

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

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James R. Thompson Center  
100 W. Randolph St., Ste. 14-100  
Chicago, Illinois 60601-3232  
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EXECUTIVE DIRECTOR  
Steven S. Sandvoss

BOARD MEMBERS  
Charles W. Scholz, Chairman  
Ernest L. Gowen, Vice Chairman  
William J. Cadigan  
Andrew K. Carruthers  
Betty J. Coffrin  
John R. Keith  
William M. McGuffage  
Casandra B. Watson

AGENDA  
STATE BOARD OF ELECTIONS  
BOARD MEETING  
Monday, September 21, 2015  
10:30 a.m.

2329 S. MacArthur Blvd.  
Springfield, Illinois  
and via videoconference  
James R. Thompson Center – Suite 14-100  
Chicago, Illinois

Roll call.

1. Approval of the minutes from the August 18 meeting. (pgs.1-5)
2. Report of the General Counsel
  - a. Campaign Disclosure;  
Motion for reconsideration
    - 1) *SBE v. West Aurora Volunteers for Education (WAVE)*, 16063, 15AM017; (pgs.6-9)
    - 2) *SBE v. Glenwood Peoples Party*, 26044, 15MA074; (pgs.10-16)Request for settlement offer
    - 3) *SBE v. Regular Republican Party of River Forest*, 226, 14SQ003; (pgs.17-19)Appeals of campaign disclosure fines – hearing officer recommendation appeals be granted
    - 4) *SBE v. New Trier Republican Organization*, 244, 14AM004; (pgs.20-40)
    - 5) *SBE v. Farmers Employee Agent PAC*, 17748, 15AD076; (pgs.41-47)Appeals of campaign disclosure fines – hearing officer recommendation appeals be denied
    - 6) *SBE v. York Township Democratic Organization*, 206, 15MQ003; (pgs.48-50)
    - 7) *SBE v. Gallatin County Republican Central Committee*, 380, 15DQ166; (pgs.51-53)
    - 8) *SBE v. Sheet Metal Workers' International Association LU #73 PAC*, 639, 15AM005; (pgs.54-57)
    - 9) *SBE v. Mercer County Democratic Central Committee*, 5164, 15MQ019; (pgs.58-60)
    - 10) *SBE v. Citizens for Jesse White*, 14632, 14AJ062; (pgs.61-64)
    - 11) *SBE v. Holland & Knight LLP IL Committee for Effective Government*, 16109, 15AD0763; (pgs.65-71)
    - 12) *SBE v. Plainfield Township Democratic Organization*, 17761, 15MQ041; (pgs.72-75)
    - 13) *SBE v. Citizens for Hutchinson*, 19076, 15MQ045; (pgs.76-78)
    - 14) *SBE v. Friends of Robyn Gabel*, 22260, 14AJ061; (pgs.79-82)
    - 15) *SBE v. Friends to Elect Kathleen Willis*, 23528, 14AS043; (pgs.83-85)
    - 16) *SBE v. Slocum for Senate*, 23909, 15MA061; (pgs.86-93)
    - 17) *SBE v. Citizens for Rauner, Inc.*, 25185, 15AD041; (pgs.94-100)

- 18) *SBE v. Anderson for Illinois*, 25649, 15AD089; (pgs.101-105)
- 19) *SBE v. Friends of Litesa Wallace*, 26025, 15AD055; (pgs.106-109)
- 20) *SBE v. Clean Slate for College of DuPage Committee*, 29468, 15AM063; (pgs.110-112)  
Appeals of campaign disclosure fines – hearing officer recommendation appeals be granted & denied
- 21) *SBE v. Illinois Republican Party*, 292, 15AD005; (pgs.113-116)
- 22) *SBE v. Caroline for 43*, 26052, 15MA077; (pgs.117-122)
- 23) *SBE v. Garcia for Chicago*, 26214, 15MA083; (pgs.123-155)  
Appeal of contribution limits fines - hearing officer recommendation appeal be granted
- 24) *SBE v. Friends of Roderick T. Sawyer*, 22875, 14JQ-CL002; (pgs.156-160)  
Failure to comply with a board order
- 25) *Marino v. Friends of Sherman C. Jones*, 14CD113; (pgs.161-165)  
Other campaign disclosure items
- 26) Payment of civil penalties – informational; (pg.166)  
Complaints following closed preliminary hearing (separate packet)
- 27) *Streit v. Bury*, 15CD100; (pgs.1-13)
- 28) *Savage v. Clean Slate for College of DuPage Committee*, 15CD101. (pgs.14-18)

- 3. Report of the Executive Director
  - a. Special Election in the 18<sup>th</sup> Congressional District;
    - 1) Voting system pre-election testing; (pgs.167-168)
    - 2) September 10 post-election update; (will be sent under separate cover)
  - b. Consideration of the ES&S 5300 Voting System (DS200, DS850 & Express Vote); (will be sent under separate cover)
  - c. Report on Advisory Committee Meeting; (pgs.169-171)
  - d. Legislative update; (oral report)
  - e. Senate Bill 172 update; (pg.172)
  - f. Elimination of charge for petition copies; (pg.173)
  - g. Election Center National Conference – informational; (pgs.174-175)
  - h. Consideration of SBE Policy Manual updates; (pg.176)
    - 1) Overtime; (pg.177)
    - 2) Smoking Prohibition; (pg.178)
  - i. Fiscal status reports – informational;
    - 1) FY15 – Final; (pgs.179-186)
    - 2) FY15 – Help Illinois Vote Fund; (pgs.187-192)
  - j. Two year plan of staff activity for the months of September & October – informational. (pgs.193-194)
- 4. Follow up. (pg.195)
- 5. Comments from the general public. (pg.195)
- 6. Next Board Meeting scheduled for Friday, October 9, 2015 in Chicago. (pg.195)
- 7. Executive session. (pgs.196-202)

STATE BOARD OF ELECTIONS  
Regular Meeting  
August 18, 2015

MINUTES

PRESENT:

Charles W. Scholz, Chairman  
Ernest L. Gowen, Vice Chairman  
William J. Cadigan, Member  
Andrew K. Carruthers, Member  
Betty J. Coffrin, Member  
John R. Keith, Member  
William M. McGuffage, Member  
Casandra B. Watson, Member

ALSO PRESENT:

Steven S. Sandvoss, Executive Director  
James Tenuto, Assistant Executive Director  
Kenneth R. Menzel, General Counsel  
Darlene Gervase, Admin. Assistant III

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The Chairman called the meeting to order at 10:30 a.m. and led everyone in the pledge of allegiance.

All Board members were present in Chicago.

Chairman Scholz asked for a motion to adopt the minutes of the July 1 and 23 meetings. As to July 1, Mr. Scholz indicated that Kevin Turner, Director of Information Technology had a correction to the second sentence in his paragraph which should read "Necessary hardware and software was purchased using three funding sources: 1) IVRS Grants; 2) Maintenance of Effort; and 3) SBE IT Contractual." Vice Chairman Gowen so moved and Carruthers seconded the motion to adopt the minutes with Mr. Turner's correction. Motion passed 8-0 unanimously.

General Counsel Ken Menzel began his report with Item 2.a.1, a Motion for Reconsideration in the matter of *SBE v. Citizens for Elida M. Cruz*, 23101, 15MA059. After summarizing the committee's failure to file the required documents in a timely manner, he recommended denying the Motion. Mr. Menzel added that the committee has a zero balance on its latest quarterly filing and suggested the committee file a final report and remain inactive for a two year period until the fines abate. Vice Chairman Gowen moved to adopt the General Counsel's recommendation. Member Keith seconded the motion which passed unanimously by roll call vote.

Item 2.a.2, *SBE v. Regular Republican Party of River Forest*, 226, 14SQ003, was continued to the September 21<sup>st</sup> meeting.

The fine assessed in 2.a.3, *SBE v. Friends of Tim Whelan*, 24102, 15MA026 was \$2,050.00 rather than the \$1,725.00 as reported in the packet. Mr. Menzel had a conversation with counsel for the Respondent who offered \$1,025.00 which is 50% of the original fine, which adhered to the Board's settlement policy. Mr. Menzel recommended accepting the settlement offer. Vice Chairman Gowen moved and Member Watson seconded the motion to adopt the General Counsel's recommendation and accept the settlement offer. The motion passed unanimously by roll call vote.

Items 2.a.4-7 and 9-19, Appeals of campaign disclosure fines the hearing officer recommended be granted, were considered as one. Member McGuffage moved and Member Watson seconded

the motion to adopt the General Counsel and hearing officers' recommendations. The motion passed unanimously and the appeals granted were: 4) *SBE v. Union County Democratic Committee*, 61, 15MQ002; 5) *SBE v. Fayette County Republican Central Committee*, 116, 15AM002; 6) *SBE v. Hardin County Republican Central Committee*, 282, 15MQ006; 7) *SBE v. Hardin County Democratic Central Committee*, 316, 15MQ008; 9) *SBE v. Citizens to Elect Ronald M. Serpico, Sr., Inc.*, 16861, 15AM019; 10) *SBE v. Don Lockhart for Change Committee*, 18708, 15MQ044; 11) *SBE v. LIUNA AFL-CIO Local 362 PAC*, 20149, 15MQ057; 12) *SBE v. International Union of Operating Engineers/Engineers Political Education Committee (EPEC)*, 23247, 14AS042; 13) *SBE v. Republican National Hispanic Assembly of IL*, 25348, 15MQ128; 14) *SBE v. Friends for Rafael Yanez*, 25892, 15AM042; 15) *SBE v. Friends of Jen Kramer*, 25963, 15AM046; 16) *SBE v. Citizens for Jacqueline Herrera Giron*, 27394, 15D1003; 17) *SBE v. Friends of Zora Baron*, 27406, 15MQ171; 18) *SBE v. Citizens for Our Children's Future*, 28420, 15AM059; and 19) *SBE v. Friends for Court Harris*, 28456, 15MQ176.

General Counsel Menzel concurred with the hearing officer in 2.a.8 *SBE v. Democratic Women of Knox County*, 16139, 15MA053 to grant the appeal as to the late A-1 filing, but deny the appeal as to the late quarterly report filing. Vice Chairman Gowen so moved and Member Coffrin seconded the motion which passed unanimously.

Chairman Scholz accepted the appearance of John Jasper for 2.a.30) *SBE v. Citizens for Clarendon Hills, Inc.*, 24030, 14JQ098. He summarized the committee's actions and added that he had problems with the IDIS program. Member McGuffage moved to allow the electronic filing defense, although it was not brought up during the appeal hearing, and grant the appeal. During discussion, Mr. Jasper was advised that this defense is considered a first time offense and will not be allowed in the future and he should contact board staff for help. Member Coffrin seconded the motion which passed 8-0 by roll call vote.

Mr. Scholz noted the appearances of Baldemar Lopez, Periclese Abbati; and Marcelino Garcia for the Respondent in 31) *SBE v. Latino Political Action Committee of Illinois*, 24331, 14AJ041. The Board heard comments regarding their Appeal and after discussion, Member Coffrin moved to adopt the General Counsel's and Hearing Officer's recommendations and deny the Appeal. Member Carruthers seconded the motion which passed unanimously.

An Appearance was accepted for Dana Garner for Item 2.a.42) *SBE v. Friends for Brian Garner*, 25961, 15AD096. Ms. Garner said she was not the treasurer, but assisted the treasurer by depositing checks and the mistake was hers - not the treasurer's, nor the committee's. Discussion was had by the Board and Member McGuffage moved to deny the appeal. Member Keith seconded the motion which passed 8-0.

An Appearance by Josh Myles was noted for 41) *SBE v. Committee to Raise Illinois' Minimum Wage*, 25946, 14AD051; Mr. Myles did not wish to speak. Vice Chairman Gowen moved to deny the appeal and Member Watson seconded the motion which passed unanimously.

Item 2.a.49) *SBE v. Clean Slate for College of DuPage Committee*, 29468, 15AM063 was continued to the September 21<sup>st</sup> meeting at the request of the Respondent's counsel..

The General Counsel concurred with the hearing officers' recommendations to deny the appeals in agenda items 2.a.20-29, 32-41, 43, 44-48, 50 and 51. Vice Chairman Gowen moved and Member Coffrin seconded the motion to deny the appeals. The Motion passed 8-0 with Chairman Scholz abstaining on item 2.a.45. Appeals denied were: 20) *SBE v. Bond County Republican Central Committee*, 272, 15MQ004; 21) *SBE v. Franklin Township Republican Organization*, 4707, 15MQ018; 22) *SBE v. Mercer County Democratic Central Committee*, 5164, 14AS009; 23) *SBE v. Monee Township Democratic Organization*, 12157, 15MQ026; 24) *SBE v. Friends of*

*John Fulgenzi*, 18278, 15AM023; 25) *SBE v. Friends for Karen Foster*, 19947, 15AM025; 26) *SBE v. Communications Committee of Mercer County Democrats*, 22789, 14SQ077; 27) *SBE v. Friends of Michael Higgins*, 23334, 15AM033; 28) *SBE v. Democratic Women of the South Suburbs*, 23654, 14AS045; 29) *SBE v. Friends of Chris Benson*, 23896, 15MQ102; 32) *SBE v. Friends of Lisa L. Evans*, 24466, 15AM039; 34) *SBE v. Naperville Professional Firefighters PAC*, 25041, 14AS052; 35) *SBE v. Committee to Elect Leda Drain*, 25203, 15MA066; 36) *SBE v. Will Kyles Committee*, 25362, 15MA068; 37) *SBE v. Citizens for Joanne Rosado*, 25478, 15DQ106; 38) *SBE v. Kevin Wiley for County Board*, 25743, 15AD091; 39) *SBE v. College Democrats of Illinois*, 25871, 15AD092; 40) *SBE v. Citizens for Cathy Jenkins*, 25882, 14AS067; 43) *SBE v. Citizens for Lemont Public Library Improvements*, 26099, 15MA079; 44) *SBE v. Reclaim Chicago PAC*, 26144, 15AM048; 45) *SBE v. Committee for Building Quincy's Schools*, 26148, 15AD103; 46) *SBE v. Citizens to Elect Jeff Bloemker*, 27290, 15AM050; 47) *SBE v. Citizens to Elect David Fults*, 27326, 15MA050; 48) *SBE v. Michael Gibbs 4 RF*, 27371, 15MQ167; 50) *SBE v. Painters & Allied Trades District Council #30*, 29490, 15MA087; and 51) *SBE v. Trustworthy Leaders for Campton Hills Village Board*, 29548, 15MA088.

Mr. Menzel continued with items 2.a.52) *SBE v. Citizens for Heather Sattler*, 26013, 15MA072 and 2.a.53) *SBE v. Concerned Citizens for Tinley*, 27342, 15AM053. He concurred with the hearing officers' recommendations. Member Coffrin moved to adopt the General Counsel and Hearing Officers' recommendations and grant in part and deny in part the appeals as contained on pages 231 through 247 of the Board's packet. Member Cadigan seconded the motion which passed 8-0.

An Appeal of a contribution limits violation fine, wherein the hearing officer recommended the appeal be granted, was for item 2.a.54) *SBE v. Reclaim Chicago PAC*, 26144, 14SQ-CL002. The General Counsel agreed with the hearing officer and recommended the appeal be granted. Vice Chairman Gowen so moved and Member McGuffage seconded the motion which passed unanimously.

The General Counsel recommended the Board enter orders imposing fines of \$5,000 on each of the following committees for failure to comply with previous Board orders. Member McGuffage moved and Member Watson seconded the motion which passed 8-0. Those committees are: 55) *SBE v. ERA PAC*, 14CD118; 56) *SBE v. Citizens for Leys*, 14CD128; 57) *SBE v. Citizens for Colvin*, 14CD129; 58) *SBE v. Boaters PAC, NFP*, 14CD132; 59) *SBE v. Boone County Young Republican Club*, 14CD141; and 60) *SBE v. Citizens for Tyler Smith*, 14CD143.

As to 2.a.61) *Klonsky v. Citizens to Elect Richard Wooten*, 15CD007, Mr. Richard Wooten was present for the committee. The Complainant did not appear. Mr. Wooten filed a final report and was seeking clarification on how to file amendments on a closed committee. He was directed to contact Deputy Director Andy Nauman. Member Keith noted that Mr. Wooten should not have been named as a party as Campaign Disclosure complaints concern the committee only and Mr. Wooten should be dismissed as a party. Member Keith so moved and Member McGuffage seconded the motion which passed 7-0-1 with Member Watson abstaining.

Mr. Menzel agreed with the hearing officers' recommendations to dismiss the complaints and refer the following to staff for assessment of civil penalties: 62) *SBE v. Citizens for David James Howard*, 15CD085; 63) *SBE v. Citizens for Nancy "Sprehe" Eigenrauch*, 15CD086; and 64) *SBE v. Joliet for 8 Districts*, 15CD088. Member Coffrin moved to adopt the hearing officers' and general counsel's recommendations. Member Watson seconded the motion to dismiss the complaints and refer them to staff for assessments of civil penalties in 2.a.62, 2.a.63, and 2.a.64. The motion passed 8-0 roll call vote.

As to the hearing officers' recommendations in agenda items 2.a.65) *SBE v. Committee to Re-Elect Mike Slape*, 15CD016; 66) *SBE v. Edwards County Republican Central Committee*, 15CD017; 67) *SBE v. Citizens for Leys*, 15CD023; 68) *SBE v. votedebby.com*, 15CD029; 69) *SBE v. Re-Elect Eric Leys*, 15CD034; 70) *SBE v. Southland Success PAC*, 15CD038; 71) *SBE v. Jackson for Committeeman*, 15CD039; 72) *SBE v. Citizens for Burch*, 15CD043; 73) *SBE v. Tammy Baer for Douglas County State's Attorney*, 15CD051; 74) *SBE v. Citizens to Elect David J. Sabbathne*, 15CD052; 75) *SBE v. Citizens for Rudd*, 15CD056; 76) *SBE v. Friends of David Moore*, 15CD057; 77) *SBE v. United Party*, 15CD060; 78) *SBE v. VOTESHAW2013*, 15CD063; 79) *SBE v. Friends of Christina Campos*, 15CD064; 80) *SBE v. Friends for Mary C. Childers*, 15CD069; and 81) *SBE v. Fans of Harness Racing*, 15CD075; the General Counsel concurred with upholding and issuing Board Orders requiring delinquent reports be filed within 30 days of the order or face a fine up to \$5,000. Member McGuffage moved and Member Watson seconded the motion which passed unanimously.

Mr. Menzel agreed with the memorandum from the Director of Campaign Disclosure, Tom Newman, recommending issuing of Final Board Orders to the committees listed on pages 356-362 of the Board packet. Member Coffrin so moved and Member Watson seconded the Motion to issue Final Orders for the delinquent filings of December 2014 and March 2015 quarterly reports; D-1 Statements of Organization; Schedule A-1's; and multi assessments as listed on pages 356-362 of the Board's packet. The motion passed 8-0.

Payments of civil penalties were presented for informational purposes. Member Keith recused himself from Committee 279 on page 360, the Montgomery County Democrats.

At 11:24 a.m., Vice Chairman Gowen moved to recess to Executive Session to discuss closed preliminary hearings. The motion was seconded by Member Keith and passed unanimously by 8 voices in unison.

The Board returned to open session at 11:46 a.m. with all members present.

Vice Chairman Gowen summarized the matters heard in executive session. As to 2.a.84) *SBE v. LaSalle County Republican Century Club*, 15CD018, the General Counsel concurred with the Report of the Hearing Examiner to find that the complaint was filed on justifiable grounds, and no further action is required beyond the imposition of a civil penalty. Vice Chairman Gowen moved and Member Keith seconded the motion to adopt the General Counsel's and Hearing Examiner's recommendations. The motion passed unanimously.

As to 2.a.85) *Miller v. Citizens for Rose Martinez*, 15CD012, Complainant Miller was present and no one appeared for the Respondent. The complaint was filed on justifiable grounds and the matter is referred to staff to direct the committee to amend the 2015 quarterly report and to correct all contribution dates. Vice Chairman Gowen so moved and Member Keith seconded the motion which passed 8-0 by roll call vote.

Finally, Item 2.1.86) *Streit v. Bury*, 15CD100 was continued to the September 21<sup>st</sup> Board meeting. The Board noticed Mr. Streit was present and asked if he had any comments. He indicated that he appeared because he was unsure if the matter had been continued.

General Counsel Menzel asked the Board to ratify the Mediation Agreement signed on May 21, 2015 in *Meroni v. State Board of Elections, et. al.*, 14HAVA001 & 14HAVA02. Sharon Meroni appeared and spoke to the agreement and to Part 150, Administrative Complaint Procedures for Violations of Title III of HAVA. Member Coffrin moved to ratify the Mediation Agreement and Member Watson seconded the motion which passed unanimously.

The General Counsel asked the board to consider amendments to Administrative Rules and began with Parts 201 & 202 wherein candidates who file within the last hour of the filing period would be considered filing simultaneously and the last positions on the ballot would also be subject to a lottery. Member McGuffage moved to approve the amendments to Parts 201 and 202 as presented and Member Watson seconded the motion. The motion passed 8-0 by roll call vote.

Mr. Menzel summarized the proposed Amendments to Administrative Rules; 2c.1) Parts 201 & 202 –Simultaneous Filings for the Same Office–Lottery. Member McGuffage moved and Member Watson seconded the motion which passed unanimously. The amendment was approved by the Board, but would require submission to and approval by JCAR in order to be effective.

As to 2c.2) Part 150–Administrative Complaint Procedures for Violations of Title III of HAVA. One change was suggested under Section 150.65. The second sentence would read “If the Board is a respondent in the complaint, the General Counsel will appoint a non-staff attorney who will act independently of the Board.” Vice Chairman Gowen moved and Member Keith seconded the motion to adopt the amendment. The motion passed 8-0. The amendment was approved by the Board, but would require submission to and approval by JCAR in order to be effective.

Executive Director Steve Sandvoss began his report with the Legislative update. He reported that Senate Bill 1265 has been signed and is now Public Act 99-0221, effective July 31, 2015. This legislative amends 7-12 and 25-7 of the Election Code and provides changes at the request of and in consultation with the U.S. Department of Justice when a vacancy occurs in the office of Representative in Congress.

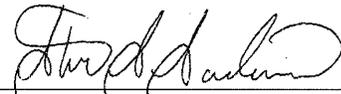
Modifications to the Illinois Voter Registration System to comply with the National Change of Address have been completed as reported by Directors Kyle Thomas and Kevin Turner as they updated the board on Senate Bill 172. Further, a presentation was well received at the July 31<sup>st</sup> Zone 3 meeting and we will offer the same presentation to the other three zones in the state. The Illinois Department of Employment Security has reconsidered opting in for SB172 and SBE staff will contact their representatives to discuss interagency requirements. Finally, funding has been requested in the FY16 budget to join the National Change of Address. The fee is approximately \$83,000 per year plus a one-time charge of \$25,000.

Mr. Sandvoss submitted Becky Glazier’s report on the NASED Summer Conference held June 23-25 in Cleveland; Fiscal status reports for FY15 ending July 31 and the Help Illinois Vote Fund; and the two year plan of staff activity for August and September for informational purposes.

There being no further business before the Board, Member Coffrin moved to adjourn until Monday, September 21, 2015, Vice Chairman Gowen seconded the motion which passed unanimously. The meeting adjourned at 12:41 p.m.

Respectfully submitted,

  
\_\_\_\_\_  
Darlene Gervase, Administrative Asst. III

  
\_\_\_\_\_  
Steven S. Sandvoss, Executive Director

# West Aurora Volunteers for Education (WAVE)

13AM017  
#16063

2527 Pinehurst Drive, Aurora Illinois 60506 - 630.907.8066

STATE BOARD OF ELECTIONS  
2015 AUG 25 AM 7:51

CHICAGO

August 24, 2015

Mr. Ken Menzel, General Counsel  
Illinois State Board of Election

Mr. Menzel,

West Aurora Volunteers for Education (WAVE) is a commission of volunteers for the sole purpose of raising awareness to the citizens of Aurora, IL on the educational needs of our local public schools. All of the Committee members are volunteers and all work is done during personal time, on weekends and/or evenings. Additionally, "WAVE" is only active during times when the local school district finds the need to request a referendum to be placed on the local ballot during a particular election. During the time period between when the local school district requests referendums, WAVE will remain active under the State Board of Elections, but will be inactive with respect to any activities, fund raising, public awareness, etc.

Please note, prior to March 2015, the last active election the committee was involved in was in April 2007, eight years prior. During the past eight years, there have been no activities or receipts by the committee. The only activities during this time were the quarterly filings of the D-2 Quarterly Report of Campaign Contributions and Expenditures. Additionally, please note that at the time of the late filing of the Schedule A-1, the D-2 was completed and timely filed showing that there was no intentional disregard of the filing requirements, only a misunderstanding of the time period required for the A-1.

Additionally, the individual committee members turn over very often given the volunteer nature of the committee. Unfortunately, information regarding the original notice for delinquency filing of the Schedule A-1 was not received by me or other current board members, which is why this is the first plea for reconsideration. Therefore, I am formally requesting a motion for reconsideration of final order and respectfully request a stay of civil penalties assessed. Please consider the lack of activities and requirements to file a Schedule A-1 for eight years, in addition to my excessive and taxing work schedule, as major contributors to the late filing of the Schedule A-1.

Thank you for your consideration of this matter.

Sincerely,



Michael Schaschwary  
Treasurer, West Aurora Volunteers for Education (WAVE)

STATE OF ILLINOIS        )  
                                  ) SS  
COUNTY OF COOK        )

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

In the Matter Of:                )  
                                  )  
State Board of Elections        )  
    Complainant(s),            )  
                                  )  
Vs.                                )        15 AM 017  
                                  )  
West Aurora Volunteers for     )  
Education (WAVE)                )  
    Respondent(s).             )

**FINAL ORDER**

TO: West Aurora Volunteers for Education (WAVE)    ID# 16063  
2527 Pinehurst Dr.  
Aurora, IL 60506-6407

This matter coming to be heard this 18<sup>th</sup> day of August, 2015 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

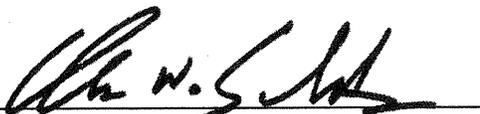
**THE BOARD FINDS**

1. In case number 15 AM 017, a \$3750.00 civil penalty was initially assessed against the Respondent for the delinquent filing of Schedule A-1's during the first quarter of 2015; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$950.00, which was stayed as a first violation, for the delinquent filing of the March 2014 Quarterly report (14 MQ 050). This assessment was not appealed, and
4. Pursuant to section 9-10 of the Code, the assessment is reduced to \$375.00 (10% of the value of the delinquently reported contributions).

**IT IS ORDERED:**

1. A civil penalty in the amount of \$375.00 is imposed and the stay is lifted from the previously assessed penalty of \$950.00. The total amount of \$1325.00 is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is August 20, 2015, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/20/2015

  
\_\_\_\_\_  
Charles W. Scholz, Chairman

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
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BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Steven S. Sandvoss  
June 8, 2015

West Aurora Volunteers for Education (WAVE) ID# 16063  
Michael Schaschwary  
2527 Pinehurst Dr.  
Aurora, IL 60506-6407

7014 2120 0001 1716 1365

Dear West Aurora Volunteers for Education (WAVE):

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
West Aurora Paraprofessional Association	3/23/2015	\$2000	4/12/2015	10	\$1000
IPACE – Aurora Ed. Assoc. West	3/19/2015	\$2000	4/11/2015	12	\$1000
R.C. Wegman Construction Company	3/24/2015	\$2500	4/11/2015	9	\$1250
Whitt Law LLC	3/23/2015	\$1000	4/11/2015	10	\$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$3750.00 for the delinquent filing. This total **does not** reflect any previously assessed fines.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$375.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 8, 2015 you forfeit the right to contest this assessment.**

Since this is a subsequent violation, if the above listed violation is not appealed, the previously stayed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
March 2014	Quarterly <i>11M050</i>	\$950
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$1325</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Newman", with a horizontal line extending to the right.

Tom Newman, Director  
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

15MA074

# 26044

ATTN: Ken Menzel

I am drafting this plea for reconsideration of our civil penalty based on the fact that the Glenwood Peoples Party never received any notice prior to being assessed a civil penalty. In addition to not receiving the notice of the prior penalty, forms to be used to appeal were also not received which could have allowed for the party to easily correct any misunderstanding of the filing and assessed penalties. As stated in the notice for late filing; "After your report is received in this office, you will be mailed an assessment notice, advising you of the amount of the civil penalty being assessed against this committee. You will also be provided with forms with which to appeal such penalty"

It was not until more recently that we were made aware of the latest penalty yet this letter is time stamped by the post office on August 20, 2015 but received days later. As a point of interest once we identified the penalty the election board located in Chicago was contacted on Thursday July 27, 2015 to learn what could be done to over turn this mishap however, sadly we were not informed of our ability to file a notice of reconsideration.

Today, we humbly request for reconsideration of the assessed penalty for reasons mentioned earlier as well as the fact that if an assessment letter had been sent prior any misunderstanding of our filing deadlines would have been corrected to avoid such a penalty.

As you will see upon verification of our filing each was done no later than 30 days of the originally filing deadline.

Again, thank you for your consideration and understanding of this matter.

Glenwood Peoples Party

Maxine Washington  
Adam Winston



State Board of Elections  
PO Box 4187  
2329 S MacArthur Blvd  
Springfield, IL 62708-4187

Glenwood Peoples Party  
119 N. Main Street  
Glenwood, IL 60425

EQ42581345 0502

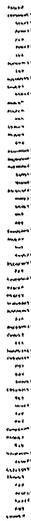


Exhibit-1

STATE OF ILLINOIS )  
 ) SS  
COUNTY OF COOK )

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

In the Matter Of: )  
 )  
State Board of Elections )  
Complainant(s), )  
 )  
Vs. ) 15 MA 074  
 )  
Glenwood Peoples Party )  
Respondent(s). )

**FINAL ORDER**

TO: Glenwood Peoples Party ID# 26044  
119 N. Main Street  
Glenwood, IL 60425

This matter coming to be heard this 18<sup>th</sup> day of August, 2015 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

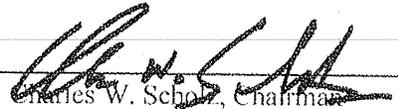
**THE BOARD FINDS**

1. In case number 15 MA 074, a \$1100.00 civil penalty was assessed against the Respondent for the delinquent filing of the December 2014 Quarterly report; and
2. In case number 15 MA 074, a \$825.00 civil penalty was assessed against the Respondent for the delinquent filing of the March 2015 Quarterly report, and
3. An appeal of the civil penalty was not submitted by the committee, and
4. The committee was previously assessed a penalty of \$600.00, which was stayed as a first violation, for the delinquent filing of the September 2014 Quarterly report (14 SQ 133). This assessment was not appealed.

**IT IS ORDERED:**

1. A civil penalty in the amount of \$1925.00 is imposed and the stay is lifted on the previously assessed penalty of \$600.00. The total amount of \$2525.00 is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is August 20, 2015, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/20/2015

  
Charles W. Scholz, Chairman

STATE OF ILLINOIS )  
 ) SS  
COUNTY OF COOK )

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

In the Matter Of: )  
 )  
State Board of Elections )  
Complainant(s), )  
 )  
Vs. ) 15 MA 074  
 )  
Glenwood Peoples Party )  
Respondent(s). )

**FINAL ORDER**

TO: Glenwood Peoples Party ID# 26044  
119 N. Main Street  
Glenwood, IL 60425

This matter coming to be heard this 18<sup>th</sup> day of August, 2015 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

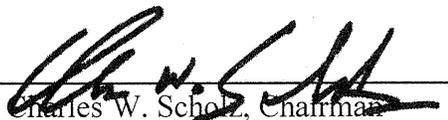
**THE BOARD FINDS**

1. In case number 15 MA 074, a \$1100.00 civil penalty was assessed against the Respondent for the delinquent filing of the December 2014 Quarterly report; and
2. In case number 15 MA 074, a \$825.00 civil penalty was assessed against the Respondent for the delinquent filing of the March 2015 Quarterly report, and
3. An appeal of the civil penalty was not submitted by the committee, and
4. The committee was previously assessed a penalty of \$600.00, which was stayed as a first violation, for the delinquent filing of the September 2014 Quarterly report (14 SQ 133). This assessment was not appealed.

**IT IS ORDERED:**

1. A civil penalty in the amount of \$1925.00 is imposed and the stay is lifted on the previously assessed penalty of \$600.00. The total amount of \$2525.00 is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is August 20, 2015, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/20/2015

  
Charles W. Scholz, Chairman

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Steven S. Sandvoss  
June 8, 2015

Glenwood Peoples Party  
Adam Winston, Maxine Washington  
119 N. Main Street  
Glenwood, IL 60425

ID# 26044

7011 2970 0003 6440 7377

Dear Glenwood Peoples Party:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contributions and Expenditures
Report Period:	October 1, 2014 through December 31, 2014
Filing Period:	January 1, 2015 through January 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 2/19/2015, 22 day(s) late. As such, this committee has been assessed a fine of \$1100.00.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2015 through March 31, 2015
Filing Period:	April 1, 2015 through April 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 4/30/2015, 11 day(s) late. As such, this committee has been assessed a fine of \$825.00.

**The total for all new assessments is \$1925.00.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 8, 2015, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
September 2014	Quarterly <i>1450133</i>	\$600
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$2525</b>

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,



Tom Newman, Director  
Campaign Disclosure Division

SS: lm

Enclosure(s):

appeal

packet



# USPS Tracking®



Customer Service ›  
Have questions? We're here to help.



Get Easy Tracking Updates ›  
Sign up for My USPS.

Tracking Number: 70112970000364407377

Updated Delivery Day: Friday, June 19, 2015

## Product & Tracking Information

## Available Actions

Postal Product:

Features:  
Certified Mail™

Date & Time	Event	Location
June 19, 2015, 12:14 pm	Delivered	SPRINGFIELD, IL 62704
Your item was delivered at 12:14 pm on June 19, 2015 in SPRINGFIELD, IL 62704.		
June 19, 2015, 7:50 am	Arrived at Unit	SPRINGFIELD, IL 62704
June 18, 2015, 3:21 pm	Departed USPS Facility	SPRINGFIELD, IL 62703
June 18, 2015, 1:50 pm	Arrived at USPS Facility	SPRINGFIELD, IL 62703
June 17, 2015, 2:58 pm	Departed USPS Origin Facility	PALATINE, IL 60095
June 16, 2015, 2:19 pm	Arrived at USPS Origin Facility	PALATINE, IL 60095
June 13, 2015, 10:55 am	Moved, Left no Address	GLENWOOD, IL 60425
June 12, 2015, 1:06 pm	Undeliverable as Addressed	GLENWOOD, IL 60425
June 11, 2015, 4:18 am	Departed USPS Facility	BEDFORD PARK, IL 60499
June 10, 2015, 12:13 pm	Arrived at USPS Origin Facility	BEDFORD PARK, IL 60499
June 9, 2015, 10:49 pm	Departed USPS Facility	SPRINGFIELD, IL 62703
June 9, 2015, 9:52 pm	Arrived at USPS Facility	SPRINGFIELD, IL 62703
June 9, 2015, 6:26 pm	Departed Post Office	SPRINGFIELD, IL 62703
June 9, 2015, 3:41 pm	Picked Up	SPRINGFIELD, IL 62703

## Track Another Package

Tracking (or receipt) number

Track It

## Manage Incoming Packages

Track all your packages from a dashboard. No tracking numbers necessary.

Sign up for My USPS ›



**REGULAR REPUBLICAN PARTY OF RIVER FOREST**

7900 West Madison Street  
River Forest, IL 60305

STATE BOARD OF ELECTIONS

15 JUL -9 AM 9:37

July 1, 2015

H 226

Illinois State Board of Elections  
James R. Thompson Center  
100 W. Randolph Street, Ste 14-100  
Chicago, IL 60601

*Re: Civil Fines and Penalties*

Sir or Madam:

The Regular Republican Party of River Forest has received notices stating it has assessed fines of \$19,500.00 due to alleged failure to timely file various papers. As I have attempted to make clear to members of your team, our committee respectfully submits that these fines are inappropriate and wholly out of context with the work that the committee performs. I can tell you straightforwardly that the committee cannot afford to pay such fines. Please keep in mind that this committee has not raised any money that would even come close to the amount of the fines. When I was first elected committeeman following the tragic deaths of key volunteers in River Forest, there were fines already on the books. The fines have simply grown over the years and it is time to remove these fines and move forward.

Please remember that the committee's work is pure volunteerism – that is, the committee is a collection of concerned citizens who come together to meet candidates, celebrate positions and work for candidates in elections. The small amounts contributed over the years have only been used to defray some small fraction of the costs of a candidates' night or other public event that introduces candidates to our community. The committee is not and never has been about raising or spending money. The committee has never paid salaries, or stipends or any kind of remuneration. It is purely about volunteers who share their views with the community.

As a result, the fines that have been levied simply cannot be repaid. Our plea is that the Board accept a payment of \$500 – which represents a substantial amount in the committee's history – and then allow the committee to continue to exist. Steps have been taken to prevent any future imposition of fines and the committee has never made this request previously. If you have any questions, we would be happy to answer. But please remove the unfair burden of such a heavy fine and allow the committee the chance to continue with its purely volunteer activities.

Thank you.



Thomas C Cronin,  
Committeeman

Illinois State Board of Elections

FINE LIST REPORT

Date: 8/5/2015 2:33:35PM

<u>Case Number</u>	<u>Amount Fined</u>	<u>Date Fined</u>	<u>Due Date</u>	<u>Reason Fined</u>	<u>Document Type</u>	<u>Report Period</u>	<u>Amount Paid</u>	<u>Paid</u>
14SQ003	\$ 375.00	2/27/2015	3/27/2015	5 days late	Quarterly	9/30/2014	\$ 0.00	No
13MQ006	\$ 1,800.00	6/3/2013	7/3/2013	24 DAYS LATE Appeal Result: Denied	Quarterly	3/31/2013	\$ 0.00	No
18 13DQ004	\$ 75.00	3/15/2013	4/15/2013	1 DAY LATE	Quarterly	12/31/2012	\$ 0.00	No
12MA095	\$ 1,650.00	12/18/2012	1/17/2013	22 DAYS LATE Appeal Result: Denied	Quarterly	9/30/2012	\$ 0.00	No
12MA095	\$ 1,725.00	12/18/2012	1/17/2013	23DAYS LATE Appeal Result: Denied	Quarterly	6/30/2012	\$ 0.00	No
12MA034	\$ 4,675.00	6/4/2012	7/5/2012	187 DAYS LATE	Quarterly	6/30/2011	\$ 0.00	No
12MA034	\$ 4,575.00	6/4/2012	7/5/2012	61 DAYS LATE	Quarterly	12/31/2011	\$ 0.00	No
12MA034	\$ 5,000.00	6/4/2012	7/5/2012	124 DAYS LATE	Quarterly	9/30/2011	\$ 0.00	No

Name and Address  
 River Fore  
 Regular Republican Party of River Forest  
 c/o Thomas C Cronin  
 7900 W Madison  
 River Forest, IL 60305-2026

Audit  
 09

Type Of Committee  
 Political Party

Committee Number  
 226

Status and Date  
 Active  
 10/1/1974

\$ 19,875

STATE OF ILLINOIS )  
 ) SS  
COUNTY OF COOK )

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

In the Matter Of: )  
 )  
State Board of Elections )  
Complainant(s), )  
 )  
Vs. ) 14 SQ 003  
 )  
Regular Republican Party of River )  
Forest )  
Respondent(s). )

**FINAL ORDER**

TO: Regular Republican Party of River Forest ID# 226  
7900 W. Madison  
River Forest, IL 60305

This matter coming to be heard this 21<sup>st</sup> day of April, 2015 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

**THE BOARD FINDS**

1. In case number 14 SQ 003, a \$375.00 civil penalty was assessed against the Respondent for the delinquent filing of the September 2014 Quarterly report; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$4675.00 for the delinquent filing of the June 2011 Quarterly report, a penalty of \$5000.00 for the delinquent filing of the September 2011 Quarterly report, a penalty of \$4575.00 for the delinquent filing of the December 2011 Quarterly report (12 MA 034), and a penalty of \$75.00 for the delinquent filing of the December 2012 Quarterly report (13 DQ 004). These assessments were not appealed. The committee was also assessed a penalty of \$1725.00 for the delinquent filing of the June 2012 Quarterly report, a penalty of \$1650.00 for the delinquent filing of the September 2012 Quarterly report (12MA 095) and a penalty of \$1800.00 for the delinquent filing of the March 2013 Quarterly report (13 MQ 006). The appeal of these assessments was denied.

**IT IS ORDERED:**

1. A civil penalty in the amount of \$375.00 is imposed and is now due and owing within 30 days of the effective date of this Order. The previously assessed penalty totaling \$19,500.00 remains unpaid and is past due, and
2. The effective date of this Order is April 23, 2015 and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 4/23/2015

  
Jesse R. Smart, Chairman

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

Vs.

14 AM 004

New Trier Republican Organization (ID 244)  
**Respondent**

**REPORT OF HEARING OFFICER**

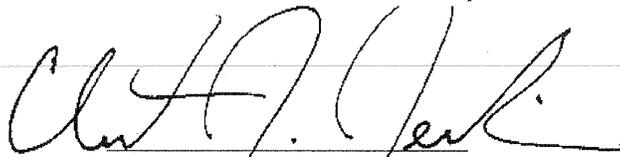
Appeal of Civil Penalty Assessment for Delinquently Filing a Schedule A-1 Report  
For the 1<sup>st</sup> Quarter of 2014

The Committee received a \$1,250 contribution on 1/31/14 and reported it on a Schedule A-1 received by the Board on February 8, 2014, 1 day late resulting in a \$625 civil penalty. Additionally, the Committee had previously been assessed a \$125 civil penalty (not appealed, reduced, stayed) for delinquently filing a Schedule A-1 in the 1<sup>st</sup> Quarter of 2013; The total assessment is \$750..

Michael Whitty, Treasurer of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on October 7, 2014.

Attorney John Fogarty Jr. appeared on behalf of the Committee. Mr. Fogarty adopted the events stated in the affidavit submitted by committee Treasurer Michael Whitty. In summation, Mr. Whitty retrieved a \$1250 contribution from the Committee's P.O. Box on the evening of February 7, 2014 which was then entered into the Committee's electronic filing database on the morning of February 8, 2014. The check was inadvertently entered with the date on the check, January 31, 2014, instead of the date of deposit which was days later on February 10<sup>th</sup>. Attached as Exhibit D is a copy of the deposit slip and the bank's customer receipt for the check in question that confirms the February 10, 2014 deposit date.

I recommend the appeal be granted. The deposit slip shows that the Committee actually reported this contribution prior to the deemed receipt date (date of deposit, 2/10/14). Should the Board agree with this recommendation, the committee's previous fine for a late March 2013 Schedule A-1 report will return to a stayed status. I also recommend the Committee be ordered to amend its March 2014 Quarterly Report to reflect the correct date of receipt, with the amendment to be filed within 30 days of the date of the Final Board Order in this matter. (As of 10/10/14, this Committee reported a funds available balance of \$18,513.09)



Clinton J. Jenkins – Hearing Officer

October 7, 2014

State of Illinois )  
)  
County of Cook )

**BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS**

In The Matter Of )  
Illinois State Board of Elections, )  
Complainant )  
)  
v. )  
)  
New Trier Republican Organization, )  
Committee ID #244, Respondent. )

No. 14 ~~CB~~ **AM004**

**AFFIDAVIT IN SUPPORT OF APPEAL**

I, Michael Whitty, the Treasurer of the New Trier Republican Organization (“the Committee”), first being duly sworn, depose and state that I represent that the Committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

1. I have personal knowledge of the matters stated herein.
2. I am currently the Treasurer for the Committee, a post I took over in late 2012.
3. On the evening of February 7, 2014, I visited our Committee’s post office box for the first time in over a week, after having been out of town for a conference in Florida starting February 5. At that time I obtained, among other things, a letter from William Motley containing a check in the amount of \$1,250.00 (the “Motley check”). The Motley check is dated January 31, 2014, but having been mailed not sooner than that date, would have been received in the Committee’s post office box after I had left town for my conference. Attached hereto as Exhibit A is a copy of the Motley check.

4. The following morning, Saturday, February 8, 2013, in the Committee’s offices, I recorded the Motley check (together with some others) into our ELECT database. When I recorded the Motley check, I inadvertently entered the date of receipt as the check date of January 31, 2014, rather than the February 10, 2014 date of deposit (or, at the earliest, the February 7, 2014 date of first possession). I marked the donor’s Membership Form, attached hereto as Exhibit B, as “Posted” on February 8.

5. My error in recording the date of receipt was inadvertent, and was based on a well-intentioned but mistaken practice of using the check date as the donation date for all receipts, as that can facilitate auditing, cross-referencing, and researching in case of donor inquiries. Going forward, I will be recording all checks large enough to require Form A-1 as received on the date of deposit.

6. As prompted by the ELECT database, I electronically filed a Form A-1 to disclose the Motley contribution, and that filing reflected the same error noted above for the date of receipt. The Schedule A-1 for the Motley contribution, as originally filed, is attached hereto as Exhibit C.

7. Although I marked the deposit slip for February 8, I was unable to make it to the bank before it closed that day, so I actually deposited the Motley check on Monday, February 10, 2014. Attached hereto as Exhibit D is a copy of the deposit slip and Bank's Customer Receipt for the Motley check, showing the deposit as having been made February 10, 2014.

8. On April 4, 2014, I filed a Form D-2 disclosing all of the Committee's activities for the first quarter of 2014. That Form D-2 also reflected the above-described error for the date of contribution of the Motley check, as described above.

9. I will be preparing and filing amendments to the Committee's Schedule D-2 for the first quarter of 2014, correcting the deposit date of the Motley contribution. I have also prepared an amended Form A-1, which cannot be filed electronically, and submit that with this Appeal Affidavit as Exhibit E.

10. The Illinois State Board of Elections' communications of July 22 and July 24, 2014 regarding this matter are attached hereto as Exhibit F and Exhibit G.

11. The Committee takes campaign finance responsibilities seriously. Any of the actions that are alleged to be violations referred to herein were committed inadvertently, and without any intent whatsoever to violate the Campaign Finance Law.

12. By signing my name below I certify, on my oath, under the felony penalties of perjury as provided by section 1-109 of the Illinois Code of Civil Procedure, that I have personal knowledge of the facts stated above, that the facts set forth in this affidavit are true and correct and that I believe that they are true.

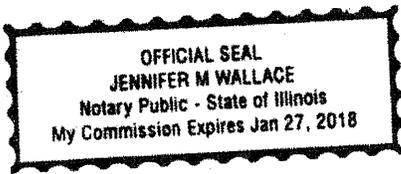
13. Affiant further sayeth not.

*Michael D. Whitty*

Michael D. Whitty, Treasurer  
New Trier Republican Organization

SUBSCRIBED AND SWORN before me, a Notary Public in and for the State of Illinois by Michael D. Whitty this 4<sup>th</sup> day of August, 2014.

Witness my hand and official seal  
Notary Public:



*Jennifer M Wallace*  
Stamp  
My commission expires: 1/27/18

**EXHIBIT A**  
**SUBJECT CHECK**

Exhibit A  
Motley Check

**WILLIAM D. MOTLEY, JR.**  
**MARY G. MOTLEY**  
 244 LINCOLN DR. PH. 847-835-4772  
 GLENCOE, IL 60022

2-2566/710 **3304**

DATE 1/31/14

Pay to the order of New Trier Republican Organization / 2014 \$ 1,250.00  
One thousand two hundred fifty dollars & 00/100

**BMO Harris Bank**  
 BMO Harris Bank N.A.  
 Chicago, Illinois

Bill Motley

666 11: 808350 03304



### SAVE THE DATE

*NTRO Endorsement Meeting*

January 25, 2014, 9:30 a.m.

Wilmette Community Recreation Center

- Hear from GOP candidates for office in 2014
- Ask the tough questions as they work for our endorsement
- Vote to endorse the candidates of your choice

Only NTRO members current in 2014 dues will be able to vote in the endorsement session. Pay your dues online at [www.ntro.org](http://www.ntro.org).

A copy of our report filed with the State Board of Elections is (or will be) available on the Board's official website ([www.elections.il.gov](http://www.elections.il.gov)) or for purchase from the State Board of Elections, Springfield, IL.

**EXHIBIT B**  
**RECORD OF RECORDING FOR SUBJECT CHECK**



# 2014 Membership Dues

Exhibit B  
Record of Receiving and Posting  
Motley Check

NTRO does not sell or provide members contact information to any other candidate or political organization

Name: Bill & Mary Motley

Home Address: 244 Lincoln Dr.

City: Glenview State: IL Zip Code: 60022

Home Phone: - Private - Office: 847.937.4732 Cell: 849.436.2261

Email Address (Please Print) (via. motley@abbott.com) / motst@comcast.net

**Membership Levels**



**POSITIVE**  
**2/3/14**

<input type="checkbox"/>	Individual Membership	\$100/person
<input type="checkbox"/>	Family Membership	\$175/household
<input type="checkbox"/>	Committeeman's Club	\$250/household
<input type="checkbox"/>	Committeeman's Circle	\$500/household
<input checked="" type="checkbox"/>	Committeeman's Deputy	\$1,000/household
<input type="checkbox"/>	Patriot	\$2,500/household

Also:  
Schedule A - 11

*check 3304 1/31/14 ✓*

Please make checks payable: New Trier Republican Organization (NTRO) and mail to NTRO, P.O. Box 301, Kenilworth, IL 60043

Illinois law requires political committees to use their best efforts to record the name and mailing address for each individual who makes a contribution over \$150. For contributions in the amount of \$500 or more, the law requires the contributor to provide his or her employer and occupation.

Employer: ABBOTT Occupation: Senior Executive Health Care  
(Dr. V.P.)

We also need volunteers! Please let us know if you can give of your time and talents in one of the following roles:

Precinct Captain \_\_\_ Office Volunteer \_\_\_ Election Judge \_\_\_ Host a Coffee in Your Home \_\_\_

Your Thoughts, Comments & Suggestions:

---



---



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Thank You for Your Contribution and Support!

NTRO, P.O. Box 301, Kenilworth, IL 60043 (847) 251-6100 [www.ntro.org](http://www.ntro.org)

A copy of our report filed with the State Board of Elections is (or will be) available on the Board's official website ([www.elections.il.gov](http://www.elections.il.gov)) or for purchase from the State Board of Elections, Springfield, IL.

**EXHIBIT C  
SCHEDULE A-1 FOR SUBJECT CHECK,  
AS ORIGINALLY FILED**

**SCHEDULE A-1**

**REPORT OF CAMPAIGN CONTRIBUTIONS  
OF  
\$1000 or more**

FILED

2/8/2014 12:35:46 PM

FOR OFFICE USE ONLY  
IDENTIFICATION NO.

244

09

New Trier Republican Organization  
460 Winnetka Ave, Ste 1  
Winnetka, IL 60093-4206

Exhibit C  
Schedule A-1 as originally filed  
February 8, 2014

**ITEMIZED RECEIPTS**

FULL NAME, MAILING ADDRESS, AND ZIP CODE

RECEIPT  
TYPE

DATE  
RECEIVED

AMOUNT OF  
EACH RECEIPT

Individual Contribution

Motley, William  
244 Lincoln Drive  
Glencoe, IL 60022

1/31/2014

\$1,250.00

Name and address of person submitting this report if other  
than the committee's candidate or treasurer:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**EXHIBIT D**  
**DEPOSIT SLIP AND CUSTOMER'S DEPOSIT RECEIPT FOR MOTLEY CHECK**



**EXHIBIT E**  
**AMENDED FORM A-1 SHOWING CORRECTED DATE FOR SUBJECT CHECK**

Exhibit E  
Amended Schedule A-1

**AMENDED**

**SCHEDULE A-1**

**REPORT OF CAMPAIGN CONTRIBUTIONS OF \$1000 OR MORE**

FOR OFFICE USE ONLY

Full name and complete mailing address of Political Committee:

New Trier Republican Organization  
460 Winnetka Ave, Ste 1  
Winnetka, IL 60093-4206

POLITICAL COMMITTEE  
IDENTIFICATION No.

CHECK IF AN ADDRESS CHANGE 244

**SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.**

**Note: Schedule A-1 reporting requirements now apply throughout the calendar year.**

This form must be filed within 5 business days after receipt of any contribution of \$1000 or more, or within 2 business days if that receipt was within 30 days or less before the date of an election and the political committee supports or opposes a candidate or public question on the ballot at that election or makes expenditures in excess of \$500 on behalf of or in opposition to a candidate(s), or a public question(s), on the ballot at that election by either:

1. **HAND DELIVERY** - to a State Board of Elections office (see bottom of form for addresses),
2. **FACSIMILE** - to (217)-557-5630 or (312)-814-6485. Please retain a confirmation transmission for your records,
3. **ELECTRONIC TRANSMISSION** - If this political committee is required to file its reports electronically, the Schedule A-1 must also be filed electronically.

Postal service or other mail services may be used. **CAUTION:** such services do not guarantee that the A-1 form will be received by our office prior to the deadline. **A POSTMARK IS NOT USED TO DETERMINE WHETHER AN A-1 FORM HAS BEEN TIMELY FILED.**

**THESE CONTRIBUTIONS MUST ALSO BE REPORTED ON THE NEXT REGULARLY SCHEDULED FORM D-2 QUARTERLY REPORT, SCHEDULE A OR SCHEDULE I.**

RECEIVED FROM: FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE	AMOUNT
Motley, William Individual Contribution	02/08/2014	\$ 1,250.00
		\$
		\$
		\$
		\$

*Michael D. Whitty*

August 4, 2014

SIGNATURE OF TREASURER OR CANDIDATE

DATE

Name and address of person submitting this report if other than the committee's chairman or treasurer:

THE ILLINOIS STATE BOARD OF ELECTIONS IS REQUESTING DISCLOSURE OF INFORMATION THAT IS NECESSARY IF YOU QUALIFY AS A POLITICAL COMMITTEE AS OUTLINED UNDER PUBLIC ACT 76-1183. DISCLOSURE OF THIS INFORMATION IS REQUIRED. FAILURE TO PROVIDE ANY INFORMATION COULD RESULT IN A FINE UP TO \$5,000. THIS FORM IS IN COMPLIANCE WITH THE FORMS MANAGEMENT PROGRAM ACT

STATE BOARD OF ELECTIONS  
2329 S. MacArthur Blvd.  
SPRINGFIELD, IL 62708-4187

ALL POLITICAL COMMITTEES RETURN TO:

OR

STATE BOARD OF ELECTIONS  
JAMES R. THOMPSON CENTER  
100 W RANDOLPH ST, STE 14-100  
CHICAGO, IL. 60601-3232

**EXHIBIT F**  
**LETTER OF ILLINOIS STATE BOARD OF ELECTIONS**  
**JULY 22, 2014**

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485

BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Bryan A. Schneider  
Casandra B. Watson

July 22, 2014

Exhibit F  
IL State Board Letter  
July 22, 2014

New Trier Republican Organization  
William Cadigan  
874 Green Bay Rd  
Winnetka, IL 60093

ID# 244

Dear New Trier Republican Organization:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
William Motley	1/31/2014	\$1250	2/8/2014	1	\$625

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$625.00 for delinquently filing schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Since this is the third delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$625.00, (100% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.*

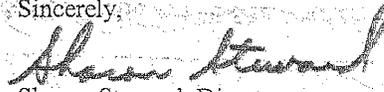
If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
March 2013	A 1	\$125
December 2013	A 1	(on Appeal) \$1250
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$2000</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director  
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

\* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**EXHIBIT G  
LETTER OF ILLINOIS STATE BOARD OF ELECTIONS,  
INCLUDING CORRECTED ASSESSMENT  
JULY 24, 2014**

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS



2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485

BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Bryan A. Schneider  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller

Exhibit G  
IL State Board Letter with  
Corrected Assessment  
July 24, 2014

July 24, 2014  
CORRECTED ASSESSMENT

New Trier Republican Organization ID# 244  
William Cadigan  
874 Green Bay Rd  
Winnetka, IL 60093

Dear New Trier Republican Organization:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

Contributed By	Date of Contribution	Amount of Contribution	Date A-1 Received	Days Late	Fine Assessed
William Motley	1/31/2014	\$1250	2/8/2014	1	\$625

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$625.00 for delinquent filing schedule A-1 reports. This total *does not* reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$313.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
March 2013	A 1	\$125
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$438</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director  
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

\* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Rupert T. Borgsmiller

BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Bryan A. Schneider  
Casandra B. Watson

July 24, 2014

CORRECTED ASSESSMENT

New Trier Republican Organization  
460 Winnetka Ave, Ste 1  
Winnetka, IL 60093

ID# 244

7012 3460 0001 3857 9099

Dear New Trier Republican Organization:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
William Motley	1/31/2014	\$1250	2/8/2014	1	\$625

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$625.00 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$313.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 22, 2014 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
March 2013	A 1	\$125
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$438</b>

STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections

**Complainant**

Vs.

15 AD 076

Farmers Employee Agent PAC 17748

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Failure to File

A Schedule A-1 for the 4<sup>th</sup> Quarter of 2014

This Committee received a \$1,500 contribution on 10/14/14 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$750. The total assessment is \$750.

Heather Wright, the Executive Director of the Committee, appeared at the August 13<sup>th</sup> appeal hearing.

Ms. Wright indicated that the Committee takes its campaign finance reporting very seriously and they want to clear the record as the alleged violation was actually the result of the Committee voiding a \$1,500 check that was not cashed by Citizens for Durkin that was written in December of 2013. The Committee reported the funds as a Transfer-In rather than an Other Receipt which triggered the assessment as the Committee did not realize the transaction should have been entered as an Other Receipt in which they could have provided a description to more clearly describe the situation.

The act of voiding out a check that was not cashed does not require a Schedule A-1 Report as a contribution was not received. I recommend the appeal be granted. I also recommend that the Committee amend the 4<sup>th</sup> Quarter of 2014 to show that the transaction was the result of a voided check rather than the receipt of a contribution from Citizens for Durkin within 30 days of the Final Order. (As of 6/30/15, this Committee reported a funds available balance of \$95,348.76.)



Andy Nauman – Hearing Officer

August 17, 2015

State of Illinois )  
County of: COOK )

STATE BOARD OF ELECTIONS  
15 JUL -6 PM 2:21

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )

/s. )  
Farmers Employee + Agent PAC )  
Respondent(s). )

Case No. 15AD076

APPEAL AFFIDAVIT

Caryn Woolley, the Treasurer of the  
(Name) (Chairman/Treasurer)  
Farmers Employee + Agent PAC  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The committee reported the contribution during the 10/1/2013 - 12/31/2013 reporting period (see attached). It was reported during the 10/1/2014 - 12/31/2014 reporting period as a transfer in because we voided the check after realizing it was never cashed. (see attached)

Caryn Woolley  
Signature of Chairman/Treasurer

Subscribed and Sworn to by:  
Mario Brown  
before me this 30<sup>th</sup> Day of June, 2015  
Notary Public  
(Print Name)



NAME OF POLITICAL COMMITTEE  
Farmers Employee & Agent PAC

REPORTING PERIOD  
10/1/2013 thru 12/31/2013

FILED  
1/14/2014  
2:01:03 PM

FOR OFFICE USE ONLY  
IDENTIFICATION NO.  
Political Action 17748

## SCHEDULE B

### PART 6 - TRANSFERS OUT

ITEMIZED EXPENDITURES FULL NAME, MAILING ADDRESS, AND ZIP CODE	DATE OF EXPENDITURE	AMOUNT	AGGREGATE AMOUNT FOR THIS REPORTING PERIOD
Citizens for Antonio Munoz PO Box 1926 Springfield, IL 62705  Purpose: Contribution	12/18/2013	\$1,000.00	\$1,000.00
	Beneficiary: Citizens for Antonio Munoz		
Citizens for Christine Radogno 1011 State St, Ste 120 Lemont, IL 60439  Purpose: Contribution	12/18/2013	\$1,500.00	\$1,500.00
	Beneficiary: Citizens for Christine Radogno		
Citizens for Dennis M Reboletti PO Box 90 Addison, IL 60101  Purpose: Contribution	12/18/2013	\$1,000.00	\$1,000.00
	Beneficiary: Citizens for Dennis M Reboletti		
Citizens for Durkin PO Box 367 Western Springs, IL 60558  Purpose: Contribution	12/18/2013	\$1,500.00	\$1,500.00
	Beneficiary: Citizens for Durkin		
Citizens for Edward Avevedo PO Box 08313 Chicago, IL 60608  Purpose: Contribution	12/18/2013	\$500.00	\$500.00
	Beneficiary: Citizens for Edward Avevedo		
Citizens for Elaine Nekritz 101 W. Grand, Ste 200 Chicago, IL 60654  Purpose: Contribution	12/18/2013	\$500.00	\$500.00
	Beneficiary: Citizens for Elaine Nekritz		
Citizens for Lou Lang PO Box 1815 Skokie, IL 60076  Purpose: Contribution	12/18/2013	\$500.00	\$500.00
	Beneficiary: Citizens for Lou Lang		

NAME OF POLITICAL COMMITTEE  
Farmers Employee & Agent PAC

REPORTING PERIOD  
10/1/2014 thru 12/31/2014

FILED  
1/13/2015  
3:40:28 PM

FOR OFFICE USE ONLY  
IDENTIFICATION NO.  
Political Action 17748

## SCHEDULE A

### PART 2: TRANSFERS IN

ITEMIZED RECEIPTS FULL NAME, MAILING ADDRESS, AND ZIPCODE	DATE RECEIVED	AMOUNT OF EACH RECEIPT	AGGREGATE AMOUNT FOR THIS REPORTING PERIOD
Citizens for Durkin PO Box 367 Western Springs, IL 60558	10/14/2014	\$1,500.00	\$1,500.00
TOTAL FOR THIS PART:			\$1,500.00

Check # 1376  
12/18/13



Re: FEAPAC Fine  
 Caryn Woolley to: Heather N Wright

06/16/2015 11:52 AM

History: This message has been replied to.

Original check written 12/18/13. Check voided 10/14/14 because Durkin never received. No new check was ever issued.

Date	Check #	Payee/Deposit Info	Receipts	Expenditures	Contrib
12/18/13	1376	Citizens for Durkin			
10/14/14	VOID 1376	VOID Check # 1376 - Durkin Never Received	1,500.00		

Best regards,

Caryn Woolley  
 Executive Assistant for  
 Kirk Parker, Head of Territory - Great Lakes Territory  
 Peter Sobecki, Head of Sales - Great Lakes Territory  
 Phone (630) 907-4300  
 Cell (224) 242-2999  
 Fax (630) 907-4313  
 Caryn\_Woolley@farmersinsurance.com

Heather N Wright From: Heather N Wright/HO/Farmers/USA/Zuric... 06/16/2015 11:41:25 AM

From: Heather N Wright/HO/Farmers/USA/Zurich  
 To: Caryn Woolley/HO/Farmers/USA/Zurich@zurich,  
 Date: 06/16/2015 11:41 AM  
 Subject: Re: FEAPAC Fine

Can you look and see when the check was written and when it cleared? Thank you

Sent from my iPhone

> On Jun 16, 2015, at 11:34 AM, Caryn Woolley <caryn\_woolley@farmersinsurance.com> wrote:

>  
 > Hi Heather,  
 >  
 > The attached was received in our office today.  
 >  
 >  
 >  
 > Best regards,  
 >

> Caryn Woolley  
 > Executive Assistant for  
 > Kirk Parker, Head of Territory - Great Lakes Territory  
 > Peter Sobecki, Head of Sales - Great Lakes Territory  
 > Phone (630) 907-4300  
 > Cell (224) 242-2999  
 > Fax (630) 907-4313

15 JUL -6 PM 2: 21

To Whom it May Concern:

I am the Executive Director for the Farmers Employee & Agent PAC. I spoke with Laura Marbold and she told me that I should include a note with the Appeal Affidavit and Request for Hearing forms stating that I will be the one attending the hearing on behalf of the Farmers Employee & Agent PAC and that the Treasurer, Caryn Woolley, can be available via phone if necessary.

If the hearing officer could contact me directly about setting up the hearing, that would be great. We have included evidence in this packet for our defense, but it is very important to us that our PAC be in compliance so I wanted to request a hearing in case the officer has any questions that we can answer.

We are also looking for some direction as to how to record checks that have been voided out so that we do not go through this again.

My contact information is 217-622-1757. Thank you in advance for your time.

Sincerely,

Heather Wright

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Steven S. Sandvoss  
June 8, 2015

Farmers Employee & Agent PAC  
Caryn Woolley  
2245 Sequoia Drive  
Aurora, IL 60506

ID# 17748

7014 2120 0001 1716 0870

Dear Farmers Employee & Agent PAC:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Citizens for Durkin	10/14/2014	\$1500	1/13/2015	55	\$750

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$750.00 for the delinquent filings.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$75.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 8, 2015 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director  
Campaign Disclosure Division

SS: lm  
Enclosures: appeal packet

STATE OF ILLINOIS  
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections  
**Complainant**

v.

15 MQ 003

York Township Democratic Org ID# 206  
**Respondent**

**REPORT OF HEARING OFFICER**

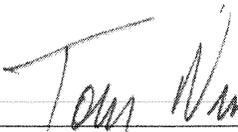
Appeal of Civil Penalty Assessment for Delinquent Filing of the  
March 2015 Quarterly Report

The Report was received by the Board on 5/4/15, 13 days late, resulting in a civil penalty assessment of \$325.

William McCarthy, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. McCarthy states that he entered the Committee's financial information into the IDIS 3 electronic filing system and attempted to file the Report on April 15. He says he could not get a confirmation from the system that the Report had been filed, but after attempting the filing several times he just made a copy for his records and left it. Mr. McCarthy includes that copy, which he notes is marked "not filed" at the top and he says IDIS3 failed to print his signature and filing date and time at the bottom. Mr. McCarthy adds that in early May he learned there could be a fine involved, so he contacted Board staff for assistance and was then able to file the Report.

A review of the Committee's report filings indicates the problem Mr. McCarthy encountered was likely an amended Report from an earlier period that needed to be filed before the IDIS system would allow the March 2015 Quarterly Report to be filed. Because Mr. McCarthy acknowledges that the Report he printed on April 15 was clearly marked "not filed" and lacked his signature as well as a filing date and time, and since he then apparently made no further attempts to file the report or seek assistance from the Board until more than two weeks later, I do not believe the Committee qualifies for an electronic filing defense. I therefore recommend the appeal be denied for lack of an adequate defense. As a first violation, the \$325 civil penalty is stayed. (As of 6/30/15, this Committee showed a funds available balance of \$5,228.18)



---

Tom Newman – Hearing Officer  
August 4, 2015

State of Illinois )  
County of DUPAGE )

STATE BOARD OF ELECTIONS

15 JUL 10 PM 3:03

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. YORK TOWNSHIP DEMOCRATIC ORGANIZATION )  
Respondent(s). )

Case No. 15MQ003

WAIVER OF APPEARANCE

I, William C. McCarthy, Jr., the Treasurer of the  
(Name) (Chairman/Treasurer)  
York Townshp democratic Organization  
(Name of Committee)

Committee, appeals on behalf of the said committee the assessment of civil penalty proposed in this matter and submits in support of that appeal the accompanying affidavit. The said committee waives personal appearance before the State Board of Elections for hearing on this appeal and agrees that the State Board of Elections may enter its order with respect to this appeal in the absence of a representative of the committee.

WCMcCarthy Jr  
(Signature of Chairman/Treasurer)

OVER

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187  
Springfield, Illinois 62708-4187  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Steven S. Sandvoss  
June 8, 2015

York Township Democratic Org  
c/o Steven Stawarz  
191 Saddle Brook Drive  
Oak Brook, IL 60523

ID# 206

7014 2120 0001 1716 0368

Dear York Township Democratic Org:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: March Quarterly Report of Campaign Contributions and Expenditures  
Report Period: January 1, 2015 through March 31, 2015  
Filing Period: April 1, 2015 through April 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 5/4/2015, 13 days late. As such, this committee has been assessed a fine of \$325.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 8, 2015 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in blue ink that reads "Tom Newman".

Tom Newman, Director  
Campaign Disclosure Division

SS: lm  
Enclosure(s): appeal packet

STATE OF ILLINOIS  
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections  
**Complainant**

v.

15 DQ 166

Gallatin County Republican Central Cmte  
Cmte ID: 380  
**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment  
For Delinquently Filing the December 2014 Quarterly Report

The Report was received February 9th, 2015, 16 days late, resulting in an assessment of \$1,200. The Committee was previously fined \$150 (not appealed, paid) for delinquently filing the March 2013 Quarterly Report and \$25 (not appealed, paid) for delinquently filing the September 2011 Quarterly Report.

Lawrence Wooden, the Chairman of the Committee, filed an Appeal Affidavit in this matter. On the Affidavit, Mr. Wooden acknowledged his oversight led to the delinquent filing. He stated he was out of state when the reporting forms from the Board arrived at the Committee address and said the mail often takes as long as two weeks to be forwarded to him in such instances. He downloaded a D-2 form and filed the Report upon realizing it was late.

Attorney John Fogarty appeared in person on behalf of the Committee at a hearing at the Board's Springfield office at 10a.m. on Thursday, August 6th, 2015. Mr. Fogarty affirmed the statement contained in the appeal affidavit and noted the Committee will put in place a system so that Reports are filed in a timely manner when Mr. Wooden is out of state in the future.

I appreciate the candor of the Respondent in this matter but recommend the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, a total of \$1,200 would be due and owing. As of June 30<sup>th</sup>, 2015, the Committee's reported balance was \$2,683.74.



---

John Levin – Hearing Officer  
August 6th, 2015

State of Illinois )  
County of: \_\_\_\_\_ )

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS 15 JUN 16 PM 4:21  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
GALLATIN COUNTY CENTRAL CMTE )  
Respondent(s). )

Case No. 15DG166  
ID# 380

APPEAL AFFIDAVIT

I, LAWRENCE WOODEN, the CHAIRMAN of the  
(Name) (Chairman/Treasurer)  
GALLATIN COUNTY REPUBLICAN CENTRAL COMMITTEE  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

DURING THE MONTH OF JANUARY 2015 THE REPORTING PERIOD FOR THE MONTHS OF  
OCT, NOV, DEC ~~AND~~ I WAS IN FLA. MY MAIL WAS FORWARDED TO MY FLA.  
RESIDENCE - THE MAIL WAS SOMETIMES MORE THAN 2 WEEKS ARRIVING -  
NOT HAVING THE PROPER REPORTING FORMS, I WAS ABLE TO DOWNLOAD A D2 FORM.  
THIS WAS FILED AS SOON AS POSSIBLE - I EARNESTLY REQUEST THAT THE  
PENALTY ASSESSED FOR LATE FILING BE CANCELED WITH THE ASSURANCE  
THAT ALL FUTURE REPORTS WILL BE FILED  
IN A TIMELY MANNER

Lawrence Wooden  
Signature of Chairman/Treasurer

igned and Sworn to by:  
Kristi D. Abell  
efore me this 12 Day of June, 2015  
otary Public



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Schoiz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Judith C. Rice  
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

June 8, 2015

ID# 380

Gallatin County Republican Central Cmte  
Lawrence Wooden  
PO Box 278  
Shawneetown, IL 62984

7014 2120 0001 1723 1655

Dear Gallatin County Republican Central Cmte:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2014 through December 31, 2014
Filing Period:	January 1, 2015 through January 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 2/9/2015, 16 day(s) late. As such, this committee has been assessed a fine of \$1200.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 8, 2015 you forfeit the right to contest this assessment.***

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink that reads "Tom Newman". The signature is written in a cursive style and is positioned above a horizontal line.

Tom Newman, Director,  
Campaign Disclosure Division

SS: lm  
Enclosure(s): appeal packet

STATE OF ILLINOIS  
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections  
**Complainant**

v.

15 AM 005

Sheet Metal Workers' Intl Assn LU #73 PAC  
**Respondent**

ID# 639

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

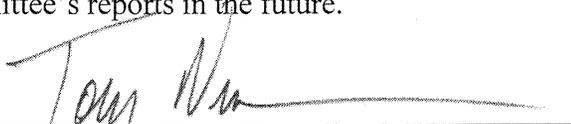
The Committee received a \$2,500 contribution on 1/21/15 and a \$5,000 contribution on 2/18/15, and reported both on a Schedule A-1 received by the Board on 4/15/15, 52 and 37 days late respectively, resulting in a civil penalty assessment of \$3,750.

Rocco Terranova, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Terranova states that the contributions were transfers from the union's general fund to cover Committee checks that had been written, and were therefore not outside contributions.

Section 9-8.5(i) of the Disclosure Act allows a labor organization to act as a conduit in facilitating the delivery of dues or similar contributions to a political committee, with such contributions generally not requiring an A-1 filing even when the aggregate total is \$1,000 or more. However, this does not seem to be the case here, because the Committee clearly states the receipts were transfers from the union's general fund. Therefore, regardless of whether the Committee views them as "outside" contributions or not, they are subject to the A-1 reporting requirements. As a result, since both contributions were reported late, I recommend the appeal be denied. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$375. If these recommendations are accepted by the Board, the \$375 civil penalty will be due and owing. (As of 6/30/15, this Committee showed a funds available balance of \$1,989.04)

As a postscript, a review of the Committee's filings from the past few years shows a number of similar contributions from the union to the Committee. I would like to caution the Committee that if these entries also represent transfers from the union general fund, rather than the conduit transfer of dues, the contributions are subject to contribution limits. For a political action committee, the annual limit from a labor organization is \$21,600. If however the entries do represent conduit transfers, they should be labeled as such on the Committee's reports in the future.



Tom Newman – Hearing Officer

September 3, 2015

State of Illinois )  
County of : COOK )

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

15 JUN 25 PM 1:52

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
SHEET METAL WORKERS' )  
Respondent(s). LOCAL 73 PAC )

Case No. 15AM005

APPEAL AFFIDAVIT

I, ROCCO TERRANOVA, the CHAIRMAN of the  
(Name) (Chairman/Treasurer)  
SHEET METAL WORKERS' LOCAL 73 PAC  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

THE CONTRIBUTIONS MADE ON 1/21/15 AND 2/18/15 WERE  
TRANSFERS FROM OUR GENERAL FUND TO COVER PAC CHECKS  
WRITTEN. THEY WERE NOT OUTSIDE CONTRIBUTIONS.

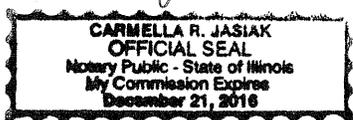
[Signature]  
Signature of Chairman/Treasurer

Signed and Sworn to by:

Carmella R. Jasiak

before me this 19TH Day of June, 2015

Notary Public  
(seal)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Steven S. Sandvoss  
June 8, 2015

Sheet Metal Workers' Intl Assn LU #73 PAC ID# 639  
Thomas W Burek, Robert F Schneider  
4550 Roosevelt Rd  
Hillside, IL 60162-2053

7014 2120 0001 1716 1310

Dear Sheet Metal Workers' Intl Assn LU #73 PAC:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Sheet Metal Workers' Intl Assoc.	1/21/2015	\$2500	4/15/2015	52	\$1250
Sheet Metal Workers' Intl Assoc.	2/18/2015	\$5000	4/15/2015	37	\$2500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$3750.00 for the delinquent filing. This total **does not** reflect any previously assessed fines.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$375.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 8, 2015 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink that reads "Tom Newman". The signature is written in a cursive style and is positioned above the printed name.

Tom Newman, Director  
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

STATE OF ILLINOIS  
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections  
**Complainant**

v.

15 MQ 019

Mercer County Democratic Central Committee      ID# 5164  
**Respondent**

**REPORT OF HEARING OFFICER**

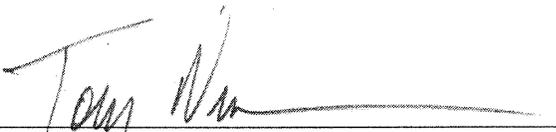
Appeal of Civil Penalty Assessment for Delinquent Filing of the  
March 2015 Quarterly Report

The Report was received by the Board on 4/20/15, 3 days late, resulting in a civil penalty assessment of \$150. Additionally, the Committee was previously assessed a \$1,050 civil penalty (appealed, denied, unpaid) for delinquent filing of the December 2013 Quarterly Report, and a \$300 civil penalty (appealed, denied, unpaid) for delinquent filing of two Schedule A-1 reports in the 3<sup>rd</sup> quarter of 2014. The total assessment is \$1,500.

Tiffany Newswander, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Newswander states that she normally faxes the Committee's reports on the 15<sup>th</sup> of the month they are due. She says on April 15 her fax machine was not working, so she sent the Report through the US Postal system, believing the postmark of the due date would be the same as being turned in on time.

Section 9-10 of the Disclosure Act and Section 100.125 of the Board's Rules and Regulations state that in order to be considered timely, a mailed report that is received after the due date must be postmarked at least 72 hours prior to the due date. The envelope containing the Committee's Report was postmarked 4/15/15, and was therefore late. I recommend the appeal be denied. If this recommendation is accepted by the Board, the total assessment of \$1,500 will be due and owing. (As of 6/30/15, this Committee showed a funds available balance of \$4,091.60)



Tom Newman – Hearing Officer  
September 3, 2015

State of Illinois )  
County of: Mercer )

STATE BOARD OF ELECTIONS

15 JUL 10 PM 3:03

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. Mercer County )  
Democratic Central Cmte )  
Respondent(s). )

Case No. 15MQ019

APPEAL AFFIDAVIT

Tiffany K. Newswander, the Treasurer of the  
(Name) (Chairman/Treasurer)  
Mercer County Democratic Central Committee  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I normally fax my report in on the 15th of the month due. On April 15th, my fax was not working. Therefore, I sent it in the US postal system. In dealing with any other Federal and IL taxing bodies, a postmark of the due date is the same as being turned in on time. I have since had my fax machine fixed and is working properly.

Tiffany K. Newswander  
Signature of Chairman/Treasurer

igned and Sworn to by:  
Tiffany Newswander  
efore me this 8 Day of July, 2015  
otary Public Margaret Ann MALONE  
eal)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Steven S. Sandvoss

June 8, 2015

ID# 5164

Mercer County Democratic Central Cmte  
Richard E Maynard  
407 SE 5th Ave  
Aledo, IL 61231

Dear Mercer County Democratic Central Cmte:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: March Quarterly Report of Campaign Contribution and Expenditures  
Report Period: January 1, 2015 through March 31, 2015  
Filing Period: April 1, 2015 through April 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 4/20/2015, 3 day(s) late. As such, this committee has been assessed a fine of \$150.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 8, 2015 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
December 2013	Quarterly	\$1050
September 2014	A1 (on appeal)	\$50
September 2014	A1 (on appeal)	\$250
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$1500.00</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,  
Campaign Disclosure Division

SS: lm  
Enclosure(s): appeal packet

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

Vs.

14 AJ 062

Citizens for Jesse White (ID# 14632)  
**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports  
For the 2<sup>nd</sup> Quarter of 2014

The Committee received two \$1,000 contributions, one \$15,000 contribution, one \$3,000 contribution, and two \$5,000 contributions on 4/24/14 and reported these on a Schedule A-1 received by the Board on July 9, 2014, 50 days late resulting in a \$15,000 civil penalty. In addition the committee was assessed a \$250 civil penalty (not appealed, reduced, paid) for delinquently filing a 3<sup>rd</sup> Quarter 2013 Schedule A-1 Reports; a \$750 civil penalty (not appealed, reduced, paid) for delinquently filing 4 3<sup>rd</sup> Quarter 2013 Schedule A-1 Reports. The total assessment is \$15,000.

Michael J. Kasper, Attorney of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on May 6, 2015.

Attorney Michael J. Kasper appeared on behalf of the Committee. Mr. Kasper stated the committee does not dispute that the report was late. The contributions in question were all a part of the same bank deposit on the same day of April 21<sup>st</sup>, and he would ask that the board continue its current practice of treating them all as one single violation. He asked that the penalty be assessed at 10% of the current amount.

The committee admits the report was late. They are simply asking for consideration for the penalty assessed. I recommend the appeal be denied for lack of an adequate defense. Since this is the Committee's second Schedule A-1 violation, I recommend the fine be reduced by 50%. If this recommendation is accepted by the Board, a \$7,500 civil penalty will be due. (As of 06/30/15, this Committee reported a funds available balance of \$430,097.82).



Clinton J. Jenkins – Hearing Officer

July 29, 2015

STATE BOARD OF ELECTIONS

15 MAR 13 PM 1:44

State of Illinois )  
County of: Cook )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Citizens for Jesse White )  
Respondent(s). )

Case No. 14A9060

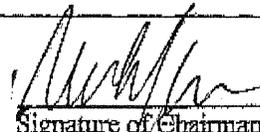
APPEAL AFFIDAVIT

I, Michael J. Kasper, the Attorney of the  
(Name) (Chairman/Treasurer)

Citizens for Jesse White  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Penalty was wrongfully assessed. Reasons to be presented at the hearing.

  
Signature of Chairman/Treasurer  
Attorney

Signed and Sworn to by:  
Michael J. Kasper  
before me this 13 Day of March, 2015

Notary Public  
(seal)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Bryan A. Schneider  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Steven S. Sandvoss

February 27, 2015

Citizens for Jesse White  
134 N La Salle St, Ste 1814  
Chicago, IL 60602-1152

ID# 14632

7014 2120 0001 1716 2003

Dear Citizens for Jesse White:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Global Water Technology , Inc	4/21/2014	\$3000	7/9/2014	50	\$1500
Quantum Crossings LLC	4/21/2014	\$1000	7/9/2014	50	\$500
Schroeder-Lauer Funeral Home	4/21/2014	\$5000	7/9/2014	50	\$2500
Ted Spyropoulos	4/21/2014	\$5000	7/9/2014	50	\$2500
Painters District Council #14 PAC	4/21/2014	\$15000	7/9/2014	50	\$7500
Steamfitters Local 439	4/21/2014	\$1000	7/9/2014	50	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$15000.00 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$7500.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director  
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

\* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

15 AD 073

Holland & Knight LLP IL Comm for Effective Government 16109

**Respondent**

**REPORT OF HEARING EXAMINER**

Appeal of Civil Penalty Assessment for Failure to File  
A Schedule A-1 Report in the 4<sup>th</sup> Quarter of 2014

The Committee received an \$8,000 contribution on 10/1/14 and failed to report the contribution on a Schedule A-1 Report, resulting in a civil penalty assessment of \$4,000. In addition, the Committee has previously been assessed a \$400 civil penalty (appealed, denied, reduced, paid) for delinquently filing a Schedule A-1 Report in the 3<sup>rd</sup> Quarter of 2014. The total assessment is \$4,000.

Peter Friedman, the chairman and treasurer of the Committee, appeared at the August 20<sup>th</sup> appeal hearing.

Mr. Friedman stated that he does not have a factual dispute as the contribution was received on 10/1/14 and the contribution was not reported until the Committee filed its Quarterly Report on 1/15/15. However, he said the delinquent reporting of the contribution was an inadvertent error of the Committee as he thought the appropriate Schedule A-1 Reports were being filed however it was not realized until the Committee received a notice in February of 2015 concerning a very similar failure to timely report that the Committee was not filing Schedule A-1 Reports as required. Mr. Friedman further explained that the 1<sup>st</sup> violation was not brought to their attention until after the 2<sup>nd</sup> violation had already been reported as the 1<sup>st</sup> violation took place in the 3<sup>rd</sup> Quarter of 2014 and the 2<sup>nd</sup> violation was reported on their December 2014 Quarterly Report in January of 2015 and the notice for the 1<sup>st</sup> violation was not received until February of 2015. Therefore, the Committee did not have a chance to take corrective action to fix their process prior to them unknowingly making the same error a second time. He said the Committee has established a new internal procedure to assure filings are completed and filed on time in the future as the Schedule A-1 Reports are going to be filed the same day contributions are deposited. Mr. Friedman stated the Committee takes the campaign finance filings requirements very seriously and was in no way trying to avoid the full and complete disclosure of the Committee's contributions. Both untimely filings resulted from the same internal Committee mistake and the Committee presumed the February assessment would have encompassed both violations since they were from the same contributor and the contributions were for the same amounts otherwise they would have requested the matters to be handled together. For the forgoing reasons and the fact that the Committee is relatively small they request the civil penalty be suspended or materially reduced to allow the Committee to demonstrate the effectiveness of their new procedures. A \$2,000 civil penalty is a significant percentage of the Committee's funds and they request if an assessment is to be imposed that the same amount be imposed as was for the 1<sup>st</sup> assessment, or \$400.

The Respondent does not dispute that they delinquently reported the 10/1/14 contribution from Holland & Knight LLP therefore I recommend the appeal be denied for lack of an adequate defense. However, the Respondent essentially request that the civil penalty be reduced at the rate of a first violation since the Committee did not receive notice of the first violation until after they had already failed to report a similar contribution. Since there is no indication the violation was anything other than inadvertent an unintentional, and since the Board in the past has been willing to treat similar situations with a reduction rate of a first violation I recommend the penalty be reduced to 10% of the original assessment, or \$400. If this recommendation is accepted by the Board, the \$400 civil penalty will be due and owing. (As of 6/30/15, this Committee showed a funds available balance of \$12,341.65.)



Andy Nauman – Hearing Examiner

August 27, 2015

State of Illinois )  
County of: Cook )

STATE BOARD OF ELECTIONS

15 JUL -9 AM 8:22

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )

Vs. )  
Holland & Knight LLP IL )  
Respondent(s). )  
Committee For Effective Government )

Case No. 15AD073  
#D # 16109

APPEAL AFFIDAVIT

I, Peter Friedman, the Treasurer of the  
(Name) (Chairman/Treasurer)

Holland & Knight LLP IL Committee For Effective Government  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

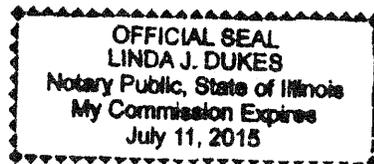
Please see attached.

Peter Friedman  
Signature of Chairman/Treasurer

Signed and Sworn to by:  
Peter Friedman

before me this 8th Day of July, 2015

Notary Public (seal) Linda J. Dukes



## Statement in Support of Appeal

### Holland & Knight LLP IL Committee for Effective Government (ID # 16109)

The Holland & Knight LLP IL Committee for Effective Government ("**Committee**") has received the Board's June 8, 2015 notice ("**June 2015 Notice**") regarding the Committee's January 15, 2015 A-1 Report ("**January 2015 Report**"). The A-1 Report reflects an October 1, 2014 contribution from Holland & Knight LLP in the amount of \$8,000 ("**October 2014 Contribution**"). We know that under P.A. 96-832, this contribution should have been reported on the A-1 and filed within 5 days of the contribution.

In candor, the Committee also notes that it previously received the Board's February 27, 2015 notice ("**February 2015 Notice**") regarding the Committee's October 15, 2014 A-1 Report ("**October 2014 Report**"). This A-1 Report reflected a July 23, 2014 contribution from Holland & Knight LLP in the amount of \$8,000 ("**July 2014 Contribution**"). The Committee appealed the February 2015 Notice. On April 23, 2015, the Board denied the appeal, and assessed a civil penalty of \$400. The Committee immediately paid this assessment.

As stated in its initial appeal, the Committee is now well aware of the 5-day filing rule for contributions over \$1,000. As part of this appeal we want to assure the Board again that the late filing of the October 2014 Contribution was entirely inadvertent and was in no way an attempt to avoid the full and complete disclosure of the Committee's contributions. Further, the October 2014 Contribution was reported (albeit untimely) prior to any notice from the Board. There was no attempt here in any way to hide the Contribution from public disclosure.

The failure to timely report the October 2014 Contribution was the result of the same internal clerical mistake on our part that resulted in the failure to timely report the July 2014 Contribution. Both contributions at issue were by a contributor that has provided the Committee with regular contributions since the Committee was first established. Thus, the late filings did not prevent disclosure of a previously unknown contributor to the Committee. Further, as was the case with the July 2014 Contribution, the failure to timely report the October 2014 Contribution was in no way willful or wanton or any type of attempt to avoid full and timely compliance with the Code's reporting requirements.

The Committee would also like to note that by the time the Committee received and then appealed the February 2015 Notice, it had already reported the October 2014 Contribution on its January 2015 Report. Frankly, the Committee erroneously believed that the February 2015 Notice would have included any violations as of that date and thus did not investigate the possible untimeliness of the October 2014 Contribution until the Committee received the June 2015 Notice. That is not to say that the Committee does not take full responsibility for these matters. However, in evaluating this appeal and possible assessment of a fine, it should be understood that both untimely filings resulted from the same internal Committee mistake, knowledge of which was not realized until the Committee received the February 2015 Notice, which was after the Committee had already reported the October 2014 Contribution.

The Committee also wants to emphasize that it takes the filing and other disclosure requirements of the Illinois Campaign Disclosure Act seriously. I and the other members of the

Committee are committed to assuring that this particular late filing issue is not repeated. Toward that end, as we noted in our appeal of the February 2015 Notice, we have implemented new procedures internally to assure that filings are completed and filed on time.

The Committee respectfully requests that the civil penalty be suspended or materially reduced to allow the Committee to demonstrate the effectiveness of these new procedures. A \$2,000 civil penalty is obviously a significant percentage of the Committee's funds and we think, in these circumstances, unwarranted. Since both the untimely reports resulted from the same internal Committee mistake, we would request that if this appeal is denied and an assessment must be imposed, that the assessment for the June 2015 Notice be \$400, the same as the assessment for the February 2015 Notice. In light of our commitment to compliance, we would appreciate your consideration of this appeal and request.

Thank you

 7/7/15

Peter Friedman

Treasurer

Holland & Knight LLP IL Committee for Effective Government

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Steven S. Sandvoss

June 8, 2015

Holland & Knight LLP IL Comm for Effective Government ID# 16109  
Peter M Friedman  
131 S Dearborn St, 30th Fl  
Chicago, IL 60603-5506

7014 2120 0001 1716 0313

Dear Holland & Knight LLP IL Comm for Effective Government:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Holland & Knight LLP	10/1/2014	\$8000	1/15/2015	65	\$4000

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$4000.00 for the delinquent filing. This total **does not** reflect any previously assessed fines.

Under the Board's present policy, since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$2000.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 8, 2015 you forfeit the right to contest this assessment.**

Since this is a subsequent violation, if the above listed violation is not appealed, the previously stayed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
September 2014	A1	\$400
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$2400</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Newman", with a long horizontal flourish extending to the right.

Tom Newman, Director  
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections

**Complainant**

Vs.

15 MQ 041

Plainfield Township Democratic Organization 17761

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquently Filing  
The March 2015 Quarterly Report

The Report was received by the Board on April 22, 2015, 5 days late, resulting in a civil penalty assessment of \$125. The total assessment is \$125.

Bill Thoman, the treasurer of the Committee, and Mike Keniley, the chairman of the Committee, appeared at the August 13<sup>th</sup> appeal hearing.

Mr. Thoman stated that he assumes responsibility for the delinquent filing and that the delinquent filing was unintentional and that it would not reoccur. He stated that 10 ILCS 5/9-10(b) stipulates that every political committee shall file quarterly reports and 10 ILCS 5/9-1.8(d) stipulates that a political committee is defined as a committee that accepts contributions or makes expenditures during any 12 month period in an aggregate amount exceeding \$5,000. Mr. Thoman stated the Plainfield Township Democratic Organization has not, at any point since its inception met this definition as they have never raised or spent more than \$5,000 during any 12 month period. Additionally, he added that the statute does not reference or appear to cover a committee which organizes while not meeting the aggregate dollar amount in a 12 month period as accepting a de facto requirement to meet all reporting requirements as if they had reached the threshold therefore the Committee requests that the penalty be waived. Lastly, he added that if a committee is forced to continue filing even if they never reach the threshold the statute and/or the accompanying Rules should clearly address this issue.

The Plainfield Township Democratic Organization filed the Statement of Organization in November of 2003 and it is not clear if they would have reached the \$3,000 threshold that was in place at that point in time (threshold changed from \$3,000 to \$5,000 on 7/29/13) however a review of the Committee's filings show the threshold was reached between 7/1/05 - 6/30/06 as they reported taking in \$4,017.81 during that 12 month period of time. The Hearing Officer believes the Plainfield Township Organization would therefore be required to continue filing reports until they dissolve as a political committee or determine that they are no longer going to receive any campaign contributions nor make any campaign expenditures and notify the Board of this fact by filing a Final Report which will need to include the final disposition of its funds and assets. Therefore, the Hearing Officer believes the Committee had a filing obligation to file the March 2015 Quarterly Report and I recommend the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, the \$125 fine would be stayed as a first violation. (As of 6/30/15, this Committee showed a funds available balance of \$2,497.96



Andy Nauman – Hearing Officer

August 14, 2015

State of Illinois )  
County of: Will )

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

15 JUL 10 PM 3:03

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. PlainField Township )  
Democratic Organization )  
Respondent(s). )

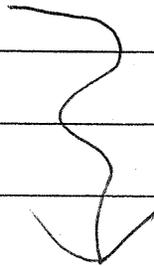
Case No. 15MQ041

APPEAL AFFIDAVIT

William E. Thoman, the Treasurer of the  
(Name) (Chairman/Treasurer)  
PlainField Township Democratic Organization  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attached Affidavit statement:



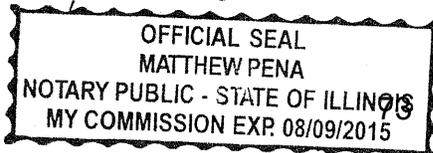
William E. Thoman  
Signature of Chairman/Treasurer

Signed and Sworn to by:

William Thoman

before me this 8th Day of July, 2015

Notary Public  
(Seal) [Signature]



Appeal Affidavit for Plainfield Township Democratic Organization

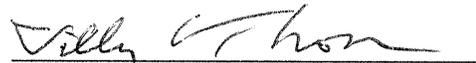
It is first and foremost the intent and wish of the Plainfield Township Democratic Organization to state and demonstrate that its failure to submit the quarterly report for the period of January 1 thru March 31, 2015 was unintentional and will not reoccur. The treasurer assumes responsibility for that transgression. Given the matter as it was addressed by the State Board of Elections has been cause for reflection and review of the committee's history since its inception.

The provision of 10 ILCS 5/9-10(b) stipulate that "Every political committee shall file quarterly reports...." Earlier in the statute definitions of political committees are delineated. In 10 ILCS 5/9-1.8(d) it defines a political action committee as a "committee that accepts contributions or makes expenditures during any 12 – month period in an aggregate amount exceeding \$5,000.00...."

Plainfield Township Democratic Organization has not, at any point since its inception met that definition. Given that failure to meet that minimum standard aggregate dollar amount, we feel that until such a point as it does meet that criteria, the reporting requirements and/or penalties associated with non-compliance be waived for the committee.

A further paradox is created in this matter by perusal of the D-1 form required for use in creation of a new committee (10 ILCS 5/9-3(a)). It states that the form "must be filed within 10 days of creation....". In many cases it is impossible to anticipate if the political committee will meet the dollar threshold specified earlier on.

This gives the founding individuals little latitude but to submit the D-1 within the required timelines without regard as to whether the committee will meet the full definition of a political committee in 10 ILCS 5/9-1.8(d). There is no reference anywhere in the statute covering a committee which organizes while not meeting the aggregate dollar amount in a 12 – month period accepting a de facto requirement to meet all reporting requirements as if it did meet the aggregate 12 – month dollar amount. We respectfully request that the State Board of Elections waive all civii penalties against this committee until such time as it meets the full definition.



Signature of Treasurer

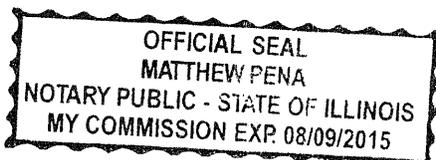
Signed and Sworn to by:

William Thomas

Before me this 8<sup>th</sup> Day of July, 2015

Notary Public

(seal)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187  
Springfield, Illinois 62708-4187  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Steven S. Sandvoss  
June 8, 2015

Plainfield Township Democratic Organization ID# 17761  
William Thoman  
4909 Lobelia Lane  
Plainfield, IL 60586

7014 2120 0001 1716 0146

Dear Plainfield Township Democratic Organization:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: March Quarterly Report of Campaign Contributions and Expenditures  
Report Period: January 1, 2015 through March 31, 2015  
Filing Period: April 1, 2015 through April 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 4/22/2015, 5 days late. As such, this committee has been assessed a fine of \$125.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 8, 2015 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Newman", is written over a horizontal line.

Tom Newman, Director  
Campaign Disclosure Division

SS: lm  
Enclosure(s): appeal packet

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**15 MQ 045**

Citizens for Hutchinson  
Cmte ID: 19076  
**Respondent**

**REPORT OF HEARING OFFICER**  
Appeal of Civil Penalty Assessment  
For Delinquently Filing the March 2015 Quarterly Report

The Report was received April 26th, 2015, 7 days late, resulting in an assessment of \$350. The Committee was previously fined \$75 (not appealed, stayed) for delinquently filing the June 2014 Quarterly Report. The total pending assessment is \$425.

Kevin Hutchinson, the Candidate supported by the Committee, as well as its Chairman and Treasurer, filed an Appeal Affidavit in this matter. He also testified at a hearing at the Board's Springfield office at 10:30a.m. on Monday, August 10th, 2015.

On the Affidavit, Mr. Hutchinson noted the Committee's modest balance and stated the Committee only raises funds "1 or 2 times every 4 years." At the hearing, Mr. Hutchinson acknowledged his responsibility in the delinquent filing and said work and family responsibilities make it challenging to remember to file the Report on time following a period of little or no Committee activity.

I appreciate Mr. Hutchinson's candor in this matter but recommend the appeal be denied for lack of an adequate defense. If the Board accepts this recommendation, the stay on the \$75 assessment resulting from the delinquent June 2014 Quarterly Report would be lifted, and a total of \$425 would be due and owing. As of March 31<sup>st</sup>, 2015, the Committee's reported balance was \$336.92.



---

John Levin – Hearing Officer  
August 10th, 2015

State of Illinois )  
County of: MONROE )

STATE BOARD OF ELECTIONS

15 JUN 22 PM 1:41

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
CITIZENS FOR HUTCHINSON )  
Respondent(s). )

Case No. 15M0043

APPEAL AFFIDAVIT

I, KEVIN HUTCHINSON, the CHAIRMAN of the  
(Name) (Chairman/Treasurer)  
CITIZENS FOR HUTCHINSON  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

CITIZENS FOR HUTCHINSON IS A SMALL COMMITTEE, ONLY HAS \$336.92.  
ONLY FUND RAISES 1-2 TIMES EVERY 4 YEARS. FINE IS EXCESSIVE FOR  
A ZERO REPORT. CIVIL PENALTY IS TOO EXCESSIVE FOR COMMITTEE  
WITH A BALANCE OF \$336.92

[Signature]  
Signature of Chairman/Treasurer

Signed and Sworn to by:  
Sandra K Gartner

Before me this 19<sup>th</sup> Day of June, 2015

Notary Public  
(Seal)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Steven S. Sandvoss  
June 8, 2015  
ID# 19076

Citizens for Hutchinson  
Kevin Hutchinson  
445 Burroughs Ct  
Columbia, IL 62236

7012 3460 0001 3857 7705

Dear Citizens for Hutchinson:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: March Quarterly Report of Campaign Contribution and Expenditures  
Report Period: January 1, 2015 through March 31, 2015  
Filing Period: April 1, 2015 through April 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 4/26/2015, 7 day(s) late. As such, this committee has been assessed a fine of \$350.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 8, 2015 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
June 2014	Quarterly	\$75
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$425.00</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,  
Campaign Disclosure Division

SS: lm  
Enclosure(s): appeal packet

STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections  
**Complainant**

Vs.

14 AJ 061

Friends of Robyn Gabel (ID# 22260)  
**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports  
For the 2<sup>nd</sup> Quarter of 2014

The Committee received a \$1,000 contribution on 6/30/14, a \$2,500 contribution on 6/24/14, a \$1,500 and a \$1,000 contribution on 6/20/14, two \$1,000 contributions on 6/13/14, a \$1,000 and a \$1,500 contribution on 6/9/14, and a \$2,500 contribution on 6/4/14, all not reported on a Schedule A-1 resulting in a \$6,500 civil penalty. In addition the committee was assessed a \$150 civil penalty (appealed, denied, reduced, due) for failing to file two 2013 Schedule A-1 Reports. The total assessment is \$6,650.

Michael J. Kasper, Attorney of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on August 17, 2015.

Attorney Michael J. Kasper appeared on behalf of the Committee. Mr. Kasper stated the committee does not dispute that the contributions were not filed timely. The disclosure reporting for Friends for Robyn Gabel at the time in question was done by an individual new to the process. The oversight was a result of that change. Mr. Kasper requests that the fines be given the treatment of a 1<sup>st</sup> violation and assessed at 10%.

I recommend the appeal be denied for lack of an adequate defense. Since this is the Committee's second Schedule A-1 violation, I recommend the fine be reduced by 50%. If this recommendation is accepted by the Board, a \$3,400 civil penalty will be due. (As of 6/30/15, this Committee reported a funds available balance of \$184,255.17).



Clinton J. Jenkins – Hearing Officer

March 7, 2014

STATE BOARD OF ELECTIONS

15 MAR 13 PM 1:44

State of Illinois )  
County of: Cook )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Friends of Robyn Gabel )  
Respondent(s); )

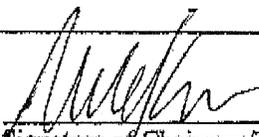
Case No. 14A9061

APPEAL AFFIDAVIT

I, Michael J. Kasper the Attorney of the  
(Name) (Chairman/Treasurer)  
Friends of Robyn Gabel  
(Name of the Committee)

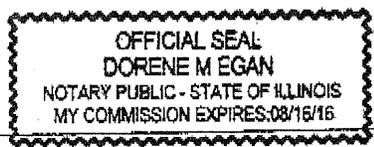
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Penalty was wrongfully assessed. Reasons to be presented at the hearing.

  
Signature of Chairman/Treasurer  
Attorney

Signed and Sworn to by  
Michael J. Kasper  
before me this 13 Day of March, 2015

Notary Public  
(seal)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Bryan A. Schneider  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Steven S. Sandvoss

February 27, 2015

Friends of Robyn Gabel  
PO Box 6453  
Evanston, IL 60204-6453

ID# 22260

7014 2120 0001 1716 2010

Dear Friends of Robyn Gabel:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Aetna	6/9/2014	\$1000	*	19	\$500 -
Ameren	6/9/2014	\$1500	*	19	\$750 -
A George Hovanec	6/20/2014	\$1500	*	10	\$750 -
Michael Kreloff	6/13/2014	\$1000	*	15	\$500 -
Lucy Lehman	6/30/2014	\$1000	*	4	\$500 -
Peter Marks	6/4/2014	\$2500	*	22	\$1250 -
Dent-II-PAC	6/20/2014	\$1000	*	10	\$500 -
Ill. Hospital Assoc. PAC (IHA PAC)	6/24/2014	\$2500	*	8	\$1250 -
University Public Issues Cmte (UPIC)	6/13/2014	\$1000	*	15	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$6500.00 for delinquent filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$3250.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30**

days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
December 2013	A1 (ON APPEAL)	\$100
December 2013	A1 (ON APPEAL)	\$50
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$3400</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,  
  
Sharon Steward, Director  
Campaign Disclosure Division

SS: lm  
Enclosures: appeal packet

\* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections  
**Complainant**

Vs.

14 AS 043

Friends to Elect Kathleen Willis (ID# 23528)  
**Respondent**

**REPORT OF HEARING OFFICER**

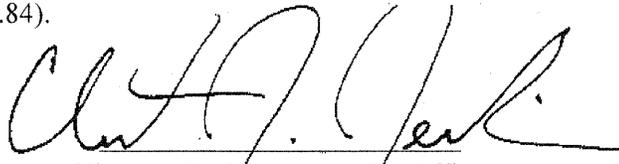
Appeal of Civil Penalty Assessment for Delinquent Filing Schedule A-1 Reports  
For the 3<sup>rd</sup> Quarter of 2014

The Committee received a \$1,000 contribution on 9/30/14 and reported it on a Schedule A-1 received by the Board on October 10, 2014, 3 days late resulting in a \$500 civil penalty. The total assessment is \$500.

Michael J. Kasper, Attorney of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on May 6, 2015.

Attorney Michael J. Kasper appeared on behalf of the Committee. Kasper stated that after due diligence the committee found that the Board assessment is correct. The committee, Friends to Elect Kathleen Willis, would like to withdraw this appeal.

I recommend the appeal be denied. Since this is the Committee's first Schedule A-1 violation, I recommend the fine be reduced to 10% of the original assessment. If this recommendation is accepted by the Board, a \$50 civil penalty will be stayed per Statute. (As of 06/30/15, this Committee reported a funds available balance of \$46,111.84).



Clinton J. Jenkins – Hearing Officer

August 6, 2015

State of Illinois )  
County of: Cook )

STATE BOARD OF ELECTIONS

15 MAR 27 PM 3:08

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Friends to Elect Kathleen Willis )  
Respondent(s). )

Case No. 14AS043

APPEAL AFFIDAVIT

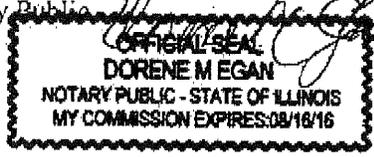
I, Michael J. Kasper, the Attorney of the  
(Name) (Chairman/Treasurer)  
Friends to Elect Kathleen Willis  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Penalty was wrongfully assessed. Reasons to be presented at the hearing.

  
Signature of Chairman/Treasurer  
Attorney

Signed and Sworn to by:  
Michael J. Kasper  
before me this 27<sup>th</sup> Day of March, 2015

Notary Public  
(seal) 

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Bryan A. Schneider  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Steven S. Sandvoss  
February 27, 2015

Friends to Elect Kathleen Willis  
J Thomas Willis  
611 Holly Ct  
Addison, IL 60101

ID# 23528

2014 2120 0001 1723 3093

Dear Friends to Elect Kathleen Willis:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Illinois Trial Lawyers Assoc. PAC	9/30/2014	\$1000	10/10/2014	3	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500.00 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Handwritten signature of Sharon Steward in black ink.

Sharon Steward, Director  
Campaign Disclosure Division

SS: lm  
Enclosures: appeal packet

\* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections

**Complainant**

Vs.

15 MA 061

Slocum for Senate 23909

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquently Filing  
The December 2014 and March 2015 Quarterly Reports

The December 2014 Quarterly Report was received by the Board on February 20, 2015, 23 days late, resulting in a civil penalty assessment of \$1,150 and the March 2015 Quarterly Report was received by the Board on May 8, 2015, 17 days late, resulting in a civil penalty assessment of \$1,275. Additionally, the Committee had previously been assessed a \$50 civil penalty (not appealed, stayed) for delinquently filing the December 2013 Quarterly Report. The total assessment is \$2,475.

Richard Slocum, the candidate of the Committee, appeared at the August 13, 2015 appeal hearing.

Mr. Slocum stated that he had practiced Law full time until the fall of 2014 when he started having serious issues with fatigue and substantial numbness and tingling in his arms and legs. When he met with a doctor in November of 2014 he was diagnosed with severe spinal stenosis and four herniated discs in which the doctor recommended spinal fusion otherwise he would likely end up being in a wheelchair. Within four weeks of the diagnosis he underwent spinal surgery. Following the surgery Mr. Slocum was not allowed to start working again until the middle of January, 2015 and unbeknownst to him during that same period of time the lady who had been filing his reports electronically for his treasurer, Ralph Mooney, ceased working for the accounting firm that was filing the reports and the Committee's report fell through the cracks as the responsibility to file the report was not reassigned. Additionally, since Mr. Slocum was basically removed from his Law Practice he still had to make sure that everything was getting taken care of and this ended up being a very difficult period of time for him. Mr. Slocum was substantially medicated and he could not attend to his practice as required other than talking to people over the telephone trying to make sure everything was covered. Mr. Slocum had a very long recovery in which he attended physical therapy 3 times a week in which he has only recently finally completed although he still has numbness in his hands. After the surgery Mr. Slocum was not allowed to actually return to work until the middle of February, 2015 and he was not cleared to drive until the end of February. Furthermore, Mr. Slocum stated that he was not made aware the December of 2014 Quarterly Report or the March of 2015 Quarterly Report had not been filed until after the reports were already late however he has since taken over the filing responsibilities himself. Mr. Slocum stated that the accounting firm not properly reassigning his filings, his health, and his primary focus being on his Law Practice all lead into the perfect storm and the two quarterly reports were unintentionally delinquently filed. He said the Committee had no activity during either of the reporting periods and that no harm to third persons or parties were caused as a result of the failure to file the reports in a timely fashion. Mr. Slocum respectfully asks the Board to waive the penalties assessed even though he realizes he had a filing obligation to file the reports as he has never been disabled for such a period of time and the person who had been filing his reports had left the accounting firm unbeknownst to him.

It is unfortunate that Mr. Slocum has had to deal with spinal issues and continues to deal with the aftermath that those complications have caused him personally and professionally, and the unfortunate results that came about after the accounting firm failed to re-designate a new person to handle his campaign filings however the Committee had and continues to have a filing obligation to file reports even when they have no activity. For the forgoing reason, I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board a \$2,475 civil penalty will be due and owing (the stay will be lifted off of the \$50 Civil penalty, and penalties of \$1,150 and \$1,275 will be assessed for the delinquent filings of the December 2014 and March 2015 Quarterly Reports). As of 6/30/15, this Committee reported a funds available balance of \$2,547.51.

  
Andy Nauman  
Andy Nauman – Hearing Officer  
August 17, 2015

State of Illinois )  
County of: Kane )

STATE BOARD OF ELECTIONS

15 JUL 13 PM 2:03

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
SLOCUM FOR SENATE, )  
Respondent(s). )

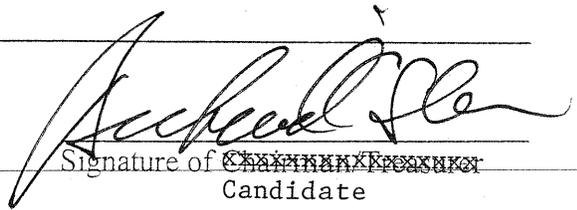
Case No. 15 MA 061

APPEAL AFFIDAVIT

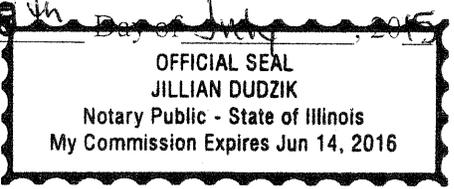
Richard C. Slocum, the Candidate of the  
(Name) (Chairman/Treasurer)  
Slocum for Senate  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attached Statement of Facts.

  
Signature of ~~Chairman/Treasurer~~  
Candidate

Signed and Sworn to by:  
Jillian Dudzik  
before me this 4th Day of July, 2015  
Notary Public  
(Seal)





6. Unbeknownst to the Affiant, the report for the end of December, 2014 had not been filed.

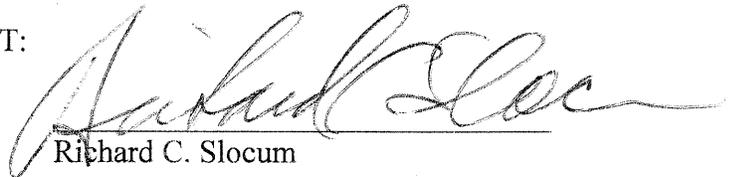
7. That the Affiant was severely restricted in his activities, was unable to drive or work through the middle of January, 2015 and was required to attend physical therapy three (3) times a week through June, 2015. The physical therapy continues twice a week at this time.

8. That the report for the first quarter of 2015 was not filed for the same reasons as the December 31, 2014 report. The Affiant continued to recover from his surgery and was not aware of the ongoing failure to file the report.

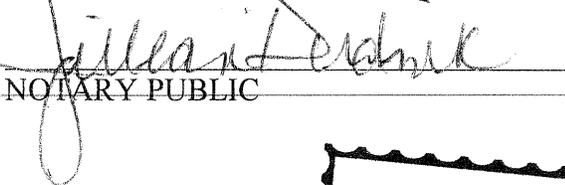
9. That during both quarters, there was no activity by the committee. That there has been no harm to third persons or parties for the failure to file the report in a timely fashion.

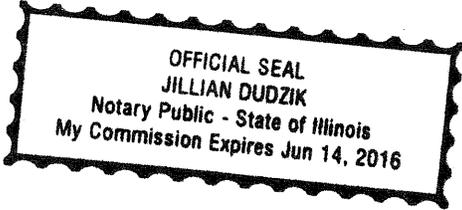
WHEREFORE, the Affiant, Richard C. Slocum, request that the committee waive the penalty assessed for the failure to timely file the October 1, 2014 through December 31, 2014 quarterly report and waive penalty assessed for the failure to timely file the quarterly report due for January 1, 2015 through March 31, 2015.

FURTHER THE AFFIANT SAYETH NAUGHT:

  
Richard C. Slocum

SUBSCRIBED and SWORN to before  
me this 8<sup>th</sup> day of July, 2015.

  
NOTARY PUBLIC



In the Matter of: Illinois State Board of Elections vs. Slocum for Senate  
Case No. 15 MA 061

**PROOF OF SERVICE**

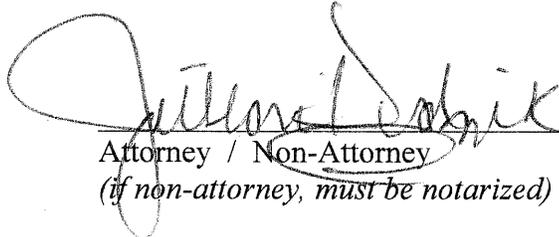
The undersigned certifies that on July 8, 2015, he/she served a copy of the attached Request for Hearing and Appeal Affidavit upon:

State Board of Elections  
State of Illinois  
Attn: Laura Marbold  
2329 South MacArthur Blvd.  
Springfield, Illinois 62704

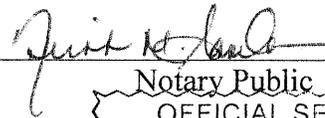
\_\_\_\_\_ by personally delivering a copy thereof to each party or person, addressed as above.

by depositing a copy thereof, enclosed in an envelope, in the United States Mail at Aurora, Illinois, proper postage prepaid, addressed as above.

\_\_\_\_\_ by transmitting a copy thereof by facsimile to the facsimile number noted under the respective name(s) above.

  
\_\_\_\_\_  
Attorney / Non-Attorney  
(if non-attorney, must be notarized)

SUBSCRIBED and SWORN to before me  
the 8 day of July, 2015.

  
\_\_\_\_\_  
Notary Public  
OFFICIAL SEAL  
**NICOLE M. SANDERS**  
NOTARY PUBLIC, STATE OF ILLINOIS  
MY COMMISSION EXPIRES 9-25-2016

Richard C. Slocum  
274 Kenston Court  
Geneva, Illinois 60134  
(630) 638-1615

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Steven S. Sandvoss  
June 8, 2015

BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Casandra B. Watson

Slocum for Senate  
7 Walnut Circle  
Sugar Grove, IL 60554

ID# 23909

7011 2970 0003 6440 7322

Dear Slocum for Senate:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contributions and Expenditures
Report Period:	October 1, 2014 through December 31, 2014
Filing Period:	January 1, 2015 through January 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 2/20/2015, 23 day(s) late. As such, this committee has been assessed a fine of \$1150.00.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2015 through March 31, 2015
Filing Period:	April 1, 2015 through April 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 5/8/2015, 17 day(s) late. As such, this committee has been assessed a fine of \$1275.00.

**The total for all new assessments is \$2425.00.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 8, 2015, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
December 2014	Quarterly	\$50
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$2475</b>

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,



Tom Newman, Director  
Campaign Disclosure Division

SS: lm  
Enclosure(s):

appeal

packet

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

15 AD 041

Citizens for Rauner, Inc 25185

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquently Filing

A Schedule A-1 in the 4<sup>th</sup> Quarter of 2014

This committee received ten \$1,000 contributions, one \$1,360 contribution, four \$2,000 contributions, one \$2,300 contribution, four \$2,500 contributions, four \$5,000 contributions, three \$10,000 contributions, one \$17,500 contribution, two \$25,000 contributions, one \$50,000 contribution and one \$350,000 contribution on 10/28/14 and reported them on a Schedule A-1 Report received by the Board on 10/31/14, 1 business day late, resulting in a civil penalty assessment of \$274,580. Additionally, the Committee had previously been assessed a \$50 civil penalty (not appealed, reduced, paid) for delinquently filing a Schedule A-1 in the 1<sup>st</sup> Quarter of 2013; a \$265 civil penalty (not appealed, reduced, paid) for delinquently filing a Schedule A-1 in the 1<sup>st</sup> Quarter of 2013; and a \$250 civil penalty (not appealed, reduced, paid) for failing to file a Schedule A-1 in the 4<sup>th</sup> Quarter of 2013. The total assessment is \$274,580.

The Respondent was represented by attorney John Fogarty, Jr. at the July 24<sup>th</sup> appeal hearing. Also in attendance was Paul Kilgore, the treasurer of Citizens for Rauner, Inc.

Mr. Kilgore, the president of PDS (a campaign finance compliance company), and the current treasurer of Citizens for Rauner, Inc stated that since he became the treasurer of the Committee on 11/15/13 that PDS has timely filed more than 130 Schedule A-1 reports on behalf of the Committee and has disclosed more than \$81,000,000 in contributions. He stated that the Committee was receiving contributions at such a rate that it was filing reports on a nearly daily basis so PDS assigned an employee to principally handle the Committee's filings. The procedure the employee followed was to prepare a daily report of contributions to be filed, forward the information to the campaign for their approval, and once approval was given to submit the report. This procedure or practice was successful until the person who was assigned to the Committee had to leave the office due to a medical emergency on 10/30/14. That employee attempted to remotely access the company's servers later that day however due a technical glitch in the PDS system the employee was not able to complete a connection or attempt to file the report. The employee did not inform Mr. Kilgore that she had an issue attempting to operate the server remotely or that she did not file the report. Mr. Kilgore stated that when he came into the office the following morning around 7:00 AM he noticed the report was not filed from the day before and he immediately notified the Committee and was instructed to file the report which was done at 7:59 AM. After this occurred Mr. Kilgore assigned a second person to the Committee filings as a safeguard and he stated no additional inadvertent delinquent filings have been made. Mr. Kilgore and Mr. Fogarty stated that the Committee takes campaign finance responsibilities very seriously and in no fashion was there any intent whatsoever to violate the Campaign Finance Law. In closing Mr. Fogarty outlined the magnitude of the amount of contributions that were

received and timely reported by the Committee (more than \$81,000,000) and in summary he stated that this infraction should be considered as a small infraction as the contributions in question were reported less than 8 hours late and at a time in which most people wouldn't have had a chance to realize their existence as the contributions were reported before 8am. Mr. Fogarty stated that Article 9 requires public disclosure which he believes the Committee has basically complied with however he does not believe that when the penalty structure was constructed that it was anticipated that fine amounts would or could reach such amounts as this fine has. Mr. Fogarty believes the fine amount of \$274,580 far outweighs the actual violation that took place considering: how quickly the report was filed (less than 8 hours late), the medical issue at hand, the glitch within the internal server the person filing encountered, the amount of Schedule A-1 reports that were timely filed (more than 130), the amount of money being reported (more than \$81,000,000), and the error was inadvertent should all be factored in on determining the outcome of this matter and whether a fine should be issued and if so at what amount.

I understand that the Committee and PDS takes the filing requirements seriously and it is unfortunate the person who filed their reports had a medical emergency, had issues remotely attempting to operate their servers, and failed to notify her supervisors about not filing the Schedule A-1 report. However, it is the opinion of the Hearing Officer that none of these issues have any bearing on the Committee's responsibility to timely report contributions as these matters all appear to be internal matters. Furthermore, I do not believe this would qualify for an electronic filing defense as the problem the employee was having did not have anything to do with the functionality of electronic filing services but was rather a result of an internal glitch within PDS's internal system which essentially prevented her from connecting to PDS's servers from outside the office to file the report. If she would have contacted Mr. Kilgore about the glitch in remotely connecting to the servers or presumably someone else within PDS it is presumed that the reports could have been timely filed as the reports were filed the following morning without issue. For the forgoing reasons I recommend the appeal be denied for lack of an adequate defense. Additionally, as there is no indication the violations were anything other than inadvertent and unintentional the normal assessment for a third violation would be \$274,580 however taking into consideration this rather large amount the Board may want to consider using their discretionary powers afforded to them in further reducing this amount considering the uniqueness of this matter. (As of 6/30/15, this Committee reported a funds available balance of \$19,729,962.40.)

  
\_\_\_\_\_  
Andy Nauman – Hearing Officer  
July 28, 2015



6. On October 30, 2014, my employee who I had designated to principally handle the A-1 filings for the Committee had to leave the office due to a medical emergency prior to filing the Committee's A-1 that day.

7. In the course of handling her medical emergency, and attempting to operate our server from a remote location, my employee did not complete the filing of the Committee's A-1 on October 30, 2014.

8. At approximately 7:00 A.M. CST on October 31, 2014, I noticed that the A-1 from October 30<sup>th</sup> had not been filed. At that time, I immediately directed that the A-1 at issue be filed, and it was filed shortly thereafter, at 7:59 A.M.

9. After this error occurred, we instituted an additional check within my office to ensure that every Committee A-1 for the balance of the campaign was timely filed. Indeed, we have not made this sort of inadvertent error since.

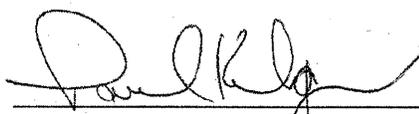
10. Both the Committee and I take campaign finance responsibilities very seriously. The late-filed A-1 that is the subject of this matter is the first error the Committee has made during the time I have been Treasurer. To the extent that this error resulted in any violation of the Campaign Finance Law, that error was inadvertent, and without any intent whatsoever to violate the Campaign Finance Law.

11. For these reasons, the Committee respectfully requests this matter be dismissed.

12. By signing my name below I certify, on my oath, under the felony penalties of perjury as provided by section 1-109 of the Illinois Code of Civil Procedure, that I have personal knowledge of the facts stated above, that the facts set forth in this affidavit are true and correct and that I believe that they are true.

Affiant further sayeth not.

Date: 3-27-15

  
\_\_\_\_\_  
Treasurer  
Citizens For Rauner, Inc.

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Bryan A. Schneider  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Steven S. Sandvoss

February 27, 2015

Citizens for Rauner, Inc  
230 W. Monroe, Ste 2450  
Chicago, IL 60606

ID# 25185

7014 2120 0001 1716 4823

Dear Citizens for Rauner, Inc:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Thomas Borders	10/28/2014	\$2000	10/31/2014	1	\$1000 -
Vincent Bufalino	10/28/2014	\$2000	10/31/2014	1	\$1000 -
Phillip Cacioppo	10/28/2014	\$5000	10/31/2014	1	\$2500 -
Richard Church	10/28/2014	\$2000	10/31/2014	1	\$1000 -
Kevin Cleary	10/28/2014	\$1000	10/31/2014	1	\$500 -
Joda Crabtree	10/28/2014	\$1000	10/31/2014	1	\$500 -
Demetra Demonte	10/28/2014	\$1000	10/31/2014	1	\$500 -
Catherine Denny	10/28/2014	\$5000	10/31/2014	1	\$2500 -
Scott Elrod	10/28/2014	\$1000	10/31/2014	1	\$500 -
Kenneth Griffith	10/28/2014	\$350000	10/31/2014	1	\$175000 -
Scott Hall	10/28/2014	\$2500	10/31/2014	1	\$1250 -
John Hartung	10/28/2014	\$1000	10/31/2014	1	\$500 -
K12 Management Inc	10/28/2014	\$5000	10/31/2014	1	\$2500 -
Kurt Kohlmeyer	10/28/2014	\$2500	10/31/2014	1	\$1250 -
David Miniati	10/28/2014	\$2500	10/31/2014	1	\$1250 -

Thomas Moran	10/28/2014	\$10000	10/31/2014	1	\$5000 -
Scott Mordell	10/28/2014	\$1000	10/31/2014	1	\$500 -
Richard Portillo	10/28/2014	\$10000	10/31/2014	1	\$5000 -
Republican Governors Association	10/28/2014	\$50000	10/31/2014	1	\$25000 -
Ryan Enterprises Group	10/28/2014	\$25000	10/31/2014	1	\$12500 -
John Ryan	10/28/2014	\$10000	10/31/2014	1	\$5000 -
Michael Ryan	10/28/2014	\$1000	10/31/2014	1	\$500 -
Dewey Shay	10/28/2014	\$5000	10/31/2014	1	\$2500 -
Nancy Smith	10/28/2014	\$2500	10/31/2014	1	\$1250 -
Robert Smith	10/28/2014	\$1360	10/31/2014	1	\$680 -
Timothy Starck	10/28/2014	\$1000	10/31/2014	1	\$500 -
Matthew Steinmetz	10/28/2014	\$17500	10/31/2014	1	\$8750 -
Alexander Stuart	10/28/2014	\$25000	10/31/2014	1	\$12500 -
Perry Tuneberg	10/28/2014	\$1000	10/31/2014	1	\$500 -
Citizens for Moffitt	10/28/2014	\$1000	10/31/2014	1	\$500 -
International Paper PAC (IP-PAC)	10/28/2014	\$2000	10/31/2014	1	\$1000 -
Mason County Republican Central Committee	10/28/2014	\$2300	10/31/2014	1	\$1150 -

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$274580.00 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the third delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$274580.00, (100% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director  
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

\* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections

**Complainant**

Vs.

15 AD089

Anderson for Illinois 25649

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquently Filing

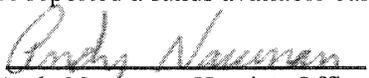
A Schedule A-1 in the 4<sup>th</sup> Quarter of 2014

This committee received a \$118,368 contribution on 10/2/14, a \$132,000 contribution on 10/14/14, two \$2,500 contributions on 10/20/14 and a \$3,500 contribution on 10/20/14 and failed to report these contributions on a Schedule A-1 Report, resulting in a civil penalty of \$129,434. In addition, the Committee has previously been assessed a \$25 civil penalty (not appealed, stayed) for delinquently filing the September 2014 Quarterly Report. The total assessment is \$129,459.

The Respondent was represented by attorney John Fogarty, Jr at the August 21<sup>st</sup> appeal hearing.

Mr. Fogarty stated that the Committee takes its campaign finance filing responsibilities very seriously and in an attempt to fulfill these requirements going forward the Committee has obtained a new treasurer. The new treasurer understands the filing requirements and realizes how important it is for the Committee to comply with the requirements. Mr. Fogarty stated that the Committee's former treasurer inadvertently failed to timely report two wire transfers from the Republican State Senate Campaign Committee during a very busy period of time and added that the contributions were immediately wired out the same day they were received as they were received in order to help pay for immediate needs of the Committee. He said the former treasurer correctly reported the wire transfers leaving their account however he inadvertently failed to file a Schedule A-1 Report for the receipt of the contributions. In relation to the other three contributions Mr. Fogarty stated that all of the contributions were received on 10/20/14 but a Schedule A-1 Report was inadvertently not filed for the contributions. Given the high amount of spending activity that took place during the campaign which was extremely high profile and expensive for both candidates the Respondent requests that consideration be given for the numerous other Schedule A-1 Reports that were timely filed. Additionally, Mr. Fogarty stated that he feels comfortable with the new treasurer and he does not foresee any missed filings in the future.

The Committee's former treasurer filed many schedule A-1 Reports however these five contribution apparently slipped through the cracks and were inadvertently not filed. I recommend that the appeal be denied for lack of an adequate defense. However, since there is no indication that these violations were anything other than inadvertent and unintentional I also recommend that the civil penalty be reduced to 10% of the original assessment, or \$12,943. If this recommendation is accepted by the Board, the stay will be lifted from the previous assessment of \$25, and the total civil penalty of \$ 12,968 will be due and owing. (As of 6/30/15, this Committee reported a funds available balance of \$25,577.74.)

  
Andy Nauman – Hearing Officer  
August 24, 2015

15 JUL -8 PM 1:38

State of Illinois )

County of Rock Island

**BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS**

In The Matter Of )  
Illinois State Board Of Elections. )  
Complainant )

v. )

No. CD 15A D089

Anderson for Illinois, ID # 25649 )

Respondent. )

**APPEAL AFFIDAVIT**

I, Todd Stoner, the Treasurer for Anderson for Illinois ("the Committee"), first being duly sworn, depose and state that I represent that the Committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

1. I am the new Treasurer for the Committee. Outside of my Committee duties, I am an accountant, and formerly served as Chief Financial Officer for Tennant Truck Lines in Colona, Illinois. I am currently a business and financial consultant.

2. As for the alleged Republican State Senate Campaign Committee violations dated October 2, 2014 and October 14, 2014, said contributions were wire transfers into the Committee's bank account. The amount of each contribution was then immediately wired out of the Committee's bank account on the same day that each was received by the Committee in order to help pay for immediate needs of the Committee. The Committee correctly reported each of these wires out, but inadvertently failed to correctly file a Form A-1 for receiving these contributions by wire.

3. As for the alleged violations regarding contributions from Citizens for Bivins, Citizens for Karen McConnaughay, and Citizens for Kyle McCarter, all were received by the Committee on October 20, 2014, but an A-1 for receipt of these contributions was inadvertently not filed.

4. Given the high amount of spending activity that took place during this campaign, which was extremely high-profile and expensive for both candidates, the proper A-1 filings for these contributions were unfortunately missed, even though the Committee did file numerous other A-1's during the course of the campaign. The Committee's filing of A-1s for the numerous other contributions it received demonstrates the Committee's good faith and intent to comply with the Campaign Finance Law.

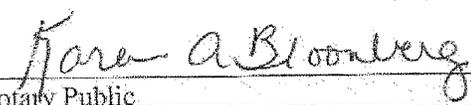
5. Any of the actions that are alleged to be violations were committed inadvertently, and without any intent whatsoever to violate the Campaign Finance Law.

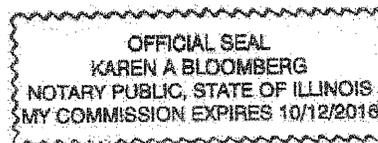
6. Further, going forward, the Committee has instituted steps to avoid inadvertent errors in its disclosure. For example, the Committee has obtained a new Treasurer and new staff to fulfill its compliance requirements.

Affiant further sayeth not.

  
\_\_\_\_\_  
Treasurer  
Anderson for Illinois

Subscribed and sworn to before me  
this 8<sup>th</sup> day of July, 2015.

  
\_\_\_\_\_  
Notary Public



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Steven S. Sandvoss  
June 8, 2015

Anderson for Illinois  
Aaron Tennent  
367 Ave of the Cities, Ste C  
East Moline, IL 61244

ID# 25649

7014 2120 0001 1716 0443

Dear Anderson for Illinois:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Republican State Senate Campaign Committee	10/2/2014	\$118368	1/26/2015	70	\$59184
Republican State Senate Campaign Committee	10/14/2014	\$132000	1/26/2015	66	\$66000
Citizens for Bivins	10/20/2014	\$3500	1/26/2015	62	\$1750
Citizens for Karen McCaunnaughay	10/20/2014	\$2500	1/26/2015	62	\$1250
Citizens for Kyle McCarter	10/20/2014	\$2500	1/26/2015	62	\$1250

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$129434.00 for the delinquent filing. This total **does not** reflect any previously assessed fines.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$12943.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 8, 2015 you forfeit the right to contest this assessment.**

Since this is a subsequent violation, if the above listed violation is not appealed, the previously stayed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
September 2014	Quarterly	\$25
<b><i>TOTAL AMOUNT NOW DUE</i></b>		<b>\$12968</b>

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Tom Newman, Director  
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

STATE OF ILLINOIS  
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections  
**Complainant**

Vs.

15 AD 055

Friends of Litesa Wallace (ID# 26025)  
**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquently Filing Schedule A-1 Reports  
For the 4<sup>th</sup> Quarter of 2014

The Committee received \$1,000 contribution, a \$1,500 contribution, & a \$2000 contribution on 10/15/14 and reported all on a Schedule A-1 received by the Board on October 24, 2014, 5 days late resulting in a \$2,250 civil penalty. The total assessment is \$2,250.

Michael J. Kasper, Attorney of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on May 6, 2015.

Attorney Michael J. Kasper appeared on behalf of the Committee. Mr. Kasper stated the committee does not dispute that the report was late. The contributions in question were all a part of the same bank deposit on the same day of October 15, 2014; copies of said checks are provided for the record. The Attorney would ask that the board continue its current practice of treating all late reported contributions deposited on the same day as one single violation. He asked that the penalty assessed be stayed as it would be the committee's 1<sup>st</sup> violation.

The committee admits the report was late. They are simply asking for consideration for the penalty assessed. I recommend the appeal be denied for lack of an adequate defense. Since this is the Committee's first Schedule A-1 violation, I recommend the fine be reduced to 10% of the original assessment. If this recommendation is accepted by the Board, a \$225 civil penalty will be due. (As of 06/30/15, this Committee reported a funds available balance of \$9,311.03).



Clinton J. Jenkins – Hearing Officer

July 29, 2015

State of Illinois )  
County of: Cook )

STATE BOARD OF ELECTIONS  
15 MAR 23 PM 1:57

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Friends of Litesa Wallace )  
Respondent(s). )

Case No. 15AD055

APPEAL AFFIDAVIT

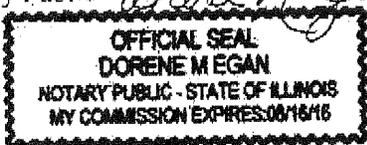
I, Michael J. Kasper, the Attorney of the  
(Name) (Chairman/Treasurer)  
Friends of Litesa Wallace  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Penalty was wrongfully assessed. Reasons to be presented at the hearing.

[Signature]  
Signature of Chairman/Treasurer  
Attorney

Signed and Sworn to by:  
Michael J. Kasper  
before me this 23<sup>rd</sup> Day of March, 2015  
Notary Public [Signature]  
(seal)



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Bryan A. Schneider  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Steven S. Sandvoss  
February 27, 2015

Friends of Litesa Wallace  
4208 Middlebury Ave #3  
Rockford, IL 61109

ID# 26025

7014 2120 0001 1716 4892

Dear Friends of Litesa Wallace:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Citizens for Lou Lang	10/15/2014	\$1000	10/24/2014	5	\$500
Ill. Trial Lawyers Assoc. PAC	10/15/2014	\$1500	10/24/2014	5	\$750
McGuire Woods Federal Pac Fund	10/15/2014	\$2000	10/24/2014	5	\$1000

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$2250.00 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$225.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Sharon Steward, Director  
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

\* This contribution was reported on a Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

**STATE OF ILLINOIS  
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections  
**Complainant**

v.

**15 AM 063**

Clean Slate for College of DuPage Committee      ID# 29468  
**Respondent**

**REPORT OF HEARING OFFICER**

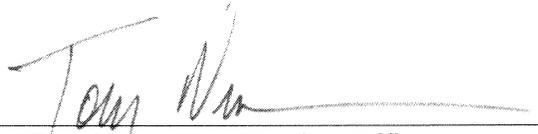
Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a \$5,000 contribution on 3/9/15 and reported it on a Schedule A-1 received by the Board on 4/9/15, 21 days late, resulting in a civil penalty assessment of \$2,500.

James T. Nalepa, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Nalepa states that the Committee Treasurer, being new to disclosure law, made a reporting error. He adds that the Committee intends to close.

I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$250. As a first violation, the penalty is stayed. (As of 6/30/15, this Committee showed a funds available balance of \$2,525.82)



---

Tom Newman – Hearing Officer  
August 4, 2015

State of Illinois )  
County of: DUPAGE )

STATE BOARD OF ELECTIONS  
15 JUL -2 PM 1:16

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
CLEAN SLATE FOR COID )  
Respondent(s). )

Case No. 15AM063  
29468

APPEAL AFFIDAVIT

JAMES T NALEPA, the CHAIRMAN of the  
(Name) (Chairman/Treasurer)  
CLEAN SLATE FOR COLLEGE OF DUPAGE  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

OUR TREASURER, BEING NEW - HAVING NEVER DEALT  
WITH ELECTION LAW PRIOR, MADE THIS ONE  
REPORTING ERROR. WE ASK THAT THE PENALTY  
BE WAIVED. WE ARE CLOSING THE COMMITTEE.

James T Nalepa  
Signature of Chairman/Treasurer

igned and Sworn to by:  
efore me this \_\_\_\_\_ Day of \_\_\_\_\_, 20\_\_\_\_

otary Public  
eal)

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
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Chicago Illinois 60601  
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Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Steven S. Sandvoss  
June 8, 2015

Clean Slate for College of DuPage Committee ID# 29468  
3 Grant Sq #305  
Hinsdale, IL 60521

7014 2120 0001 1716 1280

Dear Clean Slate for College of DuPage Committee:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Peter Huizenga	3/9/2015	\$5000	4/9/2015	21	\$2500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$2500.00 for the delinquent filings.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$250.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 8, 2015 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director  
Campaign Disclosure Division

SS: lm  
Enclosures: appeal packet

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

15 AD 005

Illinois Republican Party 292

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquently Filing  
A Schedule A-1 in the 4<sup>th</sup> Quarter of 2014

This committee received a \$14,400 contribution on 10/21/14, a \$1,000 contribution on 10/2/14 and a \$3,000 contribution on 10/28/14 and failed to report the contributions on a Schedule A-1, resulting in a civil penalty of \$9,200. In addition, the Committee has previously been assessed a \$686 civil penalty (not appealed, reduced, paid) for failing to file 3 Schedule A-1s in the 4<sup>th</sup> Quarter of 2011; and a \$1200 civil penalty (appealed, denied, reduced, paid) for delinquently filing 2 Schedule A-1s in the 2<sup>nd</sup> Quarter of 2013. The total assessment is \$9,200.

The Respondent was represented by attorney John Fogarty, Jr. at the July 24<sup>th</sup> appeal hearing.

Mr. Fogarty stated that during a very busy period of time, being a little more than a week before the election, the Illinois Republican Party accidentally reported an incorrect amount on a Schedule A-1 report. The Illinois Republican Party received a transfer from the Algonquin Township Republican Central Committee in the amount of \$9,000 on 10/28/14 however the Committee reported on a timely filed Schedule A-1 report that the contribution was for \$6,000. Unfortunately, in an attempt to correct the issue the Committee's compliance firm reported an additional contribution of \$3,000 was received on 10/28/14 so the total amount reported was correct however the Algonquin Township Republican Central Committee only gave one contribution. Mr. Fogarty supplied the Hearing Officer with a portion of a redacted bank statement (attached) which shows the \$9,000 transfer. The Respondent stated that it takes its campaign finance responsibilities very seriously and that the error was inadvertent and without intent. The Respondent provided no specific defense concerning the other two contributions other than the Committee was in a very involved period of time leading up to the election and the contributions were unfortunately missed.

I recommend the appeal be granted in relation to the Algonquin Township Republican Central Committee contribution as the bank statement shows that the Respondent received a \$9,000 contribution rather than two contributions in the amounts of \$6,000 and \$3,000, and the Committee filed a Schedule A-1 on 10/29/14 regarding this contribution however it was for the wrong amount and it appears the receipt date should have been 10/27/14 rather than 10/28/14. That being said I also recommend the Committee be ordered to amend their 4<sup>th</sup> quarter 2014 quarterly report to reflect the receipt of a \$9,000 contribution on 10/27/14 as being received rather than the two contributions of \$6,000 and \$3,000, within 30 days of the Board's Final Order. Failure to comply with the said Order could subject the Committee to a penalty not to exceed \$5,000. In relation to the remaining two contributions in question the Hearing Officer

recommends the appeal be denied for lack of an adequate defense. Additionally, as there was no indication the violations were anything other than inadvertent and unintentional I recommend the penalty be assessed at the original assessment amount of \$7,700, as they would be deemed a fourth violation. (As of 6/30/15, this Committee reported a funds available balance of \$296,362.23.)

  
Andy Nauman – Hearing Officer  
August 24, 2015

ILLINOIS REPUBLICAN PARTY  
 ILGOP STATE ACCOUNT  
 55 W MONROE ST STE 940  
 CHICAGO IL 60603-5141

**Account Information**

Account Type	Account Number	Ending Balance
CORPORATE BUSINESS CHECKING	8888882277	[REDACTED]

**Account Summary**

Beginning Balance		[REDACTED]
Deposits	7	[REDACTED]
ACH Credits	1	[REDACTED]
Wire Credits		[REDACTED]
Sweep Internal Transfer Credits	20	[REDACTED]
Miscellaneous Credits	2	[REDACTED]
Checks	38	[REDACTED]
ACH Debits	1	[REDACTED]
Wire Debits	61	[REDACTED]
Sweep Internal Transfer Debits		[REDACTED]
Miscellaneous Debits	1	[REDACTED]
Ending Balance		[REDACTED]

**Checks**

Check #	Date	Amount	Check #	Date	Amount
16	10/20	[REDACTED]	1112	10/01	[REDACTED]
17	10/07	[REDACTED]	1113	10/29	[REDACTED]
18	10/03	[REDACTED]	1114	10/06	[REDACTED]
113	10/02	[REDACTED]	1115	10/06	[REDACTED]
114	10/17	[REDACTED]	1116	10/14	[REDACTED]
115	10/21	[REDACTED]	1117	10/22	[REDACTED]
116	10/27	[REDACTED]	1118	10/29	[REDACTED]
117	10/24	[REDACTED]			

Indicates Gap in Check Sequence



STATE OF ILLINOIS  
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections  
**Complainant**

v.

15 MA 077

Caroline for 43 ID# 26052  
**Respondent**

**REPORT OF HEARING OFFICER**

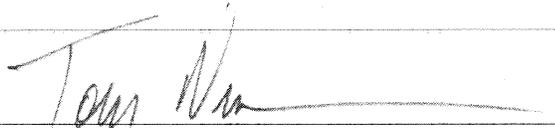
Appeal of Civil Penalty Assessment for Delinquent Filing of the  
March 2015 Quarterly Report and a Schedule A-1 Report

The March 2015 Quarterly Report was received by the Board on 4/20/15, 3 days late, resulting in a civil penalty assessment of \$150. The Committee also received a \$2,500 contribution and a \$1,000 contribution on 3/28/15, and reported both on a Schedule A-1 received by the Board on 4/1/15, 1 day late, resulting in a civil penalty assessment of \$1,750. Additionally, the Committee was previously assessed a \$50 civil penalty (not appealed, stayed) for delinquent filing of a Schedule A-1 in the 4<sup>th</sup> quarter of 2014. The total assessment is \$1,950.

Melissa Macek, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Macek states that in regards to the A-1 contributions, both were received through Act Blue over the weekend, so she thought the Schedule A-1 filed on April 1 would be timely. When reporting the contributions, the date they were made to Act Blue was apparently used. Ms. Macek adds that the actual check the Committee received from Act Blue was deposited on April 6. She offers no defense for the late Quarterly Report filing.

After contacting Ms. Macek, she was able to provide copies of a check register and deposit slip confirming the April 6 deposit date. The contributions were therefore considered received on April 6, meaning the A-1 filing was not late. I recommend the appeal in regards to the two A-1 contributions be granted. I recommend the appeal for the late Quarterly Report filing be denied for lack of an adequate defense. If these recommendations are accepted by the Board, the stay would be lifted from the previous \$50 civil penalty, and the total assessment of \$200 will be due and owing. I further recommend the Committee be ordered to amend its March and June 2015 Quarterly Reports to reflect the correct receipt dates for the two contributions at issue, with the amendments to be filed within 30 days of the Final Board Order in this matter. (As of 6/30/15, this Committee showed a funds available balance of \$107.06)



Tom Newman – Hearing Officer  
September 3, 2015

State of Illinois )  
County of: \_\_\_\_\_ )

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

15 JUL -9 AM 8:22

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
CAROLINE FOR 43 )  
Respondent(s). )

Case No. 15MA077

APPEAL AFFIDAVIT

I, MELISSA MACC, the TREASURER of the  
(Name) (Chairman/Treasurer)  
CAROLINE FOR 43  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

DONATION CAME INTO ACT BLUE WEBSITE OVER THE  
WEEKEND. I ASSUMED ~~MONDAY~~ MONDAY WOULD START THE  
TWO DAY WINDOW AND THAT THE DATE IT WAS SUBMITTED  
WOULD STILL BE IN TIME. <sup>WEDNESDAY, APRIL 1ST,</sup> THE CHECK FROM ACT BLUE WAS  
DEPOSITED APRIL 6TH, FIVE DAYS AFTER THE A-1.

Melissa Macc  
Signature of Chairman/Treasurer

igned and Sworn to by:

efore me this \_\_\_\_\_ Day of \_\_\_\_\_, 20\_\_

otary Public  
(seal)

Amount	Donor First Name	Donor Last Name	Check Number	Check Date	Disbursement Date	Fee	Net Payment
5400	Thaddeus	Wong	3000203938	3/29/2015	3/29/2015 0:00	213.3	5186.70 This donation was refunded
250	Mark	Furlane	3000203938	3/29/2015	3/29/2015 0:00	9.88	240.12
250	Bruce	Beavis	3000203938	3/29/2015	3/29/2015 0:00	9.88	240.12
50	Jane	Heron	3000203938	3/29/2015	3/29/2015 0:00	1.98	48.02
100	Evan	Jahn	3000203938	3/29/2015	3/29/2015 0:00	3.95	96.05
250	Michael	Brosius	3000203938	3/29/2015	3/29/2015 0:00	9.88	240.12
250	Susan	Keegan	3000203938	3/29/2015	3/29/2015 0:00	9.88	240.12
500	Dolores	Connolly	3000203938	3/29/2015	3/29/2015 0:00	19.75	480.25
100	Megan	Brown	3000203938	3/29/2015	3/29/2015 0:00	3.95	96.05
250	Claire	Hickey	3000203938	3/29/2015	3/29/2015 0:00	9.88	240.12
250	Christopher	Newman	3000203938	3/29/2015	3/29/2015 0:00	9.88	240.12
2500	Ephraim	Gildor	3000203938	3/29/2015	3/29/2015 0:00	98.75	2401.25
1000	Laura	King	3000203938	3/29/2015	3/29/2015 0:00	39.5	960.5
250	Chris & Cynthia	Whybrow	3000203938	3/29/2015	3/29/2015 0:00	9.88	240.12
250	arthur	paris	3000203938	3/29/2015	3/29/2015 0:00	9.88	240.12
50	Howard	Goss	3000203938	3/29/2015	3/29/2015 0:00	1.98	48.02
							<b>\$ 11,237.80</b>



Byline Bank

DEPOSIT TICKET

DATE 4-6-15  
 NAME Caroline J. V. 13

DEPOSITS MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL

ACCOUNT NUMBER

3106033054

TOTAL DEPOSIT

\$ 16587.80

⑆541010010⑆

	DOLLARS	CENTS
CURRENCY		
COIN		
CHECKS		
1 0457	50	00
2		
3 1268	750	00
4		
5 2200	950	00
6		
7 6053660	3000	00
8		
9 3000207158	11237	80
10		
11 1911	500	00
12		
13 2941	100	00
14		
15		
16		
17		
18		
19		
TOTAL FROM OTHER SIDE		
TOTAL	16587	80

PLEASE RE-ENTER TOTAL HERE

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



EXECUTIVE DIRECTOR  
Steven S. Sandvoss  
June 8, 2015

BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Casandra B. Watson

Caroline for 43  
858 W. Armitage, Ste 341  
Chicago, IL 60614

ID# 26052

7015 0640 0001 4490 0108

Dear Caroline for 43:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March 2015 Quarterly Report of Campaign Contributions and Expenditures
Report Period:	January 1, 2015 through March 31, 2015
Filing Period:	April 1, 2015 through April 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 4/20/2015, 3 day(s) late. As such, this committee has been assessed a fine of \$150.00.

In addition, this committee failed to timely file the Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Ephraim Gildor	3/28/2015	\$2500	4/1/2015	1	\$1250
Laura King	3/28/2015	\$1000	4/1/2015	1	\$500

The committee is fined a **total** of \$1750.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total *does not* reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$875.00, (50% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously stayed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
December 2014	A1	\$50
<b>TOTAL AMOUNT NOW DUE</b>		<b>\$925</b>

**The total for all assessments in this letter is \$925.00.**

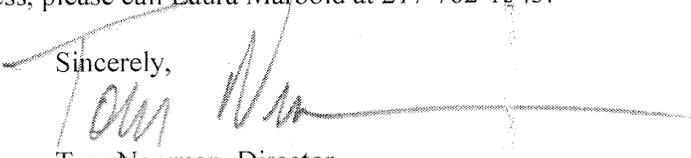
Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed by July 8, 2015. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, it is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd, Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

  
Tom Newman, Director  
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

**STATE OF ILLINOIS  
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS**

Illinois State Board of Elections

**Complainant**

Vs.

15 MA 083

Garcia for Chicago 26214

**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Delinquently Filing  
The March 2015 Quarterly Report and  
A Schedule A-1 Report in the 1<sup>st</sup> Quarter of 2015

The March 2015 Quarterly Report was received by the Board on April 16, 2015, 1 day late resulting in a civil penalty assessment of \$50. The Committee also received sixteen in-kind contributions ranging from \$1,505.53 - \$44,000 between 2/4/15 – 2/10/15, which were between 1 – 5 days late, which resulted in civil penalty assessments of \$70,339. The total assessment is \$70,389.

Tony Favela, the treasurer of the Committee, appeared at the August 13<sup>th</sup> appeal hearing.

Mr. Favela stated that since the Committee was rapidly receiving contributions they started using NGP's software to prepare their reports. However, once they attempted to file the March 2015 Quarterly Report they received an error. Mr. Favela stated that the Committee attempted to contact the State Board of Elections but were informed the error appeared to be within NGP so they were instructed to contact NGP. Once NGP was contacted they determined the error was the result of the # character being included in the password. Mr. Favela stated that the State Board of Elections was then called again in an attempt to resolve the matter however it took three calls to actually get the password changed. Once the password was changed the report was filed.

In relation to the Schedule A-1 Report violations Mr. Favela stated that the in-kind contributions were incorrectly reported due to a clerical error as they reported the receipt date as the date on the notification rather than the actual date the notifications were received by the Committee. Mr. Favela submitted a Group Exhibit as supporting evidence which the Hearing Officer numbered for simplification. Mr. Favela stated that the assessment for ULINE should actually be from SEIU as ULINE was the vendor not the contributor (pages 1 & 2 were supporting evidence to this fact). Mr. Favela stated that the four in-kind contribution forms (pages 3, 4, 8 & 9) that were received from the Chicago Teachers Union-PAC were all mailed to the Committee however they were mailed to the campaign office located on Archer Ave. rather than the campaign office on Washington which he primarily was located at. Therefore, Mr. Favela stated that he did not know the in-kind contribution notification forms were received as they were sent to a different campaign office. Mr. Favela could not determine when the forms would have been received at the Archer Ave. campaign office however he provided the Hearing Officer with a glimpse of a different in-kind contribution notification form and an envelope which was dated May 29<sup>th</sup> in which he said the mailed form did not arrive at the Archer Ave. campaign office until June 11<sup>th</sup>. The Respondent requests that since it took this notification 13 days to arrive via mail that they be given a similar leeway in considering the time it would have taken the other in-kind contribution notifications to be received and

since the four assessments were all reported within 4 or 5 business days of the date they were mailed that they be considered timely. Mr. Favela said the remaining in-kind notifications were sent via e-mail as outlined below and the receipt dates were not correct as they were reported as the date on the form rather than when the forms were received.

- SEIU Healthcare notification for \$5,250 (page 5) was received in an e-mail (page 6) on 2/20/15 and filed on Schedule A-1 Report on that same date.
- National Education Assoc. notification (page 10) was e-mailed (page 11) to a campaign consultant (Andrew Sharp) on 3/4/15 and filed on a Schedule A-1 Report on 3/15/15. However, Mr. Favela stated that the National Education Assoc. did not report paying for the actual expenses related to the in-kind contributions until 3/10/15 as shown on their report (page 13). The Respondent requests that the 3/10/15 date be considered as the receipt date as the contributor would not have paid the vendor until this date.
- The nine SEIU Healthcare notifications for \$11,100 (page 14), \$2,500 (page 15), \$1,559.31 (page 16), \$2,858.94 (page 17), \$15,375 (page 18), \$3,000 (page 19), \$2,000 (page 20), \$2,000 (page 21) and \$1,505.53 (page 22) were e-mailed to Mr. Favela on 3/5/15 and filed on a Schedule A-1 Report on 3/15/15.
- SEIU Healthcare notification for \$3,241.49 (page 25) was received by Mr. Favela in an e-mail (page 26) on 3/16/16 and filed on a Schedule A-1 Report on that same date.

The March 2015 Quarterly Report was filed one day late as the Committee filed the report on 4/16/15 at 2:11pm. The Respondent's defense is that the password had a # character in the password and the NGP program produced an error when they attempted to submit their report. Then the Committee had to contact the State Board of Elections to change the password. In order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously utilized such a defense, and particularly since the Committee was diligent in seeking help for the problem the day after the filing deadline, I recommend the appeal be granted even though the problem was a result of NGP. The IDIS system allows the # character to be used in passwords.

In relation to the four Chicago Teachers Union PAC in-kind contributions I recommend the appeal be denied for lack of an adequate defense. As per 10 ILCS 5/9-10(d) the deemed receipt date for these in-kind contributions would be the date the public official, candidate, or political committee receives the notification of contributions of goods or services. Therefore, the Hearing Officer is of the opinion that the notifications were deemed received on the date they arrived at the Archer Ave. campaign office even if the person who received the notifications did not inform the treasurer that the notifications were received. Furthermore, since the Committee did not document the date the notifications were received I recommend they advise their staff and volunteers that when in-kind notifications are received the date of receipt must be recorded and the appropriate people must be notified for proper reporting. I realize that this Committee has filed a Final Report however my recommendation would be for the new candidate committee that was filed with the State Board of Elections 5 days before this Committee submitted a Final Report. Since, there is no indication these violations were anything other than inadvertent and unintentional, and since this would be considered as a first Schedule A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessments, or \$2,315 (\$250, \$125, \$1,328, and \$612).

In relation to the SEIU Healthcare in-kind contribution of \$5,250 I recommend the appeal be granted. The e-mail provided by the respondent shows that the in-kind notification form was received on 2/20/15 which is the same day the Committee filed a Schedule A-1 Report for this contribution. Therefore, the contribution was timely reported and the deemed receipt date should have been 2/20/15.

The campaign consultant was e-mailed the in-kind notification from the National Education Assoc. Fund for Children and Public Education on 3/4/15 therefore it is the opinion of the Hearing Officer that 3/4/15 should be the deemed receipt date. The Committee did not report this contribution on a Schedule A-1 Report until 3/15/15 therefore the contribution was not reported within 5 business days as required. Furthermore, 10 ILCS 5/9-10 (d) says the deemed receipt date is the date the political committee receives the notification therefore the Hearing Officer believes the date the contributor actually paid the vendor is irrelevant. Lastly, even if the Board were to take into consideration the Respondents request to use the date the contributor paid the vendor as the receipt date the Hearing Officer would like to point out that the contribution would still have been delinquently reported. A receipt date of 3/10/15 would have required the contribution to have been reported within 2 business days as the date would have been within 30 days of the April 7<sup>th</sup> Election and the contribution was not reported within 2 business days. Therefore, I recommend the appeal be denied in relation to the National Education Assoc. Fund for Children and Public Education contribution for lack of an adequate defense. However, since there is no indication this violation was anything other than inadvertent and unintentional, and since this would be considered as a first Schedule A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$2,200.

In relation to the nine in-kind contributions that were reported as being received on 3/2/15 from SEIU Healthcare I recommend the appeal be denied for lack of an adequate defense. The notifications were e-mailed to the treasurer of the Committee on 3/5/15 therefore the Hearing Officer is of the opinion that this date should be the deemed receipt date. The Committee did not report the contributions on a Schedule A-1 Report until 3/15/15 therefore the contributions were not reported within 5 business days as required. However, since there is no indication these violations were anything other than inadvertent and unintentional, and since they would be considered as a first Schedule A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$2,095 (\$555, \$125, \$78, 143, \$769, \$150, \$100, \$100, \$75).

In relation to the SEIU Healthcare in-kind contribution of \$3,241.49 I recommend the appeal be granted. The email provided by the Respondent shows that the in-kind notification form was received on 3/16/15 which is the same day the Committee filed a Schedule A-1 Report for this contribution. Therefore, the contribution was timely reported and the deemed receipt date should have been 3/16/15.

I also recommend the Committee be ordered to amend the March 2015 Quarterly Report to correctly report the deemed receipt dates for their in-kind contributions within 30 days of the date of the Final Board Order in this matter. If this recommendation is accepted by the Board the total penalty of \$6,610 will be due and owing. (This Committee filed a Final Report on 8/10/15 and reported transferring \$61,532.11 to Mr. Garcia's new candidate committee, Friends of Chuy Garcia, between 7/24/15 – 8/10/15 however as per Section 100.110 (d) the committee is only permitted to exit the reporting system after payment has been made for any civil penalties that were assessed prior to the Committee seeking to go out of existence. The assessment letter assessing the fines was mailed out on 6/8/15 therefore the Hearing Officer is of the opinion that the civil penalty should be paid prior to permitting the Committee to exit the reporting system. Furthermore, the transfers between Garcia for Chicago and Friends of Chuy Garcia appears to be in excess of contribution limits.)

  
Andy Nauman – Hearing Officer  
August 19, 2015

Group Exhibit

**SCHEDULE A-1**  
**REPORT OF CAMPAIGN CONTRIBUTIONS**  
**OF**  
**\$1000 or more**

FILED  
3/15/2015 08:37:49 PM  
FOR OFFICE USE ONLY  
IDENTIFICATION NO.  
26214  
04

Garcia for Chicago  
4249 S Archer Ave  
Suite 100  
Chicago, IL 60632

ITEMIZED RECEIPTS		RECEIPT	DATE	AMOUNT OF
FULL NAME, MAILING ADDRESS, AND ZIP CODE	VENDOR	TYPE	RECEIVED	EACH RECEIPT
In-Kind Contribution				
National Education Association Fund for Children and Public Education 1201 16th St., N.W. Washington, DC 20036 Description: Research book			3/3/2015	\$44,000.00
In-Kind Contribution				
SEIU Healthcare IL IN PAC HCII PAC 2229 S. Halsted St Chicago, IL 60608 Description: Yard Signs	American Campaigns 5333 W. Lake St. Chicago, IL 60644		3/2/2015	\$11,100.00
Description: Phone calls	The Spoken Hub LLC 259 W. 30th St, Fl 9 New York, NY 10001		3/2/2015	\$2,500.00
Description: Office supplies	Warehouse Direct 2001 S. mount Prospect Rd. Des Plaines, IL 60018		3/2/2015	\$1,559.31
Description: Office supplies	Staples 1130 S. Canal St. Chicago, IL 60607		3/2/2015	\$2,858.94
Description: Door hangers	NDS On Door Direct 2641 N. Clybourn Ave. Chicago, IL 60614		3/2/2015	\$15,375.00
Description: Hub Dialer Minutes	The Spoken Hub LLC 259 W. 30th St, Fl 9 New York, NY 10001		3/2/2015	\$3,000.00
Description: Hub Dialer Minutes	The Spoken Hub LLC 259 W. 30th St, Fl 9 New York, NY 10001		3/2/2015	\$2,000.00
Description: Door Hangers	NDS On Door Direct 2641 N. Clybourn Ave. Chicago, IL 60614		3/2/2015	\$2,000.00

**SCHEDULE A-1**

REPORT OF CAMPAIGN CONTRIBUTIONS  
OF  
\$1000 or more

FILED

3/15/2015 08:37:49 PM

FOR OFFICE USE ONLY  
IDENTIFICATION NO.

26214

04

Garcia for Chicago  
4249 S Archer Ave  
Suite 100  
Chicago, IL 60632

ULINE  
PO Box 88741  
Chicago, IL 60680

Description: Hand Warmers

3/2/2015

\$1,505.53

Name and address of person submitting this report if other  
than the committee's candidate or treasurer:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_



# IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, occupation & employer must be provided.

To Candidate/Political Committee:

From:

Garcia for Chicago

Chicago Teachers Union-PAC

4249 S Archer Ave Suite 100

222 Merchandise Mart Plaza, Suite 400

Chicago, IL 60632

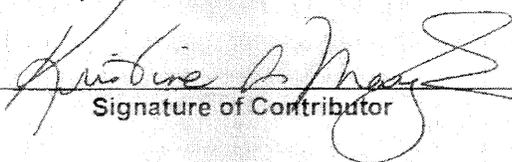
Chicago, IL 60654

Occupation & Employer (if applicable)

## In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable). Jay Travis 4235 S. King Drive Chicago, IL 60653	Date	Value
	2/4/2015	\$2,500.00
Description Assist and monitor ongoing canvass activities		

The political committee must report this contribution on their Form D-2, Section A, Part 5.

  
Signature of Contributor

# IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

## Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, occupation & employer must be provided.

To Candidate/Political Committee:

From:

Garcia for Chicago  
4249 S Archer Ave Ste 100  
Chicago, IL 60632

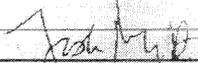
SEIU Healthcare Illinois Indiana PAC  
2229 S Halsted St  
Chicago, IL 60608

Occupation & Employer (if applicable)

## In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description
EF Design Group, Inc 3501 S. King Drive Ave., Unit 2E Chicago, IL 60653	2/17/2015	\$5250.00	Literature and signs

The political committee must report this contribution on their Form D-2, Section A, Part 5.

  
Signature of Contributor

---

**Fwd: FW: In kind notifications from SEIU HCII PAC**

---

Manuel Perez <manny.prz@gmail.com>

Fri, Feb 20, 2015 at 12:25 PM

To: "tony@chicagoforchuy.com" <tony@chicagoforchuy.com>, Tara Orris <tara.orris@gmail.com>

----- Forwarded message -----

From: **Alex Han** <Alex.Han@seiuhcii.org>

Date: Fri, Feb 20, 2015 at 11:47 AM

Subject: FW: In kind notifications from SEIU HCII PAC

To: "manny.prz@gmail.com" <manny.prz@gmail.com>

---

**From:** Josh Myles

**Sent:** Thursday, February 19, 2015 3:42 PM

**To:** Alex Han

**Subject:** In kind notifications from SEIU HCII PAC

Hi Alex,

Please give these in kinds to the campaign tomorrow.

Thanks,

Josh

---

Josh Myles

Deputy Political Director

SEIU Healthcare Illinois & Indiana

312-498-4425

---

2 attachments

In kind from SEIU HCII PAC - 2-17-15 - Garcia.pdf

25K

7

In kind from SEIU HCII PAC - 2-19-15 - Garcia.pdf  
24K

# IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, occupation & employer must be provided.

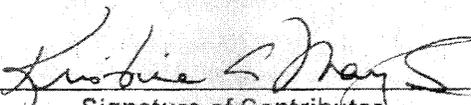
To Candidate/Political Committee:	From:
GARCIA FOR CHICAGO	Chicago Teachers Union-PAC
4249 S. ARCHER, SUITE 100	222 Merchandise Mart Plaza, Suite 400
CHICAGO, IL 60632	Chicago, IL 60654

Occupation & Employer (if applicable)

## In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description
	2/23/2015	\$26,553.00	

The political committee must report this contribution on their Form D-2, Section A, Part 5.

  
Signature of Contributor

# IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

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Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, occupation & employer must be provided.

To Candidate/Political Committee:

GARCIA FOR CHICAGO

4249 S. ARCHER, SUITE 100

CHICAGO, IL 60632

From:

Chicago Teachers Union-PAC

222 Merchandise Mart Plaza, Suite 400

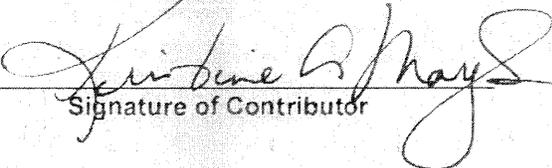
Chicago, IL 60654

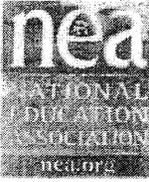
Occupation & Employer (if applicable)

## In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description.
	2/24/2015	\$12,232.66	FIELD CANVASSING

The political committee must report this contribution on their Form D-2, Section A, Part 5.

  
Signature of Contributor



Great Public Schools  
for Every Student

1201 16th St., N.W. | Washington, DC 20036 | Phone: (202) 833-4000

Lily Eskelsen Garcia  
*President*

Rebecca S. Pringle  
*Vice President*

Princess R. Moss  
*Secretary-Treasurer*

John C. Stocks  
*Executive Director*

March 3, 2015

Andrew Sharp  
Campaign Manager  
Garcia for Chicago  
651 W Washington Blvd  
Chicago, IL 60661-2122

Andrew:

Please be advised that the research book delivered to you on February 27, 2015 is an in-kind contribution to Garcia for Chicago from the NEA Fund for Children and Public Education (non-federal itemized account #26210). The value of the in-kind contribution is \$44,000. Please let me know if you have any questions.

Sincerely,

Carrie Pugh  
Director  
NEA Campaigns & Election Department



## Fwd: FW: In-Kind Contribution

Manuel Perez <manny.prz@gmail.com>  
To: "tony@chicagoforchuy.com" <tony@chicagoforchuy.com>

Sun, Mar 8, 2015 at 11:31 PM

----- Forwarded message -----

From: **Matthew Piers** <MPiers@hsplegal.com>  
Date: Thu, Mar 5, 2015 at 5:40 PM  
Subject: FW: In-Kind Contribution  
To: Manuel Perez <manny.prz@gmail.com>

From: Pugh, Carrie [NEA] [mailto:CPugh@nea.org]  
Sent: Wednesday, March 04, 2015 1:47 PM  
To: Matthew Piers; clembalanoff@gmail.com  
Subject: Fwd: In-Kind Contribution

Fyi for filing in kinds

Sent from my iPhone

Begin forwarded message:

From: "Long, Crystal [NEA]" <CrLong@nea.org>  
Date: March 4, 2015 at 2:03:41 PM EST  
To: "andrewsharpdo@gmail.com" <andrewsharpdo@gmail.com>  
Cc: "Pugh, Carrie [NEA]" <CPugh@nea.org>, "Onukwubiri, Chinasa [NEA]" <COnukwubiri@nea.org>, "Kurtz, Amy [NEA]" <AKurtz@nea.org>, "Farfaglia, Rick [NEA]" <RFarfaglia@nea.org>  
Subject: In-Kind Contribution

Dear Andrew:

Please see the attached letter regarding our in-kind contribution to the Garcia for Chicago campaign.  
Feel free to contact Carrie Pugh if you have any questions.

*Crystal J. Long*

Campaigns and Elections Department

National Education Association

1201 16th Street, N.W. Suite 510

Washington, DC 20036

202-822-7389

202-822-7741 Fax

[crlong@nea.org](mailto:crlong@nea.org)

12

\*\*\*\*\*

Only the individual sender is responsible for the content of the message, and the message does not necessarily reflect the position or policy of the National Education Association or its affiliates.

2 attachments

 ATT00001.htm  
1K

 Garcia for Chicago.pdf  
143K

# NEA Fund for Children & Public Education Non-Federal Itemized Acct 13

D-2 Quarterly Report  
1/1/2015 to 3/31/2015

Received By	Address	Amount	Expended By	Purpose / Beneficiary
<b>IDR</b>	222 West Ontario St. Suite 500 Chicago, IL 60654	\$17,000.00 3/10/2015	Expenditure NEA Fund for Children & Public Education Non-Federal Itemized Acct	In-kind Contribution: Campaign Research Garcia for Chicago
<b>IDR</b>	222 West Ontario St. Suite 500 Chicago, IL 60654	\$27,000.00 3/10/2015	Expenditure NEA Fund for Children & Public Education Non-Federal Itemized Acct	In-kind contribution: Campaign Research Chicago for Rahm Emanuel

This report has 2 itemized Expenditures totaling \$44,000.00

# IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

### Full Name, Mailing Address, and Zip Code

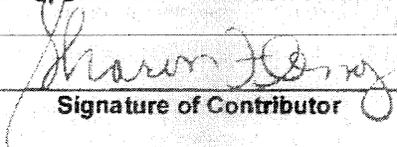
If the In-Kind was donated by an Individual, occupation & employer must be provided.

<p>To Candidate/Political Committee:</p> <hr/> <p>Garcia for Chicago</p> <hr/> <p>4249 S Archer Ave Ste 100</p> <hr/> <p>Chicago, IL 60632</p> <hr/> <hr/> <hr/> <hr/>	<p>From:</p> <hr/> <p>SEIU Healthcare Illinois Indiana PAC</p> <hr/> <p>2229 S Halsted St</p> <hr/> <p>Chicago, IL 60608</p> <hr/> <hr/> <hr/> <hr/> <p>Occupation &amp; Employer (if applicable)</p>
--	---

### In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable)  American Campaigns 5333 West Lake Street Chicago, IL 60644	Date 3/2/15	Value \$11,100	
	Description		
	yard signs		

The political committee must report this contribution on their Form D-2, Section A, Part 5.

  
 \_\_\_\_\_  
 Signature of Contributor

# IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

### Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, occupation & employer must be provided.

To Candidate/Political Committee:

From:

Garcia for Chicago

SEIU Healthcare Illinois Indiana PAC

4249 S Archer Ave Ste 100

2229 S Halsted St

Chicago, IL 60632

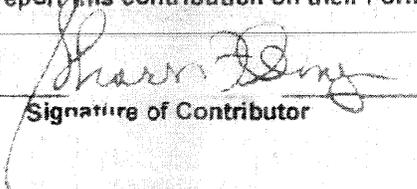
Chicago, IL 60608

Occupation & Employer (if applicable)

### In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description
The Spoken Hub LLC PO Box 615 Manhasset, NY 11032-0615	3/2/15	\$2500.00	phone calls

The political committee must report this contribution on their Form D-2, Section A, Part 5.

  
Signature of Contributor

# IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, occupation & employer must be provided.

To Candidate/Political Committee.

From:

Garcia for Chicago

SEIU Healthcare Illinois Indiana PAC

4249 S Archer Ave

2229 S Halsted St

Suite 100

Chicago IL 60608

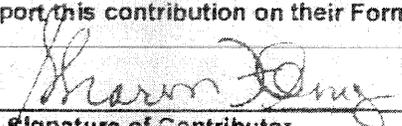
Chicago, IL 60632

Occupation & Employer (if applicable)

## In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description
Warehouse Direct 2001 S Mount Prospect Rd Des Plaines, IL 60018	3/2/11	\$1559.71	office supplies

The political committee must report this contribution on their Form D-2, Section A, Part 5.

  
Signature of Contributor

# IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, occupation & employer must be provided.

To Candidate/Political Committee:

Garcia for Chicago

4249 S Archer Ave

Suite 100

Chicago, IL 60632

From:

SEIU Healthcare Illinois Indiana PAC

2229 S Halsted St

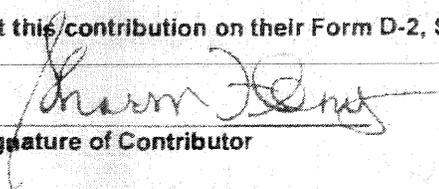
Chicago, IL 60608

Occupation & Employer (if applicable)

## In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value
	3/2/11	\$2858.94
Staples 1130 S Canal Street Chicago, IL 60607	office supplies	Description

The political committee must report this contribution on their Form D-2, Section A, Part 5.

  
Signature of Contributor

# IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, occupation & employer must be provided.

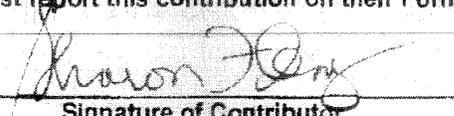
<p>To Candidate/Political Committee:</p> <hr/> <p>Garcia for Chicago</p> <hr/> <p>4249 S. Archer Ave Ste 100</p> <hr/> <p>Chicago, IL 60632</p> <hr/> <hr/> <hr/> <hr/>	<p>From:</p> <hr/> <p>SEIU Healthcare Illinois Indiana PAC</p> <hr/> <p>2229 S. Halsted St</p> <hr/> <p>Chicago, IL 60608</p> <hr/> <hr/> <hr/> <hr/>
---	---

Occupation & Employer (if applicable)

### In-Kind Contribution

<p>Full Name, Mailing Address and Zip Code of Vendor (if applicable).</p> <p>NDS On Door Direct 2641 N. Clybourn Ave. Chicago, IL 60614</p>	<p>Date</p> <p>3/2/15</p>	<p>Value</p> <p>\$15375</p>	

The political committee must report this contribution on their Form D-2, Section A, Part 5.

  
 \_\_\_\_\_  
 Signature of Contributor

# IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

### Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, occupation & employer must be provided.

To Candidate/Political Committee:

From:

Garcia for Chicago

---

4249 S. Archer Ave Ste 100

---

Chicago, IL 60632

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SEIU Healthcare Illinois Indiana PAC

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2229 S. Halsted St

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Chicago, IL 60608

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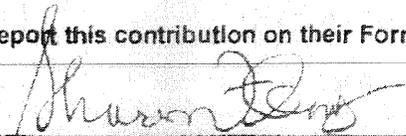
---

Occupation & Employer (if applicable)

### In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description
	3/2/15	\$3000	
The Spoken Hub LLC PO Box 615 Manhasset, NY 11032-0615	23,076 Hub Dialer Minutes		

The political committee must report this contribution on their Form D-2, Section A, Part 5.

  
 \_\_\_\_\_  
 Signature of Contributor

# IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

### Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, occupation & employer must be provided.

To Candidate/Political Committee:

From:

Garcia for Chicago

SEIU Healthcare Illinois Indiana PAC

4249 S. Archer Ave Ste 100

2229 S. Halsted St

Chicago, IL 60632

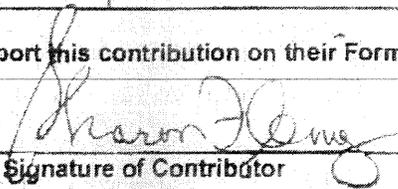
Chicago, IL 60608

Occupation & Employer (if applicable)

### In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).  The Spoken Hub LLC PO Box 615 Manhasset, NY 11032-0615	Date	Value	Description
	3/2/15	\$2000	

The political committee must report this contribution on their Form D-2, Section A, Part 5.

  
Signature of Contributor

# IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, occupation & employer must be provided.

To Candidate/Political Committee:

From:

Garcia for Chicago

SEIU Healthcare Illinois Indiana PAC

4249 S. Archer Ave Ste 100

2229 S. Halsted St

Chicago, IL 60632

Chicago, IL 60608

Occupation & Employer (if applicable)

## In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).

Date Value

3/2/15

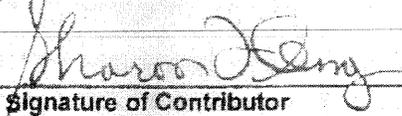
\$2000

Description

NDS  
On Door Direct  
2841 N. Clybourn Ave.  
Chicago, IL 60614

Door Hangers

The political committee must report this contribution on their Form D-2, Section A, Part 5.

  
Signature of Contributor

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Full Name, Mailing Address, and Zip Code

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To Candidate/Political Committee:

From:

Garcia for Chicago

SEIU Healthcare Illinois Indiana PAC

4249 S. Archer Ave Ste 100

2229 S. Halsted St

Chicago, IL 60632

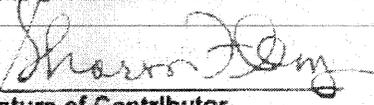
Chicago, IL 60608

Occupation & Employer (if applicable)

## In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).  ULINE PO Box 88741 Chicago, IL 60680-1741	Date	Value
	3/2/15	\$1505.53
Description		
Hand Warmers		

The political committee must report this contribution on their Form D-2, Section A, Part 5.

  
Signature of Contributor



23

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## FW: Chuy Vendor In-Kinds

---

Alex Han <Alex.Han@seihcil.org>  
To: "tony@chicagoforchuy.com" <tony@chicagoforchuy.com>  
Cc: "manny.prz@gmail.com" <manny.prz@gmail.com>

Thu, Mar 5, 2015 at 1:01 PM

More in-kinds to report!

---

**From:** Sharon Fleming  
**Sent:** Thursday, March 5, 2015 12:59 PM  
**To:** Alex Han  
**Cc:** Josh Myles; Sharon Fleming  
**Subject:** Chuy Vendor In-Kinds

Alex,

I'm resending these as well they were included in the group fax I initially sent. Please give these to the campaign to file today as well.

Thanks

Sharon Fleming

SEIU Health Care IL/IN

SEIU Political & Public Affairs Assistant

2229 S. Halsted

Chicago, IL 60608

[sharon.fleming@seihcil.org](mailto:sharon.fleming@seihcil.org)

(312) 596-9361 - Office

(312) 315-1313 - Cell

(312) 784-3187 - Fax



# IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

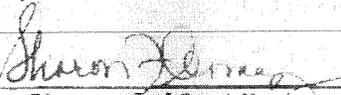
If the In-Kind was donated by an Individual, occupation & employer must be provided.

<p>To Candidate/Political Committee:</p> <hr/> <p>Garcia for Chicago</p> <hr/> <p>4249 S. Archer Ave., Ste. 100</p> <hr/> <p>Chicago, IL 60632</p> <hr/> <hr/> <hr/> <hr/>	<p>From:</p> <hr/> <p>SEIU Healthcare Illinois Indiana PAC</p> <hr/> <p>2229 S. Halsted St.</p> <hr/> <p>Chicago, IL 60608</p> <hr/> <hr/> <hr/> <hr/> <p>Occupation &amp; Employer (if applicable)</p>
--	---

In-Kind Contribution

<p>Full Name, Mailing Address and Zip Code of Vendor (if applicable).</p> <p>Get Out The Vote 132 West 31st St. 15th Floor NY, NY 10001</p>	<p>Date</p> <p>03/10/15</p>	<p>Value</p> <p>\$ 324.49</p>	
	<p>Description</p> <p>Out-Bound Automated Phone Program</p>		

The political committee must report this contribution on their Form D-2, Section A, Part 5.

  
 \_\_\_\_\_  
 Signature of Contributor

**FW: Scanned from a Xerox Multifunction Printer**

Alex Han <Alex.Han@seiuhcil.org>  
To: "tony@chicagoforchuy.com" <tony@chicagoforchuy.com>  
Cc: "manny.prz@gmail.com" <manny.prz@gmail.com>

Mon, Mar 16, 2015 at 4:19 PM

-----Original Message-----

From: Sharon Fleming  
Sent: Monday, March 16, 2015 4:07 PM  
To: Alex Han  
Subject: FW: Scanned from a Xerox Multifunction Printer

Chuy In-Kinds for 3/13/15 pay period.

-----Original Message-----

From: xerox7970@seiuhcil.org [mailto:xerox7970@seiuhcil.org]  
Sent: Monday, March 16, 2015 3:48 PM  
To: Sharon Fleming  
Subject: Scanned from a Xerox Multifunction Printer

Please open the attached document. It was scanned and sent to you using a Xerox Multifunction Printer.

Attachment File Type: pdf, Multi-Page

Multifunction Printer Location:  
Device Name: XRX9C934E556920

For more information on Xerox products and solutions, please visit <http://www.xerox.com>

 **Scanned from a Xerox Multifunction Printer.pdf**  
442K

State of Illinois )  
County of Cook )

STATE BOARD OF ELECTIONS  
15 JUN 26 PM 3:05

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Garcia for Chicago )  
Respondent(s). )

Case No. 15MA083

APPEAL AFFIDAVIT

I, Antonio Favela, the Treasurer of the  
(Name) (Chairman/Treasurer)  
Garcia for Chicago  
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The quarterly report was untimely filed due to a technical issue with the Illinois State Board of Elections electronic filing system. Multiple attempts were made to file prior to the deadline.

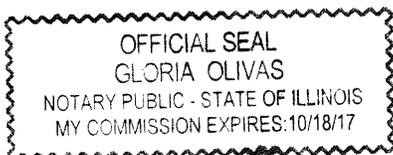
The Schedule A-1 filings were incorrectly reported due to clerical error. The date reported of the in-kind contributions reflected the date on the notification rather than the actual date received by the Committee.

Signed and Sworn to by;  
Antonio Favela  
before me this 24th Day of  
June, ~~2014~~ 2015

Antonio Favela

(Signature of Chairman/Treasurer)

Notary Public



STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 West Randolph, Suite 14-100  
Chicago Illinois 60601  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Jesse R. Smart, Chairman  
Charles W. Scholz, Vice Chairman  
Harold D. Byers  
Betty J. Coffrin  
Ernest L. Gowen  
William M. McGuffage  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Steven S. Sandvoss  
June 8, 2015

Garcia for Chicago  
Jesus G. Garcia  
4226 W. 25th Place  
Chicago, IL 60623

ID# 26214

Dear Garcia for Chicago:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: March 2015 Quarterly Report of Campaign Contributions and Expenditures  
Report Period: January 1, 2015 through March 31, 2015  
Filing Period: April 1, 2015 through April 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 4/16/2015, 1 day(s) late. As such, this committee has been assessed a fine of \$50.00.

In addition, this committee failed to timely file the Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Chicago Teachers Union-PAC	2/4/2015	\$5000	2/16/2015	5	\$2500
Chicago Teachers Union-PAC	2/4/2015	\$2500	2/16/2015	5	\$1250
SEIU Healthcare IL IN PAC HCII PAC	2/17/2015	\$5250	2/20/2015	1	\$2625
Chicago Teachers Union-PAC	2/23/2015	\$26553	3/4/2015	4	\$13277
Chicago Teachers Union-PAC	2/24/2015	\$12232.66	3/10/2015	4	\$6116
National Education Assoc. Fund for Children and Public Education	3/3/2015	\$44000	3/15/2015	4	\$22000
SEIU Healthcare IL IN PAC HCII PAC	3/2/2015	\$11100	3/15/2015	5	\$5550
SEIU Healthcare IL IN PAC HCII PAC	3/2/2015	\$2500	3/15/2015	5	\$1250
SEIU Healthcare IL IN PAC HCII PAC	3/2/2015	\$1559.31	3/15/2015	5	\$780
SEIU Healthcare IL IN PAC HCII PAC	3/2/2015	\$2858.94	3/15/2015	5	\$1429

SEIU Healthcare IL IN PAC HCII PAC	3/2/2015	\$15375	3/15/2015	5	\$7688
SEIU Healthcare IL IN PAC HCII PAC	3/2/2015	\$3000	3/15/2015	5	\$1500
SEIU Healthcare IL IN PAC HCII PAC	3/2/2015	\$2000	3/15/2015	5	\$1000
SEIU Healthcare IL IN PAC HCII PAC	3/2/2015	\$2000	3/15/2015	5	\$1000
ULINE	3/2/2015	\$1505.53	3/15/2015	5	\$753
SEIU Healthcare IL IN PAC HCII PAC	3/10/2015	\$3241.49	3/16/2015	2	\$1621

The committee is fined a **total** of \$70338.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$7034.00, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

**The total for all assessments in this letter is \$70338.**

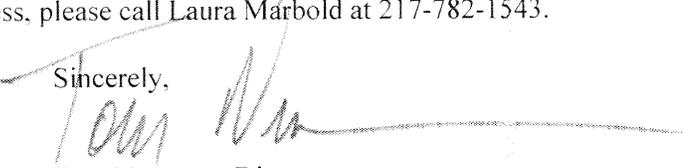
Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed by July 8, 2015. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, it is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd, Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,



Tom Newman, Director  
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS  
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

Illinois State Board of Elections  
**Complainant**

v.

14 JQ-CL002

Friends of Roderick T Sawyer      ID# 22875  
**Respondent**

**REPORT OF HEARING OFFICER**

Appeal of Civil Penalty Assessment for Violation of Contribution Limits

The Committee received three contributions from Celeste Taylor: \$500 on 7/30/12, \$1,000 on 11/26/12, and \$5,000 on 5/5/14. The total contribution was therefore \$6,500, exceeding the \$5,300 contribution limit from an individual (effective through 12/31/14) and resulting in a required escheatment of \$1,200 and a civil penalty assessment of \$1,800.

Sheryl McGill, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. McGill states that Celeste Sawyer Taylor is the candidate's mother and is therefore not subject to the contribution limit. She includes a biography from the candidate's website confirming this information.

Section 100.75(j)(1) of the Board's Rules and Regulations states, "*Contributions or loans from a public official or a candidate, or a public official's or candidate's immediate family, to the public official's or candidate's political committee shall not be subject to the contribution limits found in Section 9-8.5*" As a result, since Celeste Taylor is a member of the candidate's immediate family, her contributions to the Committee are not subject to contribution limits. I recommend the appeal be granted. Additionally, to prevent this issue from arising again, I advise the Committee to report any future contributions from Ms. Taylor with a parenthetical (candidate's mother) as part of the name or address line. (As of 6/30/15, this Committee reported a funds available balance of \$2,317.04.)



Tom Newman – Hearing Officer  
September 4, 2015

State of Illinois )  
County of Cook )

BEFORE THE STATE BOARD OF ELECTIONS  
OF THE STATE OF ILLINOIS

STATE BOARD OF ELECTIONS  
15 AUG 18 AM 10:21

IN THE MATTER OF; )  
ILLINOIS STATE BOARD OF ELECTIONS, )  
Complainant )  
Vs. )  
Friends of Roderick T. Sawyer ID# 22875 )  
Respondent(s). )

Case No. 14-JD-CL-002

APPEAL AFFIDAVIT

I, Sheryl C. McGill, the Treasurer of the  
(Name) (Chairman/Treasurer)  
Friends of Roderick T. Sawyer  
(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Celeste Sawyer Taylor is the candidate's mother and therefore not subject to the \$5,300 maximum contribution. My response is delayed because I am a volunteer and did not access the P.O. Box until July 29th at which time I signed for and received both letters dated June 8th and July 17th respectively.

Signed and Sworn to by:  
Sheryl C. McGill  
before me this 15 Day of  
Aug, 2015

[Signature]  
Notary Public

[Signature]  
Signature of Chairman/Treasurer  
(773) 633-9916



## Your Alderman

### Roderick T. Sawyer Biography

Roderick T. Sawyer was born on April 12, 1963 in Chicago's 6th Ward. Roderick has called the 6th Ward home his entire life. He is the youngest of three children born to Celeste C. Taylor and the late Mayor of Chicago and former 6th Ward Alderman, the Honorable Eugene Sawyer.

Roderick's education began at the Howalton Day School. In 1981 he graduated from St. Ignatius College Prep and four years later, he earned a Bachelor of Science in Finance from DePaul University. He received a Juris Doctorate from IIT-Chicago Kent College of Law in 1990 and was admitted to the Illinois State Bar in the same year.

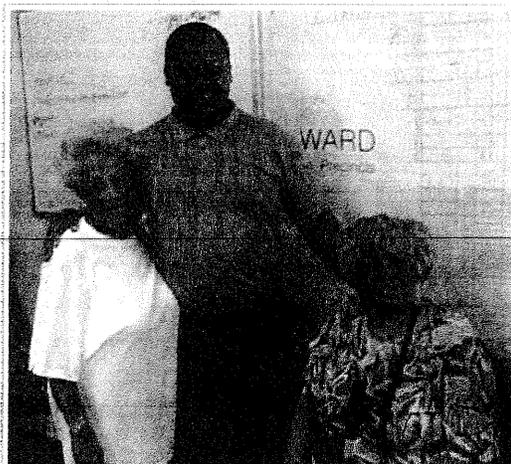
Growing up on the south side of Chicago, Roderick's parents ensured he understood the importance of helping others, maintaining a strong work ethic, building solid neighborhoods, and functioning as one community towards a greater public good. Mirroring his father, Roderick started his work in public service at a young age. From joining the 6th Ward Young Democrats to being a director on the Meyering Park Advisory Council, Roderick has fueled his passion for public service.



Presently, Roderick is on the Board of Directors of the South Shore Drill Team, eta Creative Arts Foundation, Meyering Park Advisory Council and Board of Trustees at St. Mark A.M.E. Zion Church. Since his election in 2001, Roderick has served on McDade Classical School's Local School Council as member and former Chairman. Roderick is an active participant in the Park Manor Neighbors Community Council, Chatham Avalon Park Community Council, and 7200 Prairie Block Club, where he serves as President. Every year he co-chairs a fundraiser, which raises monies for a scholarship fund that provides financial assistance for disadvantaged African-Americans who attend his high school alma mater.

Roderick's legal experience includes: Administrative Law Judge for the Illinois Commerce Commission; Special Assistant Attorney General for the Illinois Department of Transportation, and Prosecutor for the Illinois Secretary of State and Of Counsel to Odelson & Sterk, Ltd. Roderick also volunteers as General Counsel for eta. Since 1993, Roderick has been in private practice specializing in election, real estate, and administrative law. His office is located in the 6th Ward on East 75th Street.

In his spare time, Roderick enjoys deejaying as an amateur music mixologist and playing basketball, softball and dominoes. Roderick is married to Cheryl and they have two children, Sydni Celeste and Roderick T. Jr.



[6th Ward Event Calendar \(Google\)](#)

### City Services

- [311 Service Request](#)
- [Find your relocated or towed vehicle](#)
- [Meter Save \(Request Water Meter\)](#)
- [Park District Permits](#)

### Chicago City Services Feed

- [Most Popular 311 Services August 4, 2015](#)
- [Mayor Emanuel Announces 311 Enhancements To Boost Services For Veterans August 1, 2015](#)
- [Call Center Operations Unit July 21, 2015](#)
- [Mayor Rahm Emanuel Launches Online Community Forum To Engage With Chicago Residents July 6, 2015](#)
- [311 City Services April 24, 2015](#)
- [Mayor Emanuel Launches Next Phase of Open 311 December 5, 2014](#)

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

2329 S MacArthur Blvd.  
PO Box 4187  
Springfield, Illinois 62704  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 W. Randolph Street, Ste 14-100  
Chicago Illinois 60601-3232  
312/814-6440  
Fax: 312/814-6485



BOARD MEMBERS  
Charles W. Scholz, Chairman  
Ernest C. Gowen, Vice Chairman  
William J. Cadigan  
Andrew K. Carruthers  
Betty J. Coffrin  
John R. Keith  
William M. McGuffage  
Casandra B. Watson

EXECUTIVE DIRECTOR  
Steven S. Sandvoss  
July 17, 2015

Friends of Roderick T Sawyer  
PO Box 19511  
Chicago, IL 60619

ID# 22875

RE: Violation of Contribution Limits

Dear Committee;

This committee has accepted the following contributions in violation of the contribution limits provided in 10 ILCS 5/9-8.5 during the 7/1/11 - 2/24/15 election cycle as defined in Section 5/9-1.9(4):

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Max Contribution Allowed</u>	<u>Amount in Violation of Limits</u>
Celeste Taylor	7/30/12	\$500		
Celeste Taylor	11/26/12	\$1,000		
Celeste Taylor	5/5/14	\$5,000	\$5,300	\$1,200
	<b>TOTAL</b>	<b>\$6,500</b>	<b>\$5,300</b>	<b>\$1,200</b>

The committee did not return the portion of the contribution exceeding \$5,300.00 to the contributor or donate the same amount to charity within 30 days from the date the Board sent notification of the excess contribution to your committee as required by 10 ILCS 5/9-8.5(j) of the Election Code. This notification was mailed to your committee on June 8, 2015. Thus, an equal amount must escheat to the General Revenue Fund via the State Board of Elections, 2329 S MacArthur Blvd., Springfield IL 62704.

Additionally, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$1800.00, (150% of the overage amount). However, since this is the first violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$120.00, (10% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures. As a first violation, this penalty will be *stayed*.

The total amount of \$1320.00 (fine amount plus the escheated amount) will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. However, *only* the escheated amount of \$1,200.00 must be paid within 30 days of the issuance of the Order.

Enclosed please find Section 10 of ILCS 5/9-8.5(j) of the Act and the forms with which you may appeal the assessed fines if you believe the civil penalties have been assessed in error. Appeal forms may also be found on our website, [www.elections.il.gov](http://www.elections.il.gov). Notice of Appeal must be filed within 30 days of the date of this assessment letter (no later than August 17, 2015) for it to be considered.

If you have any questions regarding the appeal procedure, please call Sue Klos at 217/782-0608. If you have questions regarding the Act or the contribution limits itself, please contact the Campaign Disclosure Division at 217/782-4141.

Sincerely,



Tom Newman, Director  
Campaign Disclosure Division

TN: sk

Enclosures: appeal packet

# MEMORANDUM

FROM: Tom Newman, Director of Campaign Disclosure

TO: Steve Sandvoss, Executive Director  
Chairman Scholz  
Vice Chairman Gowen  
Members of the Board

RE: Friends of Sherman C. Jones

Date: September 9, 2015

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At its June 16, 2015 meeting, the Board ordered the Friends of Sherman C. Jones committee to complete an audit of its financial records in order to correct some long-standing errors and discrepancies in its disclosure reporting. A review of some of the items needing attention from the committee is included in the attached May 28, 2015 memo from Deputy Director Andy Nauman. The Board order, dated 6/18/15, called for the audit to be completed within 60 days. To date, we have not received a copy of the audit, nor has the committee amended the quarterly reports at issue. Andy has continued to reach out to the committee, including multiple phone calls to Mr. Jones, but little has come from these efforts.

While it is clear that the committee has been non-compliant with both the 6/18/15 Board order as well as the original 12/17/14 order requiring the amended reports, the most recent order did not specify a penalty for failure to comply. I am therefore asking the Board to determine the appropriate consequences for the committee's apparent lack of compliance and lack of progress in addressing its deficient reporting. Specifically, I recommend the Board issue a second order to the Friends of Sherman C. Jones committee to show cause as to why the committee should not be assessed a \$5,000 penalty for failure to comply. Additionally, as per Section 9-23 of the Disclosure Act, the Board may wish to report the failure to comply with the 6/18/15 order to the Attorney General for the appropriate enforcement action.

**STATE BOARD OF ELECTIONS**  
**100 W. Randolph, Suite 14-100**  
**Chicago, Illinois 60601**  
**312/814-6456**

**Andy Nauman**

**Deputy Director, Division of Campaign Disclosure**

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To: Members of the Board, Steve Sandvoss, Executive Director

Re: Failure to comply with a Board Order, Friends of Sherman C. Jones

Date: May 28, 2015

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At the December 16, 2014 Board meeting the Board ordered the Friends of Sherman C. Jones to submit records to the State Board of Elections staff and file amended reports within 30 days. The Committee submitted some records within the 30 day period however a good portion of the records were submitted beyond the 30 day deadline and only after they were contacted by staff. I met with a representative of the Committee after reviewing all of the supplied material on February 19, 2015 and sat down and worked with her for a couple of hours going over what all needed to be done. The Committee submitted amended reports on 4/14/15 however many of the items I pointed out were not addressed. I have reached out to Mr. Jones multiple times on the phone number that he provided to me however as of this date the Committee has not amended the reports. The following highlights some of the items that still need attention:

- The bank balance as of 1/1/12 was \$3,979.15 and the Quarterly report shows a balance of \$606.45.
- A 4/3/12 deposit of \$635.66 has not been reported as of yet.
- Check 1049 for \$430, 1059 for \$300, 1070 for \$550, were all processed through the bank but have not been reported as of yet.
- It is still unknown if the 1/24/13 \$5,000, 3/15/13 \$5,000, 4/16/13 \$3,000, 7/19/13 \$1,500, and the 9/18/14 \$7,000 payments to Edmark Press were for payments on the outstanding debt or if these payments were for new bills.
- The payments and debts owed to Michael Kasper do not add up.
- The Klein, Thorpe, and Jenkins records show that payments were made for \$2,500, \$1,091.50, \$1,361.50 and \$2,490.39 however the Committee has not reported any of these payments on their Quarterly Reports as of yet and none of these payments would have been from the bank account that was provided to the State Board of Elections.
- As of the end of 2014 the Committee reported an outstanding debt owed to Klein, Thorpe and Jenkins of \$22,849.03 and as per the statements from the company the debt is only \$4,905.64.
- It appears that the Committee may deal with a rather high volume of cash or they may have an additional account that was not supplied because they have reported almost \$17,500 in receipts and \$15,200 in expenses on their Quarterly Reports that did not appear on the material submitted to staff.
- The Committee failed to report over \$4,900 in receipts and over \$13,800 in expenses on their Quarterly Reports as per the bank statements that were supplied.

STATE OF ILLINOIS )  
 ) SS  
COUNTY OF COOK )

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

In the Matter Of: )  
 )  
 )  
Judy Brown Marino, )  
 )  
Complainant(s), )  
 )  
Vs. ) 14 CD 113  
 )  
 )  
Friends of Sherman C. Jones, )  
 )  
Respondent(s). )  
 )

**FINAL ORDER**

TO: Judy Brown Marino Friends of Sherman C. Jones  
2841 S. 10th Avenue PO Box 6362  
Broadview, IL 60155 Broadview, IL 60155

This matter coming to be heard this 16<sup>th</sup> day of June, 2015 due to the failure of the Respondent to comply with a Board Order previously issued under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections now being fully advised in the premises,

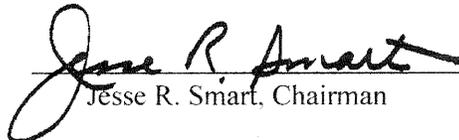
**THE BOARD FINDS:**

1. The Respondent did not comply with the Final Board Order dated December 17, 2014, which ordered the committee to file amended June 2013, September 2013, December 2013, March 2014 and June 2014 Quarterly reports within 30 days of the effective date of the Order and to submit bank records, receipts, invoices, proof of existing outstanding debts & obligations, as well as any supporting documents, to Board staff to verify the information submitted in the amended D-2 reports; and
2. The Respondent submitted the required amended Quarterly reports on February 14, 2015; however, many of the discrepancies at issue still exist.

**IT IS ORDERED:**

1. The recommendation of the General Counsel is adopted; and
2. The Respondent is ordered to conduct an audit of its financial records,
3. The Respondent must select an auditor within 10 days and complete the audit within 60 days of the effective date of this Order, and
4. The effective date of this Order is June 18, 2015, and
5. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 6/18/2015

  
\_\_\_\_\_  
Jesse R. Smart, Chairman



IT IS ORDERED:

1. The recommendation of the Hearing officer and the General Counsel is adopted; and
2. The Respondent file amended June 2013, September 2013, December 2013, March 2014 and June 2014 Quarterly reports within 30 days of the effective date of this Order and submit bank records, receipts, invoices, proof of existing outstanding debts & obligations as well as any supporting documents to the SBE staff to verify the information submitted in the amended D-2 reports set forth in number 1 above, and
3. Failure to do so will subject the Respondent committee to a civil penalty not to exceed \$5000.00, and
4. The effective date of this Order is December 17, 2014, and
5. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 12/17/2014

  
\_\_\_\_\_  
Jesse R. Smart, Chairman

**STATE BOARD OF ELECTIONS**  
**2329 S. MacArthur Blvd**  
**Springfield, Illinois 62708**  
**217/782-4141**

**Tom Newman**

**Director, Campaign Disclosure Division**

To: Steven S. Sandvoss, Executive Director, Members of the Board

Re: Payment of Civil Penalty Assessments - Informational

Date: September 9, 2015

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The following committees have made payment of outstanding civil penalties for the period 8/06/2015 - 9/09/2015

- Tax Payers for Meister - \$425.00
- Citizens to Elect Russ Nelson - \$75.00
- YES for Tognarelli Committee - \$550.00
- Friends of Chris Benson - \$300.00
- Ill. Tenth Congressional District Democrats - \$475.00
- Democratic Women of Knox County - \$475.00
- Friends of College Democrats of Illinois - \$1533.00
- East St. Louis Republican Central Committee - \$300.00
- Friends of Deborah Morelli - \$100.00
- Area Labor Education Committee - \$100.00
- Friends of Michael Higgins - \$175.00
- Friends for Amy Meyer \$525.00 (\$12.34 credit card fee)
- Builders Association PAC - \$250.00 (\$5.88 credit card fee)
- Friends to Elect Karen Kase- \$463.00
- Friends of Evonne Fleming - \$150.00
- Elect Jody Wilkinson LasSalle County Auditor - \$400.00
- Citizens for Dunstan - \$150.00
- Bond County Republican Central Committee - \$1225.00
- Southern Illinois PAC - \$100.00
- Friends of Burt Gerl - \$75.00
- Citizens for Waguespack - \$1250.00
- Kevin Wiley for County Board - \$1625.00
- Progressive Citizens Party - \$100.00
- Ill. League of Financial Institutions - \$150.00
- Friends of John Fulgenzi - \$250.00

**TOTAL : \$11,221.00**

**Monthly Total:**

01/09/15 to 2/6/15: \$85,439.85  
2/7/15 to 3/4/15: \$1788.00  
3/5/15 to 4/9/15: \$30,077.31  
4/10/15 to 5/6/15: \$24,458.00  
5/7/15 to 6/3/15: \$16,103.50  
6/4/15 to 8/5/15: \$52,464.60  
8/6/15 to 9/9/15: \$11,221.00

**Year to Date Total: \$221,552.26**

# STATE BOARD OF ELECTIONS



*From the desk of.... Kyle Thomas*  
*Director of Voting and Registration Systems*  
*Phone: 217-782-1590*  
*Email: kthomas@elections.il.gov*

**To:** Steven S. Sandvoss; Executive Director

**Re:** Special Primary and General Pre-Election Tabulation System Testing

**Date:** September 10, 2015

---

The Voting and Registration Systems Division (VRS) conducted pre-election tabulation system testing in two jurisdiction prior to the Special Primary Election and five jurisdictions for the Special General Election. These test were;

Special Primary-	Tazewell County-June 24 <sup>th</sup>
	Sangamon County-June 25 <sup>th</sup>
Special General-	Morgan County-August 11 <sup>th</sup>
	Woodford County-August 13 <sup>th</sup>
	Adams County-August 25 <sup>th</sup>
	Hancock County-August 27 <sup>th</sup>
	McLean County-September 2 <sup>nd</sup>

The Tazewell County test consisted of a total of 5,851 ballots run for all of their 90 precincts for both Early Voting and Election Day modes. This was conducted on their Accu-Vote (version 1.96.6c) optical scan tabulators and TSX (version 4.6.4) DRE's (Direct Recording Device). Their election vendor is Liberty Systems, LLC. One feed issue was encountered, causing an extra ballot to be added to the results. This tabulator was replaced by a backup and all reports reflected the expected results.

In Sangamon County, the test was comprised of a total of 5,238 ballots run for all of their 97 precincts for both Early Voting and Election Day modes. This was run on their Election Systems & Software (ES&S) provided M-100 (version 5.4.4.5) optical scan tabulators with a sample of ballots being produced by their AutoMark (version 1.3.2907) marking devices. Staff encountered one bad memory card and found two M-100's which required service prior to deployment for Election Day. The county clerk was made aware of the issues and no other problems were encountered and all results tallied as expected.

For the Special General, the Morgan County test involved a total of 1,960 ballots cast for all of their 40 precincts for both Early Voting and Election Day modes. This test was on their Accu-Vote (version 1.96.6c) optical scan tabulators and TSX (version 4.6.4) DRE's supported by their vendor, Governmental Business Systems (GBS). Staff encountered an issue with one optical scan tabulator, which was replaced with a backup unit. All results were tallied with no errors.

The test in Woodford County was run on their ES&S supplied M-100 (version 5.4.4.5) optical scan tabulators and a sample of ballots were created on their AutoMark (version 1.3.2907) marking devices. The total ballots cast was 1,800 across all of their 45 precincts in both Early Voting and Election Day modes. All tabulators counted correctly, however there was an issue when their employee uploaded the results. They mistakenly uploaded results from one of their tabulators more than once, causing the appearance of a miscount. The issue was identified and results reloaded. The county clerk and employee were informed of their error. This is a mistake we've encountered in the past as the system inquires if one wishes to "Add to results" or "Replace results". If uploading Early Voting and Election Day results, one needs to add to the results in order to combine the totals. However, if one of the results sets has already been uploaded, those would not need added.

The Adams County test involved each of their 74 precincts in both Early Voting and Election Day modes for a total of 1,776 ballots. This was conducted on their ES&S supplied M-100 (version 5.4.4.5) optical scan tabulators and a sample of ballots was created on their AutoMark (version 1.3.2907) marking devices. No issues were encountered and all results tallied as expected.

In Hancock County, the test was conducted in both Early Voting and Election Day modes for each of their 34 precincts for a total of 816 ballots cast. Hancock utilizes ES&S's M-100 (version 5.4.4.5) optical scan tabulators and AutoMark (version 1.3.2907) marking devices. Staff created a sample of the ballots from the AutoMark and all ballots tallied as expected. No issues were encountered.

For the McLean County test, ballots were tabulated by both their Accu-Vote (version 1.96.6c) optical scan tabulators and their TSX (version 4.6.4D) DRE's supplied by GBS. All 56 precincts were tested with a total of 2,072 ballots cast from both the Early Voting and Election Day modes. All results tallied as expected and no issues were encountered.

I would like to thank the Election Operations Division for the assistance from their staff in performing the pre-election tests for the Special General Election.

# **STATE BOARD OF ELECTIONS**

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**MEMORANDUM**

***From the desk of:***  
***Steven S. Sandvoss, Executive Director***

**TO:** Members of the SBE Advisory Committee

**SUBJECT:** Meeting

**DATE:** September 9, 2015

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Attached you will find an agenda for the meeting of the SBE Advisory Committee on Monday, September 21, 2015 scheduled to begin at **9:00 a.m.** in our Springfield office. We will have a video connection if anyone would like to attend in our Chicago office located on the 14<sup>th</sup> Floor of the Thompson Center.

The regular monthly Board meeting will begin at 10:30 a.m.

A listing of the Advisory Committee members for the 2015-2017 term is also attached.

In addition to the agenda items listed feel free to bring anything else to the table, and hopefully we will have time to discuss any and all items of concern to you during the course of the meeting.

We look forward to seeing you on the 21<sup>st</sup>.

Attachments

**STATE BOARD OF ELECTIONS**

**STATE OF ILLINOIS**

2329 S. MacArthur Blvd.  
Springfield, Illinois 62704-4503  
217/782-4141  
Fax: 217/782-5959

James R. Thompson Center  
100 W. Randolph St., Ste. 14-100  
Chicago, Illinois 60601-3232  
312/814-6440  
Fax: 312/814-6485



**EXECUTIVE DIRECTOR**  
Steven S. Sandvoss

**BOARD MEMBERS**  
Charles W. Scholz, Chairman  
Ernest L. Gowen, Vice Chairman  
William J. Cadigan  
Andrew K. Carruthers  
Betty J. Coffrin  
John R. Keith  
William M. McGuffage  
Casandra B. Watson

**ADVISORY COMMITTEE  
AGENDA**

**Monday, September 21, 2015**

**2329 S. MacArthur Blvd.  
Springfield, Illinois  
9:00 a.m.**

1. **Elections**
  - a. **Special Congressional Election. 18<sup>th</sup> Congressional District.**
  - b. **45 day report (MOVE).**
2. **Legislation.**
3. **Voter Registration: online and election day – informational.**
4. **SBE division reorganization.**
5. **Other business.**
6. **Next meeting – January 2016 – Date to be determined.**

**SBE ADVISORY COMMITTEE  
2015 – 2017**

**ZONE 1**

Larry Reinhardt  
Jackson County

Robin Harper-Whitehead  
Johnson County

Steve Fox  
Marion County Clerk

**ZONE 3**

Carrie Downey  
Clark County Clerk

Augie Griffin  
Edgar County Clerk

Sally Turner  
Logan County Clerk

**ZONE 2**

Jim Nelson  
Fulton County Clerk

Gretchen DeJaynes  
McDonough County

Sandy Leitheiser  
Montgomery County Clerk

**ZONE 4**

Brian Woessner  
Carroll County Clerk

JoAnn Carretto  
LaSalle County Clerk

Margie Mullins  
Winnebago County Clerk

**BOARDS OF ELECTION COMMISSIONERS**

Linda Fechner, Executive Director – Aurora Board of Election Commissioners  
Lisa Watson, Executive Director – Galesburg Board of Election Commissioners

**ASSOCIATION PRESIDENTS**

Alice Mullinax, Richland County Clerk (IACCR)

Ken Harper, Executive Director – Rockford Board of Election Commissioners (AECOI)

**AT LARGE MEMBERS**

Randy Reitz, Bond County Clerk

David Orr, Cook County Clerk

Kristy Masching, Livingston County Clerk

Don Gray, Sangamon County Clerk

Lance Gough, Chicago Board of Election Commissioners

# STATE BOARD OF ELECTIONS



*From the desk of....* **Kyle Thomas**  
*Director of Voting and Registration Systems*  
*Phone: 217-782-1590*  
*Email: [kthomas@elections.il.gov](mailto:kthomas@elections.il.gov)*

**Kevin Turner**  
*Director of Information Technology*  
*Phone: 217-782-1579*  
*Email: [ktturner@elections.il.gov](mailto:ktturner@elections.il.gov)*

**To:** Steven Sandvoss; Executive Director

**Re:** Senate Bill 172 Update

**Date:** September 9, 2015

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The National Change of Address (NCOA) requirements of SB172 continued to be a priority this past month. As reported at the last meeting, our presence was requested for each of the three Zone meetings held in August. This request was fulfilled for Zone I, II, and IV at various locations across the State. The instructional material was reviewed at these meetings, as well as an "FAQ" which we assembled. In addition to our Zone meeting presentations, we also provided phone support to numerous jurisdictions. We are scheduled to present at both the Election Commissioners' conference and the County Clerk's conference in September with NCOA as the main topic.

As the VRS Division's NCOA focus was towards implementation and support, the IT Division continued making improvements to the system. The developers have modified the batch download portion to run more efficiently, allowing large jurisdictions the ability to download their entire list of matches instead of the previous piece-meal option. The "mark as registered" portion of the system has also been streamlined. For jurisdictions that indicate the registration source is NCOA in their local registration system, IVRS will read this information and automatically mark those records as registered on the jurisdiction's behalf. Previously, the jurisdictions would have to manually enter a local ID number for each record in order to properly link the registration to the NCOA record.

After multiple attempts, we were able to make contact with the Illinois Department of Employment Security (IDES), the last of the five State agencies we are required to connect with for electronic registration applications and the Electronic Registration Information Center (ERIC) project. We have agreed to meet September 18<sup>th</sup> to discuss our data sharing and the technical specifications that have been drafted.

# Illinois State Board of Elections

Brent M. Davis | Director of Election Operations | 217.558.1664 | bdavis@elections.il.gov



**To: Members of the Board, Steven S. Sandvoss, Executive Director**

**Re: Copy Fees and Acceptance of Credit Cards for Payment**

**Date: September 3, 2015**

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I am proposing the State Board of Elections no longer charge a fee for electronic copies of candidate nomination papers and petitions. Currently, our policy is to charge a requestor a flat \$5.00 fee for each electronic copy of a petition. This includes orders filled by sending the PDF files via email, as well as those saved to a CD. The agency typically takes in \$6,000 - \$8,000 in copy fees per election cycle. The majority of these transactions are paid for with a credit or debit card.

While offering the option for electronic payment is a convenience for those requesting copies, it requires a tremendous amount of staff time to manage and process these payments. Staff members who are accepting the orders (typically over the phone) must record on paper all of the requestor's information; i.e., credit card number, expiration date, and CCV number. The credit/debit card information must first be manually entered into the credit card terminal to process the payment, and then said record must be immediately shredded. The requestor's information (name, address, etc.) is then entered into our internal petition request system. The receipt from the credit card terminal must then be scanned and sent via email to the purchaser, along with the receipt generated by our internal system. This is all in addition to actually filling the order and sending the petition copies. SBE staff always stays well into the night to ensure all orders are filled before the next day. By eliminating the \$5.00 fee, staff time spent processing petition copy requests would be reduced by approximately two thirds.

Additionally, I am proposing the SBE discontinue accepting credit and debit cards for any payments made to the agency. Given the ever increasing emphasis on data security along with protecting the privacy of personal information, we are potentially placing the agency in a position of security breaches. In addition, the agency is currently undergoing a biennial audit by the Auditor General's office. A significant portion of this audit is focused on documentation involving these credit card payments and the associated network security, which results in IT staff spending an inordinate amount of time complying with the audit complexities where it could be better used on more pressing Agency operations.

Considering the relatively small amount of revenue generated from the fees and the fact that these changes would result in a more efficient operation, a significant reduction of staff time, and a faster turnaround for the constituencies served by our agency, I am asking for your approval of this proposal.

Thank you for your consideration.



# Illinois State Board of Elections

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## *Memorandum*

*From the desk of Becky Glazier*

**TO:** Steve Sandvoss, Executive Director

**RE:** Election Center Annual Conference – August 18-22, 2015

**DATE:** August 25, 2015

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I attended the Election Center 31<sup>st</sup> Annual National Conference in Houston, Texas, August 18-22. As a member of the Professional Education Program committee I also attended that meeting.

The conference began with a welcome by Tim Mattice, the new Executive Director replacing Doug Lewis who retired after 20 plus years. First day discussions included a review of pending federal voter registration and elections legislation; a presentation on the Voting Rights Act past, present and future; discussion of projects and activities from the Election Assistance Commission and UOCAVA recommendations. I moderated the afternoon session of the Professional Practices Presentations announcing the winners of this year's awards. There were sixteen local submissions this year and I would be happy to share any of them with you. Our overall winner, Denver Colorado City & County Elections Division, for the best practice of 2015 (Democracy award) presented their program which digitizes the petition gathering process.

As I was responsible for the breakout session which was an exhibition of each of the award winners practices, that is the only morning breakout I attended. Following the CERA graduation, the afternoon session included an open microphone moderated by Tom Wilkey.

As a side note, it was made very clear not to expect any additional funding to assist with purchasing new voting equipment to replace aging voting equipment.

The conference was very well attended and the discussions were very good and informative.



# Illinois State Board of Elections

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## *Memorandum*

*From the desk of Cristina Cray*

**TO:** Steve Sandvoss, Executive Director

**RE:** Election Center Conference – August 18-22, 2015

**DATE:** August 25, 2015

---

Last week I attended the Election Center Conference in Houston, Texas. This was the largest EC Conference to date; over 500 local and state election attendees.

The first day of the conference began with a legislative update by two members of the legislative committee, one from Iowa and the other from Florida. This was followed by the three members of the Election Assistance Commission who spoke on the funding which now appears likely from Congress, updated voting standards, hiring of a new Inspector General for their office and additional projects and activities that have kept them very busy and traveling a great deal. The morning session was concluded with a presentation by Matt Boehmer the Executive Director of the Federal Voting Assistance Program. We correspond a great deal with Matt's agency as they are located within the Department of Defense and handle all military and overseas voting.

I attended an afternoon session of the Professional Practices Presentations moderated by our own Becky Glazier. I would like to share one of the presentations from this Session with our Clerk's at a later date. It was a checklist to use to ensure your polling places are ADA compliant.

The second day of the conference was geared to breakout sessions. The morning session I attended was coordinated by the Conference of State Legislators and was a roundtable on State Legislatures. The afternoon session was an open mic and open topic discussion.

As I stated previously, the conference was very well attended and the discussions were very lively and informative.

STATE BOARD OF ELECTIONS  
STATE OF ILLINOIS

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EXECUTIVE DIRECTOR  
Steven S. Sandvoss

BOARD MEMBERS  
Charles W. Scholz, Chairman  
Ernest L. Gowen, Vice Chairman  
William J. Cadigan  
Andrew K. Carruthers  
Betty J. Coffrin  
John R. Keith  
William M. McGuffage  
Casandra B. Watson

**MEMORANDUM**

**To:** Chairman Scholz, Vice Chairman Gowen, Members of the Board  
Executive Director Steven S. Sandvoss

**From:** Steven S. Sandvoss, Executive Director

**Re:** Executive Director's Interpretation of Overtime Rules & Smoking Prohibition

**Date:** September 9, 2015

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Overtime compensation for the staff is governed by 26 Ill. Adm. Code Section 212.294, and the Executive Director is empowered by 26 Ill. Adm. Code Section 212.298 to determine the proper interpretation and application of that rule. An overtime policy is included in the Policy Manual at Section 5.04.

Some questions have arisen regarding the proper interpretation and application of the overtime rules with regard to which staff are generally eligible for overtime and how it is to be calculated (particularly in the case of travel on state business).

Attached for the Board's information is the Executive Director's proposed interpretation of the overtime rule, to be inserted at the beginning of Section 5.04 of the Policy Manual.

In addition, staff is proposing the inclusion of electronic cigarettes in the current policy prohibiting smoking within the SBE offices. Certain employees have raised concerns about the possible harmful effects of second hand "smoke" emitting from such devices, therefore in the interest of safety, and the fact that CMS, the Secretary of State and other State agencies have included such devices within their respective no-smoking policies, it was thought best to prohibit their use within the SBE offices.

Respectfully Submitted,

## Section 5.04 Overtime

This subsection is authorized by Section 212.298 of the State Board of Elections (SBE) Personnel Rules (the Rules) and interprets the provisions in Section 212.294 of said Rules relating to overtime for Executive, Administrative and/or Professional positions that is authorized by the Executive Director.

Executive staff (meaning the Executive Director and Assistant Executive Director, Assistant to the Executive Director and the Legislative Liaison) and Division Directors are not eligible for overtime, unless approved by the Board or the Executive Director. Approval is generally limited to travel or other SBE work outside the normal workday mandated by the Board or the Executive Director, and in his/her absence, the Assistant Executive Director.

For Administrative and Professional staff, overtime is available for work occurring prior to or following the employee's normal work hours (either in the form of compensatory time, or monetary compensation at the employee's current rate of pay, (if there is available money in the SBE budget) as provided in Subsection b) of Section 212.294 of the Rules).

In the case of travel on official State business that does not require an overnight stay, overtime shall begin upon departure from the employee's residence up to the beginning of the employee's workday (typically 8:00 a.m. or 8:30 a.m.). If the employee's State business requires that he/she work beyond said employee's workday (typically 4:30 p.m. or 5:00 p.m.), overtime shall commence from the time the employee leaves on travel status by direct route to the remote work location, or the normal end of the employee's work day (whichever is later), continue at the commencement of the project or event for which overtime has been pre-approved by the Executive Director or employee's director (including reasonable time allowances for pre-event set-up and post-event take-down), and for return travel time by direct route to the employee's residence.

In the case of travel on official State business that does require an overnight stay, overtime allowances are permitted for time spent in the actual performance of State business, including direct route travel and reasonable set-up/take-down time, in excess of 7.5 hours during any business day, and for any such time spent on a non-business day.

In the case of official State business performed in the Principal or Permanent Branch Office of the SBE outside the employee's normal work hours, overtime shall begin following the end of the employee's workday, and shall end upon departure from the respective SBE office. For work done earlier than the employee's workday, overtime allowances are permitted for time spent in the actual performance of State business. If such business is performed on a Saturday, Sunday or State holiday, overtime shall begin upon departure from the employee's residence and end as provided in the first sentence of this paragraph.

5.10 Smoking Prohibition. In accord with the Illinois Clean Indoor Air Act smoking is prohibited in the offices of the State Board of Elections except in designated areas.

5.10.1 In the principal office (Springfield), smoking is prohibited in all areas of the building and within 15 feet of the entrances (front and back). All smoking remnants must be extinguished in the outside smoking receptacles provided.

5.10.2 In the permanent branch office (Chicago), smoking is prohibited in all areas of the office and within 15 feet of the entrances to the building.

5.10.3 For purposes of this section, smoking includes the use of tobacco, smokeless tobacco and electronic cigarettes.

**STATE BOARD OF ELECTIONS**  
**FY15 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

AGENCY TOTALS MONTH ENDING: Aug. 31, 2015 (FINAL)	FY15 ORIGINAL APPROPRIATION	FY15 REDUCED APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$4,662,400	\$4,558,300	\$4,101,094.94	\$0.00	\$457,205.06	89.97%
STATE PAID RETIREMENT	\$186,500	\$182,600	\$157,067.34	\$0.00	\$25,532.66	86.02%
RETIREMENT (inc. supplemental)	\$0	\$0	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$356,500	\$348,500	\$301,788.16	\$0.00	\$46,711.84	86.60%
CONTRACTUAL SERVICE	\$1,356,000	\$1,284,000	\$1,146,232.62	\$0.00	\$137,767.38	89.27%
TRAVEL	\$127,700	\$124,900	\$57,577.54	\$0.00	\$67,322.46	46.10%
PRINTING	\$28,200	\$27,400	\$9,243.58	\$0.00	\$18,156.42	33.74%
COMMODITIES	\$40,400	\$39,500	\$31,381.45	\$0.00	\$8,118.55	79.45%
EQUIPMENT	\$169,400	\$132,100	\$124,737.93	\$0.00	\$7,362.07	94.43%
TELECOMMUNICATIONS	\$144,900	\$216,300	\$194,222.80	\$0.00	\$22,077.20	89.79%
OPERATION OF AUTO EQUIPMENT	\$5,300	\$5,200	\$1,835.55	\$0.00	\$3,364.45	35.30%
ELECTION CODE BOOKS	\$17,500	\$17,100	\$8,141.25	\$0.00	\$8,958.75	47.61%
IVRS LUMP SUM - OPERATIONS	\$577,200	\$564,200	\$498,474.01	\$0.00	\$65,725.99	88.35%
INTEREST PAYMENTS	\$0	\$0	\$0.00	\$0.00	\$0.00	0.00%
<b>SUB-TOTAL (OPERATIONS)</b>	<b>\$7,672,000</b>	<b>\$7,500,100</b>	<b>\$6,631,797.17</b>	<b>\$0.00</b>	<b>\$868,302.83</b>	<b>88.42%</b>
HAVA MAINTENANCE OF EFFORT	\$550,000	\$537,700	\$394,535.25	\$6.68	\$143,158.07	73.37%
CO CLERK & RECORDER STIPENDS (FUND 802)	\$799,500	\$799,500	\$791,967.13	\$0.00	\$7,532.87	99.06%
ELECTION JUDGE REIMBURSEMENT (FUND 802)	\$5,043,000	\$5,043,000	\$4,010,830.00	\$0.00	\$1,032,170.00	79.53%
ELECTION JUDGES/EARLY VOTING	\$0	\$0	\$0.00	\$0.00	\$0.00	0.00%
IVRS LUMP SUM - GRANTS	\$3,378,000	\$3,301,200	\$2,714,479.16	\$0.00	\$586,720.84	82.23%
REDISTRICTING	\$0	\$0	\$0.00	\$0.00	\$0.00	0.00%
ADDITIONAL STATE MATCH	\$0	\$0	\$0.00	\$0.00	\$0.00	0.00%
VOTING SYSTEMS INTEGRITY CENTER	\$0	\$0	\$0.00	\$0.00	\$0.00	0.00%
ELEC. CANVASSING IMPLEMENTATION	\$0	\$0	\$0.00	\$0.00	\$0.00	0.00%
IDIS SYSTEM REPLACEMENT	\$0	\$0	\$0.00	\$0.00	\$0.00	0.00%
<b>SUB-TOTAL (GRANTS)</b>	<b>\$9,770,500.00</b>	<b>\$9,681,400.00</b>	<b>\$7,911,811.54</b>	<b>\$6.68</b>	<b>\$1,769,581.78</b>	<b>81.72%</b>
<b>TOTAL APPROPRIATION</b>	<b>\$17,442,500</b>	<b>\$17,181,500</b>	<b>\$14,543,608.71</b>	<b>\$6.68</b>	<b>\$2,637,884.61</b>	<b>84.65%</b>

**STATE BOARD OF ELECTIONS**  
**FY15 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>BOARD MONTH ENDING: Aug. 31, 2015 (FINAL)</b>	<b>FY15 ORIGINAL APPROPRIATION</b>	<b>FY15 REDUCED APPROPRIATION</b>	<b>YEAR TO DATE EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF EXPENDITURE</b>
<b>CONTRACTUAL SERVICE</b>					
1205 Freight Express & Drayage	\$3,000.00	\$2,800.00	\$433.39	\$2,366.61	15.48%
1221 Repair/Maint. Furn./Office Equipment					
1232 Rental Motor Vehicles	\$3,600.00	\$3,600.00	\$3,600.00	\$0.00	100.00%
1239 Rental, NEC	\$300.00	\$300.00	\$262.50	\$37.50	87.50%
1243 Book Binding Services					
1266 Court Reporting & Filing Services	\$18,000.00	\$17,600.00	\$8,366.75	\$9,233.25	47.54%
1274 Reg. Fees & Conf. Expenses (Vendor)	\$1,000.00	\$1,000.00	\$0.00	\$1,000.00	0.00%
1275 Subscriptions					
1276 Reg. Fees & Conf. Expenses (Employee)	\$100.00	\$100.00	\$0.00	\$100.00	0.00%
1277 Association Dues					
1279 Employee Tuition & Fees					
1280 Copying, Photographic & Printing Services	\$200.00	\$200.00	\$0.00	\$200.00	0.00%
1286 Travel, Non-State Employee					
1289 Contractual Services, NEC	\$1,000.00	\$1,000.00	\$226.71	\$773.29	22.67%
<b>TRAVEL</b>					
1291 In-State	\$19,000.00	\$11,000.00	\$4,897.94	\$6,102.06	44.53%
1292 Out-of-State	\$2,000.00	\$1,800.00	\$0.00	\$1,800.00	0.00%
1293 In-State (Vendor)		\$3,200.00	\$3,112.72	\$87.28	97.27%
1295 Mileage		\$4,600.00	\$4,516.49	\$83.51	98.18%
<b>EQUIPMENT</b>					
1510 Office Furniture & Equipment	\$700.00	\$600.00	\$0.00	\$600.00	0.00%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>					
1991 Interest Payments					

<b>BOARD GRAND TOTAL</b>	<b>FY15 ORIGINAL APPROPRIATION</b>	<b>FY15 REDUCED APPROPRIATION</b>	<b>YEAR TO DATE EXPENDITURE</b>	<b>OBLIGATED MONEY</b>	<b>BALANCE</b>
CONTRACTUAL SERVICE	\$27,200.00	\$26,600.00	\$12,889.35		\$13,710.65
TRAVEL	\$21,000.00	\$20,600.00	\$12,527.15		\$8,072.85
EQUIPMENT	\$700.00	\$600.00	\$0.00		\$600.00
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00		\$0.00
<b>TOTAL</b>	<b>\$48,900.00</b>	<b>\$47,800.00</b>	<b>\$25,416.50</b>	<b>\$0.00</b>	<b>\$22,383.50</b>

**STATE BOARD OF ELECTIONS**  
**FY15 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

ADMINISTRATION MONTH ENDING: Aug. 31, 2015 (FINAL)	FY15 ORIGINAL APPROPRIATION	FY15 REDUCED APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE	
<b>PERSONAL SERVICE</b>	\$863,100.00	\$843,800.00	\$771,737.16	\$72,062.84	91.46%	
1129 State Paid Retirement	\$34,400.00	\$33,700.00	\$28,563.12	\$5,136.88	84.76%	
1161 Retirement						
1161-008 Compt. Supplemental Retirement						
1170 Social Security	\$65,700.00	\$64,200.00	\$56,323.76	\$7,876.24	87.73%	
<b>CONTRACTUAL SERVICE</b>						
1201 Petty Cash	\$400.00	\$300.00	\$189.80	\$110.20	63.27%	
1202 Employee Reimbursement	\$200.00	\$200.00	\$149.00	\$51.00	74.50%	
1205 Freight Express & Drayage	\$600.00	\$500.00		\$500.00	0.00%	
1221 Repair/Maint. Furn./Office Equipment	\$14,500.00	\$8,600.00	\$6,945.86	\$1,654.14	80.77%	
1223 Repair/Maint. Real Property	\$2,000.00	\$1,900.00	\$506.00	\$1,394.00	26.63%	
1229 Repair & Maintenance NEC						
1230 In-House Repair & Maintenance						
1231 Rental, Office Equipment	\$32,800.00	\$29,800.00	\$2,669.25	\$27,130.75	8.96%	
1232 Rental, Motor Vehicles	\$4,600.00	\$4,500.00	\$3,600.00	\$900.00	80.00%	
1233 Rental, Real Property	\$399,800.00	\$326,800.00	\$326,711.85	\$88.15	99.97%	
1234 Rental, Machinery & Mechanical Eqmt						
1239 Rental, NEC	\$1,000.00	\$500.00	\$0.00	\$500.00	0.00%	
1240 Statistical & Tabulation Services	\$22,500.00	\$21,800.00	\$21,013.25	\$786.75	96.39%	
1245 Professional & Artistic						
1248 Building & Grounds Maintenance	\$1,000.00	\$700.00	\$0.00	\$700.00	0.00%	
1251 Gas						
1252 Electricity						
1253 Water						
1255 Utilities, NEC						
1261 Postage	\$76,700.00	\$77,900.00	\$77,828.07	\$71.93	99.91%	
1266 Court Reporting						
1274 Reg Fees & Conf. Expenses (Vendor)	\$800.00	\$500.00	\$0.00	\$500.00	0.00%	
1275 Subscription & Information Services	\$3,000.00	\$2,500.00	\$2,291.00	\$209.00	91.64%	
1276 Reg. Fees & Conf. Expenses (Employee)	\$400.00	\$300.00		\$300.00	0.00%	
1277 Association Dues	\$2,200.00	\$2,400.00	\$2,390.00	\$10.00	99.58%	
1279 Tuition - Employee						
1280 Copying, Photographic & Printer Services						
1281 Interviewee Expense - To Vendors						
1285 Taxes, Licenses & Fees	\$100.00	\$100.00	\$0.00	\$100.00	0.00%	
1289 Contractual Services, NEC	\$11,300.00	\$81,700.00	\$76,713.37	\$4,986.63	93.90%	
<b>TRAVEL</b>						
1291 In-State	\$9,800.00	\$9,200.00	\$3,457.59	\$5,742.41	37.58%	
1292 Out-of-State	\$1,500.00	\$1,300.00		\$1,300.00	0.00%	
1293 In-State (Vendor)		\$600.00	\$598.60	\$1.40	99.77%	
1295 Mileage	\$1,300.00	\$1,300.00	\$1,268.60	\$31.40	97.58%	
<b>PRINTING</b>	\$9,900.00	\$9,600.00	\$6,317.48	\$3,282.52	65.81%	
<b>COMMODITIES</b>						
1304 Office/Library Supplies	\$14,800.00	\$13,600.00	\$10,046.99	\$3,553.01	73.87%	
1306 Food for Human Consumption						
1335 Rock Salt						
1391 Household & Cleaning Supplies	\$300.00	\$400.00	\$385.30	\$14.70	96.33%	
1394 Office/Library Equip., Not exc. \$100	\$100.00	\$500.00	\$468.94	\$31.06	93.79%	
1395 Small Tools Not Exceeding \$100						
1397 Household Cleaning Equip. NEC \$100						
1398 Equipment, NEC	\$500.00	\$500.00	\$364.85	\$135.15	72.97%	
1399 Commodities, NEC	\$700.00	\$1,000.00	\$950.30	\$49.70	95.03%	
<b>EQUIPMENT</b>						
1510 Office Furniture & Equipment	\$5,000.00	\$5,000.00	\$2,931.54	\$2,068.46	58.63%	
1599 Equipment NEC	\$1,000.00	\$800.00		\$800.00	0.00%	
<b>TELECOMMUNICATIONS</b>						
1710 Repair/Maintenance Telecom						
1721 Rental, Telephone Serv. & Equip.	\$52,200.00	\$51,100.00	\$46,125.44	\$4,974.56	90.27%	
1722 Rental, Data Comm. Serv. & Equip.	\$81,000.00	\$79,200.00	\$66,800.97	\$12,399.03	84.34%	
1728 Videoconferencing	\$7,200.00	\$7,000.00	\$6,731.85	\$268.15	96.17%	
1729 Rental, Other Comm. Serv. & Equip.	\$3,000.00	\$2,900.00	\$0.00	\$2,900.00	0.00%	
1730 Parts & Supplies for Telephone	\$1,500.00	\$1,500.00	\$0.00	\$1,500.00	0.00%	
1750 Telephone, Data, Radio & Other Equipment						
<b>OPERATION OF AUTO EQUIPMENT</b>						
1893 Repair & Maint., Auto. Equipment	\$2,100.00	\$2,100.00	\$79.98	\$2,020.02	3.81%	
1894 Parts & Fittings, Auto Equipment	\$200.00	\$200.00	\$18.00	\$182.00	9.00%	
1896 Gasoline, Oil & Antifreeze	\$3,000.00	\$2,900.00	\$1,737.57	\$1,162.43	59.92%	
1899 Auto. Expenses, NEC						
<b>LUMP SUMS &amp; OTHER PURPOSES</b>						
1991 Interest Payments						
<b>ADMINISTRATION GRAND TOTAL</b>	<b>FY15 ORIGINAL APPROPRIATION</b>	<b>FY15 REDUCED APPROPRIATION</b>	<b>YEAR TO DATE EXPENDITURE</b>	<b>OBLIGATED MONEY</b>	<b>% OF BALANCE EXPENDITURE</b>	
PERSONAL SERVICE	\$863,100.00	\$843,800.00	\$771,737.16	\$72,062.84	91.46%	
STATE PAID RETIREMENT	\$34,400.00	\$33,700.00	\$28,563.12	\$5,136.88	84.76%	
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
SOCIAL SECURITY	\$65,700.00	\$64,200.00	\$56,323.76	\$7,876.24	87.73%	
CONTRACTUAL SERVICE	\$573,900.00	\$561,000.00	\$521,007.45	\$39,992.55	92.87%	
TRAVEL	\$12,600.00	\$12,400.00	\$5,324.79	\$7,075.21	42.94%	
PRINTING	\$9,900.00	\$9,600.00	\$6,317.48	\$3,282.52	65.81%	
COMMODITIES	\$16,400.00	\$16,000.00	\$12,216.38	\$3,783.62	76.35%	
EQUIPMENT	\$6,000.00	\$5,800.00	\$2,931.54	\$2,868.46	50.54%	
TELECOMMUNICATIONS	\$144,900.00	\$141,700.00	\$119,658.26	\$22,041.74	84.44%	
OPERATION OF AUTO EQUIPMENT	\$5,300.00	\$5,200.00	\$1,835.55	\$3,364.45	35.30%	
INTEREST PAYMENTS	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
<b>TOTAL</b>	<b>\$1,732,200.00</b>	<b>\$1,693,400.00</b>	<b>\$1,525,915.49</b>	<b>\$0.00</b>	<b>\$167,484.51</b>	<b>90.11%</b>

**STATE BOARD OF ELECTIONS**  
**FY15 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>ELECTIONS</b> <b>MONTH ENDING: Aug. 31, 2015 (FINAL)</b>	<b>FY15 ORIGINAL</b> <b>APPROPRIATION</b>	<b>FY15 REDUCED</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>	
<b>PERSONAL SERVICE</b>	\$1,808,200.00	\$1,767,700.00	\$1,567,386.69	\$200,313.31	88.67%	
1129 State Paid Retirement	\$72,400.00	\$70,800.00	\$61,776.61	\$9,023.39	87.26%	
1161 Retirement						
1161-008 Compt. Supplemental Retirement						
1170 Social Security	\$138,400.00	\$135,300.00	\$115,409.40	\$19,890.60	85.30%	
<b>CONTRACTUAL SERVICE</b>						
1145 Contractual Payroll						
1202 Contractual reimbursement To Employee	\$500.00	\$600.00	\$560.95	\$39.05	93.49%	
1205 Freight Express & Drayage	\$2,700.00	\$2,500.00	\$0.00	\$2,500.00	0.00%	
1221 Repair/Maint. Furn./Office Equipment	\$200.00	\$200.00	\$0.00	\$200.00	0.00%	
1224 Repair/Maint. Machinery & Mechanical Equip						
1231 Rental, Office Equipment						
1232 Rental, Motor Vehicles						
1237 Rental, Film & Audio/Visual Aids	\$100.00	\$100.00	\$0.00	\$100.00	0.00%	
1239 Rental, NEC	\$200.00	\$200.00	\$100.00	\$100.00	50.00%	
1242 Auditing & Management Services						
1245 Professional & Artistic Services, NEC	\$10,000.00	\$9,800.00	\$0.00	\$9,800.00	0.00%	
1266 Court Reporting & Filing Services						
1273 Advertising						
1274 Reg Fees & Conf. Expenses (Vendor)	\$4,000.00	\$4,000.00	\$0.00	\$4,000.00	0.00%	
1275 Subscription & Information Services	\$600.00	\$600.00	\$0.00	\$600.00	0.00%	
1276 Reg. Fees & Conf. Expenses (Employee)	\$4,200.00	\$4,100.00	\$0.00	\$4,100.00	0.00%	
1277 Association Dues	\$1,400.00	\$1,400.00	\$260.00	\$1,140.00	18.57%	
1279 Employee Tuition & Fees	\$1,300.00	\$1,200.00	\$0.00	\$1,200.00	0.00%	
1280 Copying, Photographic & Printing Services	\$1,500.00	\$1,400.00	\$0.00	\$1,400.00	0.00%	
1284 Software	\$100.00	\$100.00	\$49.95	\$50.05	49.95%	
1285 Operating Taxes, Licenses & Fees						
1286 Travel, Non-state Employees						
1289 Contractual Services, NEC	\$100.00	\$100.00	\$0.00	\$100.00	0.00%	
<b>TRAVEL</b>						
1291 In-State	\$34,600.00	\$28,500.00	\$8,631.21	\$19,868.79	30.28%	
1292 Out-of-State	\$12,300.00	\$11,800.00	\$0.00	\$11,800.00	0.00%	
1293 In-State (Vendor)	\$5,100.00	\$6,100.00	\$6,012.42	\$87.58	98.56%	
1295 Mileage	\$9,900.00	\$14,100.00	\$14,052.19	\$47.81	99.66%	
<b>PRINTING</b>	\$7,000.00	\$6,800.00	\$43.10	\$6,756.90	0.63%	
<b>EQUIPMENT</b>						
1510 Office Furniture & Equipment	\$1,900.00	\$1,800.00	\$519.98	\$1,280.02	28.89%	
<b>LUMP SUMS &amp; OTHER PURPOSES</b>						
1991 Interest Payments						
<b>HAVA - Maintenance of Effort</b>	\$550,000.00	\$537,700.00	\$394,535.25	\$143,164.75	73.37%	
<b>ELECTION CODE BOOKS</b>	\$17,500.00	\$17,100.00	\$8,141.25	\$8,958.75	47.61%	
<b>Redistricting</b>						
<b>Election Judge Reimbursements (Fund 802)</b>	\$5,043,000.00	\$5,043,000.00	\$4,010,830.00	\$1,032,170.00	79.53%	
<b>Stipends (Fund 802)</b>	\$799,500.00	\$799,500.00	\$791,967.13	\$7,532.87	99.06%	
<b>Early Voting Election Judges</b>						
<b>State HAVA Match</b>						
<b>Voting System Integrity Center</b>						
<b>Electronic Canvassing Implementation</b>						
<b>Electronic Canvassing Interest Payments</b>						
<b>IDIS Replacement System</b>						
<b>ELECTIONS GRAND TOTAL</b>	<b>FY15 ORIGINAL</b> <b>APPROPRIATION</b>	<b>FY15 REDUCED</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>	<b>% OF</b> <b>EXPENDITURE</b>	
<b>PERSONAL SERVICE</b>	\$1,808,200.00	\$1,767,700.00	\$1,567,386.69	\$200,313.31	88.67%	
<b>STATE PAID RETIREMENT</b>	\$72,400.00	\$70,800.00	\$61,776.61	\$9,023.39	87.26%	
<b>RETIREMENT (incl. supplemental funding)</b>	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
<b>SOCIAL SECURITY</b>	\$138,400.00	\$135,300.00	\$115,409.40	\$19,890.60	85.30%	
<b>CONTRACTUAL SERVICE</b>	\$26,900.00	\$26,300.00	\$970.90	\$25,329.10	3.69%	
<b>TRAVEL</b>	\$61,900.00	\$60,500.00	\$28,695.82	\$31,804.18	47.43%	
<b>PRINTING</b>	\$7,000.00	\$6,800.00	\$43.10	\$6,756.90	0.63%	
<b>EQUIPMENT</b>	\$1,900.00	\$1,800.00	\$519.98	\$1,280.02	28.89%	
<b>HAVA - Maintenance of Effort</b>	\$550,000.00	\$537,700.00	\$394,535.25	\$6.68	\$143,158.07	73.37%
<b>ELECTION CODE BOOKS</b>	\$17,500.00	\$17,100.00	\$8,141.25	\$8,958.75	47.61%	
<b>Redistricting</b>	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
<b>Election Judge Reimbursements (FUND 802)</b>	\$5,043,000.00	\$5,043,000.00	\$4,010,830.00	\$1,032,170.00	79.53%	
<b>Stipends (FUND 802)</b>	\$799,500.00	\$799,500.00	\$791,967.13	\$7,532.87	99.06%	
<b>Early Voting Election Judges</b>	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
<b>State HAVA Match</b>	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
<b>Voting System Integrity Center</b>	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
<b>Electronic Canvassing Implementation</b>	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
<b>Electronic Canvassing Interest Payments</b>	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
<b>IDIS Replacement System</b>	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
<b>INTEREST PAYMENTS</b>	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
<b>TOTAL</b>	<b>\$8,526,700.00</b>	<b>\$8,466,500.00</b>	<b>\$6,980,276.13</b>	<b>\$6.68</b>	<b>\$1,486,217.19</b>	<b>82.45%</b>

**STATE BOARD OF ELECTIONS**  
**FY15 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>GENERAL COUNSEL</b> <b>MONTH ENDING: Aug. 31, 2015 (FINAL)</b>	<b>FY15 ORIGINAL</b> <b>APPROPRIATION</b>	<b>FY15 REDUCED</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$344,700.00	\$337,100.00	\$316,342.38	\$20,757.62	93.84%
1129 State Paid Retirement	\$13,800.00	\$13,500.00	\$12,665.71	\$834.29	93.82%
1161 Retirement					
1170 Social Security	\$26,400.00	\$25,800.00	\$23,329.21	\$2,470.79	90.42%
<b>CONTRACTUAL SERVICE</b>					
1145 Contractual Payroll					
1202 Reimbursement to Employee	\$1,200.00	\$1,400.00	\$1,331.00	\$69.00	95.07%
1205 Freight Express & Drayage					
1221 Repair/Maint. Furn./Office Equipment					
1244 Legal Fees	\$74,900.00	\$71,700.00	\$30,852.50	\$40,847.50	43.03%
1245 Professional & Artistic Services, NEC		\$900.00	\$850.00	\$50.00	94.44%
1266 Court Reporting & Filing Services	\$15,000.00	\$13,800.00	\$7,147.00	\$6,653.00	51.79%
1273 Advertising					
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,000.00	\$1,000.00	\$0.00	\$1,000.00	0.00%
1275 Subscription & Information Services	\$1,000.00	\$800.00	\$172.22	\$627.78	21.53%
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,000.00	\$1,000.00	\$0.00	\$1,000.00	0.00%
1277 Association Dues	\$1,500.00	\$1,300.00	\$0.00	\$1,300.00	0.00%
1279 Employee Tuition & Fees					
1280 Copying, Photographic & Printing Services					
1284 Computer Software					
1289 Contractual Services, NEC	\$5,300.00	\$6,700.00	\$6,693.20	\$6.80	99.90%
1991 Interest Payments	\$100.00	\$100.00	\$98.07	\$1.93	98.07%
<b>TRAVEL</b>					
1291 In-State	\$6,400.00	\$5,500.00	\$2,554.96	\$2,945.04	46.45%
1292 Out-of-State	\$1,500.00	\$1,400.00	\$0.00	\$1,400.00	0.00%
1293 In-State (Vendor)	\$500.00	\$700.00	\$688.52	\$11.48	98.36%
1295 Mileage	\$1,600.00	\$2,200.00	\$2,191.92	\$8.08	99.63%
<b>EQUIPMENT</b>					
1510 Office Furniture & Equipment	\$500.00	\$400.00	\$0.00	\$400.00	0.00%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>					

<b>GENERAL COUNSEL GRAND TOTAL</b>	<b>FY15 ORIGINAL</b> <b>APPROPRIATION</b>	<b>FY15 REDUCED</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$344,700.00	\$337,100.00	\$316,342.38		\$20,757.62	93.84%
<b>STATE PAID RETIREMENT</b>	\$13,800.00	\$13,500.00	\$12,665.71		\$834.29	93.82%
<b>RETIREMENT</b>	\$0.00	\$0.00	\$0.00		\$0.00	0.00%
<b>SOCIAL SECURITY</b>	\$26,400.00	\$25,800.00	\$23,329.21		\$2,470.79	90.42%
<b>CONTRACTUAL SERVICE</b>	\$101,000.00	\$98,700.00	\$47,143.99		\$51,556.01	47.76%
<b>TRAVEL</b>	\$10,000.00	\$9,800.00	\$5,435.40		\$4,364.60	55.46%
<b>EQUIPMENT</b>	\$500.00	\$400.00	\$0.00		\$400.00	0.00%
<b>INTEREST PAYMENTS</b>	\$0.00	\$0.00	\$0.00		\$0.00	0.00%
<b>TOTAL</b>	<b>\$496,400.00</b>	<b>\$485,300.00</b>	<b>\$404,916.69</b>	<b>\$0.00</b>	<b>\$80,383.31</b>	<b>83.44%</b>

**STATE BOARD OF ELECTIONS**  
**FY15 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>CAMPAIGN DISCLOSURE</b> <b>MONTH ENDING: Aug. 31, 2015 (FINAL)</b>	<b>FY15 ORIGINAL</b> <b>APPROPRIATION</b>	<b>FY15 REDUCED</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$842,700.00	\$823,900.00	\$708,859.66	\$115,040.34	86.04%
1129 State Paid Retirement	\$33,700.00	\$33,100.00	\$27,689.95	\$5,410.05	83.66%
1161 Retirement					
1161-0008 Compt. Supplemental Retirement					
1170 Social Security	\$64,500.00	\$63,100.00	\$51,963.52	\$11,136.48	82.35%
<b>CONTRACTUAL SERVICE</b>					
1202 Employee Reimbursement	\$100.00	\$100.00	\$47.90	\$52.10	47.90%
1205 Freight Express & Drayage	\$100.00	\$100.00	\$0.00	\$100.00	0.00%
1221 Repair & Maint, Furn & Office Equipment					
1225 Repair & Maint, EDP					
1229 Repair & Maint, NEC					
1232 Rental, Motor Vehicles					
1239 Rental, NEC					
1245 Professional & Artistic Services, NEC					
1248 Building & Ground Maintenance					
1266 Court Reporting & Filing Services	\$500.00	\$500.00	\$422.50	\$77.50	84.50%
1274 Reg Fees & Conf. Expenses (Vendor)					
1275 Subscription & Information Services					
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,100.00	\$1,100.00	\$1,100.00	\$0.00	100.00%
1277 Association Dues					
1279 Employee Tuition and Fees	\$300.00	\$200.00	\$0.00	\$200.00	0.00%
1285 Operating Taxes, Licenses & Fees					
1286 Travel, Non-State Employee					
1289 Contractual Services, NEC	\$200.00	\$200.00	\$120.00	\$80.00	60.00%
<b>TRAVEL</b>					
1291 In-State	\$7,300.00	\$6,400.00	\$7.20	\$6,392.80	0.11%
1292 Out-of-State	\$3,800.00	\$3,600.00	\$1,527.59	\$2,072.41	42.43%
1293 In-State (Vendor)		\$800.00	\$718.32	\$81.68	89.79%
1295 Mileage	\$200.00	\$200.00	\$163.57	\$36.43	81.79%
<b>PRINTING</b>	\$10,600.00	\$10,400.00	\$2,883.00	\$7,517.00	27.72%
<b>EQUIPMENT</b>					
1510 Office Furniture & Equipment	\$500.00	\$400.00	\$299.99	\$100.01	75.00%
<b>LUMP SUMS &amp; OTHER PURPOSES</b>					
1991 Interest Payments					

<b>CAMPAIGN DISCLOSURE GRAND TOTAL</b>	<b>FY15 ORIGINAL</b> <b>APPROPRIATION</b>	<b>FY15 REDUCED</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>
<b>PERSONAL SERVICE</b>	\$842,700.00	\$823,900.00	\$708,859.66		\$115,040.34	86.04%
<b>STATE PAID RETIREMENT</b>	\$33,700.00	\$33,100.00	\$27,689.95		\$5,410.05	83.66%
<b>RETIREMENT (incl. supplemental funding)</b>	\$0.00	\$0.00	\$0.00		\$0.00	0.00%
<b>SOCIAL SECURITY</b>	\$64,500.00	\$63,100.00	\$51,963.52		\$11,136.48	82.35%
<b>CONTRACTUAL SERVICE</b>	\$2,300.00	\$2,200.00	\$1,690.40		\$509.60	76.84%
<b>TRAVEL</b>	\$11,300.00	\$11,000.00	\$2,416.68		\$8,583.32	21.97%
<b>PRINTING</b>	\$10,600.00	\$10,400.00	\$2,883.00		\$7,517.00	27.72%
<b>EQUIPMENT</b>	\$500.00	\$400.00	\$299.99		\$100.01	75.00%
<b>INTEREST PAYMENTS</b>	\$0.00	\$0.00	\$0.00		\$0.00	0.00%
<b>TOTAL</b>	<b>\$965,600.00</b>	<b>\$944,100.00</b>	<b>\$795,803.20</b>	<b>\$0.00</b>	<b>\$148,296.80</b>	<b>84.29%</b>

**STATE BOARD OF ELECTIONS**  
**FY15 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>INFORMATION TECHNOLOGY</b> <b>MONTH ENDING: Aug. 31, 2015 (FINAL)</b>	<b>FY15 ORIGINAL</b> <b>APPROPRIATION</b>	<b>FY15 REDUCED</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>BALANCE</b>	<b>% OF</b> <b>EXPENDITURE</b>	
<b>PERSONAL SERVICE</b>	\$803,700.00	\$785,800.00	\$736,769.05	\$49,030.95	93.76%	
1129 State Paid Retirement	\$32,200.00	\$31,500.00	\$26,371.95	\$5,128.05	83.72%	
1161 Retirement						
1161-0008 Compt. Supplemental Retirement						
1170 Social Security	\$61,500.00	\$60,100.00	\$54,762.27	\$5,337.73	91.12%	
<b>CONTRACTUAL SERVICE</b>						
1145 Contractual Payroll						
1205 Freight Express & Drayage						
1221 Repair/Maint. Furn./Office Equipment	\$12,000.00	\$0.00	\$0.00	\$0.00	0.00%	
1223 Repair/Maint. Real Property						
1225 Repair/Maint. EDP Equipment	\$120,400.00	\$55,000.00	\$54,968.25	\$31.75	99.94%	
1230 In-House Repair of Equipment						
1234 Rental, Machinery and Mech. Equip						
1239 Rental, NEC	\$1,800.00	\$2,000.00	\$1,963.20	\$36.80	98.16%	
1242 Auditing & Management Services		\$12,300.00	\$12,250.00	\$50.00	99.59%	
1244 Legal Fees						
1245 Professional & Artistic Services, NEC	\$323,400.00	\$0.00	\$0.00	\$0.00	0.00%	
1271 Surety Bond & Ins. Prem.	\$1,000.00	\$1,000.00	\$708.00	\$292.00	70.80%	
1272 Travel & Expense Reimbursement (Vendor)						
1274 Reg Fees & Conf. Expenses (Vendor)	\$6,000.00	\$0.00	\$0.00	\$0.00	0.00%	
1275 Subscription & Information Services	\$600.00	\$600.00	\$0.00	\$600.00	0.00%	
1276 Reg. Fees & Conf. Expenses (Employee)						
1277 Association Dues						
1279 Employee Tuition and Fees	\$8,000.00	\$7,800.00	\$3,190.00	\$4,610.00	40.90%	
1280 Copying, Photographic & Printing Services		\$400.00	\$350.98	\$49.02	87.75%	
1284 Computer Software	\$150,500.00	\$489,200.00	\$489,100.10	\$99.90	99.98%	
1285 Operating Taxes, Licenses & Fees						
1286 Travel, Non-State Employee						
1289 Contractual Services, NEC	\$1,000.00	\$900.00	\$0.00	\$900.00	0.00%	
<b>TRAVEL</b>						
1291 In-State	\$5,200.00	\$5,100.00	\$2,968.26	\$2,131.74	58.20%	
1292 Out-of-State	\$5,400.00	\$5,200.00	\$0.00	\$5,200.00	0.00%	
1293 In-State (Vendor)						
1295 Mileage	\$300.00	\$300.00	\$209.44	\$90.56	69.81%	
<b>PRINTING</b>	\$700.00	\$600.00	\$0.00	\$600.00	0.00%	
<b>COMMODITIES</b>						
1304 Office/Library Supplies	\$22,800.00	\$16,000.00	\$11,900.15	\$4,099.85	74.38%	
1332 Industrial & Shop Materials						
1394 Office & Library Equipment Under \$100	\$800.00	\$1,100.00	\$1,025.74	\$74.26	93.25%	
1395 Small Tools < \$100						
1398 Equipment, NEC	\$400.00	\$6,100.00	\$6,003.97	\$96.03	98.43%	
1399 Commodities, NEC		\$300.00	\$235.21	\$64.79	78.40%	
<b>EQUIPMENT</b>						
1510 Office Furniture & Equipment	\$400.00	\$1,000.00	\$997.97	\$2.03	99.80%	
1515 EDP Equipment	\$159,400.00	\$122,100.00	\$119,988.45	\$2,111.55	98.27%	
<b>LUMP SUMS &amp; OTHER PURPOSES</b>						
1991 Interest Payments						
<b>TELECOMMUNICATIONS</b>						
1750 Telephone, Data, Radio & Other Equipment	\$0.00	\$74,600.00	\$74,564.54	\$35.46	100%	
<b>INFORMATION TECHNOLOGY GRAND TOTAL</b>	<b>FY15 ORIGINAL</b> <b>APPROPRIATION</b>	<b>FY15 REDUCED</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>	<b>% OF</b> <b>EXPENDITURE</b>	
<b>PERSONAL SERVICE</b>	\$803,700.00	\$785,800.00	\$736,769.05	\$49,030.95	93.76%	
<b>STATE PAID RETIREMENT</b>	\$32,200.00	\$31,500.00	\$26,371.95	\$5,128.05	83.72%	
<b>RETIREMENT</b>	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	
<b>SOCIAL SECURITY</b>	\$61,500.00	\$60,100.00	\$54,762.27	\$5,337.73	91.12%	
<b>CONTRACTUAL SERVICE</b>	\$624,700.00	\$569,200.00	\$562,530.53	\$6,669.47	98.83%	
<b>TRAVEL</b>	\$10,900.00	\$10,600.00	\$3,177.70	\$7,422.30	29.98%	
<b>PRINTING</b>	\$700.00	\$600.00	\$0.00	\$600.00	0.00%	
<b>COMMODITIES</b>	\$24,000.00	\$23,500.00	\$19,165.07	\$4,334.93	81.55%	
<b>EQUIPMENT</b>	\$159,800.00	\$123,100.00	\$120,986.42	\$2,113.58	98.28%	
<b>TELECOMMUNICATIONS</b>	\$0.00	\$74,600.00	\$74,564.54	\$35.46	0.00%	
<b>TOTAL</b>	<b>\$1,717,500.00</b>	<b>\$1,679,000.00</b>	<b>\$1,598,327.53</b>	<b>\$0.00</b>	<b>\$80,672.47</b>	<b>95.20%</b>

**STATE BOARD OF ELECTIONS**  
**FY15 MONTHLY FISCAL REPORT**  
**General Revenue Fund**

<b>IVRS LUMP SUM</b>	<b>YEAR TO DATE</b>
<b><u>MONTH ENDING: Aug. 31, 2015 (FINAL)</u></b>	<b><u>EXPENDITURE</u></b>
<b>PERSONAL SERVICE</b>	\$429,783.77
1129 State Paid Retirement	\$17,198.67
1161 Retirement	
1170 Social Security	\$31,384.31
<b>CONTRACTUAL SERVICE</b>	
1205 Freight Express & Drayage	
1221 Repair/Maint. Furn./Office Equipment	
1232 Rental Motor Vehicles	
1239 Rental, NEC	
1242 Auditing & Management Services	
1243 Book Binding Services	
1261 Postage	
1266 Court Reporting & Filing Services	
1274 Reg. Fees & Conf. Expenses (Vendor)	
1275 Subscriptions	
1276 Reg. Fees & Conf. Expenses (Employee)	
1277 Association Dues	
1279 Employee Tuition & Fees	
1280 Copying, Photographic & Printing Services	
1284 Computer Software	\$8,302.80
1286 Travel, Non-State Employee	
1289 Contractual Services, NEC	\$9,976.61
<b>TRAVEL</b>	
1291 In-State	\$250.00
1292 Out-of-State	
1293 In-State (Vendor)	
1295 Mileage	\$904.10
<b>PRINTING</b>	\$341.75
<b>COMMODITIES</b>	
1304 Office Supplies	
1398 Equipment Less than \$100	\$332.00
<b>EQUIPMENT</b>	
1510 Office Furniture & Equipment	
<b>LUMP SUMS &amp; OTHER PURPOSES</b>	
1991 Interest Payments	
<b>LOCAL GRANTS</b>	
4453 Reimbursement to Governmental Units	\$2,714,479.16
4458 Services, NEC	
4470 Grants to Local Governments	
4479 Payments to Other State Agencies	

**SUPP. APPROP. - IVRS**

	Original Approp.	Reduced Approp.
<b>LUMP SUM - OPERATIONS APPROP FOR YEAR</b>	<b>\$577,200.00</b>	<b>\$564,200.00</b>
<b>TOTAL LUMP SUM - OPERATIONS EXPENDITURES</b>		<b>\$498,474.01</b>
<b>OBLIGATED MONEY - OPERATIONS</b>		<b>\$0.00</b>
<b>REMAINING LUMP SUM OPS APPROPRIATION</b>		<b>\$65,725.99</b>
<b>LUMP SUM - GRANTS APPROP FOR YEAR</b>	<b>\$3,378,000.00</b>	<b>\$3,301,200.00</b>
<b>TOTAL LUMP SUM - GRANT EXPENDITURES</b>		<b>\$2,714,479.16</b>
<b>OBLIGATED MONEY - GRANTS</b>		<b>\$0.00</b>
<b>REMAINING LUMP SUM GRANTS APPROPRIATION</b>		<b>\$586,720.84</b>
<b>LUMP SUM TOTAL APPROPRIATION</b>	<b>\$3,955,200.00</b>	<b>\$3,865,400.00</b>
<b>TOTAL LUMP SUM EXPENDITURES</b>		<b>\$3,212,953.17</b>
<b>TOTAL OBLIGATED MONEY</b>		<b>\$0.00</b>
<b>REMAINING TOTAL LUMP SUM APPROPRIATION</b>		<b>\$652,446.83</b>

**STATE BOARD OF ELECTIONS**  
**FY15 MONTHLY FISCAL REPORT**  
 Help Illinois Vote Fund

AGENCY TOTALS	SECTION 101 <u>Discretionary</u> Funds	SECTION 102 <u>Entitlement</u> Payments	SECTION 261 <u>EAID</u> Disbursements	SECTION 251 <u>Requirements</u>	SECTION 251 <u>Additional</u> <u>Requirements</u>	TOTAL <u>Fund Activity</u>
<u>MONTH ENDING: Aug. 31, 2015 (Final)</u>						
<b>CASH BALANCE FROM FY14</b>	<b>\$553,557.44</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$3,555,326.11</b>	<b>\$3,559,543.77</b>	<b>\$7,668,427.32</b>
Program Revenues from Federal Govt			\$374,690.60			\$374,690.60
Miscellaneous Revenues				\$40.50		\$40.50
Interest Earned on IOC Balances	\$2,441.52			\$9,538.04	\$9,488.44	\$21,468.00
Interest Penalties Received	\$29.20					\$29.20
EAC-Mandated Transfers of Interest						\$0.00
State Match Receipts						\$0.00
<b>TOTAL CASH REVENUES</b>	<b>\$2,470.72</b>	<b>\$0.00</b>	<b>\$374,690.60</b>	<b>\$9,578.54</b>	<b>\$9,488.44</b>	<b>\$396,228.30</b>
<b>YEAR TO DATE CASH EXPENDITURES</b>						
PERSONAL SERVICE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
STATE-PAID RETIREMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
GROUP INSURANCE	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$5,254.00	\$0.00	\$0.00	\$16,997.53	\$0.00	\$22,251.53
TRAVEL	\$13,202.75	\$0.00	\$0.00	\$0.00	\$0.00	\$13,202.75
PRINTING	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00	\$9,995.30	\$0.00	\$0.00	\$9,995.30
TELECOMMUNICATIONS	\$672.99	\$0.00	\$0.00	\$0.00	\$0.00	\$672.99
OPERATION OF AUTO. EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>SUB-TOTAL CASH EXPENDITURES (OPERATIONS)</b>	<b>\$19,129.74</b>	<b>\$0.00</b>	<b>\$9,995.30</b>	<b>\$16,997.53</b>	<b>\$0.00</b>	<b>\$46,122.57</b>
AWARDS & GRANTS	\$0.00	\$0.00	\$374,690.60	\$2,500,000.00	\$2,261,236.50	\$5,135,927.10
<b>TOTAL CASH EXPENDITURES</b>	<b>\$19,129.74</b>	<b>\$0.00</b>	<b>\$384,685.90</b>	<b>\$2,516,997.53</b>	<b>\$2,261,236.50</b>	<b>\$5,182,049.67</b>
<b>CASH BALANCE AT END OF MONTH</b>	<b>\$536,898.42</b>	<b>\$0.00</b>	<b>(\$9,995.30)</b>	<b>\$1,047,907.12</b>	<b>\$1,307,795.71</b>	<b>\$2,882,605.95</b>

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**FY14 MONTHLY FISCAL REPORT**  
**Help Illinois Vote Fund**

<u>SECTION 101 - DISCRETIONARY FUNDS</u> <u>MONTH ENDING: Aug. 31, 2015 (Final)</u>	<u>FY15</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>
<b>PERSONAL SERVICE</b>		
1129 State Paid Retirement		
1161 Retirement		
1170 Social Security		
1180 Group Insurance		
<b>CONTRACTUAL SERVICE</b>		
1205 Freight Express/Drayage		
1223 Repair & Maintenance Real Property		
1225 Repair & Maintenance, EDP Equip.		
1231 Rental, Office Equipment		
1232 Rental, Motor Vehicles		
1237 Rental, Film & Audio/Visual Aids	\$520.00	\$520.00
1239 Rental, NEC		
1242 Auditing & Management Services		
1243 Book Binding & Processing Services		
1244 Legal Fees		
1245 Professional & Technical Services, NEC		
1261 Postage		
1266 Court Reporting & Filing Services		
1273 Advertising		
1274 Registration Fees & Conf. Exp. (Vendor)	\$4,734.00	\$4,734.00
1276 Registration Fees & Conf. Exp. (Employee)		
1280 Copying, Photographic & Printing Serv.		
1284 Computer Software		
1286 Travel, Reimb. to Non-State Employees		
1289 Contractual Services, NEC		
<b>TRAVEL</b>		
1291 In-State & 1293 In-State (to vendor)	\$2,700.12	\$2,700.12
1292 Out-of-State	\$7,560.32	\$7,560.32
1295 Mileage	\$2,942.31	\$2,942.31
<b>PRINTING</b>		
<b>COMMODITIES</b>		
1304 Office/Library Supplies		
1308 Educational & Instructional Materials		
1394 Office/Library Equip., Not Exceed. \$100		
1398 Equipment, NEC		
1399 Commodities, NEC		
<b>EQUIPMENT</b>		
1510 Office Furniture & Equipment		
1515 EDP Equipment		
1561 Training Equipment		
6625 Leasehold Improvements		
<b>TELECOMMUNICATIONS</b>		
1721 Rental, Telephone Serv. & Equip.	\$672.99	\$672.99
1722 Rental, Data Comm. Serv. & Equip.		
1728 Video Conferencing		
1729 Rental, Other Comm. Serv. & Equip.		
1730 Parts & Supplies for Telephone		
1740 Answering & Pag. Comm. Serv. Equip.		
1750 Telephone, Data, Radio & Other Equip.		
1799 Telecommunication Services, NEC		
<b>OPERATION OF AUTO EQUIPMENT</b>		
1893 Repair & Maint., Automotive Equipment		
1894 Parts & Fittings, Automotive Equipment		
1896 Gasoline, Oil & Antifreeze		
1898 Automotive Services, NEC		
1899 Automotive Expenses, NEC		
<b>INDIRECT COST REFUNDS</b>		
1993 Indirect Cost Allocation Refund	\$0.00	
<b>GRANTS</b>		
4453 Reimbursement to Governmental Units		
4458 Services, NEC		
4470 Grants to Local Governments (PPA)		
4470 Grants to Local Governments (EAI)		
4470 Grants to Local Governments (AAG)		
4470 Grants to Local Governments		
4479 Payments to Other State Agencies		

<u>SECTION 101 GRAND TOTAL</u>	<u>FY15</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>OBLIGATED</u> <u>MONEY</u>
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$5,254.00	\$5,254.00	
TRAVEL	\$13,202.75	\$13,202.75	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$672.99	\$672.99	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$0.00	\$0.00	
<b>TOTAL</b>	<b>\$19,129.74</b>	<b>\$19,129.74</b>	<b>\$0.00</b>

**STATE BOARD OF ELECTIONS**  
**FY14 MONTHLY FISCAL REPORT**  
 Help Illinois Vote Fund

**SECTION 102 - ENTITLEMENT PAYMENTS**  
**MONTH ENDING: Aug. 31, 2015 (Final)**

**FY15**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**PERSONAL SERVICE**

- 1129 State Paid Retirement
- 1161 Retirement
- 1170 Social Security

**CONTRACTUAL SERVICE**

- 1205 Freight Express/Drayage
- 1225 Repair & Maintenance, EDP Equip.
- 1231 Rental, Office Equipment
- 1237 Rental, Film & Audio/Visual Aids
- 1239 Rental, NEC
- 1242 Auditing & Management Services
- 1243 Book Binding & Processing Services
- 1244 Legal Fees
- 1245 Professional & Technical Services, NEC
- 1261 Postage
- 1266 Court Reporting & Filing Services
- 1274 Registration Fees & Conf. Exp. (Vendor)
- 1276 Registration Fees & Conf. Exp. (Employee)
- 1280 Copying, Photographic & Printing Serv.
- 1284 Computer Software
- 1289 Contractual Services, NEC

**TRAVEL**

- 1291 In-State
- 1292 Out-of-State

**PRINTING**

**COMMODITIES**

- 1304 Office/Library Supplies
- 1394 Office/Library Equip., Not Exceed. \$100
- 1398 Equipment, NEC
- 1399 Commodities, NEC

**EQUIPMENT**

- 1510 Office Furniture & Equipment
- 1515 EDP Equipment
- 1599 Equipment, NEC

**TELECOMMUNICATIONS**

- 1721 Rental, Telephone Serv. & Equip.
- 1722 Rental, Data Comm. Serv. & Equip.
- 1728 Video Conferencing
- 1729 Rental, Other Comm. Serv. & Equip.
- 1730 Parts & Supplies for Telephone
- 1740 Answering & Pag. Comm. Serv. Equip.
- 1750 Telephone, Data, Radio & Other Equip.
- 1799 Telecommunication Services, NEC

**OPERATION OF AUTO EQUIPMENT**

- 1893 Repair & Maint., Automotive Equipment
- 1894 Parts & Fittings, Automotive Equipment
- 1896 Gasoline, Oil & Antifreeze
- 1898 Automotive Services, NEC
- 1899 Automotive Expenses, NEC

**GRANTS**

- 4453 Reimbursement to Governmental Units
- 4458 Services, NEC
- 4470 Grants to Local Governments, NEC

<b><u>SECTION 102 GRAND TOTAL</u></b>	<b><u>FY15</u></b> <b><u>APPROPRIATION</u></b>	<b><u>YEAR TO DATE</u></b> <b><u>EXPENDITURE</u></b>	<b><u>OBLIGATED</u></b> <b><u>MONEY</u></b>
<b>PERSONAL SERVICE</b>	\$0.00	\$0.00	
<b>STATE PAID RETIREMENT</b>	\$0.00	\$0.00	
<b>RETIREMENT</b>	\$0.00	\$0.00	
<b>SOCIAL SECURITY</b>	\$0.00	\$0.00	
<b>CONTRACTUAL SERVICE</b>	\$0.00	\$0.00	
<b>TRAVEL</b>	\$0.00	\$0.00	
<b>PRINTING</b>	\$0.00	\$0.00	
<b>COMMODITIES</b>	\$0.00	\$0.00	
<b>EQUIPMENT</b>	\$0.00	\$0.00	
<b>TELECOMMUNICATIONS</b>	\$0.00	\$0.00	
<b>OPERATION OF AUTO EQUIPMENT</b>	\$0.00	\$0.00	
<b>GRANTS</b>	\$0.00	\$0.00	
<b>TOTAL</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>

**STATE BOARD OF ELECTIONS**  
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**Help Illinois Vote Fund**

**SECTION 261 - EAID DISBURSEMENTS**  
**MONTH ENDING: Aug. 31, 2015 (Final)**

**FY15**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**PERSONAL SERVICE**

1129 State Paid Retirement  
1161 Retirement  
1170 Social Security

**CONTRACTUAL SERVICE**

1205 Freight Express/Drayage  
1225 Repair & Maintenance, EDP Equip.  
1231 Rental, Office Equipment  
1237 Rental, Film & Audio/Visual Aids  
1239 Rental, NEC  
1242 Auditing & Management Services  
1243 Book Binding & Processing Services  
1244 Legal Fees  
1245 Professional & Technical Services, NEC  
1261 Postage  
1266 Court Reporting & Filing Services  
1274 Registration Fees & Conf. Exp. (Vendor)  
1276 Registration Fees & Conf. Exp. (Employee)  
1280 Copying, Photographic & Printing Serv.  
1284 Computer Software  
1289 Contractual Services, NEC

**TRAVEL**

1291 In-State  
1292 Out-of-State

**PRINTING**

**COMMODITIES**

1304 Office/Library Supplies  
1394 Office/Library Equip., Not exc. \$100  
1398 Equipment, NEC  
1399 Commodities, NEC

**EQUIPMENT**

1510 Office Furniture & Equipment  
1515 EDP Equipment  
1561 Training Materials, Exhibits & Educ. Equip. \$9,995.30  
1599 Equipment, NEC \$9,995.30

**TELECOMMUNICATIONS**

1721 Rental, Telephone Serv. & Equip.  
1722 Rental, Data Comm. Serv. & Equip.  
1728 Video Conferencing  
1729 Rental, Other Comm. Serv. & Equip.  
1730 Parts & Supplies for Telephone  
1740 Answering & Pag. Comm. Serv. Equip.  
1750 Telephone, Data, Radio & Other Equip.  
1799 Telecommunication Services, NEC

**OPERATION OF AUTO EQUIPMENT**

1893 Repair & Maint., Automotive Equipment  
1894 Parts & Fittings, Automotive Equipment  
1896 Gasoline, Oil & Antifreeze  
1898 Automotive Services, NEC  
1899 Automotive Expenses, NEC

**INDIRECT COST REFUNDS**

1993 Indirect Cost Allocation Refund

**GRANTS**

4453 Reimbursement to Governmental Units  
4470 Grants to Local Governments (VAID II)  
4470 Grants to Local Governments (VAID III)  
4470 Grants to Local Governments (VAID IV)  
4470 Grants to Local Governments (VAID V) \$374,690.60 \$374,690.60

**SECTION 261 GRAND TOTAL**

**FY15**  
**APPROPRIATION**

**YEAR TO DATE**  
**EXPENDITURE**

**OBLIGATED**  
**MONEY**

<b>PERSONAL SERVICE</b>	\$0.00	\$0.00
<b>STATE PAID RETIREMENT</b>	\$0.00	\$0.00
<b>RETIREMENT</b>	\$0.00	\$0.00
<b>SOCIAL SECURITY</b>	\$0.00	\$0.00
<b>CONTRACTUAL SERVICE</b>	\$0.00	\$0.00
<b>TRAVEL</b>	\$0.00	\$0.00
<b>PRINTING</b>	\$0.00	\$0.00
<b>COMMODITIES</b>	\$0.00	\$0.00
<b>EQUIPMENT</b>	\$9,995.30	\$9,995.30
<b>TELECOMMUNICATIONS</b>	\$0.00	\$0.00
<b>OPERATION OF AUTO EQUIPMENT</b>	\$0.00	\$0.00
<b>INDIRECT COST REFUNDS</b>	\$0.00	\$0.00
<b>GRANTS</b>	\$374,690.60	\$374,690.60

<b>TOTAL</b>	<b>\$384,685.90</b>	<b>\$384,685.90</b>	<b>\$0.00</b>
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**Help Illinois Vote Fund**

<u>SECTION 251 - REQUIREMENTS</u> <u>MONTH ENDING: Aug. 31, 2015 (Final)</u>	<u>FY15</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	
<b>PERSONAL SERVICE</b>			
1129 State Paid Retirement			
1161 Retirement			
1170 Social Security			
1180 Group Insurance			
<b>CONTRACTUAL SERVICE</b>			
1205 Freight Express/Drayage			
1223 Repair & Maintenance Real Property			
1225 Repair & Maintenance, EDP Equip.	\$0.00		
1231 Rental, Office Equipment			
1232 Rental, Motor Vehicles			
1237 Rental, Film & Audio/Visual Aids			
1239 Rental, NEC			
1242 Auditing & Management Services			
1243 Book Binding & Processing Services			
1244 Legal Fees			
1245 Professional & Technical Services, NEC	\$11,600.10	\$11,600.10	
1261 Postage			
1266 Court Reporting & Filing Services			
1273 Advertising			
1274 Registration Fees & Conf. Exp. (Vendor)			
1276 Registration Fees & Conf. Exp. (Employee)			
1280 Copying, Photographic & Printing Serv.			
1284 Computer Software	\$5,397.43	\$5,397.43	
1289 Contractual Services, NEC			
<b>TRAVEL</b>			
1291 In-State			
1292 Out-of-State			
<b>PRINTING</b>			
<b>COMMODITIES</b>			
1304 Office/Library Supplies			
1308 Educational & Instructional Materials			
1394 Office/Library Equip., Not Exceed. \$100			
1398 Equipment, NEC			
1399 Commodities, NEC			
<b>EQUIPMENT</b>			
1510 Office Furniture & Equipment			
1515 EDP Equipment			
1561 Training Equipment			
6625 Leasehold Improvements			
<b>TELECOMMUNICATIONS</b>			
1721 Rental, Telephone Serv. & Equip.			
1722 Rental, Data Comm. Serv. & Equip.			
1728 Video Conferencing			
1729 Rental, Other Comm. Serv. & Equip.			
1730 Parts & Supplies for Telephone			
1740 Answering & Pag. Comm. Serv. Equip.			
1750 Telephone, Data, Radio & Other Equip.			
1799 Telecommunication Services, NEC			
<b>OPERATION OF AUTO EQUIPMENT</b>			
1893 Repair & Maint., Automotive Equipment			
1894 Parts & Fittings, Automotive Equipment			
1896 Gasoline, Oil & Antifreeze			
1898 Automotive Services, NEC			
1899 Automotive Expenses, NEC			
<b>INDIRECT COST REFUNDS</b>			
1993 Indirect Cost Allocation Refund			
<b>GRANTS</b>			
4453 Reimbursement to Govt Units (AVE)			
4453 Reimbursement to Govt Units (Phase III)	\$2,500,000.00	\$2,500,000.00	
4453 Reimbursement to Govt Units (ALA)			
4453 Reimbursement to Govt Units (EDG)			
4470 Grants to Local Governments (VRS)			
4479 Payments to Other State Agencies			
<b>SECTION 251 (OLD REQ) GRAND TOTAL</b>	<b>FY15</b> <b>APPROPRIATION</b>	<b>YEAR TO DATE</b> <b>EXPENDITURE</b>	<b>OBLIGATED</b> <b>MONEY</b>
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$16,997.53	\$16,997.53	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$2,500,000.00	\$2,500,000.00	
<b>TOTAL</b>	<b>\$2,516,997.53</b>	<b>\$2,516,997.53</b>	<b>\$0.00</b>

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**SECTION 251 - ADDITIONAL REQUIREMENTS**  
**MONTH ENDING: Aug. 31, 2015 (Final)**

**FY15**  
**APPROPRIATION**                      **YEAR TO DATE**  
**EXPENDITURE**

**PERSONAL SERVICE**

- 1129 State Paid Retirement
- 1161 Retirement
- 1170 Social Security
- 1180 Group Insurance

**CONTRACTUAL SERVICE**

- 1205 Freight Express/Drayage
- 1223 Repair & Maintenance Real Property
- 1225 Repair & Maintenance, EDP Equip.
- 1231 Rental, Office Equipment
- 1232 Rental, Motor Vehicles
- 1237 Rental, Film & Audio/Visual Aids
- 1239 Rental, NEC
- 1242 Auditing & Management Services
- 1243 Book Binding & Processing Services
- 1244 Legal Fees
- 1245 Professional & Technical Services, NEC
- 1261 Postage
- 1266 Court Reporting & Filing Services
- 1273 Advertising
- 1274 Registration Fees & Conf. Exp. (Vendor)
- 1276 Registration Fees & Conf. Exp. (Employee)
- 1280 Copying, Photographic & Printing Serv.
- 1284 Computer Software
- 1289 Contractual Services, NEC

**TRAVEL**

- 1291 In-State
- 1292 Out-of-State

**PRINTING**

**COMMODITIES**

- 1304 Office/Library Supplies
- 1308 Educational & Instructional Materials
- 1394 Office/Library Equip., Not Exceed. \$100
- 1398 Equipment, NEC
- 1399 Commodities, NEC

**EQUIPMENT**

- 1510 Office Furniture & Equipment
- 1515 EDP Equipment
- 1561 Training Equipment
- 6625 Leasehold Improvements

**TELECOMMUNICATIONS**

- 1721 Rental, Telephone Serv. & Equip.
- 1722 Rental, Data Comm. Serv. & Equip.
- 1728 Video Conferencing
- 1729 Rental, Other Comm. Serv. & Equip.
- 1730 Parts & Supplies for Telephone
- 1740 Answering & Pag. Comm. Serv. Equip.
- 1750 Telephone, Data, Radio & Other Equip.
- 1799 Telecommunication Services, NEC

**OPERATION OF AUTO EQUIPMENT**

- 1893 Repair & Maint., Automotive Equipment
- 1894 Parts & Fittings, Automotive Equipment
- 1896 Gasoline, Oil & Antifreeze
- 1898 Automotive Services, NEC
- 1899 Automotive Expenses, NEC

**INDIRECT COST REFUNDS**

- 1993 Indirect Cost Allocation Refund

**GRANTS**

- 4453 Reimbursement to Govt Units (AVE)
- 4453 Reimbursement to Govt Units (Phase II)
- 4453 Reimbursement to Govt Units (ALA)
- 4453 Reimbursement to Govt Units (EDG)
- 4470 Grants to Local Governments (Phase III)
- 4479 Payments to Other State Agencies

\$2,261,236.50                      \$2,261,236.50

**SECTION 251 (NEW REQ) GRAND TOTAL**

**FY15**  
**APPROPRIATION**                      **YEAR TO DATE**  
**EXPENDITURE**                      **OBLIGATED**  
**MONEY**

PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$0.00	\$0.00	
GRANTS	\$2,261,236.50	\$2,261,236.50	

<b>TOTAL</b>	<b>\$2,261,236.50</b>	<b>\$2,261,236.50</b>	<b>\$0.00</b>
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<b>Start Date</b>	<b>End Date</b>	<b>Activity</b>	<b>Division</b>
8 /3 /2015		Begin planning for the printing of campaign finance notices for the November/December petition filing for state and local candidates. 10 ILCS 5/9-16.	CAMP DISC
8 /3 /2015		Begin preparation of packet materials for 2015 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Packets to be mailed or e-mailed August 31, 2015. 10 ILCS 5/9-15.	CAMP DISC
8 /14/2015		Target date for completion of Election Judge Manuals of Instruction for 2016. Project started 4/8/2015. 10ILCS 5/1A-8 (1, 2, 3, 4, 11)	ET&RD
8 /14/2015		The date that a second letter goes out to all committees that have failed to file the report advising them that an assessment for late filing is continuing and that a complaint will be filed if the committee fails to file either the 2015 MARCH OR JUNE QUARTERLY REPORTS OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Rules and Regulations 125.425.	CAMP DISC
8 /18/2015		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
8 /31/2015		The last day that the State Board of Elections shall notify political committees that have filed a Statement of Organization of their obligation to file the 2015 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. 10 ILCS 5/9-10, 9-15(9).	CAMP DISC
8 /31/2015		Complete 2 year plan for 2016-2017. Project started 7/1/2015.	ET&RD
9 /3 /2015		Complete updating the SBE GUIDE FOR POLLWATCHERS. 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
9 /3 /2015		Complete updating the DEPUTY REGISTRAR GUIDELINES publication. Project began on 7/1/2015 10 ILCS 5/1A-8 (1, 2, 12)	ET&RD
9 /6 /2015		Complete updating all agency BROCHURES. (Project began 7/1/2015) 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
9 /9 /2015		Begin to update the FEDERAL AND STATE OFFICERS book for the year 2016. 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD

<b>Start Date</b>	<b>End Date</b>	<b>Activity</b>	<b>Division</b>
9 /9 /2015		Begin to update the COUNTY OFFICERS book for the year 2016. (Target completion date: 12/7/2015) 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
9 /19/2015		Send letter to those jurisdictions where in-precinct counting equipment is utilized requesting a list of those precincts involved in the election. 10 ILCS 5/24B-15, 24C-15	VRS
9 /21/2015		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
10/1 /2015		First day that any political committee shall file its 2015 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.	CAMP DISC
10/9 /2015		Begin to develop 2016 SBE Legislative Program - 100th General Assembly - for the upcoming legislative session, beginning January 2016. 10 ILCS 5/1A-8 (8)	LEG
10/15/2015		Last day that a political committee shall file its 2015 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.	CAMP DISC
10/09/2015		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
10/27/2015	10/29/2015	(date subject to change) Veto Session	LEG
11/2 /2015		Begin preparation of packet materials for 2015 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Packets to be mailed or e-mailed December 2, 2015. 10 ILCS 5/9-15.	CAMP DISC
11/4 /2015		Date upon which the State Board of Election shall notify political committees that have failed to file the 2015 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES by the deadline that an assessment will be levied against the committee for failure to file the report in a timely fashion. Rules and Regulations 125.425.	CAMP DISC
11/10/2015	11/12/2015	(date subject to change) Veto Session	LEG
11/16/2015		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
11/23/2015	11/30/2015	Candidate filing period for General Primary (March 15, 2016). 10 ILCS 5/7-12	EL INFO

4. **Follow up.**
5. **Comments from the general public.**
6. **Next Board Meeting scheduled for Friday, October 9, 2015 in Chicago.**
7. **Executive session.**