850.00	
18410	Friends of Mike Jacobs	\$475.00	
16858	Friends of Roger Byrne	\$175.00	
23241	Friends for Edward D Yoder	\$1,200.00	
14908	Citizens for Judge Jim Epstein	\$309.00	
19800	Democratic Citizens of Berwyn (DCOB)	\$3,520.00	
23431	American Federation of Teachers Local 604 COPE	\$1,995.00	
22875	Friends of Roderick T Sawyer	\$50.00	
22275	Friends of Derrick Smith	\$6,900.00	
15078	Citizens for Morrissey	\$250.00	
22742	Committee to Elect Denise Winfrey	\$550.00	
16708	Friends of John Sullivan	\$500.00	
21145	IL Affordable Housing Institute PAC	\$1,238.50	
980	Friends of Margaret Laurino	\$50.00	
22970	Friends of Don Gerard	\$250.00	
22782	Friends of John F Argoudelis	\$200.00	
21848	Citizens for Ryan Higgins	\$336.00	
11959	Friends of Terry Link	\$400.00	credit card
22308	Friends of Rafael Rivadeneira	\$50.00	
22443	Citizens for Jesus Garcia	\$3,750.00	
11768	Citizens for Deborah Sims	\$450.00	
22524	Renew Chicago-Ameya Pawar	\$100.00	
19747	Citizens for Cappleman	\$524.00	
22531	Friends of Stephen Friedel	\$100.00	
16109	Holland & Knight LLP IL Comm for Effective Government	\$550.00	
14289	Cunningham Campaign Committee	\$250.00	
508	Committee to Elect David Harris	\$100.00	
Total Amount Paid for this Period:		\$35,342.50	

Year to Date Totals:

1/8/11 – 2/10/11: \$8511

2/11/11 – 3/10/11: \$7592

3/10/11 – 4/6/11: \$3100

4/7/11 – 5/11/11: \$22506.63

6/3/11 – 6/20/11: \$8481

6/21/11 -- 8/4/11: \$35,342.50

Payment Plan update

No committees are currently under payment plans.

INTEROFFICE MEMORANDUM

To: Mark Mossman
From: Brent Davis
Subject: July Monthly eCanvass Report
Date: 8/5/2011

July updates for the eCanvass:

- Significant progress was made with Dominion Voting Systems for Cook County and the City of Chicago. Several meetings and conference calls were held with SBE staff, Catalyst Consultants, representatives and developers from Dominion, as well as representatives from both jurisdictions. From those meetings we were able to obtain a data export that includes ballot style level data which meets our reporting and functional requirements. There are only minor details that have to be addressed before moving forward with the programming.
- Once the final data sample is available from Dominion we will be able to begin the programming phase of the project.
- Data will still need to be obtained from the three remaining tabulation systems used in Illinois; specifically GEMS (GBS, Liberty and Platinum customers), Unity (ES&S Customers), and HVS (Hart Intercivic customers). We are working in conjunction with Catalyst Consulting to obtain data exports that will be comparable to the data received from Dominion.
- Met with Robert Saar, DuPage County Board of Elections executive director, and IT staff to discuss the data available from their GEMS tabulation system, as well as the objectives and pilot plans for the eCanvass.
- Progress continued in finalizing the documentation concerning the requirements of the project. Additional documentation was also prepared with relation to system workflows, and how the system will be presented within IVRS.

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:

Rupert T. Borgsmiller, Executive Director

TO: Chairman McGuffage
Vice Chairman Smart
Members of the Board

SUBJECT: Judicial Petition Filing Policy Statement

DATE: August 5, 2011

We are reviewing the options regarding submission of petitions by judicial candidates. The policy statement will be e-mailed to you under separate cover and will need Board approval at the meeting if possible.

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:

Rupert T. Borgsmiller, Executive Director

TO: Chairman McGuffage
Vice Chairman Smart
Members of the Board

SUBJECT: East St. Louis Citywide Canvass

DATE: August 4, 2011

The State Board of Elections was asked by the East St. Louis Board of Elections to participate in its 2011 Citywide Mail & Door to Door Canvass. The SBE sent Cheryl Hobson to East St. Louis and she worked in the Board's office for three days while the canvass was being conducted. During the canvass Cheryl did not field one question regarding the canvass. However, Cheryl's time at the Board was put to good use by working with the Board's staff regarding the proper utilization of the statewide Illinois Voter Registration system (IVRS). This was a request made by Mr. James Lewis the Executive Director for the East St. Louis Board. The SBE has a long history of involvement regarding the proper conduct of elections in East St. Louis.



Illinois State Board of Elections

Memorandum

From the desk of Becky Glazier

TO: Rupert Borgsmiller, Executive Director

RE: NASED Conference

DATE: August 2, 2011

As your representative I attend the National Association of State Election Directors (NASED) meetings. I have also been elected the Midwest Representative on the NASED Executive Board several times and currently serve in that position. NASED meets each year in Washington DC in January and also meets in the summer. The summer meetings alternate meeting with the National Association of Secretaries of State one year, the Election Center one year, and then on their own one year. At the Wyoming meeting in 2008 I accepted the invitation for Illinois to host the 2011 summer conference in Chicago. We are just the second state to host NASED as our own separate conference. The conference was held in Chicago July 20-24 and we had 77 attendees -- election directors, vendors, representatives from the Election Assistance Commission, Federal Election Commission, and other organizations.

We spent two full days discussing issues that affect all states. The Election Assistance Commission sent Commissioner Donetta Davidson, Executive Director Tom Wilkey and Chief Operating Officer Alice Miller to Chicago for the conference. They each discussed different activities the EAC is undertaking at this time. Other agenda topics included an update on voting equipment testing, electronic pollbooks, HAVA funds, military voting and legislation. The Chicago Board of Election Commissioners hosted a welcome reception for all NASED attendees. We met all day Friday and Saturday with Saturday being a closed session for NASED members only. Closed session is always filled with open discussion on litigation as well as legislation.

The next NASED meeting will be held in January 2012 in Washington, DC. The summer meeting will be held in conjunction with the Election Center in Boston in 2012.

INTEROFFICE MEMORANDUM

TO: Rupert T. Borgsmiller, Executive Director

From: Dianne Felts, Director of Voting Systems and Standards (VOSS)

Subject: M650 final approval

Date: August 3, 2011

ES&S has submitted an application for final approval of the central count optical scan voting equipment known as the M650. Currently, only three counties, Lake, Sangamon and Will use this equipment. Primarily, the jurisdictions use this machine to count absentees.

Sangamon County did have problems with their first machine but after ES&S gave them a replacement machine, it has worked fine. Champaign County has a machine but has decided not to use it. This jurisdiction had problems with it in the beginning and thereafter chose to count their absentees on the in-precinct machines, the M100.

VOSS recommends final approval.



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July 6, 2011

Model 650 Final Report

Model 650 Equipment Description

The ES&S Model 650 Center Ballot Tabulator is a high-speed central paper ballot tabulator that supports a full range of jurisdictions and ballot complexities. The Model 650 is an ideal solution for the tabulation of absentee ballots. Its advanced optical mark read (OMR) scanning technology securely processes a variety of ballot lengths, all at the speed of approximately 300 ballots per minute. The Model 650 with firmware version 2.2.0.0 was originally certified on October 10th, 2006.

Model 650 Deployment

The following Illinois counties currently use the model 650 to tabulate absentee ballots:

- Lake County- 385 precincts with approximately 262,000 registered voters
- Will County- 334 precincts with approximately 270,000 registered voters
- Sangamon County- 232 precincts with approximately 138,300 registered voters

Model 650 Performance

The Model 650 was successfully used as the primary tabulator for absentee ballots in the following elections:

- Lake County
 - All Elections since the 2007 Consolidated General Election- No problems or issues reported
- Will County
 - All Elections since the 2007 Consolidated General Election- No problems or issues reported
- Champaign County
 - Purchased in 2007- Have not used in an election, the customer reported some issues with the tabulator, which were resolved by ES&S. The customer is currently working with ES&S to return the M650 as they prefer to tabulate absentee ballots with the Model 100 Precinct Tabulator.
- Sangamon County
 - All Elections since the 2008 General Election- Issue reported and resolved with the visible light sensor prior to the 2008 General Election, no further issues reported since

	Election Systems & Software	EXPERIENCE	1208 John Galt Boulevard · Omaha, NE 68137 USA
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		SECURITY	www.essvote.com
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Model 650 Future Deployment:

No sales of lease contracts are currently forecasted.

Memorandum

From the desk of...Cristina Cray, Director of Legislation

Phone: 217-782-1577

Email address: ccray@elections.state.il.us

To: Rupert Borgsmiller, Executive Director
Chairman McGuffage
Vice Chairman Smart

Subject: Campaign Finance Reform Task Force

Date: August 1, 2011

The Illinois Campaign Finance Task Force met on Thursday, July 28, 2011 at 1:00 pm in the offices of the State Board of Elections. Members of the Task Force were in both the Chicago and Springfield offices. The SBE was represented by Sharon Steward, Steve Sandvoss, Bernadette Harrington and Andy Nauman.

The Task Force reviewed the "Open Legal Issues" chart. This chart is a collection of issues suggested by members of the Task Force. Each item was discussed at length. The results of this discussion will be put in report form for a formal vote to be taken at the September meeting. The results of this vote will be presented to the legislature for their Fall Veto Session.

Additional items discussed include the "Resolved and Unresolved Issues" chart; limits which should or should not be placed on leadership PAC's; editorials from the *New York Times* on Public Financing; the NCSL chart on Public Financing; and the aggregate amount issue. Everyone was asked to get their pro and con statements on these topics to Josh Faucette, with the Governor's Office, and he would put together a report for the next meeting.

All of the aforementioned charts and articles are available for copying, scanning or e-mailing.

The next meeting has been scheduled for Thursday, September 15 at 1:00 pm.

Thank you.

State Board of Elections
1020 South Spring Street
Springfield, IL 62704

STATE BOARD OF ELECTIONS

1020 South Spring Street
Springfield, Illinois 62704
217/782-4141

Sharon Steward

Director, Division of Campaign Disclosure

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, & Steve Sandvoss, General Counsel

Re: Amendment to BEREP Rule – Inactive Status

Date: August 2, 2011

We have received notice from the Joint Committee on Administrative Rules (JCAR) that the Board initiated amendment to rules governing business registration has been approved and was effective July 19, 2011. It will be published in Volume 35, Issue 32 of the Illinois Register, dated 8/5/2011. This proposed rule was originally sent to JCAR for First Notice on May 6th.

In summary, the amendment allows for a business to designate its status as inactive if it is no longer required by law to update information on file with the Board. The business shall be solely responsible for making this determination; if the business determines that it is eligible to declare itself 'inactive', it shall so indicate by accessing its own account on the Board website and providing the required information, verification, and electronic signature. The Board shall not be involved in this process other than to provide the means to accomplish this task on the Board website.

Our IT staff is currently making programming changes necessary to implement this new section.

This new section, Section 100.180(m), follows:

m) Inactive Status

- 1) The provisions of this subsection apply to a business entity required to register with SBEL under Section 20-160 of the Procurement Code, that had an existing contract or had bid on a contract within the time periods set out in subsection (h), and that had a duty to maintain the accuracy of its registration. A business entity that has registered with SBEL may change its status to "inactive" provided that:
 - A) the entity bid on a contract the value of which exceeded the \$50,000 qualifying threshold, but was not awarded that contract;
 - B) the entity had a combination of bids and contracts that exceeded the \$50,000 qualifying threshold; however, the entity was not awarded the contract and/or the two year period following the expiration of the contract has lapsed or the term of office of the officeholder responsible for awarding the contract has concluded; or
 - C) the entity had contracts exceeding the \$50,000 qualifying threshold; however, the two year period following the expiration of the contract has

lapsed or the term of office of the officeholder responsible for awarding the contract has concluded.

- 2) Nothing in this Section shall affect the duty of a business entity to update its registration when required to do so, nor remove the entity from the prohibition against making contributions to the officeholder responsible for awarding the contract.
 - 3) This "inactive" designation shall be determined by the business entity. The Board shall provide a form in an electronic format accessible on the SBEL website for the entity to indicate that it is currently in inactive status. The form shall include the name and address of the entity; the contract or bid that created the obligation to register with the SBEL, as well as the agency or office that was responsible for awarding the contract, or, if the entity was not required to register with the SBEL, a designation that registration was not required; and the beginning date on which the obligation to update the entity's registration relative to each contract or bid no longer existed. The form shall be signed by the Chief Executive Officer of the business entity or his/her designee, or a person who serves in that capacity, indicating that the signatory verifies that the entity qualifies to be in inactive status based on the criteria contained in subsection (m).
 - 4) In the event a business entity that had previously declared its inactive status on the form prescribed by subsection (m)(3) submits a bid for a State contract whose value exceeds \$50,000, the entity shall rescind its inactive status prior to submitting a bid, shall update its registration so that the information required by Section 9-35(b)(1), (2) and (3) of the Election Code is current. In addition, the entity shall abide by the contribution prohibitions contained in Section 50-37(b) and (c) of the Procurement Code. The rescinding of an entity's inactive status shall be on an electronic form accessible on the SBEL website.
- n) The complaint provisions contained in Sections 9-20 through 9-22 of the Election Code shall apply to complaints filed alleging a violation of this Section.

(Source: Amended at 35 Ill. Reg. 12973, effective July 19, 2011)

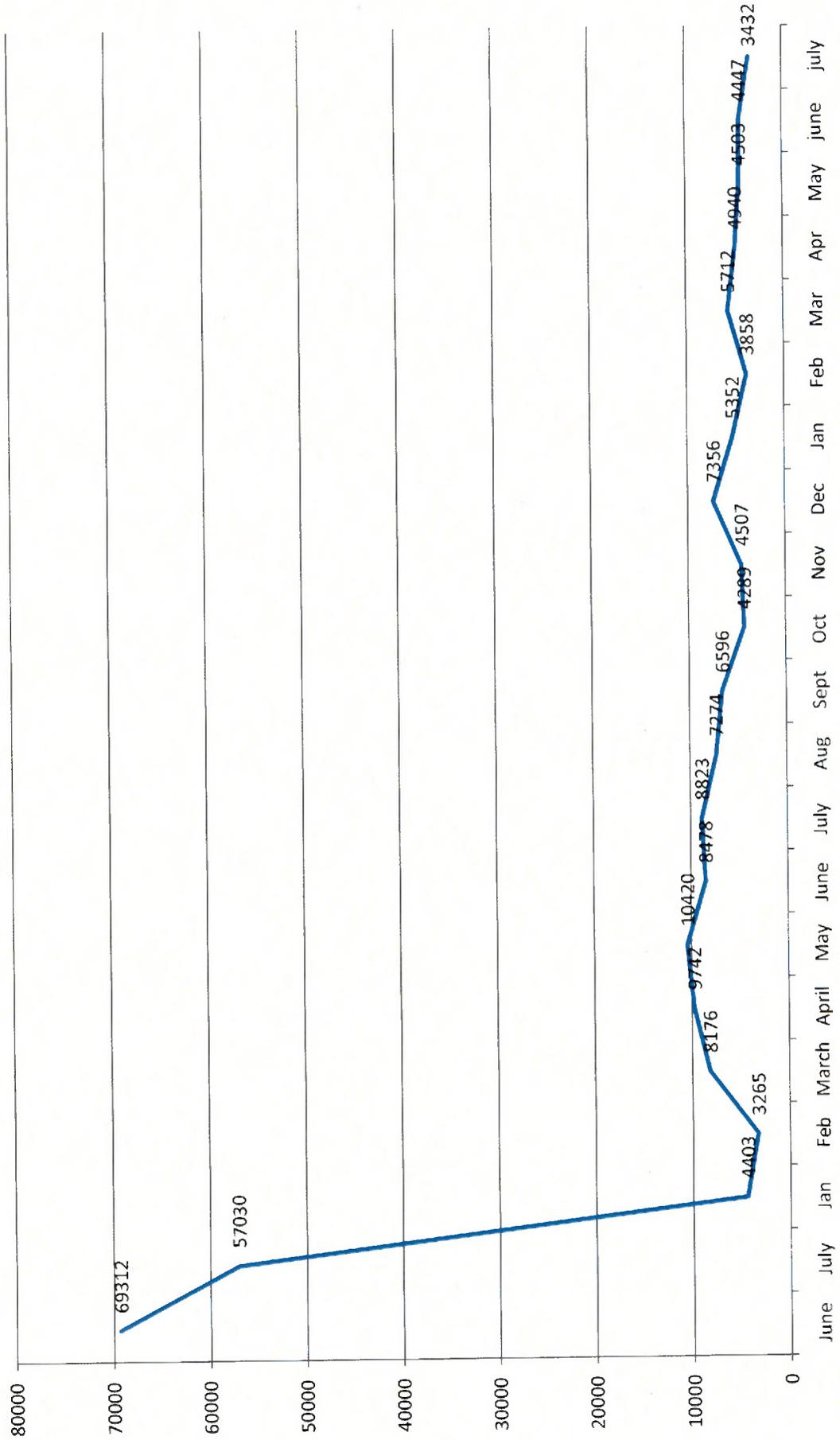
Jurisdiction	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	June	July	Oldest Match Date
Adams County	0	0	5	4	7	7	8	5	8	7	8	6	4	8/9/2010
Alexander County	41	41	40	41	5	3	3	4	7	5	5	6	5	2/6/2009
Bond County	3	3	5	1	5	6	1	1	2	8	9	8	12	8/3/2009
Boone County	12	11	14	5	6	5	9	4	5	3	8	6	5	2/6/2009
Brown County	0	1	1	0	0	0	0	0	0	0	0	1	2	2/12/2010
Bureau County	11	5	3	4	0	2	6	0	0	5	0	0	4	7/29/2011
Calhoun County	0	0	0	1	0	1	1	1	0	0	0	0	0	n/a
Carroll County	2	3	2	0	3	1	0	0	5	1	1	1	0	n/a
Cass County	16	16	19	0	0	5	8	0	3	1	1	0	0	n/a
Champaign County	39	24	42	13	43	129	12	10	88	110	16	17	10	8/3/2009
Christian County	0	0	3	13	7	13	3	3	3	2	2	3	2	2/6/2009
Clark County	6	6	6	6	7	8	5	5	6	6	5	5	4	2/6/2009
Clay County	69	79	58	60	60	62	52	52	58	65	62	67	57	2/6/2009
Clinton County	50	50	30	28	16	20	15	16	17	16	21	11	3	4/29/2011
Coles County	38	34	29	31	18	16	14	14	14	5	4	4	2	2/6/2009
Cook County	3,231	2,477	2,277	1,271	1,446	2,357	1,770	1,393	1,988	1,773	1,679	1,717	1,270	2/6/2009
Crawford County	48	49	43	47	17	22	19	18	11	11	11	13	7	2/6/2009
Cumberland County	4	4	5	8	4	1	0	0	1	0	0	1	0	n/a
DeKalb County	66	43	35	31	22	29	20	19	23	4	4	4	4	2/6/2009
DeWitt County	66	61	2	4	2	4	3	2	2	2	2	6	3	2/6/2009
Douglas County	1	1	1	0	1	2	404	0	1	1	0	0	0	n/a
DuPage County	328	135	69	41	183	368	0	34	51	25	47	29	27	2/6/2009
Edgar County	12	12	1	1	0	0	0	0	0	0	0	0	1	7/22/2011
Edwards County	3	4	2	5	2	2	2	2	2	4	4	4	5	7/22/2011
Effingham County	6	6	6	2	0	1	1	1	0	0	0	1	0	n/a
Fayette County	18	15	15	13	12	11	11	10	11	11	9	14	12	2/6/2009
Ford County	2	4	8	3	4	22	1	1	1	2	1	1	2	10/29/2010
Franklin County	69	68	73	82	65	70	60	59	62	60	58	60	61	2/6/2009
Fulton County	11	11	15	7	5	9	7	4	7	7	4	4	5	2/6/2009
Gallatin County	0	0	0	1	2	2	1	1	1	1	1	1	1	7/29/2011
Greene County	7	2	1	1	4	4	3	4	1	1	4	3	7	3/18/2011
Grundy County	19	15	19	9	30	42	25	27	52	0	2	3	9	5/31/2011
Hamilton County	0	1	1	0	0	0	0	0	0	0	0	0	2	2/6/2009
Hancock County	1	1	2	1	3	3	1	1	1	2	2	1	0	n/a

Jurisdiction	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	June	July	Oldest Match Date
Hardin County	6	4	0	0	1	1	0	0	0	1	2	1	0	n/a
Henderson County	0	0	0	0	0	0	0	0	1	0	0	0	0	n/a
Henry County	27	25	22	17	17	20	17	17	19	17	13	5	6	12/17/2010
Iroquois County	14	20	24	28	7	15	5	5	1	2	1	2	1	2/6/2009
Jackson County	26	24	24	28	16	28	15	14	20	22	17	20	15	2/6/2009
Jasper County	5	6	7	10	5	3	4	0	0	0	1	2	2	6/10/2011
Jefferson County	6	6	1	0	0	0	0	0	1	0	0	1	0	n/a
Jersey County	6	6	6	8	11	9	13	12	15	15	17	17	17	2/4/2010
JoDaviness County	0	0	0	0	1	0	0	0	0	0	0	0	0	n/a
Johnson County	13	11	8	7	1	2	1	1	1	0	0	1	0	n/a
Kane County	117	50	37	51	44	92	32	19	35	17	21	16	5	10/13/2010
Kankakee County	41	44	45	55	67	93	9	5	21	8	12	18	7	2/4/2010
Kendall County	50	23	18	9	15	12	6	4	5	0	4	2	5	7/8/2011
Knox County	13	15	16	18	1	5	7	7	0	1	1	5	9	4/29/2011
Lake County	157	118	152	47	44	214	193	18	51	23	54	32	16	2/6/2009
LaSalle County	106	82	17	10	10	21	14	6	22	11	16	9	4	9/3/2010
Lawrence County	2	2	2	2	1	2	1	0	1	0	1	1	1	7/29/2011
Lee County	4	3	2	1	4	3	0	0	1	0	0	0	0	n/a
Livingston County	4	8	9	6	8	12	1	1	3	5	4	1	1	5/13/2011
Logan County	13	13	4	2	6	5	4	5	12	5	5	4	4	2/6/2009
Macon County	4	5	8	21	7	25	8	4	8	3	3	1	2	7/8/2011
Macoupin County	4	6	5	11	4	12	5	6	8	3	1	0	1	7/8/2011
Madison County	30	26	46	27	29	35	18	17	34	16	27	20	13	2/6/2009
Marion County	53	64	35	39	26	27	18	18	29	24	29	22	20	2/6/2009
Marshall County	2	2	1	1	1	0	3	2	0	1	2	6	0	n/a
Mason County	1	0	0	0	1	1	0	3	1	0	0	0	0	n/a
Massac County	6	6	6	9	4	4	3	3	5	3	3	3	3	2/6/2009
McDonough County	3	3	6	6	9	9	6	2	5	2	2	2	3	2/6/2009
McHenry County	31	15	50	92	35	125	50	4	7	34	69	74	4	10/8/2010
McLean County	39	30	3	3	6	44	7	4	20	21	9	13	5	2/6/2009
Menard County	38	5	5	8	2	3	3	2	2	1	1	1	1	2/6/2009
Mercer County	139	141	142	142	146	148	150	148	149	131	113	12	12	2/6/2009
Monroe County	1	5	5	6	8	6	2	2	2	2	6	7	0	n/a
Montgomery County	0	4	2	2	4	13	4	3	9	10	13	7	10	3/18/2011

Jurisdiction	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	June	July	Oldest Match Date
Morgan County	19	16	16	12	3	11	4	3	6	3	2	0	9	2/12/2010
Moultrie County	0	0	1	0	3	0	0	0	0	0	0	1	0	n/a
Ogle County	1	3	0	1	2	7	10	0	1	0	1	1	3	7/8/2011
Peoria County	7	4	4	0	4	19	1	2	2	2	4	0	3	7/22/2011
Perry County	0	3	6	5	1	4	0	0	1	0	0	0	0	n/a
Piatt County	6	2	2	6	1	4	2	2	1	2	2	2	1	2/6/2009
Pike County	7	7	7	9	9	12	10	10	9	11	12	13	3	12/30/2010
Pope County	5	1	1	1	1	2	0	0	1	2	1	1	2	3/18/2011
Pulaski County	20	20	16	20	4	4	0	0	2	2	1	1	2	2/6/2009
Putnam County	2	2	1	2	0	2	0	0	2	0	0	0	0	n/a
Randolph County	2	4	3	3	2	3	0	0	3	0	1	3	0	n/a
Richland County	20	22	15	20	11	13	7	6	5	6	5	6	6	2/6/2009
Rock Island County	135	138	151	154	163	178	180	188	124	182	177	75	70	2/6/2009
Saline County	1	0	1	1	1	2	4	4	2	1	7	8	8	12/3/2010
Sangamon County	60	29	37	42	21	63	15	20	42	16	15	21	14	2/6/2009
Schuyler County	2	1	4	2	4	9	9	0	4	1	2	0	0	n/a
Scott County	3	2	6	1	0	5	2	2	3	3	2	3	8	12/22/2010
Shelby County	1	1	1	3	1	2	0	0	0	1	1	1	0	n/a
Stark County	0	0	0	1	0	0	0	0	0	0	1	2	2	5/13/2011
St. Clair County	47	41	46	43	50	77	26	28	43	29	46	38	16	2/6/2009
Stephenson County	0	1	3	2	1	2	2	2	12	2	3	2	4	10/22/2010
Tazewell County	17	14	37	19	17	81	19	24	16	29	39	29	21	2/6/2009
Union County	32	35	30	33	24	33	17	17	28	29	24	22	20	2/6/2009
Vermilion County	6	6	6	3	3	3	3	3	4	3	4	3	2	8/27/2009
Wabash County	0	1	1	0	0	2	1	0	0	0	1	1	3	5/31/2011
Warren County	8	9	9	3	5	7	2	0	2	1	1	2	1	3/18/2011
Washington County	11	13	14	16	7	7	5	4	4	4	6	6	6	2/6/2009
Wayne County	34	41	30	35	34	31	28	28	31	33	34	37	34	2/6/2009
White County	1	2	0	0	1	3	2	2	1	3	3	3	4	11/8/2010
Whiteside County	6	9	9	8	7	11	7	6	9	5	6	2	2	6/18/2010
Will County	380	265	357	340	420	578	437	412	596	586	193	138	50	2/6/2009
Williamson County	93	95	94	102	57	65	43	42	45	43	45	46	45	2/6/2009
Winnebago County	28	19	14	46	35	39	25	8	10	7	10	5	4	2/6/2009
Woodford County	1	1	1	0	0	4	0	0	0	0	0	0	0	n/a

Jurisdiction	July	Aug	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	June	July	Oldest Match Date
City of Aurora	27	14	19	7	17	6	12	14	3	14	2	3	4	2/4/2010
City of Bloomington	9	9	9	14	11	15	5	6	6	4	5	8	4	2/6/2009
City of Chicago	2,620	2,449	2,056	899	1,037	1,755	1,382	985	1,714	1,346	1,383	1,626	1,349	2/6/2009
City of Danville	2	2	3	2	3	7	3	2	6	3	8	3	6	2/6/2009
City of East St. Louis	45	18	9	5	3	12	5	5	10	8	6	11	4	2/6/2009
City of Galesburg	5	8	8	7	2	6	10	4	3	3	3	3	5	3/29/2010
City of Peoria	6	2	13	2	4	39	2	2	23	35	20	9	14	2/6/2009
City of Rockford	14	11	16	20	18	17	8	4	19	5	10	10	10	2/6/2009
	8,823	7,274	6,596	4,289	4,507	7,356	5,352	3,858	5,712	4,940	4,503	4,447	3,432	

Statewide Duplicate Voter Registrations January 2010 - July 2011



<u>County</u>	Total Voters Registered as of 8/4/2011	Census Total Pop.	% Reg. Vs. Total Population	18 years and over #	Prior % Reg. vs 18 and over	% Reg. vs. 18 and Over as of 6/21/2011	% Reg. vs. 18 and Over as of 8/04/2011
ALEXANDER	7,902	8,238	95.92%	6,353	122.87%	124.38%	124.38%
CLARK	12,795	16,335	78.33%	12,617	102.35%	102.15%	101.41%
CLINTON	26,209	37,762	69.41%	29,180	110.22%	89.59%	89.82%
HARDIN	3,098	4,320	71.71%	3,444	111.30%	92.51%	89.95%
JASPER	7,438	9,698	76.70%	7,494	101.23%	99.52%	99.25%
MASSAC	12,461	15,429	80.76%	11,911	103.69%	104.94%	104.62%
MENARD	8,561	12,705	67.38%	9,704	100.41%	88.93%	88.22%
MERCER	13,405	16,434	81.57%	12,714	112.44%	109.85%	105.43%
OGLE	40,324	53,497	75.38%	40,253	101.79%	101.66%	100.18%
PULASKI	5,746	6,161	93.26%	4,756	125.34%	121.72%	120.82%
RICHLAND	13,420	16,233	82.67%	12,625	109.51%	106.96%	106.30%
ROCK ISLAND	86,342	147,546	58.52%	114,359	110.07%	75.16%	75.50%
STARK	4,218	5,994	70.37%	4,583	103.69%	103.91%	92.04%
UNION	15,050	17,808	84.51%	13,980	108.41%	107.74%	107.65%
City of East St. Louis	22,026	27,006	81.56%	19,098	112.21%	116.56%	115.33%

MEMORANDUM

*From the desk of... Jim Withers, Director of Administrative Services
State Board of Elections
Phone: 217-782-1595
Email address: jwithers@elections.il.gov*

TO: Members of the Board

RE: Facility update

Date: August 2, 2011

I received an e-mail from Rick Green last week informing us that CMS had reopened the bid process for the new facility. No explanation was given as to why. The new submission deadline is Friday August 5.

There is a possibility a state owned facility may be under consideration. Hopefully we will have more information in time for the August 16 meeting.

Jim

State Board of Elections
Proposed Allocation of FY2011 Reduced Lump-Sum Appropriations
To Reflect 50% Cut in Total Lump-Sum Amount
As of 7/31/2011 (FY2011)

Total Lump-Sum Amount Requested in Original FY2011 Budget = \$14,108,500
 Actual Total Lump-Sum Amount Received in Final FY2011 Budget = \$8,130,700 (\$8,130,700 + \$2,000,000 Gov. release)

Lump-Sum Appropriation Item	FY2011 Lump-Sum Budget Request	Initial	Current Allocation Of Actual Lump-Sum Approp. (6/30/11)	FY11 YTD Spending At 7/31/2011	Expected Add'l Spending Thru End of Fiscal Yr.	Total Expected Spending Thru End of Fiscal Yr.	Proposed Allocation Of Actual Lump-Sum To Actual Costs (end FY11)
County Clerk/Recorder Stipends	\$806,000	\$806,000	\$806,000	\$806,000	\$0	\$806,000	\$806,000
Election Day Judge Reimbursements	5,850,000	5,850,000	4,410,105	4,410,105	4,275	\$4,414,380	4,414,400
Early Voting Assistance to Local Gov.	2,875,000	2,875,000	1,543,695	1,082,730	604,038	\$1,686,768	1,686,800
VSIC (Voting Systems Integrity Center)	1,194,500	1,194,500	0	0	0	\$0	0
Electronic Canvassing Implementation	429,600	429,600	119,000	29,292	82,540	\$111,832	121,800
IVRS Lump-Sum Grants to Locals	2,501,900	2,501,900	1,251,900	1,101,609	0	\$1,101,609	1,101,700
IDIS System Implementation Costs	451,500	451,500	0	0	0	\$0	0

restore full County Clerk stipend payment
 inc. Governor \$2 million supplement - remainder to Early Voting assistance
 covers full Nov. 2010 general (\$1,082,730) & partial Aprnl Consolidated (est \$604,038)
 move to Early Voting assistance
 hold approx. \$10K for interest - move remainder to Early Voting Assistance
 approx. 50% of local requests submitted
 move to Early Voting assistance

Totals	\$14,108,500	\$8,130,700	\$7,429,736	\$690,853	\$8,120,589	\$8,130,700
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* Election Judge Reimbursement cost for April Consolidated election much smaller than estimate due to lack of a runoff in Chicago mayoral race. Expected additional spending also includes restoration of full funding of county clerk/recorder stipends with the savings from the April Consolidated election judge reimbursements

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

AGENCY TOTALS <u>MONTH ENDING: June 30, 2011</u>	<u>FY11 APPROPRIATION</u>	<u>YEAR TO DATE EXPENDITURE</u>	<u>OBLIGATED MONEY</u>	<u>BALANCE</u>	<u>% OF EXPENDITURE</u>
PERSONAL SERVICE	\$4,314,100.00	\$3,786,804.45	\$0.00	\$527,295.55	87.78%
STATE PAID RETIREMENT	\$172,800.00	\$148,536.33	\$0.00	\$24,263.67	85.96%
RETIREMENT (inc. supplemental)	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$330,200.00	\$278,919.29	\$0.00	\$51,280.71	84.47%
CONTRACTUAL SERVICE	\$963,700.00	\$699,860.66	\$113,708.66	\$150,130.68	72.62%
TRAVEL	\$120,500.00	\$71,052.27	\$0.00	\$49,447.73	58.96%
PRINTING	\$47,700.00	\$22,168.11	\$0.00	\$25,531.89	46.47%
COMMODITIES	\$39,200.00	\$35,105.26	\$0.00	\$4,094.74	89.55%
EQUIPMENT	\$257,050.00	\$220,711.52	\$21,020.34	\$15,318.14	85.86%
TELECOMMUNICATIONS	\$139,600.00	\$92,223.46	\$0.00	\$47,376.54	66.06%
OPERATION OF AUTO EQUIPMENT	\$4,400.00	\$3,324.83	\$0.00	\$1,075.17	75.56%
HAVA MAINTENANCE OF EFFORT	\$550,000.00	\$550,000.00	\$0.00	\$0.00	100.00%
ELECTION CODE BOOKS	\$15,000.00	\$13,340.00	\$0.00	\$1,660.00	88.93%
IVRS LUMP SUM - OPERATIONS	\$328,500.00	\$236,475.31	\$0.00	\$92,024.69	71.99%
INTEREST PAYMENTS	\$17,800.00	\$17,521.26	\$0.00	\$278.74	0.00%
SUB-TOTAL (OPERATIONS)	\$7,300,550.00	\$6,176,042.75	\$134,729.00	\$989,778.25	84.60%
CO CLERK & RECORDER STIPENDS	\$806,000.00	\$337,857.84	\$0.00	\$468,142.16	41.92%
ELECTION JUDGE REIMBURSEMENT	\$2,514,200.00	\$2,410,125.00	\$0.00	\$104,075.00	95.86%
ELECTION JUDGE - GOV. MONEY	\$2,000,000.00	\$1,999,980.00	\$0.00	\$20.00	100.00%
ELECTION JUDGES/EARLY VOTING	\$1,082,800.00	\$1,082,730.00	\$0.00	\$70.00	99.99%
IVRS LUMP SUM - GRANTS	\$1,251,900.00	\$790,017.85	\$0.00	\$461,882.15	63.11%
REDISTRICTING	\$90,000.00	\$72,500.00	\$17,500.00	\$0.00	80.56%
ADDITIONAL STATE MATCH	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
VOTING SYSTEMS INTEGRITY CENTER	\$250,800.00	\$0.00	\$0.00	\$250,800.00	0.00%
ELEC. CANVASSING IMPLEMENTATION	\$125,000.00	\$2,726.89	\$107,257.60	\$15,015.51	2.18%
IDIS SYSTEM REPLACEMENT	\$100,000.00	\$0.00	\$0.00	\$100,000.00	0.00%
SUB-TOTAL (GRANTS)	\$8,220,700.00	\$6,695,937.58	\$124,757.60	\$1,400,004.82	81.45%
TOTAL APPROPRIATION	\$15,521,250.00	\$12,871,980.33	\$259,486.60	\$2,389,783.07	82.93%

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

BOARD MONTH ENDING: June 30, 2011	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
CONTRACTUAL SERVICE				
1205 Freight Express & Drayage	\$2,500.00	\$2,256.02	\$243.98	90.24%
1221 Repair/Maint. Furn./Office Equipment				
1232 Rental Motor Vehicles	\$1,000.00	\$1,000.00	\$0.00	100.00%
1239 Rental, NEC				
1243 Book Binding Services				
1266 Court Reporting & Filing Services	\$16,700.00	\$15,296.20	\$1,403.80	91.59%
1274 Reg. Fees & Conf. Expenses (Vendor)	\$600.00	\$530.00	\$70.00	88.33%
1275 Subscriptions				
1276 Reg. Fees & Conf. Expenses (Employee)	\$100.00	\$0.00	\$100.00	0.00%
1277 Association Dues	\$100.00	\$0.00	\$100.00	0.00%
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$500.00	\$0.00	\$500.00	0.00%
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$500.00	\$437.02	\$62.98	87.40%
TRAVEL	\$19,000.00	\$11,621.63	\$7,378.37	61.17%
EQUIPMENT				
1510 Office Furniture & Equipment	\$700.00	\$0.00	\$700.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$200.00	\$138.06	\$61.94	69.03%

BOARD GRAND TOTAL	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE
CONTRACTUAL SERVICE	\$22,000.00	\$19,519.24		\$2,480.76
TRAVEL	\$19,000.00	\$11,621.63		\$7,378.37
EQUIPMENT	\$700.00	\$0.00		\$700.00
INTEREST PAYMENTS	\$200.00	\$138.06		\$61.94
TOTAL	\$41,900.00	\$31,278.93	\$0.00	\$10,621.07

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

ADMINISTRATION MONTH ENDING: June 30, 2011	FY11	YEAR TO DATE	% OF	
	APPROPRIATION	EXPENDITURE	BALANCE	EXPENDITURE
PERSONAL SERVICE	\$727,800.00	\$704,350.05	\$23,449.95	96.78%
1129 State Paid Retirement	\$29,200.00	\$28,238.93	\$961.07	96.71%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$55,700.00	\$50,797.38	\$4,902.62	91.20%
CONTRACTUAL SERVICE				
1201 Petty Cash	\$500.00	\$136.97	\$363.03	27.39%
1205 Freight Express & Drayage	\$700.00	\$196.36	\$503.64	28.05%
1221 Repair/Maint. Furn./Office Equipment	\$8,400.00	\$8,302.47	\$97.53	98.84%
1223 Repair/Maint. Real Property	\$3,200.00	\$0.00	\$3,200.00	0.00%
1230 In-House Repair & Maintenance				
1231 Rental, Office Equipment	\$34,100.00	\$2,213.71	\$31,886.29	6.49%
1232 Rental, Motor Vehicles	\$4,600.00	\$1,000.00	\$3,600.00	21.74%
1233 Rental, Real Property	\$210,400.00	\$210,340.35	\$59.65	99.97%
1234 Rental, Machinery & Mechanical Eqmt				
1239 Rental, NEC	\$1,400.00	\$410.00	\$990.00	29.29%
1240 Statistical & Tabulation Services	\$12,500.00	\$12,496.84	\$3.16	99.97%
1245 Professional & Artistic				
1248 Building & Grounds Maintenance	\$6,300.00	\$3,145.13	\$3,154.87	49.92%
1251 Gas	\$9,500.00	\$8,636.18	\$863.82	90.91%
1252 Electricity	\$56,000.00	\$47,484.13	\$8,515.87	84.79%
1253 Water	\$1,000.00	\$914.14	\$85.86	91.41%
1255 Utilities, NEC	\$800.00	\$559.50	\$240.50	69.94%
1261 Postage	\$64,400.00	\$64,353.57	\$46.43	99.93%
1266 Court Reporting				
1274 Reg Fees & Conf. Expenses (Vendor)	\$800.00	\$0.00	\$800.00	0.00%
1275 Subscription & Information Services	\$2,500.00	\$2,474.84	\$25.16	98.99%
1276 Reg Fees & Conf. Expenses (Employee)	\$400.00	\$0.00	\$400.00	0.00%
1277 Association Dues	\$2,000.00	\$1,920.00	\$80.00	96.00%
1279 Tuition - Employee				
1281 Interviewee Expense - To Vendors				
1285 Taxes, Licenses & Fees	\$100.00	\$0.00	\$100.00	0.00%
1289 Contractual Services, NEC	\$11,500.00	\$11,426.22	\$73.78	99.36%
TRAVEL				
1291 In-State	\$18,000.00	\$6,786.24	\$11,213.76	37.70%
1292 Out-of-State	\$1,000.00	\$0.00	\$1,000.00	0.00%
PRINTING	\$9,800.00	\$2,393.00	\$7,407.00	24.42%
COMMODITIES				
1304 Office/Library Supplies	\$12,000.00	\$10,314.17	\$1,685.83	85.95%
1391 Household & Cleaning Supplies	\$3,500.00	\$2,015.62	\$1,484.38	57.59%
1394 Office/Library Equip., Not exc. \$100				
1398 Equipment, NEC	\$500.00	\$166.00	\$334.00	33.20%
1399 Commodities, NEC	\$400.00	\$15.87	\$384.13	3.97%
EQUIPMENT				
1510 Office Furniture & Equipment	\$2,000.00	\$221.76	\$1,778.24	11.09%
1599 Equipment NEC	\$1,000.00	\$0.00	\$1,000.00	0.00%
TELECOMMUNICATIONS				
1710 Repair/Maintenance Telecom				
1721 Rental, Telephone Serv. & Equip.	\$48,000.00	\$42,796.06	\$5,203.94	89.16%
1722 Rental, Data Comm. Serv. & Equip.	\$81,200.00	\$42,862.40	\$38,337.60	52.79%
1728 Videoconferencing	\$6,400.00	\$5,603.15	\$796.85	87.55%
1729 Rental, Other Comm. Serv. & Equip.	\$3,000.00	\$0.00	\$3,000.00	0.00%
1730 Parts & Supplies for Telephone	\$1,000.00	\$961.85	\$38.15	96.19%
1750 Telephone, Data, Radio & Other Equipment				
OPERATION OF AUTO EQUIPMENT				
1893 Repair & Maint., Auto. Equipment	\$1,800.00	\$1,751.01	\$48.99	97.28%
1894 Parts & Fittings, Auto. Equipment	\$200.00	\$0.00	\$200.00	0.00%
1896 Gasoline, Oil & Antifreeze	\$2,400.00	\$1,573.82	\$826.18	65.58%
1899 Auto. Expenses, NEC				
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$600.00	\$594.37	\$5.63	99.06%
ADMINISTRATION GRAND TOTAL	FY11	YEAR TO DATE	OBLIGATED	% OF
	APPROPRIATION	EXPENDITURE	MONEY	BALANCE EXPENDITURE
PERSONAL SERVICE	\$727,800.00	\$704,350.05	\$23,449.95	96.78%
STATE PAID RETIREMENT	\$29,200.00	\$28,238.93	\$961.07	96.71%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$55,700.00	\$50,797.38	\$4,902.62	91.20%
CONTRACTUAL SERVICE	\$431,100.00	\$376,010.41	\$4,223.65	87.22%
TRAVEL	\$19,000.00	\$6,786.24	\$12,213.76	35.72%
PRINTING	\$9,800.00	\$2,393.00	\$7,407.00	24.42%
COMMODITIES	\$16,400.00	\$12,511.66	\$3,888.34	76.29%
EQUIPMENT	\$3,000.00	\$221.76	\$2,778.24	7.39%
TELECOMMUNICATIONS	\$139,600.00	\$92,223.46	\$47,376.54	66.06%
OPERATION OF AUTO EQUIPMENT	\$4,400.00	\$3,324.83	\$1,075.17	75.56%
INTEREST PAYMENTS	\$600.00	\$594.37	\$5.63	99.06%
TOTAL	\$1,436,600.00	\$1,277,452.09	\$4,223.65	\$154,924.26

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

<u>ELECTIONS</u> <u>MONTH ENDING: June 30, 2011</u>	<u>FY11</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
PERSONAL SERVICE	\$1,604,100.00	\$1,521,188.48	\$82,911.52	94.83%
1129 State Paid Retirement	\$64,200.00	\$60,417.19	\$3,782.81	94.11%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$122,800.00	\$112,489.68	\$10,310.32	91.60%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Contractual reimbursement To Employee				
1205 Freight Express & Drayage	\$2,300.00	\$90.92	\$2,209.08	3.95%
1221 Repair/Maint. Furn./Office Equipment	\$200.00	\$0.00	\$200.00	0.00%
1231 Rental, Office Equipment				
1232 Rental, Motor Vehicles				
1237 Rental, Film & Audio/Visual Aids	\$100.00	\$0.00	\$100.00	0.00%
1239 Rental, NEC	\$200.00	\$100.00	\$100.00	50.00%
1242 Auditing & Management Services				
1245 Professional & Artistic Services, NEC				
1266 Court Reporting & Filing Services				
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$200.00	\$0.00	\$200.00	0.00%
1275 Subscription & Information Services	\$2,600.00	\$350.00	\$2,250.00	13.46%
1276 Reg. Fees & Conf. Expenses (Employee)	\$3,100.00	\$75.00	\$3,025.00	2.42%
1277 Association Dues	\$500.00	\$439.00	\$61.00	87.80%
1279 Employee Tuition & Fees	\$300.00	\$0.00	\$300.00	0.00%
1280 Copying, Photographic & Printing Services	\$200.00	\$0.00	\$200.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1289 Contractual Services, NEC	\$5,300.00	\$216.00	\$5,084.00	4.08%
TRAVEL	\$57,600.00	\$36,985.18	\$20,614.82	64.21%
PRINTING	\$15,400.00	\$3,283.05	\$12,116.95	21.32%
EQUIPMENT				
1510 Office Furniture & Equipment	\$4,600.00	\$0.00	\$4,600.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$12,000.00	\$11,950.77	\$49.23	99.59%
HAVA - Maintenance of Effort	\$550,000.00	\$550,000.00	\$0.00	100.00%
ELECTION CODE BOOKS	\$15,000.00	\$13,340.00	\$1,660.00	88.93%
Redistricting	\$90,000.00	\$72,500.00	\$17,500.00	80.56%
Election Judge Reimbursements	\$2,514,200.00	\$2,410,125.00	\$104,075.00	95.86%
Election Judge - Gov. Money	\$2,000,000.00	\$1,999,980.00	\$20.00	100.00%
Stipends	\$806,000.00	\$337,857.84	\$468,142.16	41.92%
Early Voting Election Judges	\$1,082,800.00	\$1,082,730.00	\$70.00	99.99%
State HAVA Match	\$0.00	\$0.00	\$0.00	0.00%
Voting System Integrity Center	\$250,800.00	\$0.00	\$250,800.00	0.00%
Electronic Canvassing Implementation	\$125,000.00	\$2,726.89	\$122,273.11	2.18%
IDIS Replacement System	\$100,000.00	\$0.00	\$100,000.00	0.00%

<u>ELECTIONS GRAND TOTAL</u>	<u>FY11</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>OBLIGATED</u> <u>MONEY</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
PERSONAL SERVICE	\$1,604,100.00	\$1,521,188.48		\$82,911.52	94.83%
STATE PAID RETIREMENT	\$64,200.00	\$60,417.19		\$3,782.81	94.11%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$122,800.00	\$112,489.68		\$10,310.32	91.60%
CONTRACTUAL SERVICE	\$15,000.00	\$1,270.92		\$13,729.08	8.47%
TRAVEL	\$57,600.00	\$36,985.18		\$20,614.82	64.21%
PRINTING	\$15,400.00	\$3,283.05		\$12,116.95	21.32%
EQUIPMENT	\$4,600.00	\$0.00		\$4,600.00	0.00%
HAVA - Maintenance of Effort	\$550,000.00	\$550,000.00		\$0.00	100.00%
ELECTION CODE BOOKS	\$15,000.00	\$13,340.00		\$1,660.00	88.93%
Redistricting	\$90,000.00	\$72,500.00	\$17,500.00	\$0.00	80.56%
Election Judge Reimbursements	\$2,514,200.00	\$2,410,125.00		\$104,075.00	95.86%
Election Judge - Gov. Money	\$2,000,000.00	\$1,999,980.00		\$20.00	100.00%
Stipends	\$806,000.00	\$337,857.84		\$468,142.16	41.92%
Early Voting Election Judges	\$1,082,800.00	\$1,082,730.00		\$70.00	99.99%
State HAVA Match	\$0.00	\$0.00		\$0.00	0.00%
Voting System Integrity Center	\$250,800.00	\$0.00		\$250,800.00	0.00%
Electronic Canvassing Implementation	\$125,000.00	\$2,726.89	\$107,257.60	\$15,015.51	2.18%
IDIS Replacement System	\$100,000.00	\$0.00		\$100,000.00	0.00%
INTEREST PAYMENTS	\$12,000.00	\$11,950.77		\$49.23	99.59%
TOTAL	\$9,429,500.00	\$8,216,845.00		\$1,087,897.40	87.14%

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

GENERAL COUNSEL MONTH ENDING: June 30, 2011	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$272,000.00	\$254,886.19	\$17,113.81	93.71%
1129 State Paid Retirement	\$10,900.00	\$10,199.08	\$700.92	93.57%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$20,800.00	\$18,878.54	\$1,921.46	90.76%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Reimbursement to Employee	\$500.00	\$430.00	\$70.00	86.00%
1205 Freight Express & Drayage	\$200.00	\$0.00	\$200.00	0.00%
1221 Repair/Maint. Furn./Office Equipment				
1244 Legal Fees	\$61,900.00	\$61,823.23	\$76.77	99.88%
1245 Professional & Artistic Services, NEC				
1266 Court Reporting & Filing Services	\$11,800.00	\$11,128.55	\$671.45	94.31%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$0.00	\$0.00	\$0.00	0.00%
1275 Subscription & Information Services	\$700.00	\$609.35	\$90.65	87.05%
1276 Reg. Fees & Conf. Expenses (Employee)	\$0.00	\$0.00	\$0.00	0.00%
1277 Association Dues	\$1,000.00	\$983.00	\$17.00	98.30%
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$7,100.00	\$7,066.60	\$33.40	99.53%
1284 Computer Software				
1289 Contractual Services, NEC	\$5,100.00	\$5,007.12	\$92.88	98.18%
TRAVEL	\$7,100.00	\$7,015.43	\$84.57	98.81%
EQUIPMENT				
1510 Office Furniture & Equipment	\$7,300.00	\$7,263.19	\$36.81	99.50%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$1,400.00	\$1,341.13	\$58.87	95.80%

GENERAL COUNSEL GRAND TOTAL	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$272,000.00	\$254,886.19		\$17,113.81	93.71%
STATE PAID RETIREMENT	\$10,900.00	\$10,199.08		\$700.92	93.57%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$20,800.00	\$18,878.54		\$1,921.46	90.76%
CONTRACTUAL SERVICE	\$88,300.00	\$87,047.85	12,576.77	-\$11,324.62	98.58%
TRAVEL	\$7,100.00	\$7,015.43		\$84.57	98.81%
EQUIPMENT	\$7,300.00	\$7,263.19		\$36.81	99.50%
INTEREST PAYMENTS	\$1,400.00	\$1,341.13		\$58.87	95.80%
TOTAL	\$407,800.00	\$386,631.41	\$12,576.77	\$8,591.82	94.81%

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

CAMPAIGN DISCLOSURE MONTH ENDING: June 30, 2011	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$877,500.00	\$667,827.27	\$209,672.73	76.11%
1129 State Paid Retirement	\$35,100.00	\$26,211.78	\$8,888.22	74.68%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$67,200.00	\$49,089.84	\$18,110.16	73.05%
CONTRACTUAL SERVICE				
1202 Employee Reimbursement	\$100.00	\$49.85	\$50.15	49.85%
1205 Freight Express & Drayage	\$100.00	\$0.00	\$100.00	0.00%
1221 Repair & Maint, Furn & Office Equipment	\$300.00	\$208.00	\$92.00	69.33%
1225 Repair & Maint, EDP				
1229 Repair & Maint, NEC				
1232 Rental, Motor Vehicles				
1239 Rental, NEC	\$3,400.00	\$0.00	\$3,400.00	0.00%
1245 Professional & Artistic Services, NEC	\$5,500.00	\$0.00	\$5,500.00	0.00%
1248 Building & Ground Maintenance				
1266 Court Reporting & Filing Services				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,100.00	\$1,060.00	\$40.00	96.36%
1275 Subscription & Information Services				
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$600.00	\$0.00	\$600.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC				
TRAVEL				
1291 In-State	\$8,000.00	\$3,034.66	\$4,965.34	37.93%
1292 Out-of-State	\$3,300.00	\$1,437.80	\$1,862.20	43.57%
PRINTING	\$21,800.00	\$16,492.06	\$5,307.94	75.65%
EQUIPMENT				
1510 Office Furniture & Equipment	\$9,300.00	\$2,385.73	\$6,914.27	25.65%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$200.00	\$181.61	\$18.39	0.00%

CAMPAIGN DISCLOSURE GRAND TOTAL	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$877,500.00	\$667,827.27		\$209,672.73	76.11%
STATE PAID RETIREMENT	\$35,100.00	\$26,211.78		\$8,888.22	74.68%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$67,200.00	\$49,089.84		\$18,110.16	73.05%
CONTRACTUAL SERVICE	\$11,100.00	\$1,317.85		\$9,782.15	11.87%
TRAVEL	\$11,300.00	\$4,472.46		\$6,827.54	39.58%
PRINTING	\$21,800.00	\$16,492.06		\$5,307.94	75.65%
EQUIPMENT	\$9,300.00	\$2,385.73		\$6,914.27	25.65%
INTEREST PAYMENTS	\$200.00	\$181.61		\$18.39	90.81%
TOTAL	\$1,033,500.00	\$767,978.60	\$0.00	\$265,521.40	74.31%

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

INFORMATION TECHNOLOGY MONTH ENDING: June 30, 2011	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$832,700.00	\$638,552.46	\$194,147.54	76.68%
1129 State Paid Retirement	\$33,400.00	\$23,469.35	\$9,930.65	70.27%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$63,700.00	\$47,663.85	\$16,036.15	74.83%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1205 Freight Express & Drayage	\$13,000.00	\$0.00	\$13,000.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$1,500.00	\$1,482.31	\$17.69	98.82%
1223 Repair/Maint. Real Property	\$1,600.00	\$1,524.94	\$75.06	95.31%
1225 Repair/Maint. EDP Equipment	\$51,300.00	\$8,843.03	\$42,456.97	17.24%
1230 In-House Repair of Equipment				
1234 Rental. Machinery and Mech. Equip				
1239 Rental. NEC				
1242 Auditing & Management Services	\$154,900.00	\$154,858.14	\$41.86	99.97%
1244 Legal Fees				
1245 Professional & Artistic Services, NEC	\$61,900.00	\$0.00	\$61,900.00	0.00%
1271 Surety Bond & Ins. Prem.	\$700.00	\$498.00	\$202.00	71.14%
1272 Travel & Expense Reimbursement (Vendor)				
1274 Reg Fees & Conf. Expenses (Vendor)	\$6,000.00	\$0.00	\$6,000.00	0.00%
1275 Subscription & Information Services	\$2,800.00	\$1,000.00	\$1,800.00	35.71%
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$5,000.00	\$4,380.00	\$620.00	87.60%
1284 Computer Software	\$92,400.00	\$42,107.97	\$50,292.03	45.57%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$5,100.00	\$0.00	\$5,100.00	0.00%
TRAVEL				
1291 In-State	\$5,500.00	\$4,171.33	\$1,328.67	75.84%
1292 Out-of-State	\$1,000.00	\$0.00	\$1,000.00	0.00%
PRINTING	\$700.00	\$0.00	\$700.00	0.00%
COMMODITIES				
1304 Office/Library Supplies	\$15,100.00	\$15,015.99	\$84.01	99.44%
1332 Industrial & Shop Materials				
1394 Office/Library Equip. under \$100	\$400.00	\$343.06	\$56.94	85.77%
1398 Equipment, NEC	\$7,300.00	\$7,234.55	\$65.45	99.10%
1399 Commodities, NEC				
EQUIPMENT				
1510 Office Furniture & Equipment	\$600.00	\$567.38	\$32.62	94.56%
1515 EDP Equipment	\$231,550.00	\$210,273.46	\$21,276.54	90.81%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$3,400.00	\$3,315.32	\$84.68	97.51%

INFORMATION TECHNOLOGY GRAND TOTAL	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$832,700.00	\$638,552.46		\$194,147.54	76.68%
STATE PAID RETIREMENT	\$33,400.00	\$23,469.35		\$9,930.65	70.27%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$63,700.00	\$47,663.85		\$16,036.15	74.83%
CONTRACTUAL SERVICE	\$396,200.00	\$214,694.39	\$96,908.24	\$84,597.37	54.19%
TRAVEL	\$6,500.00	\$4,171.33		\$2,328.67	64.17%
PRINTING	\$700.00	\$0.00		\$700.00	0.00%
COMMODITIES	\$22,800.00	\$22,593.60		\$206.40	99.09%
EQUIPMENT	\$232,150.00	\$210,840.84	\$21,020.34	\$288.82	90.82%
INTEREST PAYMENTS	\$3,400.00	\$3,315.32		\$84.68	0.00%
TOTAL	\$1,591,550.00	\$1,165,301.14	\$117,928.58	\$308,320.28	73.22%

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

<u>IVRS LUMP SUM</u>	<u>YEAR TO DATE</u>
<u>MONTH ENDING: June 30, 2011</u>	<u>EXPENDITURE</u>
PERSONAL SERVICE	\$175,488.41
1129 State Paid Retirement	\$6,783.32
1161 Retirement	
1170 Social Security	\$12,417.43
CONTRACTUAL SERVICE	
1205 Freight Express & Drayage	
1221 Repair/Maint. Furn./Office Equipment	
1232 Rental Motor Vehicles	
1239 Rental, NEC	
1242 Auditing & Management Services	
1243 Book Binding Services	
1266 Court Reporting & Filing Services	
1274 Reg. Fees & Conf. Expenses (Vendor)	
1275 Subscriptions	\$79.98
1276 Reg. Fees & Conf. Expenses (Employee)	
1277 Association Dues	
1279 Employee Tuition & Fees	
1280 Copying, Photographic & Printing Services	
1284 Computer Software	\$41,080.00
1286 Travel, Non-State Employee	
1289 Contractual Services, NEC	
TRAVEL	\$206.57
COMMODITIES	
1304 Office Supplies	
1398 Equipment Less than \$100	
EQUIPMENT	
1510 Office Furniture & Equipment	\$419.60
LUMP SUMS & OTHER PURPOSES	
1991 Interest Payments	
LOCAL GRANTS	
4453 Reimbursement to Governmental Units	\$790,017.85
4458 Services, NEC	
4470 Grants to Local Governments	
4479 Payments to Other State Agencies	
LUMP SUM - OPERATIONS APPROP FOR YEAR	\$328,500.00
TOTAL LUMP SUM - OPERATIONS EXPENDITURES	\$236,475.31
REMAINING LUMP SUM APPROPRIATION	\$92,024.69
LUMP SUM - GRANTS APPROP FOR YEAR	\$1,251,900.00
TOTAL LUMP SUM - GRANT EXPENDITURES	\$790,017.85
REMAINING LUMP SUM APPROPRIATION	\$461,882.15
LUMP SUM TOTAL APPROPRIATION	\$1,580,400.00
TOTAL LUMP SUM EXPENDITURES	\$1,026,493.16
REMAINING LUMP SUM APPROPRIATION	\$553,906.84

FUNDS MOVED BETWEEN DIVISIONS

TO: GENERAL COUNSEL
1244 \$9,200.00

FROM: ELECTIONS (1200)
1274 \$3,800.00
1279 \$2,200.00
1280 \$800.00
1277 \$1,900.00
1276 \$500.00

Total \$9,200.00

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

AGENCY TOTALS MONTH ENDING: July 31, 2011	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$4,314,100.00	\$3,798,685.94	\$0.00	\$515,414.06	88.05%
STATE PAID RETIREMENT	\$172,800.00	\$148,560.59	\$0.00	\$24,239.41	85.97%
RETIREMENT (inc. supplemental)	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$330,200.00	\$279,828.22	\$0.00	\$50,371.78	84.75%
CONTRACTUAL SERVICE	\$954,500.00	\$766,892.11	\$51,232.93	\$136,374.96	80.34%
TRAVEL	\$121,200.00	\$74,752.92	\$0.00	\$46,447.08	61.68%
PRINTING	\$47,700.00	\$24,516.11	\$0.00	\$23,183.89	51.40%
COMMODITIES	\$44,900.00	\$41,541.48	\$0.00	\$3,358.52	92.52%
EQUIPMENT	\$259,850.00	\$240,574.12	\$4,670.34	\$14,605.54	92.58%
TELECOMMUNICATIONS	\$139,600.00	\$101,337.79	\$0.00	\$38,262.21	72.59%
OPERATION OF AUTO EQUIPMENT	\$4,400.00	\$3,513.17	\$0.00	\$886.83	79.84%
HAVA MAINTENANCE OF EFFORT	\$550,000.00	\$550,000.00	\$0.00	\$0.00	100.00%
ELECTION CODE BOOKS	\$15,000.00	\$13,456.00	\$0.00	\$1,544.00	89.71%
IVRS LUMP SUM - OPERATIONS	\$328,500.00	\$238,386.56	\$0.00	\$90,113.44	72.57%
INTEREST PAYMENTS	\$17,800.00	\$17,521.26	\$0.00	\$278.74	0.00%
SUB-TOTAL (OPERATIONS)	\$7,300,550.00	\$6,299,566.27	\$55,903.27	\$945,080.46	86.29%
CO CLERK & RECORDER STIPENDS	\$806,000.00	\$806,000.00	\$0.00	\$0.00	100.00%
ELECTION JUDGE REIMBURSEMENT	\$2,514,200.00	\$2,410,125.00	\$0.00	\$104,075.00	95.86%
ELECTION JUDGE - GOV. MONEY	\$2,000,000.00	\$1,999,980.00	\$0.00	\$20.00	100.00%
ELECTION JUDGES/EARLY VOTING	\$1,082,800.00	\$1,082,730.00	\$0.00	\$70.00	99.99%
IVRS LUMP SUM - GRANTS	\$1,251,900.00	\$1,101,609.12	\$0.00	\$150,290.88	87.99%
REDISTRICTING	\$90,000.00	\$90,000.00	\$0.00	\$0.00	100.00%
ADDITIONAL STATE MATCH	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
VOTING SYSTEMS INTEGRITY CENTER	\$250,800.00	\$0.00	\$0.00	\$250,800.00	0.00%
ELEC. CANVASSING IMPLEMENTATION	\$125,000.00	\$29,292.03	\$82,540.30	\$13,167.67	23.43%
IDIS SYSTEM REPLACEMENT	\$100,000.00	\$0.00	\$0.00	\$100,000.00	0.00%
SUB-TOTAL (GRANTS)	\$8,220,700.00	\$7,519,736.15	\$82,540.30	\$618,423.55	91.47%
TOTAL APPROPRIATION	\$15,521,250.00	\$13,819,302.42	\$138,443.57	\$1,563,504.01	89.03%

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

BOARD MONTH ENDING: July 31, 2011	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
CONTRACTUAL SERVICE				
1205 Freight Express & Drayage	\$2,600.00	\$2,560.83	\$39.17	98.49%
1221 Repair/Maint. Furn./Office Equipment				
1232 Rental Motor Vehicles	\$1,000.00	\$1,000.00	\$0.00	100.00%
1239 Rental, NEC				
1243 Book Binding Services				
1266 Court Reporting & Filing Services	\$15,600.00	\$15,296.20	\$303.80	98.05%
1274 Reg. Fees & Conf. Expenses (Vendor)	\$600.00	\$530.00	\$70.00	88.33%
1275 Subscriptions				
1276 Reg. Fees & Conf. Expenses (Employee)	\$100.00	\$0.00	\$100.00	0.00%
1277 Association Dues	\$100.00	\$0.00	\$100.00	0.00%
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$500.00	\$0.00	\$500.00	0.00%
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$1,500.00	\$1,485.77	\$14.23	99.05%
TRAVEL	\$19,000.00	\$12,133.05	\$6,866.95	63.86%
EQUIPMENT				
1510 Office Furniture & Equipment	\$700.00	\$0.00	\$700.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$200.00	\$138.06	\$61.94	69.03%

BOARD GRAND TOTAL	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE
CONTRACTUAL SERVICE	\$22,000.00	\$20,872.80		\$1,127.20
TRAVEL	\$19,000.00	\$12,133.05		\$6,866.95
EQUIPMENT	\$700.00	\$0.00		\$700.00
INTEREST PAYMENTS	\$200.00	\$138.06		\$61.94
TOTAL	\$41,900.00	\$33,143.91	\$0.00	\$8,756.09

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

ADMINISTRATION MONTH ENDING: July 31, 2011	FY11	YEAR TO DATE	% OF	
	APPROPRIATION	EXPENDITURE	BALANCE	EXPENDITURE
PERSONAL SERVICE	\$727,800.00	\$704,350.05	\$23,449.95	96.78%
1129 State Paid Retirement	\$29,200.00	\$28,238.93	\$961.07	96.71%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$55,700.00	\$50,797.38	\$4,902.62	91.20%
CONTRACTUAL SERVICE				
1201 Petty Cash	\$500.00	\$136.97	\$363.03	27.39%
1205 Freight Express & Drayage	\$700.00	\$409.78	\$290.22	58.54%
1221 Repair/Maint. Furn./Office Equipment	\$9,500.00	\$9,468.72	\$31.28	99.67%
1223 Repair/Maint. Real Property	\$3,200.00	\$0.00	\$3,200.00	0.00%
1230 In-House Repair & Maintenance				
1231 Rental, Office Equipment	\$28,500.00	\$2,566.10	\$25,933.90	9.00%
1232 Rental, Motor Vehicles	\$4,600.00	\$1,000.00	\$3,600.00	21.74%
1233 Rental, Real Property	\$210,400.00	\$210,340.35	\$59.65	99.97%
1234 Rental, Machinery & Mechanical Eqmt				
1239 Rental, NEC	\$1,400.00	\$410.00	\$990.00	29.29%
1240 Statistical & Tabulation Services	\$13,600.00	\$13,524.47	\$75.53	99.44%
1245 Professional & Artistic				
1248 Building & Grounds Maintenance	\$6,300.00	\$3,145.13	\$3,154.87	49.92%
1251 Gas	\$9,500.00	\$8,636.18	\$863.82	90.91%
1252 Electricity	\$56,000.00	\$52,469.01	\$3,530.99	93.69%
1253 Water	\$1,000.00	\$996.52	\$3.48	99.65%
1255 Utilities, NEC	\$800.00	\$606.49	\$193.51	75.81%
1261 Postage	\$64,600.00	\$64,510.54	\$89.46	99.86%
1266 Court Reporting				
1274 Reg Fees & Conf. Expenses (Vendor)	\$800.00	\$0.00	\$800.00	0.00%
1275 Subscription & Information Services	\$2,500.00	\$2,474.84	\$25.16	98.99%
1276 Reg. Fees & Conf. Expenses (Employee)	\$400.00	\$0.00	\$400.00	0.00%
1277 Association Dues	\$2,000.00	\$1,920.00	\$80.00	96.00%
1279 Tuition - Employee	\$3,100.00	\$3,065.00	\$35.00	98.87%
1281 Interviewee Expense - To Vendors				
1285 Taxes, Licenses & Fees	\$100.00	\$0.00	\$100.00	0.00%
1289 Contractual Services, NEC	\$11,600.00	\$11,516.22	\$83.78	99.28%
TRAVEL				
1291 In-State	\$18,000.00	\$7,000.19	\$10,999.81	38.89%
1292 Out-of-State	\$1,000.00	\$0.00	\$1,000.00	0.00%
PRINTING	\$9,800.00	\$4,741.00	\$5,059.00	48.38%
COMMODITIES				
1304 Office/Library Supplies	\$12,000.00	\$10,658.87	\$1,341.13	88.82%
1391 Household & Cleaning Supplies	\$3,500.00	\$2,015.62	\$1,484.38	57.59%
1394 Office/Library Equip., Not exc. \$100				
1398 Equipment, NEC	\$500.00	\$224.49	\$275.51	44.90%
1399 Commodities, NEC	\$400.00	\$278.91	\$121.09	69.73%
EQUIPMENT				
1510 Office Furniture & Equipment	\$2,000.00	\$221.76	\$1,778.24	11.09%
1599 Equipment NEC	\$1,000.00	\$0.00	\$1,000.00	0.00%
TELECOMMUNICATIONS				
1710 Repair/Maintenance Telecom				
1721 Rental, Telephone Serv. & Equip.	\$48,000.00	\$47,265.80	\$734.20	98.47%
1722 Rental, Data Comm. Serv. & Equip.	\$81,200.00	\$46,942.64	\$34,257.36	57.81%
1728 Videoconferencing	\$6,400.00	\$6,167.50	\$232.50	96.37%
1729 Rental, Other Comm. Serv. & Equip.	\$3,000.00	\$0.00	\$3,000.00	0.00%
1730 Parts & Supplies for Telephone	\$1,000.00	\$961.85	\$38.15	96.19%
1750 Telephone, Data, Radio & Other Equipment				
OPERATION OF AUTO EQUIPMENT				
1893 Repair & Maint., Auto. Equipment	\$1,800.00	\$1,751.01	\$48.99	97.28%
1894 Parts & Fittings, Auto Equipment	\$200.00	\$0.00	\$200.00	0.00%
1896 Gasoline, Oil & Antifreeze	\$2,400.00	\$1,762.16	\$637.84	73.42%
1899 Auto. Expenses, NEC				
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$600.00	\$594.37	\$5.63	99.06%
ADMINISTRATION GRAND TOTAL	FY11	YEAR TO DATE	OBLIGATED	% OF
	APPROPRIATION	EXPENDITURE	MONEY	BALANCE EXPENDITURE
PERSONAL SERVICE	\$727,800.00	\$704,350.05	\$23,449.95	96.78%
STATE PAID RETIREMENT	\$29,200.00	\$28,238.93	\$961.07	96.71%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$55,700.00	\$50,797.38	\$4,902.62	91.20%
CONTRACTUAL SERVICE	\$431,100.00	\$387,196.32	\$4,223.65	89.82%
TRAVEL	\$19,000.00	\$7,000.19	\$11,999.81	36.84%
PRINTING	\$9,800.00	\$4,741.00	\$5,059.00	48.38%
COMMODITIES	\$16,400.00	\$13,177.89	\$3,222.11	80.35%
EQUIPMENT	\$3,000.00	\$221.76	\$2,778.24	7.39%
TELECOMMUNICATIONS	\$139,600.00	\$101,337.79	\$38,262.21	72.59%
OPERATION OF AUTO EQUIPMENT	\$4,400.00	\$3,513.17	\$886.83	79.84%
INTEREST PAYMENTS	\$600.00	\$594.37	\$5.63	99.06%
TOTAL	\$1,436,600.00	\$1,301,168.85	\$4,223.65	\$131,207.50 90.67%

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

<u>ELECTIONS</u> <u>MONTH ENDING: July 31, 2011</u>	<u>FY11</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
PERSONAL SERVICE	\$1,604,100.00	\$1,521,549.95	\$82,550.05	94.85%
1129 State Paid Retirement	\$64,200.00	\$60,431.65	\$3,768.35	94.13%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$122,800.00	\$112,517.33	\$10,282.67	91.63%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Contractual reimbursement To Employee				
1205 Freight Express & Drayage	\$2,300.00	\$90.92	\$2,209.08	3.95%
1221 Repair/Maint. Furn./Office Equipment	\$200.00	\$0.00	\$200.00	0.00%
1231 Rental, Office Equipment				
1232 Rental, Motor Vehicles				
1237 Rental, Film & Audio/Visual Aids	\$100.00	\$0.00	\$100.00	0.00%
1239 Rental, NEC	\$200.00	\$100.00	\$100.00	50.00%
1242 Auditing & Management Services				
1245 Professional & Artistic Services, NEC				
1266 Court Reporting & Filing Services				
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$200.00	\$0.00	\$200.00	0.00%
1275 Subscription & Information Services	\$2,600.00	\$350.00	\$2,250.00	13.46%
1276 Reg. Fees & Conf. Expenses (Employee)	\$3,100.00	\$75.00	\$3,025.00	2.42%
1277 Association Dues	\$500.00	\$439.00	\$61.00	87.80%
1279 Employee Tuition & Fees	\$300.00	\$0.00	\$300.00	0.00%
1280 Copying, Photographic & Printing Services	\$200.00	\$0.00	\$200.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1289 Contractual Services, NEC	\$5,300.00	\$216.00	\$5,084.00	4.08%
TRAVEL	\$57,600.00	\$37,728.12	\$19,871.88	65.50%
PRINTING	\$15,400.00	\$3,283.05	\$12,116.95	21.32%
EQUIPMENT				
1510 Office Furniture & Equipment	\$4,600.00	\$445.00	\$4,155.00	9.67%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$12,000.00	\$11,950.77	\$49.23	99.59%
HAVA - Maintenance of Effort	\$550,000.00	\$550,000.00	\$0.00	100.00%
ELECTION CODE BOOKS	\$15,000.00	\$13,456.00	\$1,544.00	89.71%
Redistricting	\$90,000.00	\$90,000.00	\$0.00	100.00%
Election Judge Reimbursements	\$2,514,200.00	\$2,410,125.00	\$104,075.00	95.86%
Election Judge - Gov. Money	\$2,000,000.00	\$1,999,980.00	\$20.00	100.00%
Stipends	\$806,000.00	\$806,000.00	\$0.00	100.00%
Early Voting Election Judges	\$1,082,800.00	\$1,082,730.00	\$70.00	99.99%
State HAVA Match	\$0.00	\$0.00	\$0.00	0.00%
Voting System Integrity Center	\$250,800.00	\$0.00	\$250,800.00	0.00%
Electronic Canvassing Implementation	\$125,000.00	\$29,292.03	\$95,707.97	23.43%
IDIS Replacement System	\$100,000.00	\$0.00	\$100,000.00	0.00%

<u>ELECTIONS GRAND TOTAL</u>	<u>FY11</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>OBLIGATED</u> <u>MONEY</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
PERSONAL SERVICE	\$1,604,100.00	\$1,521,549.95		\$82,550.05	94.85%
STATE PAID RETIREMENT	\$64,200.00	\$60,431.65		\$3,768.35	94.13%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$122,800.00	\$112,517.33		\$10,282.67	91.63%
CONTRACTUAL SERVICE	\$15,000.00	\$1,270.92		\$13,729.08	8.47%
TRAVEL	\$57,600.00	\$37,728.12		\$19,871.88	65.50%
PRINTING	\$15,400.00	\$3,283.05		\$12,116.95	21.32%
EQUIPMENT	\$4,600.00	\$445.00		\$4,155.00	9.67%
HAVA - Maintenance of Effort	\$550,000.00	\$550,000.00		\$0.00	100.00%
ELECTION CODE BOOKS	\$15,000.00	\$13,456.00		\$1,544.00	89.71%
Redistricting	\$90,000.00	\$90,000.00		\$0.00	100.00%
Election Judge Reimbursements	\$2,514,200.00	\$2,410,125.00		\$104,075.00	95.86%
Election Judge - Gov. Money	\$2,000,000.00	\$1,999,980.00		\$20.00	100.00%
Stipends	\$806,000.00	\$806,000.00		\$0.00	100.00%
Early Voting Election Judges	\$1,082,800.00	\$1,082,730.00		\$70.00	99.99%
State HAVA Match	\$0.00	\$0.00		\$0.00	0.00%
Voting System Integrity Center	\$250,800.00	\$0.00		\$250,800.00	0.00%
Electronic Canvassing Implementation	\$125,000.00	\$29,292.03	\$82,540.30	\$13,167.67	23.43%
IDIS Replacement System	\$100,000.00	\$0.00		\$100,000.00	0.00%
INTEREST PAYMENTS	\$12,000.00	\$11,950.77		\$49.23	99.59%
TOTAL	\$9,429,500.00	\$8,730,759.82	\$82,540.30	\$616,199.88	92.59%

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

GENERAL COUNSEL MONTH ENDING: July 31, 2011	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$272,000.00	\$266,161.20	\$5,838.80	97.85%
1129 State Paid Retirement	\$10,900.00	\$10,199.08	\$700.92	93.57%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$20,800.00	\$19,741.08	\$1,058.92	94.91%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Reimbursement to Employee	\$500.00	\$430.00	\$70.00	86.00%
1205 Freight Express & Drayage	\$100.00	\$31.20	\$68.80	31.20%
1221 Repair/Maint. Furn./Office Equipment				
1244 Legal Fees	\$66,700.00	\$66,670.27	\$29.73	99.96%
1245 Professional & Artistic Services, NEC				
1266 Court Reporting & Filing Services	\$12,500.00	\$12,408.05	\$91.95	99.26%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$0.00	\$0.00	\$0.00	0.00%
1275 Subscription & Information Services	\$700.00	\$609.35	\$90.65	87.05%
1276 Reg. Fees & Conf. Expenses (Employee)	\$0.00	\$0.00	\$0.00	0.00%
1277 Association Dues	\$1,000.00	\$983.00	\$17.00	98.30%
1279 Employee Tuition & Fees	\$100.00	\$60.00	\$40.00	60.00%
1280 Copying, Photographic & Printing Services	\$7,100.00	\$7,066.60	\$33.40	99.53%
1284 Computer Software				
1289 Contractual Services, NEC	\$5,500.00	\$5,461.37	\$38.63	99.30%
TRAVEL	\$7,800.00	\$7,737.22	\$62.78	99.20%
EQUIPMENT				
1510 Office Furniture & Equipment	\$7,300.00	\$7,263.19	\$36.81	99.50%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$1,400.00	\$1,341.13	\$58.87	95.80%

GENERAL COUNSEL GRAND TOTAL	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$272,000.00	\$266,161.20		\$5,838.80	97.85%
STATE PAID RETIREMENT	\$10,900.00	\$10,199.08		\$700.92	93.57%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$20,800.00	\$19,741.08		\$1,058.92	94.91%
CONTRACTUAL SERVICE	\$94,200.00	\$93,719.84	9,829.73	-\$9,349.57	99.49%
TRAVEL	\$7,800.00	\$7,737.22		\$62.78	99.20%
EQUIPMENT	\$7,300.00	\$7,263.19		\$36.81	99.50%
INTEREST PAYMENTS	\$1,400.00	\$1,341.13		\$58.87	95.80%
TOTAL	\$414,400.00	\$406,162.74	\$9,829.73	-\$1,592.47	98.01%

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

CAMPAIGN DISCLOSURE MONTH ENDING: July 31, 2011	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$877,500.00	\$667,884.06	\$209,615.94	76.11%
1129 State Paid Retirement	\$35,100.00	\$26,214.05	\$8,885.95	74.68%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$67,200.00	\$49,094.18	\$18,105.82	73.06%
CONTRACTUAL SERVICE				
1202 Employee Reimbursement	\$100.00	\$49.85	\$50.15	49.85%
1205 Freight Express & Drayage	\$100.00	\$0.00	\$100.00	0.00%
1221 Repair & Maint. Furn & Office Equipment	\$300.00	\$208.00	\$92.00	69.33%
1225 Repair & Maint. EDP				
1229 Repair & Maint. NEC				
1232 Rental. Motor Vehicles				
1239 Rental. NEC	\$700.00	\$0.00	\$700.00	0.00%
1245 Professional & Artistic Services, NEC	\$2,100.00	\$0.00	\$2,100.00	0.00%
1248 Building & Ground Maintenance				
1266 Court Reporting & Filing Services				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,100.00	\$1,060.00	\$40.00	96.36%
1275 Subscription & Information Services				
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$600.00	\$0.00	\$600.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1286 Travel. Non-State Employee				
1289 Contractual Services, NEC	\$6,100.00	\$6,043.29	\$56.71	99.07%
TRAVEL				
1291 In-State	\$8,000.00	\$4,281.26	\$3,718.74	53.52%
1292 Out-of-State	\$3,300.00	\$1,437.80	\$1,862.20	43.57%
PRINTING	\$21,800.00	\$16,492.06	\$5,307.94	75.65%
EQUIPMENT				
1510 Office Furniture & Equipment	\$9,300.00	\$2,385.73	\$6,914.27	25.65%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$200.00	181.61	\$18.39	0.00%

CAMPAIGN DISCLOSURE GRAND TOTAL	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$877,500.00	\$667,884.06		\$209,615.94	76.11%
STATE PAID RETIREMENT	\$35,100.00	\$26,214.05		\$8,885.95	74.68%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$67,200.00	\$49,094.18		\$18,105.82	73.06%
CONTRACTUAL SERVICE	\$11,100.00	\$7,361.14		\$3,738.86	66.32%
TRAVEL	\$11,300.00	\$5,719.06		\$5,580.94	50.61%
PRINTING	\$21,800.00	\$16,492.06		\$5,307.94	75.65%
EQUIPMENT	\$9,300.00	\$2,385.73		\$6,914.27	25.65%
INTEREST PAYMENTS	\$200.00	\$181.61		\$18.39	90.81%
TOTAL	\$1,033,500.00	\$775,331.89	\$0.00	\$258,168.11	75.02%

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

INFORMATION TECHNOLOGY MONTH ENDING: July 31, 2011	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$832,700.00	\$638,740.68	\$193,959.32	76.71%
1129 State Paid Retirement	\$33,400.00	\$23,476.88	\$9,923.12	70.29%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$63,700.00	\$47,678.25	\$16,021.75	74.85%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1205 Freight Express & Drayage	\$13,000.00	\$0.00	\$13,000.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$1,500.00	\$1,482.31	\$17.69	98.82%
1223 Repair/Maint. Real Property	\$1,600.00	\$1,524.94	\$75.06	95.31%
1225 Repair/Maint. EDP Equipment	\$36,400.00	\$8,843.03	\$27,556.97	24.29%
1230 In-House Repair of Equipment				
1234 Rental, Machinery and Mech. Equip				
1239 Rental, NEC				
1242 Auditing & Management Services	\$169,800.00	\$169,724.84	\$75.16	99.96%
1244 Legal Fees				
1245 Professional & Artistic Services, NEC	\$46,800.00	\$0.00	\$46,800.00	0.00%
1271 Surety Bond & Ins. Prem.	\$700.00	\$498.00	\$202.00	71.14%
1272 Travel & Expense Reimbursement (Vendor)				
1274 Reg Fees & Conf. Expenses (Vendor)	\$6,000.00	\$0.00	\$6,000.00	0.00%
1275 Subscription & Information Services	\$2,800.00	\$1,000.00	\$1,800.00	35.71%
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$5,000.00	\$4,380.00	\$620.00	87.60%
1284 Computer Software	\$92,400.00	\$69,017.97	\$23,382.03	74.69%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$5,100.00	\$0.00	\$5,100.00	0.00%
TRAVEL				
1291 In-State	\$5,500.00	\$4,435.28	\$1,064.72	80.64%
1292 Out-of-State	\$1,000.00	\$0.00	\$1,000.00	0.00%
PRINTING	\$700.00	\$0.00	\$700.00	0.00%
COMMODITIES				
1304 Office/Library Supplies	\$20,100.00	\$20,082.16	\$17.84	99.91%
1332 Industrial & Shop Materials				
1394 Office/Library Equip. under \$100	\$400.00	\$343.06	\$56.94	85.77%
1398 Equipment, NEC	\$7,800.00	\$7,751.27	\$48.73	99.38%
1399 Commodities, NEC	\$200.00	\$187.10	\$12.90	93.55%
EQUIPMENT				
1510 Office Furniture & Equipment	\$600.00	\$567.38	\$32.62	94.56%
1515 EDP Equipment	\$234,350.00	\$229,691.06	\$4,658.94	98.01%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$3,400.00	\$3,315.32	\$84.68	97.51%

INFORMATION TECHNOLOGY GRAND TOTAL	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$832,700.00	\$638,740.68		\$193,959.32	76.71%
STATE PAID RETIREMENT	\$33,400.00	\$23,476.88		\$9,923.12	70.29%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$63,700.00	\$47,678.25		\$16,021.75	74.85%
CONTRACTUAL SERVICE	\$381,100.00	\$256,471.09	\$37,179.55	\$87,449.36	67.30%
TRAVEL	\$6,500.00	\$4,435.28		\$2,064.72	68.24%
PRINTING	\$700.00	\$0.00		\$700.00	0.00%
COMMODITIES	\$28,500.00	\$28,363.59		\$136.41	99.52%
EQUIPMENT	\$234,950.00	\$230,258.44	\$4,670.34	\$21.22	98.00%
INTEREST PAYMENTS	\$3,400.00	\$3,315.32		\$84.68	0.00%
TOTAL	\$1,584,950.00	\$1,232,739.53	\$41,849.89	\$310,360.58	77.78%

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
General Revenue Fund

<u>IVRS LUMP SUM</u>	<u>YEAR TO DATE</u>
<u>MONTH ENDING: July 31, 2011</u>	<u>EXPENDITURE</u>
PERSONAL SERVICE	\$175,488.41
1129 State Paid Retirement	\$6,783.32
1161 Retirement	
1170 Social Security	\$12,417.43
CONTRACTUAL SERVICE	
1205 Freight Express & Drayage	\$130.00
1221 Repair/Maint. Furn./Office Equipment	
1232 Rental Motor Vehicles	
1239 Rental, NEC	
1242 Auditing & Management Services	
1243 Book Binding Services	
1266 Court Reporting & Filing Services	
1274 Reg. Fees & Conf. Expenses (Vendor)	
1275 Subscriptions	\$79.98
1276 Reg. Fees & Conf. Expenses (Employee)	
1277 Association Dues	
1279 Employee Tuition & Fees	
1280 Copying, Photographic & Printing Services	
1284 Computer Software	\$41,080.00
1286 Travel, Non-State Employee	
1289 Contractual Services, NEC	
TRAVEL	\$206.57
COMMODITIES	
1304 Office Supplies	
1398 Equipment Less than \$100	
1399 Misc. N.E.C.	\$1,781.25
EQUIPMENT	
1510 Office Furniture & Equipment	\$419.60
LUMP SUMS & OTHER PURPOSES	
1991 Interest Payments	
LOCAL GRANTS	
4453 Reimbursement to Governmental Units	\$1,101,609.12
4458 Services, NEC	
4470 Grants to Local Governments	
4479 Payments to Other State Agencies	
LUMP SUM - OPERATIONS APPROP FOR YEAR	\$328,500.00
TOTAL LUMP SUM - OPERATIONS EXPENDITURES	\$238,386.56
REMAINING LUMP SUM APPROPRIATION	\$90,113.44
LUMP SUM - GRANTS APPROP FOR YEAR	\$1,251,900.00
TOTAL LUMP SUM - GRANT EXPENDITURES	\$1,101,609.12
REMAINING LUMP SUM APPROPRIATION	\$150,290.88
LUMP SUM TOTAL APPROPRIATION	\$1,580,400.00
TOTAL LUMP SUM EXPENDITURES	\$1,339,995.68
REMAINING LUMP SUM APPROPRIATION	\$240,404.32

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

AGENCY TOTALS	SECTION 101 Discretionary Funds	SECTION 102 Entitlement Payments	SECTION 261 EAID Disbursements	SECTION 251 Requirements	SECTION 251 Additional Requirements	TOTAL Fund Activity
MONTH ENDING: June 30, 2011						
CASH BALANCE AT BEGINNING OF YR	\$2,694,896.84	\$136,049.00	-\$25,054.00	\$8,771,772.99	\$5,090,669.45	\$16,668,334.28
Program Revenues from Federal Govt			\$537,489.14		\$7,128,540.00	\$7,666,029.14
Miscellaneous Revenues						\$0.00
Interest Earned on IOC Balances	\$12,601.92			\$34,019.31	\$55,953.77	\$102,575.00
Interest Penalties Received	\$782.04					\$782.04
State Match Receipts					\$375,188.00	\$375,188.00
TOTAL CASH REVENUES	\$13,383.96	\$0.00	\$537,489.14	\$34,019.31	\$7,559,681.77	\$8,144,574.18

YEAR TO DATE CASH EXPENDITURES						
PERSONAL SERVICE	\$94,681.42	\$0.00	\$0.00	\$0.00	\$0.00	\$94,681.42
STATE-PAID RETIREMENT	\$3,788.08	\$0.00	\$0.00	\$0.00	\$0.00	\$3,788.08
RETIREMENT	\$28,036.08	\$0.00	\$0.00	\$0.00	\$0.00	\$28,036.08
SOCIAL SECURITY	\$6,939.74	\$0.00	\$0.00	\$0.00	\$0.00	\$6,939.74
GROUP INSURANCE	\$27,454.68	\$0.00	\$0.00	\$0.00	\$0.00	\$27,454.68
CONTRACTUAL SERVICE	\$28,828.52	\$0.00	\$0.00	\$716,565.76	\$0.00	\$745,394.28
TRAVEL	\$17,425.17	\$0.00	\$0.00	\$0.00	\$0.00	\$17,425.17
PRINTING	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TELECOMMUNICATIONS	\$351.16	\$0.00	\$0.00	\$0.00	\$0.00	\$351.16
OPERATION OF AUTO. EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$125,735.98	\$0.00	\$0.00	\$492,496.86	\$0.00	\$618,232.84
SUB-TOTAL CASH EXPENDITURES (OPERATIONS)	\$333,240.83	\$0.00	\$0.00	\$1,209,062.62	\$0.00	\$1,542,303.45
AWARDS & GRANTS	-\$35,564.61	\$136,049.00	\$512,435.14	\$1,889,242.05	\$678,861.75	\$3,181,023.33
TOTAL CASH EXPENDITURES	\$297,676.22	\$136,049.00	\$512,435.14	\$3,098,304.67	\$678,861.75	\$4,723,326.78

CASH BALANCE AT END OF MONTH	\$2,410,604.58	\$0.00	\$0.00	\$5,707,487.63	\$11,971,489.47	\$20,089,581.68
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STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 101 - DISCRETIONARY FUNDS MONTH ENDING: <u>June 30, 2011</u>	FY11 <u>APPROPRIATION</u>	YEAR TO DATE <u>EXPENDITURE</u>	
PERSONAL SERVICE	\$94,681.42	\$94,681.42	
1129 State Paid Retirement	\$3,788.08	\$3,788.08	
1161 Retirement	\$28,036.08	\$28,036.08	
1170 Social Security	\$6,939.74	\$6,939.74	
1180 Group Insurance	\$27,454.68	\$27,454.68	
CONTRACTUAL SERVICE			
1205 Freight Express/Drayage	\$53.44	\$53.44	
1223 Repair & Maintenance Real Property			
1225 Repair & Maintenance, EDP Equip.	\$10,400.08	\$10,400.08	
1231 Rental, Office Equipment			
1232 Rental, Motor Vehicles			
1237 Rental, Film & Audio/Visual Aids			
1239 Rental, NEC			
1242 Auditing & Management Services	\$13,375.00	\$13,375.00	
1243 Book Binding & Processing Services			
1244 Legal Fees			
1245 Professional & Technical Services, NEC	\$2,950.00	\$2,950.00	
1261 Postage			
1266 Court Reporting & Filing Services			
1273 Advertising			
1274 Registration Fees & Conf. Exp. (Vendor)	\$1,975.00	\$1,975.00	
1276 Registration Fees & Conf. Exp. (Employee)	\$75.00	\$75.00	
1280 Copying, Photographic & Printing Serv.			
1284 Computer Software			
1286 Travel, Reimb. to Non-State Employees			
1289 Contractual Services, NEC			
TRAVEL			
1291 In-State	\$6,378.74	\$6,378.74	
1292 Out-of-State	\$11,046.43	\$11,046.43	
PRINTING			
COMMODITIES			
1304 Office/Library Supplies			
1308 Educational & Instructional Materials			
1394 Office/Library Equip., Not Exceed. \$100			
1398 Equipment, NEC			
1399 Commodities, NEC			
EQUIPMENT			
1510 Office Furniture & Equipment			
1515 EDP Equipment			
1561 Training Equipment			
6625 Leasehold Improvements			
TELECOMMUNICATIONS			
1721 Rental, Telephone Serv. & Equip.	\$351.16	\$351.16	
1722 Rental, Data Comm. Serv. & Equip.			
1728 Video Conferencing			
1729 Rental, Other Comm. Serv. & Equip.			
1730 Parts & Supplies for Telephone			
1740 Answering & Pag. Comm. Serv. Equip.			
1750 Telephone, Data, Radio & Other Equip.			
1799 Telecommunication Services, NEC			
OPERATION OF AUTO EQUIPMENT			
1893 Repair & Maint., Automotive Equipment			
1894 Parts & Fittings, Automotive Equipment			
1896 Gasoline, Oil & Antifreeze			
1898 Automotive Services, NEC			
1899 Automotive Expenses, NEC			
INDIRECT COST REFUNDS			
1993 Indirect Cost Allocation Refund	\$125,735.98	\$125,735.98	
GRANTS			
4453 Reimbursement to Governmental Units			
4458 Services, NEC			
4470 Grants to Local Governments (PPA)	-\$35,564.61	-\$35,564.61	
4470 Grants to Local Governments (EAI)			
4470 Grants to Local Governments (AAG)			
4470 Grants to Local Governments			
4479 Payments to Other State Agencies			
SECTION 101 GRAND TOTAL	FY11 <u>APPROPRIATION</u>	YEAR TO DATE <u>EXPENDITURE</u>	OBLIGATED <u>MONEY</u>
PERSONAL SERVICE	\$94,681.42	\$94,681.42	
STATE PAID RETIREMENT	\$3,788.08	\$3,788.08	
RETIREMENT	\$28,036.08	\$28,036.08	
SOCIAL SECURITY	\$6,939.74	\$6,939.74	
GROUP INSURANCE	\$27,454.68	\$27,454.68	
CONTRACTUAL SERVICE	\$28,828.52	\$28,828.52	\$50.00
TRAVEL	\$17,425.17	\$17,425.17	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$351.16	\$351.16	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$125,735.98	\$125,735.98	
GRANTS	-\$35,564.61	-\$35,564.61	
TOTAL	\$297,676.22	\$297,676.22	\$50.00

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

SECTION 102 - ENTITLEMENT PAYMENTS
MONTH ENDING: June 30, 2011

FY11
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

- 1129 State Paid Retirement
- 1161 Retirement
- 1170 Social Security

CONTRACTUAL SERVICE

- 1205 Freight Express/Drayage
- 1225 Repair & Maintenance, EDP Equip.
- 1231 Rental, Office Equipment
- 1237 Rental, Film & Audio/Visual Aids
- 1239 Rental, NEC
- 1242 Auditing & Management Services
- 1243 Book Binding & Processing Services
- 1244 Legal Fees
- 1245 Professional & Technical Services, NEC
- 1261 Postage
- 1266 Court Reporting & Filing Services
- 1274 Registration Fees & Conf. Exp. (Vendor)
- 1276 Registration Fees & Conf. Exp. (Employee)
- 1280 Copying, Photographic & Printing Serv.
- 1284 Computer Software
- 1289 Contractual Services, NEC

TRAVEL

- 1291 In-State
- 1292 Out-of-State

PRINTING

COMMODITIES

- 1304 Office/Library Supplies
- 1394 Office/Library Equip., Not Exceed. \$100
- 1398 Equipment, NEC
- 1399 Commodities, NEC

EQUIPMENT

- 1510 Office Furniture & Equipment
- 1515 EDP Equipment
- 1599 Equipment, NEC

TELECOMMUNICATIONS

- 1721 Rental, Telephone Serv. & Equip.
- 1722 Rental, Data Comm. Serv. & Equip.
- 1728 Video Conferencing
- 1729 Rental, Other Comm. Serv. & Equip.
- 1730 Parts & Supplies for Telephone
- 1740 Answering & Pag. Comm. Serv. Equip.
- 1750 Telephone, Data, Radio & Other Equip.
- 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

- 1893 Repair & Maint., Automotive Equipment
- 1894 Parts & Fittings, Automotive Equipment
- 1896 Gasoline, Oil & Antifreeze
- 1898 Automotive Services, NEC
- 1899 Automotive Expenses, NEC

GRANTS

- 4453 Reimbursement to Governmental Units
- 4458 Services, NEC
- 4470 Grants to Local Governments, NEC

SECTION 102 GRAND TOTAL

\$136,049.00
FY11
APPROPRIATION

\$136,049.00
YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
GRANTS	\$136,049.00	\$136,049.00	
TOTAL	\$136,049.00	\$136,049.00	\$0.00

**STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT**

Help Illinois Vote Fund

SECTION 261 - EAID DISBURSEMENTS
MONTH ENDING: June 30, 2011

**FY11
APPROPRIATION**

**YEAR TO DATE
EXPENDITURE**

PERSONAL SERVICE

- 1129 State Paid Retirement
- 1161 Retirement
- 1170 Social Security

CONTRACTUAL SERVICE

- 1205 Freight Express/Drayage
- 1225 Repair & Maintenance, EDP Equip.
- 1231 Rental, Office Equipment
- 1237 Rental, Film & Audio/Visual Aids
- 1239 Rental, NEC
- 1242 Auditing & Management Services
- 1243 Book Binding & Processing Services
- 1244 Legal Fees
- 1245 Professional & Technical Services, NEC
- 1261 Postage
- 1266 Court Reporting & Filing Services
- 1274 Registration Fees & Conf. Exp. (Vendor)
- 1276 Registration Fees & Conf. Exp. (Employee)
- 1280 Copying, Photographic & Printing Serv.
- 1284 Computer Software
- 1289 Contractual Services, NEC

TRAVEL

- 1291 In-State
- 1292 Out-of-State

PRINTING

COMMODITIES

- 1304 Office/Library Supplies
- 1394 Office/Library Equip., Not exc. \$100
- 1398 Equipment, NEC
- 1399 Commodities, NEC

EQUIPMENT

- 1510 Office Furniture & Equipment
- 1515 EDP Equipment
- 1599 Equipment, NEC

TELECOMMUNICATIONS

- 1721 Rental, Telephone Serv. & Equip.
- 1722 Rental, Data Comm. Serv. & Equip.
- 1728 Video Conferencing
- 1729 Rental, Other Comm. Serv. & Equip.
- 1730 Parts & Supplies for Telephone
- 1740 Answering & Pag. Comm. Serv. Equip.
- 1750 Telephone, Data, Radio & Other Equip.
- 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

- 1893 Repair & Maint., Automotive Equipment
- 1894 Parts & Fittings, Automotive Equipment
- 1896 Gasoline, Oil & Antifreeze
- 1898 Automotive Services, NEC
- 1899 Automotive Expenses, NEC

GRANTS

- 4453 Reimbursement to Governmental Units
- 4470 Grants to Local Governments (VAID II)
- 4470 Grants to Local Governments (VAID III)

\$512,435.14

\$512,435.14

SECTION 261 GRAND TOTAL

**FY11
APPROPRIATION**

**YEAR TO DATE
EXPENDITURE**

**OBLIGATED
MONEY**

PERSONAL SERVICE

\$0.00

\$0.00

STATE PAID RETIREMENT

\$0.00

\$0.00

RETIREMENT

\$0.00

\$0.00

SOCIAL SECURITY

\$0.00

\$0.00

CONTRACTUAL SERVICE

\$0.00

\$0.00

TRAVEL

\$0.00

\$0.00

PRINTING

\$0.00

\$0.00

COMMODITIES

\$0.00

\$0.00

EQUIPMENT

\$0.00

\$0.00

TELECOMMUNICATIONS

\$0.00

\$0.00

OPERATION OF AUTO EQUIPMENT

\$0.00

\$0.00

GRANTS

\$512,435.14

\$512,435.14

TOTAL

\$512,435.14

\$512,435.14

\$0.00

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

SECTION 251 - REQUIREMENTS MONTH ENDING: June 30, 2011	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	
PERSONAL SERVICE			
1129 State Paid Retirement			
1161 Retirement			
1170 Social Security			
1180 Group Insurance			
CONTRACTUAL SERVICE			
1205 Freight Express/Drayage	\$831.64	\$831.64	
1223 Repair & Maintenance Real Property			
1225 Repair & Maintenance, EDP Equip.			
1231 Rental, Office Equipment			
1232 Rental, Motor Vehicles			
1237 Rental, Film & Audio/Visual Aids			
1239 Rental, NEC			
1242 Auditing & Management Services	\$698,407.98	\$698,407.98	
1243 Book Binding & Processing Services			
1244 Legal Fees			
1245 Professional & Technical Services, NEC	\$8,624.04	\$8,624.04	
1261 Postage			
1266 Court Reporting & Filing Services			
1273 Advertising			
1274 Registration Fees & Conf. Exp. (Vendor)			
1276 Registration Fees & Conf. Exp. (Employee)			
1280 Copying, Photographic & Printing Serv.			
1284 Computer Software	\$8,702.10	\$8,702.10	
1289 Contractual Services, NEC			
TRAVEL			
1291 In-State			
1292 Out-of-State			
PRINTING			
COMMODITIES			
1304 Office/Library Supplies			
1308 Educational & Instructional Materials			
1394 Office/Library Equip., Not Exceed. \$100			
1398 Equipment, NEC			
1399 Commodities, NEC			
EQUIPMENT			
1510 Office Furniture & Equipment			
1515 EDP Equipment			
1561 Training Equipment			
6625 Leasehold Improvements			
TELECOMMUNICATIONS			
1721 Rental, Telephone Serv. & Equip.			
1722 Rental, Data Comm. Serv. & Equip.			
1728 Video Conferencing			
1729 Rental, Other Comm. Serv. & Equip.			
1730 Parts & Supplies for Telephone			
1740 Answering & Pag. Comm. Serv. Equip.			
1750 Telephone, Data, Radio & Other Equip.			
1799 Telecommunication Services, NEC			
OPERATION OF AUTO EQUIPMENT			
1893 Repair & Maint., Automotive Equipment			
1894 Parts & Fittings, Automotive Equipment			
1896 Gasoline, Oil & Antifreeze			
1898 Automotive Services, NEC			
1899 Automotive Expenses, NEC			
INDIRECT COST REFUNDS			
1993 Indirect Cost Allocation Refund	\$492,496.86	\$492,496.86	
GRANTS			
4453 Reimbursement to Govt Units (AVE)			
4453 Reimbursement to Govt Units (Phase II)			
4453 Reimbursement to Govt Units (ALA)	\$498,018.54	\$498,018.54	
4453 Reimbursement to Govt Units (EDG)	\$1,391,223.51	\$1,391,223.51	
4470 Grants to Local Governments (VRS)			
4479 Payments to Dther State Agencies			
SECTION 251 (OLD REQ) GRAND TOTAL	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$716,565.76	\$716,565.76	\$117,555.41
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	\$13,822.22
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$492,496.86	\$492,496.86	
GRANTS	\$1,889,242.05	\$1,889,242.05	
TOTAL	\$3,098,304.67	\$3,098,304.67	\$131,377.63

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - ADDITIONAL REQUIREMENTS
 MONTH ENDING: June 30, 2011

FY11
 APPROPRIATION

YEAR TO DATE
 EXPENDITURE

PERSONAL SERVICE

- 1129 State Paid Retirement
- 1161 Retirement
- 1170 Social Security
- 1180 Group Insurance

CONTRACTUAL SERVICE

- 1205 Freight Express/Drayage
- 1223 Repair & Maintenance Real Property
- 1225 Repair & Maintenance, EDP Equip.
- 1231 Rental, Office Equipment
- 1232 Rental, Motor Vehicles
- 1237 Rental, Film & Audio/Visual Aids
- 1239 Rental, NEC
- 1242 Auditing & Management Services
- 1243 Book Binding & Processing Services
- 1244 Legal Fees
- 1245 Professional & Technical Services, NEC
- 1261 Postage
- 1266 Court Reporting & Filing Services
- 1273 Advertising
- 1274 Registration Fees & Conf. Exp. (Vendor)
- 1276 Registration Fees & Conf. Exp. (Employee)
- 1280 Copying, Photographic & Printing Serv.
- 1284 Computer Software
- 1289 Contractual Services, NEC

TRAVEL

- 1291 In-State
- 1292 Out-of-State

PRINTING

COMMODITIES

- 1304 Office/Library Supplies
- 1308 Educational & Instructional Materials
- 1394 Office/Library Equip., Not Exceed. \$100
- 1398 Equipment, NEC
- 1399 Commodities, NEC

EQUIPMENT

- 1510 Office Furniture & Equipment
- 1515 EDP Equipment
- 1561 Training Equipment
- 6625 Leasehold Improvements

TELECOMMUNICATIONS

- 1721 Rental, Telephone Serv. & Equip.
- 1722 Rental, Data Comm. Serv. & Equip.
- 1728 Video Conferencing
- 1729 Rental, Other Comm. Serv. & Equip.
- 1730 Parts & Supplies for Telephone
- 1740 Answering & Pag. Comm. Serv. Equip.
- 1750 Telephone, Data, Radio & Other Equip.
- 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

- 1893 Repair & Maint., Automotive Equipment
- 1894 Parts & Fittings, Automotive Equipment
- 1896 Gasoline, Oil & Antifreeze
- 1898 Automotive Services, NEC
- 1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

- 1993 Indirect Cost Allocation Refund

GRANTS

- 4453 Reimbursement to Govt Units (AVE)
- 4453 Reimbursement to Govt Units (Phase II)
- 4453 Reimbursement to Govt Units (ALA)
- 4453 Reimbursement to Govt Units (EDG)
- 4470 Grants to Local Governments (VRS)
- 4479 Payments to Dther State Agencies

\$678,861.75

\$678,861.75

SECTION 251 (NEW REQ) GRAND TOTAL

FY11
 APPROPRIATION

YEAR TO DATE
 EXPENDITURE

OBLIGATED
 MONEY

PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$678,861.75	\$678,861.75	

TOTAL	\$678,861.75	\$678,861.75	\$0.00
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STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

AGENCY TOTALS	SECTION 101 <u>Discretionary</u> <u>Funds</u>	SECTION 102 <u>Entitlement</u> <u>Payments</u>	SECTION 261 <u>EAID</u> Disbursements	SECTION 251 <u>Requirements</u>	SECTION 251 <u>Additional</u> <u>Requirements</u>	TOTAL <u>Fund Activity</u>
<u>MONTH ENDING: July 31, 2011</u>						
CASH BALANCE AT BEGINNING OF YR	\$2,694,896.84	\$136,049.00	-\$25,054.00	\$8,771,772.99	\$5,090,669.45	\$16,668,334.28
Program Revenues from Federal Govt			\$537,489.14		\$7,128,540.00	\$7,666,029.14
Miscellaneous Revenues						\$0.00
Interest Earned on IOC Balances	\$13,675.62			\$36,561.45	\$61,285.93	\$111,523.00
Interest Penalties Received	\$782.04					\$782.04
State Match Receipts					\$375,188.00	\$375,188.00
TOTAL CASH REVENUES	\$14,457.66	\$0.00	\$537,489.14	\$36,561.45	\$7,565,013.93	\$8,153,522.18
YEAR TO DATE CASH EXPENDITURES						
PERSONAL SERVICE	\$94,681.42	\$0.00	\$0.00	\$0.00	\$0.00	\$94,681.42
STATE-PAID RETIREMENT	\$3,788.08	\$0.00	\$0.00	\$0.00	\$0.00	\$3,788.08
RETIREMENT	\$28,036.08	\$0.00	\$0.00	\$0.00	\$0.00	\$28,036.08
SOCIAL SECURITY	\$6,939.74	\$0.00	\$0.00	\$0.00	\$0.00	\$6,939.74
GROUP INSURANCE	\$27,454.68	\$0.00	\$0.00	\$0.00	\$0.00	\$27,454.68
CONTRACTUAL SERVICE	\$28,828.52	\$0.00	\$0.00	\$726,551.89	\$0.00	\$755,380.41
TRAVEL	\$19,033.23	\$0.00	\$0.00	\$0.00	\$0.00	\$19,033.23
PRINTING	\$94.20	\$0.00	\$0.00	\$0.00	\$0.00	\$94.20
COMMODITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TELECOMMUNICATIONS	\$385.24	\$0.00	\$0.00	\$0.00	\$0.00	\$385.24
OPERATION OF AUTO. EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$125,735.98	\$0.00	\$0.00	\$492,496.86	\$0.00	\$618,232.84
SUB-TOTAL CASH EXPENDITURES (OPERATIONS)	\$334,977.17	\$0.00	\$0.00	\$1,219,048.75	\$0.00	\$1,554,025.92
AWARDS & GRANTS	-\$35,564.61	\$136,049.00	\$593,148.96	\$1,889,242.05	\$867,932.37	\$3,450,807.77
TOTAL CASH EXPENDITURES	\$299,412.56	\$136,049.00	\$593,148.96	\$3,108,290.80	\$867,932.37	\$5,004,833.69
CASH BALANCE AT END OF MONTH	\$2,409,941.94	\$0.00	-\$80,713.82	\$5,700,043.64	\$11,787,751.01	\$19,817,022.77

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

SECTION 101 - DISCRETIONARY FUNDS MONTH ENDING: July 31, 2011	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	
PERSONAL SERVICE	\$94,681.42	\$94,681.42	
1129 State Paid Retirement	\$3,788.08	\$3,788.08	
1161 Retirement	\$28,036.08	\$28,036.08	
1170 Social Security	\$6,939.74	\$6,939.74	
1180 Group Insurance	\$27,454.68	\$27,454.68	
CONTRACTUAL SERVICE			
1205 Freight Express/Drayage	\$53.44	\$53.44	
1223 Repair & Maintenance Real Property			
1225 Repair & Maintenance, EDP Equip.	\$10,400.08	\$10,400.08	
1231 Rental, Office Equipment			
1232 Rental, Motor Vehicles			
1237 Rental, Film & Audio/Visual Aids			
1239 Rental, NEC			
1242 Auditing & Management Services	\$13,375.00	\$13,375.00	
1243 Book Binding & Processing Services			
1244 Legal Fees			
1245 Professional & Technical Services, NEC	\$2,950.00	\$2,950.00	
1261 Postage			
1266 Court Reporting & Filing Services			
1273 Advertising			
1274 Registration Fees & Conf. Exp. (Vendor)	\$1,975.00	\$1,975.00	
1276 Registration Fees & Conf. Exp. (Employee)	\$75.00	\$75.00	
1280 Copying, Photographic & Printing Serv.			
1284 Computer Software			
1286 Travel, Reimb. to Non-State Employees			
1289 Contractual Services, NEC			
TRAVEL			
1291 In-State & 1293 - In State (to vendor)	\$7,986.80	\$7,986.80	
1292 Out-of-State	\$11,046.43	\$11,046.43	
PRINTING	\$94.20	\$94.20	
COMMODITIES			
1304 Office/Library Supplies			
1308 Educational & Instructional Materials			
1394 Office/Library Equip.. Not Exceed. \$100			
1398 Equipment, NEC			
1399 Commodities, NEC			
EQUIPMENT			
1510 Office Furniture & Equipment			
1515 EDP Equipment			
1561 Training Equipment			
6625 Leasehold Improvements			
TELECOMMUNICATIONS			
1721 Rental, Telephone Serv. & Equip.	\$385.24	\$385.24	
1722 Rental, Data Comm. Serv. & Equip.			
1728 Video Conferencing			
1729 Rental, Other Comm. Serv. & Equip.			
1730 Parts & Supplies for Telephone			
1740 Answering & Pag. Comm. Serv. Equip.			
1750 Telephone, Data, Radio & Other Equip.			
1799 Telecommunication Services, NEC			
OPERATION OF AUTO EQUIPMENT			
1893 Repair & Maint., Automotive Equipment			
1894 Parts & Fittings, Automotive Equipment			
1896 Gasoline, Oil & Antifreeze			
1898 Automotive Services, NEC			
1899 Automotive Expenses, NEC			
INDIRECT COST REFUNDS			
1993 Indirect Cost Allocation Refund	\$125,735.98	\$125,735.98	
GRANTS			
4453 Reimbursement to Governmental Units			
4458 Services, NEC			
4470 Grants to Local Governments (PPA)	-\$35,564.61	-\$35,564.61	
4470 Grants to Local Governments (EAI)			
4470 Grants to Local Governments (AAG)			
4470 Grants to Local Governments			
4479 Payments to Other State Agencies			
SECTION 101 GRAND TOTAL	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	\$94,681.42	\$94,681.42	
STATE PAID RETIREMENT	\$3,788.08	\$3,788.08	
RETIREMENT	\$28,036.08	\$28,036.08	
SOCIAL SECURITY	\$6,939.74	\$6,939.74	
GROUP INSURANCE	\$27,454.68	\$27,454.68	
CONTRACTUAL SERVICE	\$28,828.52	\$28,828.52	\$50.00
TRAVEL	\$19,033.23	\$19,033.23	
PRINTING	\$94.20	\$94.20	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$385.24	\$385.24	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$125,735.98	\$125,735.98	
GRANTS	-\$35,564.61	-\$35,564.61	
TOTAL	\$299,412.56	\$299,412.56	\$50.00

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

SECTION 102 - ENTITLEMENT PAYMENTS
MONTH ENDING: July 31, 2011

FY11
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

- 1129 State Paid Retirement
- 1161 Retirement
- 1170 Social Security

CONTRACTUAL SERVICE

- 1205 Freight Express/Drayage
- 1225 Repair & Maintenance, EDP Equip.
- 1231 Rental, Office Equipment
- 1237 Rental, Film & Audio/Visual Aids
- 1239 Rental, NEC
- 1242 Auditing & Management Services
- 1243 Book Binding & Processing Services
- 1244 Legal Fees
- 1245 Professional & Technical Services, NEC
- 1261 Postage
- 1266 Court Reporting & Filing Services
- 1274 Registration Fees & Conf. Exp. (Vendor)
- 1276 Registration Fees & Conf. Exp. (Employee)
- 1280 Copying, Photographic & Printing Serv.
- 1284 Computer Software
- 1289 Contractual Services, NEC

TRAVEL

- 1291 In-State
- 1292 Out-of-State

PRINTING

COMMODITIES

- 1304 Office/Library Supplies
- 1394 Office/Library Equip., Not Exceed. \$100
- 1398 Equipment, NEC
- 1399 Commodities, NEC

EQUIPMENT

- 1510 Office Furniture & Equipment
- 1515 EDP Equipment
- 1599 Equipment, NEC

TELECOMMUNICATIONS

- 1721 Rental, Telephone Serv. & Equip.
- 1722 Rental, Data Comm. Serv. & Equip.
- 1728 Video Conferencing
- 1729 Rental, Other Comm. Serv. & Equip.
- 1730 Parts & Supplies for Telephone
- 1740 Answering & Pag. Comm. Serv. Equip.
- 1750 Telephone, Data, Radio & Other Equip.
- 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

- 1893 Repair & Maint., Automotive Equipment
- 1894 Parts & Fittings, Automotive Equipment
- 1896 Gasoline, Oil & Antifreeze
- 1898 Automotive Services, NEC
- 1899 Automotive Expenses, NEC

GRANTS

- 4453 Reimbursement to Governmental Units
- 4458 Services, NEC
- 4470 Grants to Local Governments, NEC

\$136,049.00

\$136,049.00

SECTION 102 GRAND TOTAL

FY11
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
GRANTS	\$136,049.00	\$136,049.00	
TOTAL	\$136,049.00	\$136,049.00	\$0.00

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
 Help Illinois Vote Fund

SECTION 261 - EAID DISBURSEMENTS
 MONTH ENDING: July 31, 2011

FY11
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

- 1129 State Paid Retirement
- 1161 Retirement
- 1170 Social Security

CONTRACTUAL SERVICE

- 1205 Freight Express/Drayage
- 1225 Repair & Maintenance, EDP Equip.
- 1231 Rental, Office Equipment
- 1237 Rental, Film & Audio/Visual Aids
- 1239 Rental, NEC
- 1242 Auditing & Management Services
- 1243 Book Binding & Processing Services
- 1244 Legal Fees
- 1245 Professional & Technical Services, NEC
- 1261 Postage
- 1266 Court Reporting & Filing Services
- 1274 Registration Fees & Conf. Exp. (Vendor)
- 1276 Registration Fees & Conf. Exp. (Employee)
- 1280 Copying, Photographic & Printing Serv.
- 1284 Computer Software
- 1289 Contractual Services, NEC

TRAVEL

- 1291 In-State
- 1292 Out-of-State

PRINTING

COMMODITIES

- 1304 Office/Library Supplies
- 1394 Office/Library Equip., Not exc. \$100
- 1398 Equipment, NEC
- 1399 Commodities, NEC

EQUIPMENT

- 1510 Office Furniture & Equipment
- 1515 EDP Equipment
- 1599 Equipment, NEC

TELECOMMUNICATIONS

- 1721 Rental, Telephone Serv. & Equip.
- 1722 Rental, Data Comm. Serv. & Equip.
- 1728 Video Conferencing
- 1729 Rental, Other Comm. Serv. & Equip.
- 1730 Parts & Supplies for Telephone
- 1740 Answering & Pag. Comm. Serv. Equip.
- 1750 Telephone, Data, Radio & Other Equip.
- 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

- 1893 Repair & Maint., Automotive Equipment
- 1894 Parts & Fittings, Automotive Equipment
- 1896 Gasoline, Oil & Antifreeze
- 1898 Automotive Services, NEC
- 1899 Automotive Expenses, NEC

GRANTS

- 4453 Reimbursement to Governmental Units
- 4470 Grants to Local Governments (VAID II)
- 4470 Grants to Local Governments (VAID III) \$593,148.96

SECTION 261 GRAND TOTAL

	<u>FY11</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>OBLIGATED</u> <u>MONEY</u>
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
GRANTS	\$593,148.96	\$593,148.96	
TOTAL	\$593,148.96	\$593,148.96	\$0.00

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - REQUIREMENTS MONTH ENDING: July 31, 2011	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	
PERSONAL SERVICE			
1129 State Paid Retirement			
1161 Retirement			
1170 Social Security			
1180 Group Insurance			
CONTRACTUAL SERVICE			
1205 Freight Express/Drayage	\$831.64	\$831.64	
1223 Repair & Maintenance Real Property			
1225 Repair & Maintenance, EDP Equip.			
1231 Rental, Office Equipment			
1232 Rental, Motor Vehicles			
1237 Rental, Film & Audio/Visual Aids			
1239 Rental, NEC			
1242 Auditing & Management Services	\$702,564.43	\$702,564.43	
1243 Book Binding & Processing Services			
1244 Legal Fees			
1245 Professional & Technical Services, NEC	\$11,524.74	\$11,524.74	
1261 Postage			
1266 Court Reporting & Filing Services			
1273 Advertising			
1274 Registration Fees & Conf. Exp. (Vendor)			
1276 Registration Fees & Conf. Exp. (Employee)			
1280 Copying, Photographic & Printing Serv.			
1284 Computer Software	\$11,631.08	\$11,631.08	
1289 Contractual Services, NEC			
TRAVEL			
1291 In-State			
1292 Out-of-State			
PRINTING			
COMMODITIES			
1304 Office/Library Supplies			
1308 Educational & Instructional Materials			
1394 Office/Library Equip., Not Exceed. \$100			
1398 Equipment, NEC			
1399 Commodities, NEC			
EQUIPMENT			
1510 Office Furniture & Equipment			
1515 EDP Equipment			
1561 Training Equipment			
6625 Leasehold Improvements			
TELECOMMUNICATIONS			
1721 Rental, Telephone Serv. & Equip.			
1722 Rental, Data Comm. Serv. & Equip.			
1728 Video Conferencing			
1729 Rental, Other Comm. Serv. & Equip.			
1730 Parts & Supplies for Telephone			
1740 Answering & Pag. Comm. Serv. Equip.			
1750 Telephone, Data, Radio & Other Equip.			
1799 Telecommunication Services, NEC			
OPERATION OF AUTO EQUIPMENT			
1893 Repair & Maint., Automotive Equipment			
1894 Parts & Fittings, Automotive Equipment			
1896 Gasoline, Oil & Antifreeze			
1898 Automotive Services, NEC			
1899 Automotive Expenses, NEC			
INDIRECT COST REFUNDS			
1993 Indirect Cost Allocation Refund	\$492,496.86	\$492,496.86	
GRANTS			
4453 Reimbursement to Govt Units (AVE)			
4453 Reimbursement to Govt Units (Phase II)			
4453 Reimbursement to Govt Units (ALA)	\$498,018.54	\$498,018.54	
4453 Reimbursement to Govt Units (EDG)	\$1,391,223.51	\$1,391,223.51	
4470 Grants to Local Governments (VRS)			
4479 Payments to Other State Agencies			
SECTION 251 (DLD REQ) GRAND TOTAL	FY11 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$726,551.89	\$726,551.89	\$86,347.18
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	\$13,822.22
TELECOMMUNICATIDNS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$492,496.86	\$492,496.86	
GRANTS	\$1,889,242.05	\$1,889,242.05	
TOTAL	\$3,108,290.80	\$3,108,290.80	\$100,169.40

STATE BOARD OF ELECTIONS
FY11 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - ADDITIONAL REQUIREMENTS
 MONTH ENDING: July 31, 2011

FY11
 APPROPRIATION

YEAR TO DATE
 EXPENDITURE

PERSONAL SERVICE

- 1129 State Paid Retirement
- 1161 Retirement
- 1170 Social Security
- 1180 Group Insurance

CONTRACTUAL SERVICE

- 1205 Freight Express/Drayage
- 1223 Repair & Maintenance Real Property
- 1225 Repair & Maintenance, EDP Equip.
- 1231 Rental, Office Equipment
- 1232 Rental, Motor Vehicles
- 1237 Rental, Film & Audio/Visual Aids
- 1239 Rental, NEC
- 1242 Auditing & Management Services
- 1243 Book Binding & Processing Services
- 1244 Legal Fees
- 1245 Professional & Technical Services, NEC
- 1261 Postage
- 1266 Court Reporting & Filing Services
- 1273 Advertising
- 1274 Registration Fees & Conf. Exp. (Vendor)
- 1276 Registration Fees & Conf. Exp. (Employee)
- 1280 Copying, Photographic & Printing Serv.
- 1284 Computer Software
- 1289 Contractual Services, NEC

TRAVEL

- 1291 In-State
- 1292 Out-of-State

PRINTING

COMMODITIES

- 1304 Office/Library Supplies
- 1308 Educational & Instructional Materials
- 1394 Office/Library Equip., Not Exceed. \$100
- 1398 Equipment, NEC
- 1399 Commodities, NEC

EQUIPMENT

- 1510 Office Furniture & Equipment
- 1515 EDP Equipment
- 1561 Training Equipment
- 6625 Leasehold Improvements

TELECOMMUNICATIONS

- 1721 Rental, Telephone Serv. & Equip.
- 1722 Rental, Data Comm. Serv. & Equip.
- 1728 Video Conferencing
- 1729 Rental, Other Comm. Serv. & Equip.
- 1730 Parts & Supplies for Telephone
- 1740 Answering & Pag. Comm. Serv. Equip.
- 1750 Telephone, Data, Radio & Other Equip.
- 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

- 1893 Repair & Maint., Automotive Equipment
- 1894 Parts & Fittings, Automotive Equipment
- 1896 Gasoline, Oil & Antifreeze
- 1898 Automotive Services, NEC
- 1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

- 1993 Indirect Cost Allocation Refund

GRANTS

- 4453 Reimbursement to Govt Units (AVE)
- 4453 Reimbursement to Govt Units (Phase II)
- 4453 Reimbursement to Govt Units (ALA)
- 4453 Reimbursement to Govt Units (EDG)
- 4470 Grants to Local Governments (VRS)
- 4479 Payments to Other State Agencies

\$867,932.37

\$867,932.37

SECTION 251 (NEW REQ) GRAND TOTAL

FY11
 APPROPRIATION

YEAR TO DATE
 EXPENDITURE

OBLIGATED
 MONEY

PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$867,932.37	\$867,932.37	

TOTAL	\$867,932.37	\$867,932.37	\$0.00
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Start Date	End Date Activity	Division
7 /1 /2011	Begin preparing the LOCAL ELECTION OFFICIALS HANDBOOK and the TOWNSHIP CAUCUS GUIDE for the April 2013 Consolidated Elections. Handbooks should be sent to printer by June 2012 and be ready for distribution by the end of July 2012. 10 ILCS	ET&RD
7 /1 /2011	Begin plans for preparing the TWO-YEAR PLAN FOR 2012-2013. Target completion date: September 30, 2011.	ET&RD
7 /1 /2011	Begin updating the SBE GUIDE FOR POLLWATCHERS. (Complete by September 1, 2011.) 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
7 /1 /2011	Begin preparing the CANDIDATE'S GUIDE for 2013. Guide should be sent to printer by June 2012 and be ready for distribution by end of July 2012. 10 ILCS 5/1A-8 (1, 2, 3, 7, 11)	ET&RD
7 /5 /2011	Begin planning for the printing of Campaign Financing notices for the October petition filing for state and local candidates. 10 ILCS 5/9-15	CAMP DISC
7 /11/2011	The Board will refer to the Attorney General of Illinois for compliance enforcement each jurisdiction whose computerized voter registration submission has not been received for the May 15, 2011 submission	VRS
7 /15/2011	Complete JUDGES' TRAINING PROGRAM and JUDGES' EXAM update. Project began April 8, 2011. 10 ILCS 5/1A-8 (1, 2, 6, 11)	ET&RD
7 /20/2011	Last day political committees shall file their SEMI-ANNUAL REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board or the Board and county clerk. 10 ILCS 5/9-10	CAMP DISC
7 /29/2011	Date upon which the State Board of Elections shall notify political committees that have failed to file a SEMI-ANNUAL REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES that an assessment will be levied against the committee for failure to file the report in a timely fashion. Rules and	CAMP DISC
8 /1 /2011	Distribute ELECTION JUDGES' MANUALS OF INSTRUCTION to all the election authorities. (Project began April 8, 2011.) 10 ILCS 5/1A-8 (1, 2, 3, 4, 11)	ET&RD

Start Date	End Date	Activity	Division
8 /1 /2011		Submit MILITARY/OVERSEAS booklet to printers. Project started July 1, 2011. 10 ILCS 5/1A-8 (1,2,3,7,11)	ET&RD
8 /8 /2011		The date that a second letter goes out to all delinquent committees advising them that an assessment for late filing is continuing and that a complaint will be filed if the committee fails to file a SEMI-ANNUAL REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Rules and Regulations	CAMP DISC
8 /16/2011		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
8 /31/2011		Make certain that all county clerks have a sufficient inventory of campaign financing material for the October petition filing for local candidates. 10 ILCS 5/9-15	CAMP DISC
9 /1 /2011		Complete updating the DEPUTY REGISTRAR GUIDELINES booklet. Project began on July 1, 2011 10 ILCS 5/1A-8 (1, 2, 12)	ET&RD
9 /1 /2011		Complete updating the SBE GUIDE FOR POLLWATCHERS. 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
9 /6 /2011		Begin to develop 2012 SBE Legislative Program - 97th General Assembly - for the upcoming legislative session, beginning January 2012. 10 ILCS 5/1A-8 (8)	LEG
9 /8 /2011		Begin to update the FEDERAL AND STATE OFFICERS book for the year 2012. Submit for printing by November 4, 2011. (Target completion date: December 3, 2009.) 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
9 /8 /2011		Begin to update the COUNTY OFFICERS book for the year 2012. Submit for printing by November 5, 2009. (Target completion date: December 5, 2011.) 10 ILCS 5/1A-8 (1, 2, 4,	ET&RD
9 /9 /2011		Call Department of Public Health to obtain LISTING OF FACILITIES licensed or certified under the NURSING HOME CARE REFORM ACT OF 1979. Send listing to election authorities by October 28, 2011. 10 ILCS 5/19-	ET&RD
9 /16/2011		Complete updating and printing all agency BROCHURES. (Project began July 1, 2011.) 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
9 /20/2011		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR

INTEROFFICE MEMORANDUM

To: Rupert T. Borgsmiller, Executive Director

From: Dianne Felts, Director of Voting Systems and Standards (VOSS)

Subject: Request for Information (Testing)

Date: August 1, 2011

VOSS has reviewed the responses received from the "Request for Information – Voting System Approval Process" and is still uncertain on what direction to take to enhance our voting system testing responsibilities. The review indicated that none of the three responses submitted adequately addressed the objectives outlined in the RFI. The responses from SLI and MSI are very clear on their ability to execute system approval testing. However, each response is inadequate in relation to the question of pre-testing and post testing of approved systems, which is one of the agency's biggest undertakings in testing and verification of the correct hardware and software approved for use in each election. We believe that further review and study on the means of testing and the contractual requirements are necessary before recommendations can be brought to the Board.

Background

In June, the Board issued a "Request for Information – Voting System Approval Process" (RFI) which requested comment concerning the feasibility of outside contracting for the voting system approval and testing responsibilities of the agency. This RFI was the result of about a year's discussion and debate concerning the funds request for a Voting System Integrity Center, which would greatly enhance our testing ability. In August and September of 2010, the idea was put forth that rather than have a center, the agency could contract out the testing. An RFI was issued to determine whether this would be feasible.

The RFI objectives as listed

The primary objective of the Voting System Approval Process project is to research, develop and implement an agency testing operation for voting system hardware and software used in Illinois' election jurisdictions. To that end, the Voting System Testing Vendor will be primarily responsible for:

- Testing of new voting system hardware and software proposed for sale in Illinois by voting system manufacturers;
- Testing of modifications to existing approved systems currently in use in Illinois' election jurisdictions;
- On site pre-election testing of selected election jurisdiction's voting systems and equipment prior to each election;
- Possible post-election testing of voting systems of selected election jurisdictions.

In July three responses were received from the following:

1. New York State Technology Enterprise Corporation (NYSTEC) of Albany, New York
2. Micro Solved, Inc (MSI) of Ohio
3. SLI Global Solutions of Denver, Colorado

Analysis of RFI

Though SLI provided an expansive RFI in their submission, and their experience is quite far reaching, there is one major concern that overrides these prior factors. SLI, formerly known as SysTest, is an EAC approved Voting System Testing Laboratory (VSTL). There is a strong concern of a VSTL, who provides federal certification, being the same vendor that reviews the performance of the voting systems it approved. We believe this to be an overriding issue of concern.

Although NYSTEC's RFI indicated an experience in consulting on several levels, they did not seem to understand our RFI's focus on statewide testing. They focused primarily on consulting with the SBE in achieving the RFI goals.

MSI's RFI seemed to grasp the focus of our RFI for statewide testing of voting systems. MSI's experience also carries depth as an independent vendor. While their RFI detailed other services that MSI provided, they did understand the common thread in our RFI. We do not know whether they understood the feasibility of pretesting over 35,000 pieces of equipment on-site prior to our federal elections and also within a twenty to thirty day timeframe.

Cost

The cost of the Voting System Integrity Center was a major concern of the former Board members. The RFI issued, encouraged cost estimates but did not require them, thus none of the respondents addressed cost. At the May Board meeting, the final version of the RFI was discussed. Members Brady and Keith discussed the cost of outsourcing the testing program. They both agreed that the election supply vendors for the election authorities and not the SBE nor the election authorities would be paying for any of the testing. The Board approved this proposal; thus, any contract for testing between our selected testing vendor and the election supply vendor would not include the SBE.

Recommendation

Although the Request for Information produced and provided VOSS with beneficial information, staff believes that the fiscal and political environment right now is not conducive to continuing this proposal at this time. One of our major concerns is the feasibility of the cost and performance of pretesting of over 35,000 pieces of equipment within the restricted timeframe required by state law.

STATE BOARD OF ELECTIONS



From the desk of... Mark Mossman, Director of Election Information
Phone: 217-557-0855
Email: mmossman@elections.il.gov

To: Rupert T. Borgsmiller, Executive Director
Re: Delegate Allocation
Date: August 4, 2011

We have had some recent discussions with the Illinois Democratic Party and there is a possibility that the Illinois Delegate Selection Plan could be amended to provide for the use of the new 18 Congressional District Map. Election Information staff is now working on compiling precinct data and completing calculations needed to facilitate that amendment. Such amendment, if it does indeed occur, would be finalized in September.

As a result of redistricting, the following jurisdictions contain multiple Congressional Districts:

<u>JURISDICTION</u>	<u>CONGRESSIONAL DISTRICTS</u>
Bond	13, 15
Champaign	13, 15
Cook	1-11
DeKalb	14, 16
DuPage	3, 5, 6, 8, 11, 14
Ford	15, 16
Kane	6, 8, 11, 14
Kendall	11, 14
Lake	6, 10, 14
Madison	12, 13, 15
McHenry	6, 14
McLean	13, 18
Peoria	17, 18
Sangamon	13, 18
Stark	16, 18
Tazewell	17, 18
Will	1-3, 11, 14, 16
Winnebago	16, 17
Aurora Board	11, 14
Bloomington Board	13, 18
Chicago Board	1-5, 7-9
Peoria Board	17, 18
Rockford Board	16, 17

7. **Comments from the general public.**
8. **Next Board meeting, Tuesday, September 20, 2011 in Springfield.**
9. **Executive Session.**