

STATE BOARD OF ELECTIONS

STATE OF ILLINOIS

2329 S. MacArthur Blvd.
Springfield, Illinois 62704-4503
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph St., Ste. 14-100
Chicago, Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Steven S. Sandvoss

BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

AGENDA
STATE BOARD OF ELECTIONS
BOARD MEETING
Friday, October 9, 2015
10:30 a.m.

James R. Thompson Center – Room 2-025
Chicago, Illinois
and via videoconference
2329 S. MacArthur Blvd.
Springfield, Illinois

Roll call.

1. Approval of the minutes from the September 21 meeting. (will be sent under separate cover)
2. Report of the General Counsel
 - a. Campaign Disclosure;
Request for settlement offer
 - 1) *SBE v. Citizens to Elect Benjamin Owens*, 15295, 13MA086; (pgs.1-3)
 - 2) *SBE v. Citizens to Elect Vince Carbonaro*, 25379, 14DQ188; (pgs.4-5)
 - 3) *SBE v. Citizens for Heather Sattler*, 26013, 15MA072; (pgs.6-7)Appeals of campaign disclosure fines – hearing officer recommendation appeals be granted
 - 4) *SBE v. Glencoe Caucus Advisory Council*, 4284, 15JQ010; (pgs.8-11)
 - 5) *SBE v. Citizens for John Trowbridge*, 8858, 15JQ018; (pgs.12-14)
 - 6) *SBE v. LIUNA AFL-CIO Local 362 PAC*, 20149, 15JQ043; (pgs.15-17)
 - 7) *SBE v. Illinois Education Association Local 512 CMA*, 21000, 14JQ057; (pgs.18-21)
 - 8) *SBE v. Illinois Education Association Local 512 CMA*, 21000, 15DQ050; (pgs.22-25)
 - 9) *SBE v. Friends of Ted Polashek*, 21496, 15AJ025; (pgs.26-30)
 - 10) *SBE v. Friends of Sue Rezin*, 22250, 15AJ027; (pgs.31-36)
 - 11) *SBE v. Democratic Party of the 29th Ward*, 23266, 14SQ080; (pgs.37-40)
 - 12) *SBE v. Avon Township Democrats*, 26097, 15JQ123; (pgs.41-43)
 - 13) *SBE v. Iqbal for Elgin*, 27287, 15DQ158; (pgs.44-47)Appeals of campaign disclosure fines – hearing officer recommendation appeals be denied
 - 14) *SBE v. Macon County Democratic Central Committee*, 155, 15AJ001; (pgs.48-51)
 - 15) *SBE v. York Township Democratic Organization*, 206, 15JQ001; (pgs.52-80)
 - 16) *SBE v. Friends of John Fulgenzi*, 18278, 15AJ019; (pgs.81-84)
 - 17) *SBE v. Citizens to Elect Jeffrey A. Schaefer*, 19021, 15JQ036; (pgs.85-87)
 - 18) *SBE v. Slocum for Senate*, 23909, 15MA061; (pgs.88-95)
 - 19) *SBE v. Edwardsville Education Association-IPACE*, 25208, 15JQ096; (pgs.96-98)
 - 20) *SBE v. Friends of Richard Boykin*, 25506, 15AD087; (pgs.99-101)
 - 21) *SBE v. Melisa Hammer for County Treasurer*, 25609, 15JQ107; (pgs.102-104)
 - 22) *SBE v. Citizens for Marvin McNeil*, 26124, 15AM085; (pgs.105-109)

Appeals of campaign disclosure fines – hearing officer recommendation appeals be granted & denied

23) *SBE v. Friends to Elect Toni L. Foulkes*, 20107, 15AM027; (pgs.110-145)

24) *SBE v. Garcia for Chicago*, 26214, 15MA083; (pgs.146-178)

Failure to comply with a board order

25) *SBE v. Friends of Sherman C. Jones*, 22764, 14CD113; (pgs.179-181)

26) *SBE v. Committee to Re-Elect Mike Slape*, 1068, 15CD016; (separate cover)

27) *SBE v. Edwards County Republican Central Committee*, 1148, 15CD107; (separate cover)

28) *SBE v. Southland Success PAC*, 22624, 15CD038; (separate cover)

29) *SBE v. Citizens for Burch*, 23006, 15CD043; (separate cover)

30) *SBE v. Friends of David Moore*, 24472, 15CD057; (separate cover)

31) *SBE v. United Party*, 24735, 15CD060; (separate cover)

Other campaign disclosure items

32) Assessments/Board Orders; (pgs.182-187)

33) Payment of civil penalties – informational. (pgs.188-189)

3. Report of the Executive Director
 - a. Proclamation of results from the September 10, 2015 Special Election in the 18th Congressional District;
 - b. Legislative update; (oral report)
 - c. Senate Bill 172 update; (oral report)
 - d. Two year plan of staff activity for the months of October & November – informational. (pg.190)
4. Follow up
 - a. Consideration of E-Pay program. (pg.191)
5. Comments from the general public. (pg.192)
6. Next Board Meeting scheduled for Monday, November 16, 2015 in Springfield. (pg.192)
7. Executive session. (pgs.193-198)

Benjamin Owens
3207 Winchester Drive
Bloomington, IL 61704
309-824-2785

RECEIVED

SEP 08 2015

State Board of Elections

State Board of Elections
State of Illinois
% Tom Newman

Re: Civil Penalties

Dear Mr. Newman:

This letter is in reference to the civil penalties that have been occurred by the Citizens to Elect Benjamin Owens in the amount of \$11,275.00.

I am writing to propose a settlement offer on the penalties that have been assessed.

In my capacity as a McLean County Board Member my salary is \$5,000.00 per year. The fee that has been assessed would be over two years of my board salary.

In my final filing for the campaign account I thought I had filed the proper final documents. It was not til I received notice from the State of Illinois Board of Elections that I had not, I corrected the forms with the guidance from the State Board of Elections and filed the final report.

According to the State Board of Elections I did not follow the proper procedure and I take responsibility for that but I feel that the civil penalties are excessive for the position that I hold. I serve as a part time county board member in McLean County and am a self employed contractor and I do not have a campaign committee or chairperson to help with the guidance in the law of campaign disclosure. I am proposing a settlement of \$1,250.00 which is my board salary for 1 quarter.

I try very hard to follow the State Board of Elections and know that some local campaigns do not or have not filed as they should thinking they are just a small local campaign. I request that my settlement be approved.

I look forward to speaking with you regarding this issue so that I may clear this up quickly as I seek another term on the McLean County Board.

Sincerely,

Benjamin Owens
McLean County Board Member District 10

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
Complainant(s),)
)
Vs.) 13 MA 086
)
Citizens to Elect Benjamin)
Owens)
Respondent(s).)

FINAL ORDER

TO: Citizens to Elect Benjamin Owens ID# 15295
2003 S Main St
Bloomington, IL 61704-7303

This matter coming to be heard this 19th day of February, 2014 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

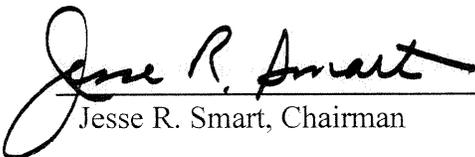
THE BOARD FINDS

1. In case number 13 MA 086, a \$5000.00 civil penalty was assessed against the Respondent for the delinquent filing of the March 2013 Quarterly report; and
2. In case number 13 MA 086, a \$5000.00 civil penalty was assessed against the Respondent for the delinquent filing of the June 2013 Quarterly report, and
3. In case number 13 MA 086, a \$1275.00 civil penalty was assessed against the Respondent for the delinquent filing of the September 2013 Quarterly report, and
4. An appeal of the civil penalty was not submitted by the committee.

IT IS ORDERED:

1. A civil penalty in the amount of \$11,275.00 is imposed and is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is February 24, 2014, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 2/24/2014



Jesse R. Smart, Chairman

STATE BOARD OF ELECTIONS

15 SEP 11 PM 2:05

Mr. Tom Newman
Deputy Director – Campaign Disclosure
State Board of Elections
2329 S. MacArthur Blvd
Springfield, IL 62704 fax 217-782-5959

9/7/2015

Mr. Newman,

About two months ago one of my friends wrote to you to request that the fines and ballot restriction for my committee be removed/voided. My closed committee Citizens to Elect Vince Carbonaro ID 25379. My friend received a phone call from your office indicating that the request for my committee was denied.

I would like to be scheduled to come before your board in person to make this request. Please let me know when this can be scheduled so I can arrange to attend (at your Chicago office). It would be even better if your office would reconsider and void these penalties since my committee was never legally required to be formed and was formed in error due to the bank requiring so.

Respectfully,

Vince Carbonaro
235 Burnside Cir
Bartlett IL 60103
630-291-4395

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
Complainant(s),)
)
Vs.) 14 DQ 188
)
Citizens to Elect Vince Carbonaro)
Respondent(s).)

FINAL ORDER

TO: Citizens to Elect Vince Carbonaro ID# 25379
235 Burnside Circle
Bartlett, IL 60103

This matter coming to be heard this 17th day of June, 2014 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

THE BOARD FINDS

1. In case number 14 DQ 188, a \$1125.00 civil penalty was assessed against the Respondent for the delinquent filing of the December 2013 Quarterly report; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$550.00 for the delinquent filing of the June 2013 quarterly report (13 JQ 003) and a penalty of \$100.00 for the delinquent filing of the September 2013 Quarterly report (13 SQ 204). These assessments were not appealed and remain unpaid, and
4. The committee filed a Final report on December 31, 2013.

IT IS ORDERED:

1. A civil penalty in the amount of \$1125.00 is imposed and will be abated on June 19, 2016 if the committee remains dissolved until that time without forming a Successor committee pursuant to Rules and Regulations 100.110(b). The previously assessed penalty totaling \$650.00 will be abated on February 24, 2016 if the committee remains dissolved until that time without forming a Successor committee pursuant to Rules and Regulations 100.110(b); and
2. The effective date of this Order is June 19, 2014 and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 6/19/2014



Jesse R. Smart, Chairman

Heather Sattler

5417 W. Hutchinson ♦ Chicago, IL 60641
773.991.1194 ♦ heathersattler@hotmail.com

STATE BOARD OF ELECTIONS

15 SEP 24 PM 3:18

September 21, 2015

Mr. Charles W. Scholz
Chairman
State Board of Elections
2329 S. Macarthur Blvd.
Springfield, IL 62704

15MA072
#26013

Re: ID# 26013 Final Order

Dear Mr. Scholz:

I received the Final Order regarding Citizens for Heather Sattler. I am grateful for the Board's consideration and the lifting of several penalties, leaving the final amount owed at \$1,875. I regret I could not attend the hearing, as our Treasurer was out of town and unable to forward me the recommendation and hearing information until after the hearing had taken place.

I am writing at this time to respectfully request a reduction in the final amount owed due to hardship. The Committee currently has \$8,567.14 in the bank with a loan of \$12,000 to repay. There will be no further fundraisers and the Committee will close as soon as possible. I will be paying all debts personally.

Please consider a reduction to \$500 or less. This first-time Committee operated as efficiently and transparently as possible under big stress and few resources. We greatly appreciate your understanding.

Sincerely,

Heather Sattler

Heather Sattler

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
Illinois State Board of Elections)
Complainant(s),)
)
Vs.) 15 MA 072
)
Citizens for Heather Sattler)
Respondent(s).)

FINAL ORDER

TO: Citizens for Heather Sattler ID# 26013
5501 W. Cullom
Chicago, IL 60641

This matter coming to be heard this 18th day of August, 2015 as an appeal of the imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

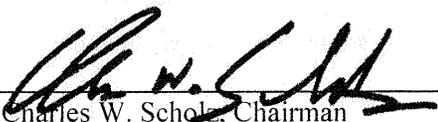
THE BOARD FINDS:

1. In case number 15 MA 072, a \$1500.00 civil penalty was initially assessed against the Respondent for the delinquent filing of Schedule A-1's during fourth quarter of 2014; appeal was taken from this assessment; and
2. In case number 15 MA 072, a \$9750.00 civil penalty was initially assessed against the Respondent for the delinquent filing of Schedule A-1's during first quarter of 2015; appeal was taken from this assessment; and
3. The committee was previously assessed a penalty of \$2500.00, which was stayed as a first violation, for the delinquent filing of a Schedule A-1 during the third quarter of 2014 (14 AS 077). This assessment was not appealed and was reduced to \$250.00 by the Board, and
4. The recommendation of the Hearing Officer, in which the General Counsel concurs, is that the appeal be GRANTED in regards to the contributions received during the fourth quarter of 2014 and the contributions received in the first quarter of 2015 totaling \$6500.00 and DENIED in regards to the remaining contributions totaling \$3250.00 for lack of adequate defense, but that pursuant to section 9-10 of the Code, the assessment be reduced to \$1625.00 (50% of the value of the delinquently reported contributions).

IT IS ORDERED:

1. That the recommendation of the Hearing Officer and the General Counsel is adopted and the appeal is GRANTED and DENIED; and
2. A civil penalty in the amount of \$1625.00 is imposed and the stay is lifted from the previously assessed penalty of \$250.00. The total amount of \$1875.00 is now due and owing within 30 days of the effective date of this Order, and
3. The effective date of this Order is August 20, 2015, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/20/2015


Charles W. Scholz, Chairman

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

15 JQ 010

Glencoe Caucus Advisory Council
Cmte ID: 4284
Respondent

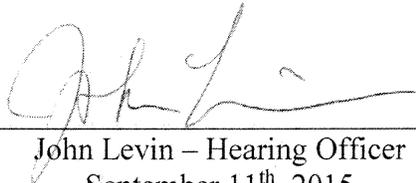
REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing the June 2015 Quarterly Report

The Report was received August 7th, 2015, 17 days late, resulting in an assessment of \$850. The Committee was previously fined \$225 (not appealed, stayed) for delinquently filing the June 2013 Quarterly Report. The total assessment is \$1075.

Daniel Stucka, the Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Stucka stated he entered data into the IDIS 3 electronic filing system during the first week of July and printed a copy of the Report. He mistakenly believed he had completed the filing process, and did not realize until he returned from a foreign trip in August that the Report had not been received. He uploaded the Report upon his discovery.

Section 100.150 5) of the Rules accompanying the Campaign Finance Act reads, in part, "If a Committee is assessed a civil penalty and, in the course of its appeal, raises the defense that computer related issues prohibited the timely filing of an electronic Report, the Board may consider that defense when determining the final outcome of the appeal." This is the first time the Committee has used an electronic filing defense. Accordingly, I recommend the appeal be granted and the Committee extended a one-time waiver of the penalty associated with the delinquent filing of the Report in question. As of June 30th, 2015, the Committee's reported balance was \$922.77.



John Levin – Hearing Officer
September 11th, 2015

State of Illinois)
County of: _____)

STATE BOARD OF ELECTIONS

15 SEP -8 PM 3:59

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

v/s.)

Case No. 1590010

GLENCOE CAUCUS ADVISORY COUNCIL
Respondent(s).)

APPEAL AFFIDAVIT

DANIEL A STUCKA, the TREASURER of the
(Name) (Chairman/Treasurer)

GLENCOE CAUCUS ADVISORY COUNCIL
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

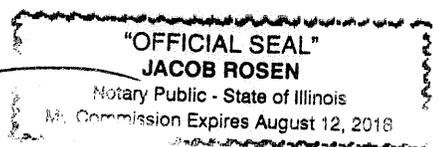
ON TWO OCCASIONS COMPUTER ISSUES CAUSED
AND/OR CONTRIBUTED TO QUARTERLY FILINGS
BEING SLIGHTLY LATE.
TWO YEARS ELAPSED BETWEEN EVENTS.
SEE ATTACHED LETTER.

Daniel A. Stucka
Signature of Chairman/Treasurer
Treasurer

signed and Sworn to by:
DANIEL A. STUCKA

before me this 5th Day of SEPT, 2015

Notary Public
(Seal)



Glencoe Caucus Advisory Council
Committee ID 4284
501 Drexel Avenue
Glencoe, Illinois 60022-2104
September 3, 2015

Mr Tom Newman, Director
Campaign Disclosure Division
State Board of Elections
State of Illinois
2329 South MacArthur Blvd
Springfield, Illinois 62704

Dear Director Newman and Board Members,

Please reconsider our civil penalty for a late filing of our Second Quarter Disclosure report. As usual our report shows no activity at all for the quarter. We are a small committee of all volunteers for a Village that supports non-partisan nominating committees for volunteer Village Officials with printing and mailing costs in even-numbered years. Your fine of \$1075 might be a death penalty for us. We have total assets of \$922.77.

Please weigh the following facts in your decision:

1. This should not be considered a second offense. The first offense for 2013 Q2 was two years before this 2015 Q2 offense.
2. The June 30, 2013 late filing was entirely due to the IDIS-2 program being incompatible with the new Windows 8 (64 bit) operating system being used by our committee. We were dismayed to see the \$225 penalty in 2013. We had numerous phone calls with your tech support and finally had to reload the program and the database to an old Windows XP hard drive which caused the delay. That penalty was stayed so we let the issue drop.
3. I submitted the 2015 Q2 report during the first week in July, 2015. I saved and printed a copy of my D2 at the time (enclosed for your review), and I went on to complete the submission process as far as I could tell. I returned from a foreign trip in August to find that it apparently had not been received. Since in recent years all data and processing occurs on your hardware there should be some indication of my signing in and filing via Internet.

Finally, please advise policy makers that the penalties for small all-volunteer committees are quite excessive. We rely on citizen donations averaging below \$50 to provide only the most basic support for a system that encourages qualified volunteers to staff our Village Boards.

We thank you for your consideration and know that you will rule in accordance with section 125.425 as to the two year reset provision, and understand the equity of our arguments which relate to how the constant evolution of computerization has challenged volunteers like myself.

Respectfully,



Daniel A. Stucka, Treasurer

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

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John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
August 28, 2015
ID# 4284

Glencoe Caucus Advisory Council
Daniel Stucka
501 Drexel Ave
Glencoe, IL 60022

7015 1730 0002 1035 4100

Dear Glencoe Caucus Advisory Council:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contribution and Expenditures
Report Period: April 1, 2015 through June 30, 2015
Filing Period: July 1, 2015 through July 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 8/7/2015, 17 day(s) late. As such, this committee has been assessed a fine of \$850.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
June 2013	Quarterly	\$225
TOTAL AMOUNT NOW DUE		\$1075.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 JQ 018

Citizens for John Trowbridge ID# 8858
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2015 Quarterly Report

The Report was received by the Board on 7/16/15, 1 day late, resulting in a civil penalty assessment of \$25.

John Trowbridge, the Candidate of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Trowbridge states that he mailed the Report on July 7th by personally hand delivering it to the post office and requesting a hand stamp on the envelope. He says he witnessed it being stamped and although he was later told by the Board the postmark was illegible, at the time it was stamped he maintains the postmark was legible.

A review of the envelope in question confirms that the postmark is in fact illegible. Section 100.125 of the Board's Rules and Regulations states in part that when a report is received late and the postmark is illegible or missing, if *"it is alleged by the treasurer, chairman or candidate on a signed and notarized affidavit verifying that the report was mailed more than 72 hours prior to the filing deadline, and this is the first time the committee has made this claim as part of its appeal, the presumptive date of receipt will be rebutted by the testimony contained in the affidavit and the report will be deemed to have been timely received."* Since this Committee has not previously made such a claim, I recommend the appeal be granted. (As of 6/30/15, this Committee showed a funds available balance of \$536.94)



Tom Newman – Hearing Officer
September 28, 2015

State of Illinois)
County of: DuPage)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

15 SEP 18 AM 9:05

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
CITIZENS FOR JOHN TROWBRIDGE)
Respondent(s).)

Case No. 15 JQ 018

APPEAL AFFIDAVIT

John Trowbridge, the Chairman of the
(Name) (Chairman/Treasurer)

CITIZENS FOR JOHN TROWBRIDGE
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

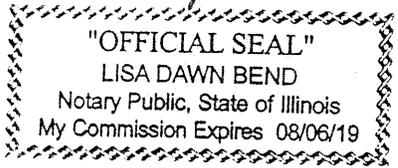
I mailed the Report Eight (8) DAYS prior to its due date
of July 15th. ON July 7th I personally hand delivered
and requested a hand stamp on the letter. I witnessed
the person at the post office stamp the report. I was
told the date stamp was illegible, however it was legible when
they stamped it.

[Signature]
Signature of Chairman/Treasurer

Subscribed and sworn to by:
Lisa Dawn Bend

Subscribed before me this 15 Day of September, 2015

Notary Public
(Signature)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
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James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



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William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
August 28, 2015

Citizens for John Trowbridge
PO Box 4321
Lisle, IL 60532

ID# 8858

7014 3490 0000 5044 4603

Dear Citizens for John Trowbridge:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contributions and Expenditures
Report Period: April 1, 2015 through June 30, 2015
Filing Period: July 1, 2015 through July 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 7/16/2015, 1 days late. As such, this committee has been assessed a fine of \$25.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink that reads "Tom Newman".

Tom Newman, Director
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 JQ 043

LIUNA AFL-CIO Local 362 PAC ID# 20149
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2015 Quarterly Report

The Report was received by the Board on 8/4/15, 14 days late, resulting in a civil penalty assessment of \$1,050. Additionally, the Committee was previously assessed a \$50 civil penalty (not appealed, stayed) for delinquent filing of the December 2013 Quarterly Report. The total assessment is \$1,100.

Eric Penn, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Penn states that the filing was his first time attempting to navigate the website to file electronically. He called Board staff for assistance and afterwards thought the Report had been filed. After later receiving notice from the Board that the Report had not been received, he again called for assistance and this time was able to complete the filing. Mr. Penn adds that the Committee is small and is trying to do things right.

In order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously utilized such a defense, I recommend the appeal be granted. (As of 6/30/15, this Committee showed a funds available balance of \$507.77)



Tom Newman – Hearing Officer
September 28, 2015

ate of Illinois)
)
ounty of: McLean)

STATE BOARD OF ELECTIONS

15 SEP -8 PM 3:59

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

THE MATTER OF;)
)
ILINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
LIUNA AFL-CIO)
)
Local 362 PAC)
Respondent(s).)

Case No. 1590043

APPEAL AFFIDAVIT

Eric Penn, the Treasurer of the
(Name) (Chairman/Treasurer)

LIUNA AFL-CIO Local 362 PAC
(Name of the Committee)

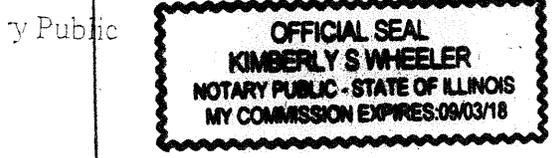
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

It was my first time navigating the website to file electronically, and I
was having difficulty I called and talked to John Levin and he was helpful
When I was done I thought that it is was filed. I was wrong because I received
a notice failure to report I was able to get help from someone else at Board of Elections
office and found what I needed to do to file and did so. We are a small
committee and are trying to do things right.

Eric Penn
Signature of Chairman/Treasurer

ed and Sworn to by:
[Signature]

me this 4 Day of September, 2015



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

August 28, 2015
ID# 20149

7015 1730 0002 1035 3837

LIUNA AFL-CIO Local 362 PAC
Ronald Morehead, Eric Penn
PO Box 3248
Bloomington, IL 61702

Dear LIUNA AFL-CIO Local 362 PAC:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contribution and Expenditures
Report Period: April 1, 2015 through June 30, 2015
Filing Period: July 1, 2015 through July 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 8/4/2015, 14 day(s) late. As such, this committee has been assessed a fine of \$1050.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2013 December	Quarterly	\$50
2015 March	Quarterly	\$750
TOTAL AMOUNT NOW DUE		\$1850.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

14 JQ 057

Illinois Education Association Local 512 CMA (ID 21000)
Respondent

REPORT OF HEARING OFFICER

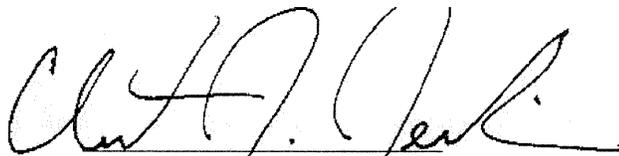
Appeal of Civil Penalty Assessment for Delinquently Filing
The June 2014 Quarterly Report

The Report was received by the Board on August 11, 2014, 19 days late, resulting in a civil penalty assessment of \$1425. In addition the committee was assessed a \$400 civil penalty (not appealed, due) for delinquently filing the September 2011 Quarterly Report; a \$2,050 civil penalty (not appealed, due) for delinquently filing the December 2011 Quarterly Report. The total assessment is \$3,875.

Albert J. Cannestra, President of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on February 02, 2015.

Attorney James Nally appeared on behalf of the Committee, also appearing by phone was Albert Cannestra. Mr. Cannestra stated that union officers are chosen each new contract period, making him a new officer at the time of the violation. He said the problem stemmed from the use of the address at Harper College by the previous officers. He stated that when mail from the State Board for the committee came to the College it would be sent from office to office in an attempt to find the correct recipient. Sometimes taking several weeks before mail reached an officer of the committee. Attorney Nally stated that the Committee sent in a change of its address yet that change was not made at the Board of Elections. Mr. Cannestra stated that a Quarterly report was filed for the December 2013 period in which he had checked the box for an address change for the committee. A letter was also sent to the Board on December 2, 2014 as another attempt to update the committee's address. He said that the information regarding this hearing, dated January 7, 2015, was again sent to the incorrect address.

It is the opinion of the Hearing Examiner that the committee made attempts to change its address using the methods accepted by the Board yet for unknown reasons those changes were never made. It is reasonable to conclude this issue regarding the committees mailing address could delay the timely filing of Disclosure reports. I recommend the appeal be granted. However, this committee should be aware of the Disclosure process and schedule going forward. (As of 6/30/15, this Committee reported a funds available balance of \$507.05).



Clinton J. Jenkins – Hearing Officer

September 16, 2015

State of Illinois)
County of: COOK)

STATE BOARD OF ELECTIONS

14 DEC -5 PM 2:13

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
ILLINOIS EDUCATION ASSOCIATION)
LOCAL 512 CMA)
Respondent(s).)

Case No. 14 99057
~~000039~~

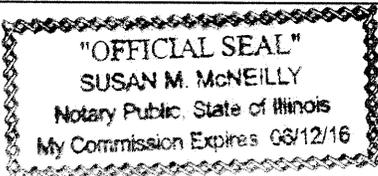
APPEAL AFFIDAVIT

I, ALBERT J. CAINESTRA, the President of the
(Name) (Chairman/Treasurer)

ILLINOIS EDUCATION ASSOCIATION LOCAL 512 CMA
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Mitigating Circumstances



[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by: Susan M. McNeilly
December before me this 2nd Day of December, 2014

Notary Public
(seal)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

November 14, 2014

Illinois Education Association Local 512 CMA ID# 21000
Harper College
1200 W Algonquin Rd
Palatine, IL 60067-7373

7013 1710 0001 8744 7599

Dear Illinois Education Association Local 512 CMA:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contribution and Expenditures
Report Period: April 1, 2014 through June 30, 2014
Filing Period: July 1, 2014 through July 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 8/11/2014, 19 day(s) late. As such, this committee has been assessed a fine of \$1425.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by December 15, 2014 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2011 September	Quarterly	\$400
2012 December	Quarterly	\$2050
TOTAL AMOUNT NOW DUE		\$3875.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink that reads "Sharon Steward". The signature is written in a cursive style with a large, looping initial "S".

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

15 DQ 050

Illinois Education Association
Local 512 CMA (ID# 21000)
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
The December 2014 Quarterly Report

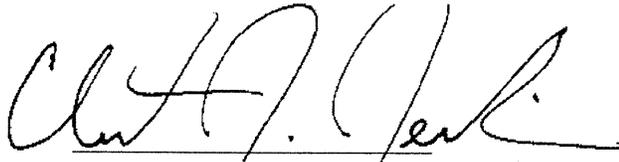
The Report was received by the Board on February 3, 2014, 12 days late, resulting in a civil penalty assessment of \$900. In addition the committee was assessed a \$400 civil penalty (not appealed, due) for delinquently filing the September 2011 Quarterly Report; a \$2,050 civil penalty (not appealed, due) for delinquently filing the December 2011 Quarterly Report; a \$1,425 civil penalty (appealed) for delinquently filing the June 2014 Quarterly Report. The total assessment is \$4,775.

Albert J. Cannestra, President of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on May 6, 2015.

Attorney James Nally appeared on behalf of the Committee, also appearing by phone was Albert Cannestra. Mr. Cannestra stated that union officers are chosen each new contract period, making him a new officer at the time of the violation. He said the problem stemmed from the use of the address at Harper College by the previous officers. He stated that when mail from the State Board for the committee came to the College it would be sent from office to office in an attempt to find the correct recipient. Sometimes taking several weeks before mail reached an officer of the committee. Attorney Nally stated that the Committee sent in a change of its address yet that change was not made at the Board of Elections. Mr. Cannestra stated that a Quarterly report was filed for the December 2013 period in which he had checked the box for an address change for the committee. A letter was also sent to the Board on December 2, 2014 as another attempt to update the committee's address. Mr. Nally provided (4) Board of Elections correspondence as exhibit that attempted to demonstrate the timeline associated with the different addresses. *Exhibit (1)*, Notice of Appeal Hearing, dated January 7, 2015, to be held on January 28, 2015 sent to the incorrect address. *Exhibit (2)*, December 2013 Quarterly report with corrected address with address change box checked. *Exhibit (3)*, civil penalty assessment letter with incorrect address. *Exhibit (4)*, December 2, 2014 Letter of Correspondence from Albert Cannestra updating the committee address.

It is the opinion of the Hearing Examiner that the committee made attempts to change its address using the methods accepted by the Board yet for unknown reasons those changes were never made. It is

reasonable to conclude this issue regarding the committees mailing address could delay the timely filing of Disclosure reports. I recommend the appeal be granted. However, this committee should be aware of the Disclosure process and schedule going forward. (As of 6/30/15, this Committee reported a funds available balance of \$507.05).

A handwritten signature in black ink, appearing to read "Clinton J. Jenkins". The signature is fluid and cursive, with a horizontal line drawn across the middle of the name.

Clinton J. Jenkins – Hearing Officer

September 23, 2015

State of Illinois)
County of: COOK)

STATE BOARD OF ELECTIONS

15 MAR 30 PM 3: 35

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
/s.)
ILLINOIS EDUCATION ASSOCIATION LOCAL 512)
Respondent(s).)

Case No. 15DQ050

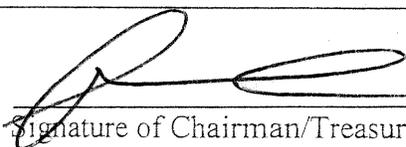
APPEAL AFFIDAVIT

Albert J. CANNESTRA, the President of the
(Name) (Chairman/Treasurer)

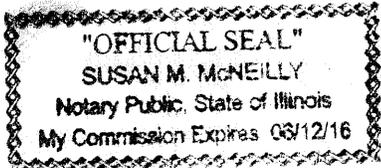
ILLINOIS EDUCATION ASSOCIATION LOCAL 512
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Mitigating Circumstances


Signature of Chairman/Treasurer

signed and Sworn to by:
Susan M. McNeilly
before me this 25th Day of March, 2015
Notary Public
(Seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

February 27, 2015

ID# 21000

Illinois Education Association Local 512 CMA
c/o Al Cannestra
553 North Ct #210
Palatine, IL 60067

7014 2120 0001 1723 4885

Dear Illinois Education Association Local 512 CMA:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: December Quarterly Report of Campaign Contribution and Expenditures
Report Period: October 1, 2014 through December 31, 2014
Filing Period: January 1, 2015 through January 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 2/3/2015, 12 day(s) late. As such, this committee has been assessed a fine of \$900.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
September 2011	Quarterly	\$400
December 2011	Quarterly	\$2050
June 2014	Quarterly	\$1425
TOTAL AMOUNT NOW DUE		\$4775.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

15 AJ 025

Friends of Ted Polashek ID# 21496
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received two \$1,000 contributions on 4/13/15, and reported both on a Schedule A-1 received by the Board on 4/30/15, 8 days late, resulting in a civil penalty assessment of \$1,000.

Chris Foltz, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Foltz states that the two contributions were entered into the IDIS electronic filing system correctly, but he says the system did not send out the A-1 report when he thought it did. Mr. Foltz says when the Committee recognized the problem, he contacted Board staff for assistance and was told to re-submit the A-1 and send a letter detailing the error, both of which he did on April 30.

In order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously utilized such a defense, I recommend the appeal be granted. However, the Committee should be cautioned to verify that all future reports are properly submitted, either by checking the "previously filed reports" section of the IDIS system, or by checking on the Board's website. (As of 6/30/15, this Committee showed a funds available balance of \$10,813.46)



Tom Newman – Hearing Officer
September 28, 2015

ate of Illinois)
)
ounty of: Cook)

STATE BOARD OF ELECTIONS

15 SEP 17 PM 1:52

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

THE MATTER OF;)
)
LINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
)
)
FRIENDS OF TED POLASHEK)
Respondent(s).)

Case No. 15A9025

APPEAL AFFIDAVIT

Chris Foltz, the Treasurer of the
(Name) (Chairman/Treasurer)
FRIENDS OF TED POLASHEK
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

- 1. The 1,000 contributions were added ~~for~~ the correct time period 4/15/15
- 2. The computer system somehow did not send AI report out when we thought it did.
- 3. We (Friends of Ted Polashek) caught the error and notified the State Board that the AI did not go out when we thought it did.
- 4. The Treasurer contacted the state board and spoke with Mike on 4/30/15 who stated re submit the AI and send a letter correspondence detailing the error and what happened which is ATTACHED. This letter was sent 4/30/15.

Chris Foltz
Signature of Chairman/Treasurer

ed and Sworn to by: [Signature]

me this 12 Day of September, 2015

y Public



Alderman Theodore J. "Teddy" Polashek

708-785-4111(4833) • polashek6thward@yahoo.com

2

21446-08

TO WHOM IT MAY CONCERN:

I validated and filed an A1 report on April 13th. After reviewing past submitted reports, it was found that the report never showed up on the "Friends of Ted Polashek" election board's filing page. After speaking with Mike from the election board at phone # 312-814-6476, he instructed me to file the A-1 once again on April 30th and to send this "letter of correspondence" to the Illinois State Election Board detailing why this error occurred.

Please see attached picture of my computer screen where the A1 indicates the itemized receipts were entered on the 13th.

Thank you,

Chris Foltz
Treasurer
Friends of Ted Polashek

2008 APR 30 11:00 AM
POLASHEK6THWARD

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Steven S. Sandvoss
August 28, 2015

BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

Friends of Ted Polashek
Ted Polashek
2114 Elmwood Ave
Berwyn, IL 60402

ID# 21496

7015 1730 0002 1035 4667

Dear Friends of Ted Polashek:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Fresh Start Day Care	4/13/2015	\$1000	4/30/2015	8	\$500
Garcia Enterprises Group	4/13/2015	\$1000	4/30/2015	8	\$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$1000.00 for the delinquent filing. This total **does not** reflect any previously assessed fines.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$100.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Newman", with a long horizontal line extending to the right.

Tom Newman, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

15 AJ 027

Friends of Sue Rezin ID# 22250
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received a \$1,500 contribution and a \$1,781.25 contribution on 6/1/15, and reported both on a Schedule A-1 received by the Board on 6/17/15, 7 days late, resulting in a civil penalty assessment of \$1,641.

Debra Packley, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Packley states that a clerical error occurred, listing the date of the \$1,500 contribution as 6/1/15, when in fact the actual date was 6/15/15. She includes a copy of the check, which shows a date of 6/8/15. Also included is a copy of a deposit registry showing both contributions in question listed as 6/1/15, but with hand-written notations that both entries represented punch errors and were both received on 6/15/15.

Based on the evidence provided, it appears both contributions were in fact timely reported, albeit with incorrect dates of receipt listed. I recommend the appeal be granted. However, I also recommend the Committee be ordered to amend its June 2015 Quarterly Report to reflect the correct dates of receipt, with the amended Report to be filed within 30 days of the date of the Final Board Order in this matter. (As of 6/30/15, this Committee showed a funds available balance of \$97,235.42)



Tom Newman – Hearing Officer
September 28, 2015

State of Illinois)
County of: GRUNDY)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

15 SEP -3 PM 2:00

THE MATTER OF:)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
)
Friends of Sue Rozier)
Respondent(s).)

Case No. 15A527

APPEAL AFFIDAVIT

DEBRA C PACKLEY, the Treasurer of the
(Name) (Chairman/Treasurer)
Friends of Sue Rozier
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please adjust the ENCLOSED FINE. A clerical error occurred stating the date of contribution was 6-1-15 when it was in fact 6-15-15. Enclosed is a copy of the check from Archer Daniels Midland Company which was not even written until 6/13/15. We received 6/15/15. Also enclosed is the deposit copy which shows the punch card of 6-1-15 deposited on 6-18-15. Both the Archer Daniels Midland check and the ADI/PAC were received 6-15-15. Please call with any questions.

[Signature]
Signature of Chairman/Treasurer



Subscribed and Sworn to by:
MARY LOWE

Witness me this 1 Day of Sept, 2015

Notary Public
Mary Lowe



VENDOR NO. 149535

CHECK NO. 455171

ARCHER DANIELS MIDLAND COMPANY BOX 1470 DECATUR, ILLINOIS 62525

The Northern Trust Company Chicago, IL 60610
Favable Through 70-2382
Northern Trust Bank/DuPage 719
Oakbrook Terrace, IL

TO THE ORDER OF
FRIENDS OF SUE REZIN
PO BOX 932
MORRIS IL 60450

DATE 06/08/15 PAY \$ *****1,500.00

ONE THOUSAND FIVE HUNDRED AND NO/100 DOLLARS

Ray Young
Ray Young

THIS DOCUMENT CONTAINS MICROPRINTING ON FRONT AND A WATERMARK ON BACK

⑈455171⑈ ⑆071923828⑆302891339⑈

NOTE: check not written
on this 6/8/15 - received
6/15/15

Deposits

31-Aug-15

Deposit #	Date	Reporting Name	Check #	Amount
	1. 6/1/2015	ABDI/PAC	In Kind	\$1,781.25
	2. 6/20/2015	Mr. Henry Roe	cc	\$25.00
				\$1,806.25
06/18/2015				
	1. 6/1/2015	Monsanto Co.	1801481808	\$500.00
	2. 6/1/2015	Intern Brotherhood Elect Workers Union Local	1591	\$250.00
	3. 6/1/2015	Archer Daniels Midland Company	455171	\$1,500.00
	4. 6/1/2015	Russel Jorstad	8616	\$30.00
	5. 6/1/2015	RICHARD E SEFTON	9251	\$15.00
	6. 6/1/2015	Mr. and Mrs. Jason Helland	1403	\$30.00
	7. 6/1/2015	Bruce Baker	7360	\$100.00
	8. 6/1/2015	ROBERT L NARVICK	694	\$30.00
	9. 6/1/2015	DANIEL N & BETTY A BEAL	3502	\$100.00
	10. 6/1/2015	KENNETH & JOAN SERENO	4239	\$30.00
	11. 6/1/2015	Dick Walker	2649	\$250.00
	12. 6/1/2015	Mike Flemming	5007	\$60.00
	13. 6/1/2015	Mr. & Mrs. Tom Tesdal	2350	\$250.00
	14. 6/10/2015	CAROL LAVEZZI	5360	\$100.00
	15. 6/10/2015	DAVID WOZNIAK	7002	\$500.00
	16. 6/10/2015	Riley's Cardinal Transport	1675	\$1,000.00
	17. 6/10/2015	Mark & Anita Schneidewind	2674	\$30.00
	18. 6/10/2015	Kenneth & Charlotte Tyrrel	9286	\$50.00
	19. 6/10/2015	DAVID GROHNE	6663	\$1,000.00
	20. 6/10/2015	KENNETH C & ALICE M ECKSTEIN	1345	\$50.00
	21. 6/10/2015	Mr. & Mrs. Robert Borgstrom	2498	\$30.00
	22. 6/10/2015	ROBERT B TROTTER	cc	\$250.00
	23. 6/10/2015	Mr. & Mrs. Scott Breslar	cc	\$100.00
	24. 6/17/2015	Peter Brummel	1108	\$100.00
	25. 6/17/2015	Action Realty	902	\$30.00
	26. 6/17/2015	Wesley Williams	3647	\$30.00
	27. 6/17/2015	John Callahan	224	\$250.00
	28. 6/17/2015	Credit Union PAC	8982	\$250.00
				\$6,915.00
06/30/2015				
	1. 6/17/2015	Mr. and Mrs. William Squires	9455	\$50.00
	2. 6/17/2015	ELEANOR HELLAND	6338	\$200.00
	3. 6/17/2015	ILLINOIS TRUCK & EQUIPMENT	42970	\$500.00

*with
ERRA
6/15/15 received*

*check
ERRA
6/15/15
received
check not
even with
until 6/8
we enclosed
copy*

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
August 28, 2015

Friends of Sue Rezin
PO Box 932
Morris, IL 60450-0932

ID# 22250

7015 1730 0002 1035 4681

Dear Friends of Sue Rezin:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Archer Daniels Midland Company	6/1/2015	\$1500	6/17/2015	7	\$750
ABDI/PAC	6/1/2015	\$1781.25	6/17/2015	7	\$891

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$1641.00 for the delinquent filing. This total *does not* reflect any previously assessed fines.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$165.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.**

Since this is a subsequent violation, if the above listed violation is not appealed, the previously stayed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
TOTAL AMOUNT NOW DUE		\$165

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Newman", with a horizontal line extending to the right.

Tom Newman, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

14 SQ 080

Democratic Party of the 29th Ward (ID# 23266)

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing

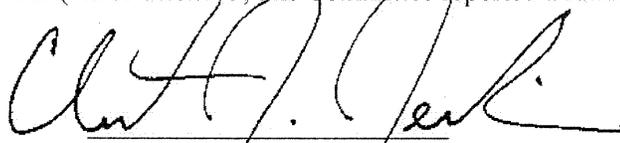
The September 2014 Quarterly Report

The Committee failed to file timely a September 2014 Quarterly Report resulting in a \$50.00 civil penalty. Additionally, this Committee was assessed a \$375 civil penalty (appealed, reduced, due) for delinquently filing a 1st Quarter 2012 Schedule A-1 report; a \$375 civil penalty (appealed, reduced, due) for delinquently filing a 4th Quarter 2012 Schedule A-1 report; a \$2250 civil penalty (appealed, denied, due) for delinquently filing 2 2nd Quarter 2013 Schedule A-1 reports; and a \$500 civil penalty (appealed, denied, due) for delinquently filing a 4th Quarter 2013 Schedule A-1 report. The total assessment is \$3550.

Michael J. Kasper, Attorney of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on May 6, 2015.

Attorney Michael J. Kasper appeared on behalf of the Committee. Mr. Kasper stated the committee filed its report approximately 5 minutes late due to issues with the IDIS filing website. The individual responsible for filing the committee's report, Barb Mason, attended the hearing by phone. Ms. Mason stated that her late filing can be attributed to the problems associated with the IDIS filing server. She stated the IDIS filing website server was not equipped with adequate memory to accommodate the volume of data of the committees filing on the last day of the filing period. She alleged these issues caused her and many other committee's computers to freeze and shut down. Ms. Mason stated that the State Board and its IT staff is aware of the issues from that day and have been working to fix the problem since.

Being consistent with previous Board decisions where an electronic filing issue prevented filing, I recommend the appeal be granted. (As of 06/30/15, this Committee reported a funds available balance of \$2,618.56).



Clinton J. Jenkins – Hearing Officer

August 6, 2015

STATE BOARD OF ELECTIONS

15 MAR 13 PM 1:44

State of Illinois)
County of: Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Democratic Party of the 29th Ward Deborah)
Respondent(s): Graham)

Case No. 1450080

APPEAL AFFIDAVIT

I, Michael J. Kasper, the Attorney of the
(Name) (Chairman/Treasurer)
Democratic Party of the 29th Ward Deborah Graham
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

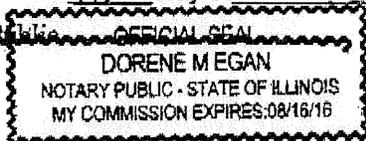
Penalty was wrongfully assessed. Reasons to be presented at the hearing.

[Signature]
Signature of Chairman/Treasurer
Attorney

Signed and Sworn to by:
Michael J. Kasper

before me this 13 Day of March, 2015

Notary Public
(seal)



[Signature]

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

February 27, 2015

ID# 23266

Democratic Party of the 29th Ward
201 W Lake St, #139
Chicago, IL 60606-1803

7014 2120 0001 1722 6989

Dear Democratic Party of the 29th Ward:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2014 through September 30, 2014
Filing Period:	October 1, 2014 through October 15, 2014

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 10/16/2014, 1 day(s) late. As such, this committee has been assessed a fine of \$50.00.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.*

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
March 2012	A1	\$375
March 2012	A1	\$375
2014	Multi-Assessment	\$1000
2014	Multi-Assessment	\$500
2014	Multi-Assessment	\$1250
TOTAL AMOUNT NOW DUE		\$3550.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink that reads "Sharon Steward". The signature is written in a cursive style with a large, looping initial 'S'.

Sharon Steward, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

15 JQ 123

Avon Township Democrats

Cmte ID: 26097

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing the June 2015 Quarterly Report

The Report was received July 27th, 2015, 8 days late, resulting in an assessment of \$800. The Committee was previously fined \$350 (not appealed, stayed) for delinquently filing the September 2014 Quarterly Report. The total assessment is \$1150.

Jeanne Kearby, the Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Ms. Kearby stated she entered data for the Report into the IDIS electronic filing system in advance of the July 15th deadline and validated the Report to make sure there were no errors. Upon receiving notice from the system that there were no errors found, she mistakenly believed the Report had been uploaded. She later realized she had omitted the final step of uploading the Report.

Section 100.150 5) of the Rules accompanying the Campaign Finance Act reads, in part, "If a Committee is assessed a civil penalty and, in the course of its appeal, raises the defense that computer related issues prohibited the timely filing of an electronic Report, the Board may consider that defense when determining the final outcome of the appeal." This is the first time the Committee has used an electronic filing defense. Accordingly, I recommend the appeal be granted and the Committee extended a one-time waiver of the penalty associated with the delinquent filing of the Report in question. As of June 30th, 2015, the Committee's reported balance was \$6,079.02.



John Levin – Hearing Officer
September 10th, 2015

State of Illinois)
County of: _____)

STATE BOARD OF ELECTIONS

15 SEP -8 PM 4:00

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant

Vs.)
Avon Township Democrats)
Respondent(s).)

Case No. 1590123
26097

APPEAL AFFIDAVIT

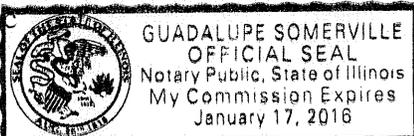
Jeanne Kearby, the Treasurer of the
(Name) (Chairman/Treasurer)
Avon Township Democrats
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I, Jeanne Kearby, completed my first Quarterly Report of Campaign Contributions and Expenditures for June. I put the data in online before the due date of July 15. I then submitted the report for errors and received a notice that there were no errors detected. When I received the letter stating a report was not submitted, I discovered my error. I needed to do a second submit step. I am certain this will not happen again as long as I am the treasurer. I am hoping the fines will be waived. Thank you for your attention to this appeal.

Jeanne L. Kearby
Signature of Chairman/Treasurer

signed and Sworn to by:
Guadalupe Somerville
before me this 3rd Day of September 2015

Notary Public Seal)


STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

August 28, 2015

ID# 26097

7014 3490 0000 5044 5426

Avon Township Democrats
PO Box 715
Round Lake Beach, IL 60073

Dear Avon Township Democrats:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2015 through June 30, 2015
Filing Period:	July 1, 2015 through July 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/27/2015, 8 day(s) late. As such, this committee has been assessed a fine of \$800.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.***

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
September 2014	Quarterly	\$350
TOTAL AMOUNT NOW DUE		\$1150.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

15 DQ 158

Iqbal for Elgin (ID# 27287)
Respondent

REPORT OF HEARING OFFICER

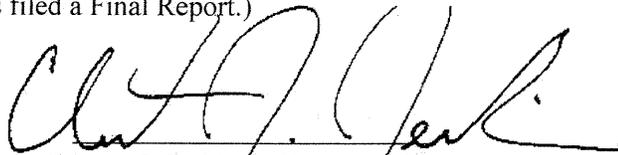
Appeal of Civil Penalty Assessment for Delinquently Filing
The December 2014 Quarterly Report

The Report was received by the Board on January 22, 2015, 4 days late, resulting in a civil penalty assessment of \$100. The total assessment is \$100.

Mohammad Iqbal, Chairman and Treasurer of the Committee, filed a Request for Hearing and submitted an Appeal Affidavit. The appeal hearing was held on May 06, 2015.

Mohammad Iqbal appeared on behalf of the Committee. Mr. Iqbal stated that he opened his committee on December 9, 2014. Later the same month he received a letter from the Board, dated December 9, 2014, notifying him that his committee was obligated to file under the Illinois Campaign Disclosure Act. The letter stated that the reporting period was January 1, 2015 to March 31, 2015 and the filing period was in April of the next year. The letter did not express that the committee needed to file for the remaining days of December 2014. Mr. Iqbal was not aware he was required to file a report by January 15th until receiving a letter informing him that he had missed said deadline. Upon receiving the letter from the Board Mr. Iqbal made steps to file the December 2014 Quarterly report. Mr. Iqbal provided the letter he received from the Board dated December 9, 2014 as part of his defense.

The Hearing Examiner believes that this committee was not given proper notification and adequate notice of its obligation to file the report in question. I recommend the appeal be granted. If this recommendation is accepted by the Board, civil penalty will be waived. (As of 3/31/15, this Committee reported a funds available balance of \$0 and has filed a Final Report.)



Clinton J. Jenkins – Hearing Officer

September 8, 2015

ate of Illinois)
ounty of: Kane)

STATE BOARD OF ELECTIONS

15 MAR 20 PM 2:18

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

THE MATTER OF;)
ILINOIS STATE BOARD OF ELECTIONS,)
Complainant)
s. IQBAL FOR ELGIN)
Respondent(s).)

Case No. ID# 27287
15 DQ158

APPEAL AFFIDAVIT

Mohammad IQBAL, the Chairman and Treasurer of the
(Name) (Chairman/Treasurer)
IQBAL FOR ELGIN
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

December quarterly report was submitted 4 day late. It was my first submittal for the committee and I was not clear on the rules. Therefore, I request that the penalty assessment be waived or stayed. Thank you for your consideration. However, the Board did not notify me that report for a few day between formation of committee and the 12/31/14 was to be submitted.

Mohammad Iqbal
Signature of Chairman/Treasurer

ned and Sworn to by: Mohammad Iqbal
Anna Rederer
ore me this 5 Day of March, 2015

ary Public
d) [Signature]



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S. MacArthur Blvd
Springfield, Illinois 62704-4503
217/782-4141 TTY: 217/782-1518
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago, Illinois 60601-3232
312/814-6440 TTY: 312/814-6431
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
December 9, 2014

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

Dear Political Committee:

Your committee is obligated to file under the Illinois Act to Regulate Campaign Financing, Public Act 78-1183.

Report Type: Quarterly Report of Campaign Contributions and Expenditures.

Reporting Periods: 1st- January 1, 2015 thru March 31, 2015, 2nd- April 1, 2015 thru June 30, 2015, 3rd- July 1, 2015 thru September 30, 2015, and 4th- October 1, 2015 thru December 31, 2015.

Filing Periods: 1st- April 1 thru April 15, 2015, 2nd- July 1 thru July 15, 2015,
3rd- October 1 thru October 15, 2015, and 4th- January 4 thru January 15, 2016.

For political committees created during the reporting period, the reporting period begins with the date of creation and continues through the end of the reporting period.

Reports may be filed in either the Springfield or Chicago offices at the addresses above or via electronic filing. The Board must receive this report by 5:00 p.m. when filing on paper or by 11:59:59 p.m. on the last day of filing when filing electronically. Reports must be postmarked at least 72 hours prior to the filing deadline to be considered a timely filing if received after the deadline. Overnight expressed reports to the Springfield office must be to the ADDRESS shown on this letterhead.

If the Board does not receive this report on time, the Board will issue an order assessing a civil penalty not to exceed \$5,000. Please note: we will no longer be mailing out reminder letters to those committees from whom we have not received reports by midway through the filing period.

D-2 quarterly reports are due each quarter regardless of whether the committee has had any activity or not. Items to be reported on the D-2 form include the reporting period quarter, beginning funds available, Section A 'Receipts' (Individual Contributions, Transfers In, Loans Received, Other Receipts, In-Kind Contributions), Section B 'Expenditures' (Transfers Out, Loans Made, Expenditures, Independent Expenditures), Section C 'Debts and Obligations' (including debts from previous years that remain outstanding), Section D 'Cash Balance' and Investments. The report must be dated and signed by the committee treasurer, or for a candidate committee, by the treasurer or the candidate. Remember that any committee that has \$10,000 or more in receipts, expenditures, or a balance at any time during the reporting period, must file its disclosure report electronically. Furthermore once a committee has qualified to file electronically, all subsequent reports must also be filed electronically.

I invite you to use our website whenever possible. You will find all of your required forms available for printing, plus all of the agency pamphlets relating to your reporting requirements. Many of the answers to your questions can be found by looking in these campaign disclosure pamphlets. I also urge you to file your reports electronically. Filing electronically is safe, fast, and accurate. The electronic service is available 24 hours a day and 7 days a week. Our website address is: www.elections.il.gov

My staff and I are available to answer any questions you may have.
Respectfully,

Sharon S. Steward
Director, Campaign Disclosure
Enclosure(s)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Bryan A. Schneider
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
February 27, 2015

Iqbal for Elgin
Mohammad Iqbal
860 Ruth Drive
Elgin, IL 60123

ID# 27287

7014 2120 0001 1723 4717

Dear Iqbal for Elgin:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: December Quarterly Report of Campaign Contributions and Expenditures
Report Period: October 1, 2014 through December 31, 2014
Filing Period: January 1, 2015 through January 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 1/26/2015, 4 days late. As such, this committee has been assessed a fine of \$100.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by March 27, 2015 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Sharon Steward".

Sharon Steward, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

15 AJ 001

Macon County Democratic Central Committee ID# 155
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received a \$1,000 contribution on 4/29/15 and a \$1,000 contribution on 4/30/15, and reported both on a Schedule A-1 received by the Board on 7/14/15, 47 and 46 days late respectively, resulting in a civil penalty assessment of \$1,000. Additionally, the Committee was previously assessed a \$375 civil penalty (appealed, denied, paid) for delinquently filing 2 Schedule A-1s in the second quarter of 2014, and a \$200 civil penalty (not appealed, paid) for delinquently filing 3 Schedule A-1s in the second quarter of 2012. The total assessment is \$1,000.

April Kostenski, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Kostenski states that at the time the contributions were received, the Committee Treasurer was late in her third trimester of pregnancy and was unable to handle reporting duties. She subsequently resigned, the new Treasurer was added on July 13 and the A-1 was filed the next day. The Committee asks for leniency due to the personal circumstances involved.

I recommend the appeal be denied for lack of an adequate defense. Although there is no indication the violations were anything other than inadvertent and unintentional, since this is the third set of A-1 violations for this Committee I recommend the penalty remain at 100% of the original assessment, or \$1,000. If these recommendations are accepted by the Board, the \$1,000 civil penalty will be due and owing. (As of 6/30/15, this Committee showed a funds available balance of \$16,231.45)



Tom Newman – Hearing Officer
September 28, 2015

State of Illinois)
County of Macon)

STATE BOARD OF ELECTIONS
15 SEP -8 PM 3:59

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Macon Co. Dem Central Co)
Respondent(s).)

Case No. 15A9001

APPEAL AFFIDAVIT

I, April Kostenski, the Treasurer of the
(Name) (Chairman/Treasurer)
Macon County Democratic Central Committee
(Name of the Committee)

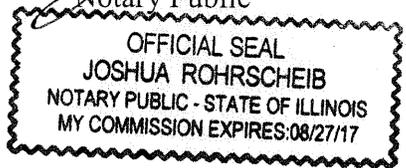
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

At the time the contributions were received, the Committee's treasurer was late in her final trimester of pregnancy and was unable to handle reporting duties, she subsequently resigned. The Committee's new Treasurer was added on July 13, 2015. The A-1 was filed the next day, July 14, 2015.

The Committee apologizes for its failure to promptly report the contribution and asks for leniency due to the personal circumstances involving our then-treasurer when the reporting was due.

Signed and Sworn to by: April Kostenski
April Kostenski
before me this 4th Day of
September, 2015
Josh Rohrscheib
Notary Public

April Kostenski
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

August 28, 2015

Macon County Democratic Central Committee ID# 155
PO Box 243
Decatur, IL 62525-0243

7015 1730 0002 1035 4957

Dear Macon County Democratic Central Committee:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
IUOE Local 150	4/29/2015	\$1000	7/14/2015	47	\$500
Mid-Central Illinois District Council of Carpenters	4/30/2015	\$1000	7/14/2015	46	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1000.00 for the delinquent filing. This total *does not* reflect any previously assessed fines.

Under the Board's present policy, since this is the third delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1000.00, (100% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.**

Since this is a subsequent violation, if the above listed violation is not appealed, the previously stayed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Newman", with a long horizontal line extending to the right.

Tom Newman, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 JQ 001

York Township Democratic Organization ID# 206
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2015 Quarterly Report

The Report was received by the Board on 8/2/15, 12 days late, resulting in a civil penalty assessment of \$1,200. Additionally, the Committee was previously assessed a \$325 civil penalty (appealed, denied, stayed) for delinquent filing of the March 2015 Quarterly Report. The total assessment is \$1,525.

William McCarthy, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. McCarthy states that the late filing was the result of problems he had reporting the sale of an investment in the IDIS electronic filing system. He says the Committee cashed out a matured CD in June, but he was unable to get the system to recognize interest income from the investment as well as the principal payoff. Mr. McCarthy says he spent several days beyond the end of the filing period struggling with the problem, and ultimately had to file the Report without showing the interest income. He adds that if Board staff can help to amend the Report accurately, the Committee will do so.

The IDIS system does in fact allow for separate entries for interest received from an investment and the sale of an investment, all within the same window of options for adjustments to an investment entry. Additionally, assistance from Board staff is typically just a phone call away. I am unclear why Mr. McCarthy did not seek this assistance, especially after struggling with a problem for nearly three weeks before filing an inaccurate Report. I therefore recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay will be lifted from the previous assessment, and the total penalty of \$1,525 will be due and owing. I also recommend the Committee be ordered to amend its June 2015 Quarterly Report to address the investment reporting issue, with the amendment to be filed within 30 days of the Final Board Order in this matter. (As of 6/30/15, this Committee showed a funds available balance of \$5,228.18)



Tom Newman – Hearing Officer
September 28, 2015

State of Illinois)
)
County of: DUPAGE)

STATE BOARD OF ELECTIONS
15 SEP 22 PM 12:47

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
vs. YORK TOWNSHIP DEMOCRATIC ORGANIZATION)
)
Respondent(s).)

Case No. 1599001

APPEAL AFFIDAVIT

WILLIAM C. MCCARTHY, JR., the TREASURER of the
(Name) (Chairman/Treasurer)
YORK TOWNSHIP DEMOCRATIC ORGANIZATION (I.D. # 206)
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please see attached signed and notarized full explanation.

WCMcCarthy
Signature of Chairman/Treasurer

signed and Sworn to by:
William C. McCarthy, Jr.

before me this 16 Day of September 2015

Notary Public
(Signature)



Assessment Appeal Affidavit Deposition to S.B.O.E.
Filing Period: April 1st to June 30th , 2015
York Township Democratic Organization, ID # 206
Appeal Affidavit Date: Sept. 16, 2015

It looks bad that our organization failed to submit timely D-2 reports for two (2) quarters in a row – 3/31/15 and 6/30/15. Still, it's true. The problem we had related to the first quarter report were spelled out in the Appeal Affidavit that we filed on 7/7/15. The problems we had filing the second quarter report are very different and we will explain them below.

Y.T.D.O. owned (2) Certificates of Deposit until 6/23/15. They were purchased a long time ago from TCF Bank and they were valued at \$554.93 and \$472.80 on the IDIS3 system as of 3/31/15 per our D-2 report of that date. Before 6/16/12 these two were combined into one CD, Acct. ***3620. Within the 7-day maturity date renewal period, the bank posted interest to maturity at \$0.03 and we cashed out the certificate for \$1,028.16.

Y.T.D.O.'s D-2 report for 3/31/15 showed the value of this C.D. at \$1,027.73, and it was my job for the 6/30/15 report to get it off the books because the CD matured and we cashed it out. I wanted to credit \$2.09 Interest income earned for the year to current income and increase the value of our cash balance to account for both the interest income and the transfer of principal from the investment account back into the operating (checking) account. The IDIS3 system wouldn't let me do it.

I couldn't get the IDIS3 Investment Schedule to zero out without the report showing the whole CD payoff balance as current income, which isn't true. I couldn't get the system to recognize interest income and principal payoff separately. The IDIS3 system would only recognize growth in value of the investment in order to zero out the asset. I wanted to show the interest income for the current period but when I did the asset wouldn't zero out.

The only way I could file a report was to adjust the value of the CD to the amount the bank paid off and then to zero out the whole CD and show it as current income during the period 4/1/15 to 6/30/15. Regrettably, income during the period is overstated by \$1,026.07 because on 6-15-14, the CD's last maturity date, its value was \$1,026.07.

This subsequent problem with the IDIS3 system, **all over \$2.09 of interest income**, resulted in our suffering delays trying to figure this out and filing an inaccurate report on 8-2-15, (8) days late, just to get your IDIS3 system to accept any report from us and File it. This is not good.

This whole experience is very frustrating and highly infuriating. I'd like to get this income reporting accurate but I don't know how. I took more time beyond your deadline to try to figure it out. I don't think we deserve a fine but if one of your SBOE staff could help us to amend it accurately, we will.

We attach a package of documents that support all of this work, the figures discussed, and the delay we suffered trying to work it out. We affirm there is no bad will here and no attempt to evade or postpone disclosure.

Signature of Chairman or Treasurer:

William C. McCarthy, Jr. 9/16/15
William C. McCarthy, Jr., Treasurer (Date)

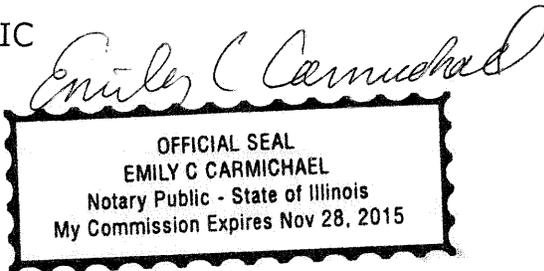
Attachments: (5)

Signed & Sworn To by William C. McCarthy, Jr.:

Before Me this 16 day of September, 2015

NOTARY PUBLIC

(SEAL)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

August 28, 2015
ID# 206

York Township Democratic Org
Gary Kleppe
363 N. Lincoln Ave
Villa Park, IL 60181

Dear York Township Democratic Org:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contribution and Expenditures
Report Period: April 1, 2015 through June 30, 2015
Filing Period: July 1, 2015 through July 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 8/2/2015, 12 day(s) late. As such, this committee has been assessed a fine of \$1200.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
March 2015	Quarterly	\$325
TOTAL AMOUNT NOW DUE		\$1525.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

*Received 9/14/15
By WCM=C*

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE BOARD OF ELECTIONS

STATE OF ILLINOIS



EXECUTIVE DIRECTOR

Steven S. Sandvoss

BOARD MEMBERS

- Charles W. Scholz, Chairman
- Ernest L. Gowen, Vice Chairman
- William J. Cadigan
- Andrew K. Carruthers
- Betty J. Coffrin
- John R. Keith
- William A. McGuffage
- Cassandra B. Watson

2329 S. MacArthur
 Springfield, Illinois 62704
 217/782-4141
 Fax: 217/782-5959

James R. Thompson Center
 100 West Randolph, Suite 14-100
 Chicago, Illinois 60601
 312/814-6440
 Fax: 312/814-6485

Chairman Gary Kleppe
 363 N Lincoln Ave
 Villa Park, IL 60181

7/22/2015

York Township Democratic Org
 Committee ID: 206

Dear Chairman Gary Kleppe:

Our records indicate that your committee has failed to file the following document during the filing period.

Report Type: Quarterly Report of Campaign Contributions and Expenditures
 Report Period: Apr 1, 2015 through Jun 30, 2015
 Filing Period: Jul 1, 2005 through Jul 15, 2015

Based upon your committee's failure to comply with the provisions of 10 ILCS 5/9-10 of the Election Code, it is being assessed a civil penalty for each day this report remains unfiled.

After your report is received in this office, you will be mailed an assessment notice, advising you of the amount of the civil penalty being assessed against this committee. You will also be provided with forms with which to appeal such penalty, if you feel you have been assessed such penalty in error. Notice of Appeal must be filed within 30 days of the mailing of this assessment notice. If you fail to file a Notice of Appeal within this 30 day period of time, you forfeit the right to contest this assessment notice at any time in the future.

The assessed civil penalty shall be stayed for a first time violation. Any subsequent violation of any provision of Article 9 of the Election Code or of a Board Order shall result in the assessment of another penalty as provided in Section 125.425 of the Rules and Regulations governing the State Board of Elections, with that civil penalty and any previously assessed penalty to be paid within 30 days.

If you fail to file a report, a complaint may be filed against this committee.

My staff and I are available to provide assistance in this matter.

Sincerely yours,

Tom Newman
 Director of Campaign Disclosure

*Received 9/9/15
 By WCM/C.*



TCF NATIONAL BANK
 800 BURR RIDGE PKWY
 BURR RIDGE, IL 60527-5618

$$\begin{array}{r}
 \$1026.07 \\
 \times 0.002 \\
 \hline
 \$ 2.05214 \\
 \div 360 \\
 \hline
 \$0.0057/\text{DAY} \\
 \times 14 \text{ days} \\
 \hline
 \$0.0798
 \end{array}$$



0101



Z 20
 YORK TOWNSHIP DEMOCRATIC ORG
 191 SADDLE BROOK DR
 OAK BROOK IL 60523-2652



000340

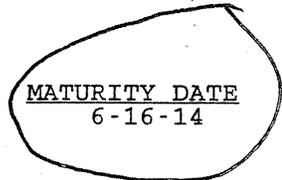
\$1,026.07 = Value 6/16/14

+ 0.08 ← Interest, 6/17/14 To 6/30/14

\$ 1,026.15

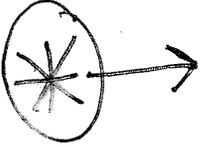
CERTIFICATE MATURITY NOTICE

<u>ACCOUNT NUMBER</u>	<u>BALANCE AT MATURITY</u>	<u>CURRENT TERM</u>	<u>MATURITY DATE</u>
*****3620	\$1,026.07	12 MONTHS	6-16-14



Thank you for providing TCF Bank with the opportunity to serve you!

We're contacting you today to remind you that your Certificate of Deposit (CD) noted above will mature on 6-16-14. You do not need to do anything if you want your certificate to automatically renew for 12 months through a maturity date of 6-16-15. The interest will simply be adjusted to reflect the 6-16-14 rate for automatically renewing certificates having a balance of \$1,026.07.



Information about the automatically renewing rate and annual percentage yield will be available beginning 6-16-14 by calling 1-800-823-2265 or 612-823-2265 (Twin Cities Metro area). If you would like to make any changes to your CD, you have up to seven days to do so after the maturity date, or until 6-23-14.

See the enclosed Terms and Conditions for Certificates for current terms and early withdrawal penalties that apply to your account.

Also, just a reminder that TCF provides many other services that may interest you. In addition to CDs, we offer a full range of checking, savings and money market accounts. Value and convenience - it's a better way! Talk to a TCF representative at any of our many locations or call 1-800-823-2265 or 612-823-2265 (Twin Cities Metro area) for more information.

Again, thank you for banking at TCF. Member FDIC.

*auto-Renewed for 12 mo.
 at 0.20%/YR., with \$25.00
 Min. Penalty fee to Epi if C.D.*



TCF NATIONAL BANK
 800 BURR RIDGE PKWY
 BURR RIDGE, IL 60527-5618



0101



Z 20
 YORK TOWNSHIP DEMOCRATIC ORG
 APT 1B
 1200 SAINT JAMES PL
 GLEN ELLYN IL 60137-7803



000059

CERTIFICATE MATURITY NOTICE

<u>ACCOUNT NUMBER</u>	<u>BALANCE AT MATURITY</u>	<u>CURRENT TERM</u>	<u>MATURITY DATE</u>
*****3620	\$1,028.13	12 MONTHS	6-16-15

Thank you for providing TCF Bank with the opportunity to serve you!

We're contacting you today to remind you that your Certificate of Deposit (CD) noted above will mature on 6-16-15. You do not need to do anything if you want your certificate to automatically renew for 12 months through a maturity date of 6-16-16. The interest will simply be adjusted to reflect the 6-16-15 rate for automatically renewing certificates having a balance of \$1,028.13.

Information about the automatically renewing rate and annual percentage yield will be available beginning 6-16-15 by calling 1-800-823-2265 or 612-823-2265 (Twin Cities Metro area). If you would like to make any changes to your CD, you have up to seven days to do so after the maturity date, or until 6-23-15.

See the enclosed Terms and Conditions for Certificates for current terms and early withdrawal penalties that apply to your account.

Also, just a reminder that TCF provides many other services that may interest you. In addition to CDs, we offer a full range of checking, savings and money market accounts. Value and convenience - it's a better way! Talk to a TCF representative at any of our many locations or call 1-800-823-2265 or 612-823-2265 (Twin Cities Metro area) for more information.

Again, thank you for banking at TCF. Member FDIC.

*Bank added \$0.03 interest to
 6/23/15 to close out at \$1,028.16.*

C.D. 7/9/09

6/23/15 Value = \$ 477.96

IDIS - 472.80

UNDER VALUE = \$ 5.16

C.D. 11/4/06

7/9/09 Value (Latest) \$ 554.93

IDIS Value (7/9/09) 554.93

accurate Value - 0 -

~~C.D.~~
C.D. Cash Out at \$ 1,028.16
Less 11/4/06 C.D. - 554.93
7/9/09 C.D. Value \$B \$ 473.23

7-31-14 \$ 0.18

9-30-14 2.40

12-31-14 0.53

3-31-15 0.52

6-23-15 ± 0.43

\$ 4.06

7/9/09 C.D. Value \$B \$ 473.23

3-31-15 IDIS Value 472.80

6/23/15 adjust \$ 0.43

Interest Accrued.

~~System won't take it~~

Contact Us Help Exit

- Home
- Accounts
- Payments
- Transfers
- Services
- Products
- Mobile

My Bank Alerts & Messages Preferences

Today's Date: Sunday, July 26, 2015
Last Login: Wednesday, June 3, 2015 11:30:58 AM CDT

My Bank

Printable View

Welcome YORK TOWNSHIP DEMOCRATIC ORG.

For checking and savings accounts, your available balance shown below is the amount available for withdrawal as of the date and time displayed. Click on the account to see additional information, including funds that may not be reflected in your available balance.

My Bank View: All Accounts

Account Balances

Info Center

View Alerts: (4 unread)

View Reminders:

marketing image

Checking

Account Name	Account Number	Available Balance	As Of
Free Community Group Checking	*****0591	\$5,228.18	07/26/2015 14:51
Main Checking - Closed	*****3072	\$0.00	01/04/2014 23:59
TCF Small Business Checking - Closed	*****0371	N/A	
Checking Total:		\$5,228.18	

Certificates

Account Name	Account Number	Current Balance	As Of
Certificate - 12 months - Closed	*****3620	\$0.00	07/26/2015 14:51
Certificates Total:		\$0.00	

Transactions

Select Account: Main Checking - *****3072

Date	Transaction	Description	Amount
------	-------------	-------------	--------

Top of Page

Home | Accounts | Payments | Transfers | Services | Products | Mobile

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*certificate of Deposit
Maturity 6-15-15 at
\$1,028.16 + close-out.
Transferred to checking.
Done on 6/23/15/WCM=C*

6/23/15

Withdrawal Ticket

Checking Savings Money Market

06/23/2015 2869223620
2869223620

Account Number

WCM McCarthy

Customer Signature 2869223620

W

1,028.16

Cash	1028.16
CHECK NUMBER	
BK092	TL25729 BR00254
OC/MO	REF#49950102623
Total	1028.16
BK092	TL25729 BR00254
	REF#49951102647

P

ID Required: PIN CC w/ PIN DL or ID #

WCM

Approval Initials

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Transfer of Matured
C.D. Balance \$1,028.16
into YT00 checking
acct.



TCF® makes paying your bills easy. TCF Online Bill Pay puts you in control, making it fast and easy to manage most of your payment needs from a single page. Don't wait - enroll today at tcfbank.com.

TRANSACTION RECEIPT

Date 06/23/2015
Account/Card CD ****3620
Transaction Amount 1,028.16
Current Balance 0.00

W TL25729BR00254 REF#49951102647

Your current balance is your available balance plus funds not yet available for withdrawal. All transactions are subject to proof and verification. Please keep this receipt for your records. Deposits may not be available for immediate withdrawal. Transactions accepted after the branch cutoff time will be processed as of the next Business Day. See a TCF Representative for more details.

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Need flexible borrowing options? Let TCF Bank® help find a loan product that's right for you. Talk to an experienced TCF loan officer at 1-800-TCF-LEND/ 1-800-823-5363 or visit us online at tcfbank.com and apply for a loan today. TCF Bank is an Equal Housing Lender.

TRANSACTION RECEIPT

Date 06/23/2015
Account/Card CHECKING ****0591
Transaction Amount 1,028.16
Cash In 1,028.16
Cash Back 0.00

NEW BALANCE = 5228.18

D TL25729BR00254 REF#49952102725

Your current balance is your available balance plus funds not yet available for withdrawal. All transactions are subject to proof and verification. Please keep this receipt for your records. Deposits may not be available for immediate withdrawal. Transactions accepted after the branch cutoff time will be processed as of the next Business Day. See a TCF Representative for more details.

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DC Debit Card
 ATM Teller Withdrawal
 AD Automatic Deposit
 AP Automatic Payment
 BP Online Bill Pay
 T Online or Phone Transfer

NUMBER OR CODE	DATE	TRANSACTION DESCRIPTION	PAYMENT, FEE WITHDRAWAL (-)	FEE	DEPOSIT, CREDIT (+)	
						\$4275.02
1068	4/1	Ann Marie Tosta	\$.40 -			4235.02
		St. Pats Decorations				
	4/8	BRADLEY PHILLIPS			65 -	4300.02
		LATE ST. PATS DINNER TICKET				
	5/6	4-14-15 STMT. BALANCES				→ 4300.02
	5/6	Treasurer Report Balance				→ 4300.02
	6/3	5-12-15 STMT. Balances				→ 4300.02
	6/3	Treasurer Report Balance				→ 4300.02
1069	6/3	DPDC GOLF OUTING HOLE SPONSORSHIP	100 -			4200.02
	6/23	CLOSE OUT C.D. TRANSFER TO CHECKING			1028.16	5228.18
	7/7	Treasurer report Balance				→ 5228.18
	7/7	6-11-15 Stmt. Balances				→ 5228.18
1070	7/23	U.S. P.O. Renew P.O. Box 1233 T.S. 731-16	56 -			5172.18
	8/2	7-14-15 STMT. BALANCES				→ 5172.18
	8/2	Treasurer Report Balance				→ 5172.18
	8/15	8-13-15 Stmt Balances				→ 5172.18
	9/2	ACT BLUE ST. PATS TICKETS			384.19	5556.37

July 26, 2015
York Township Democratic Organization
Financial Report for June, 2015

Checking account Balance as of 6-1-15	\$4,300.02	
Certificate of Deposit Balance as of 6-1-15	\$1,028.04	
Total Cash on Hand, 6-1-15		\$ 5,328.06

Income:

Event Income - St. Pat's Fundraiser	\$	-
Book Sales	\$	-
Donations	\$	-
Other Income	\$	-
C.D. Interest:		
16 Days Interest \$0.0057 Per Day	\$	0.09
C.D. Interest Adjustment due to Bank Annual Posting	\$	0.03
Total Income, June, 2015		\$ 0.12

Expenses:

Ck. #	Payee	Amount	
1070 D.P.D.C.	Golf Outing Hole Sponsorahip	\$ 100.00	
		\$ -	
		\$ -	
		\$ -	
		\$ -	
	Total Expenses, June, 2015		\$ 100.00

Net Income (Loss) for this period \$ (99.88)

C.D. matured at \$1,028.16 on 6-16-15 & Balance Transferred into Checking Acct.:

Add to Checking Account \$1,028.16 Matured C.D. Balance	\$ 1,028.16
Subtract C.D. Balance from YTDO Reporting to Zero It Out at Maturity	\$ (1,028.16)

Checking account Balance as of 6-30-15	\$5,228.18	
Certificate of Deposit Balance as of 6-30-15	\$ 0.00	
Total Cash on Hand, 6-30-15		\$ 5,228.18
Check-Sum		\$ 5,228.18

PLEASE NOTE: The IDIS3 SBOE Reporting System required an Amendment due to C.D. interest for 4th Qtr. 2014. Done.
 NOTE: Form D-2 for 2015-1st Quarter was filed late with SBOE through IDIS3 due to Q4 Amendment lock-out.
 NOTE: SBOE fined YTDO \$325.00 for tardy Q1 2015 D-2 filing. Report filed 5-4-15 & fine appealed on 7-7-15.

PLEASE NOTE: Based on our just-completed Audit, the State Board of Elections requested that YTDO adjust its D-2 reports for the quarters ending 12/31/12 and 9/30/13. Their Mr. Michael Crowley assisted me with IDIS3. I was shown how to prior-period adjustments but I still have to select the items to be adjusted per their amounts.

Respectfully Submitted,

Bill McCarthy, Jr.

Mr. W.C. "Bill" McCarthy, Jr., Treasurer

TCF NATIONAL BANK
800 BURR RIDGE PKWY
BURR RIDGE, IL 60527



STATEMENT DATE
06-11-15

5441660591
0

S T A T E M E N T

0 232 92
YORK TOWNSHIP DEMOCRATIC ORG
PO BOX 1233
LOMBARD IL 60148-8233

LIFE IS ALWAYS CHANGING. AND WE'RE CHANGING WITH IT. YOU'LL BEGIN TO SEE A FRESH NEW LOOK - INCLUDING A NEW WEBSITE - AND A FRESH APPROACH TO BEING THE KIND OF BANK THAT FITS THE RHYTHM OF YOUR LIFE. LEARN MORE - VISIT THE NEW TCFBANK.COM.

FREE COMMUNITY GROUP CHECKING

ACCOUNT NUMBER 5441660591

STATEMENT PERIOD 05-13-15 THROUGH 06-11-15

ACCOUNT SUMMARY	<u>BALANCE 05-12-15</u>	<u>CHECKS/WITHDRAWALS</u>	<u>DEPOSITS/ADDITIONS</u>	<u>BALANCE 06-11-15</u>
	4,300.02	.00	.00	4,300.02

INTEREST EARNED IN STATEMENT PERIOD .00
ANNUAL PERCENTAGE YIELD EARNED .00%

FOR BALANCE AND CHECKS PAID INFORMATION, DEPOSIT VERIFICATION, FUNDS TRANSFERS, AND OTHER CUSTOMER SERVICE, VISIT US ONLINE AT TCFBANK.COM OR CALL 1-800-823-2265 OR TDD 1-800-343-6145. YOU CAN ALSO DIRECT INQUIRIES TO THE ADDRESS SHOWN AT THE TOP OF THIS PAGE. FOR ALL ACCOUNTS OTHER THAN TCF CHOICE CHECKING, TCF CHARGES \$37 FOR OVERDRAFTS AND RETURNED ITEMS. FOR TCF CHOICE CHECKING, TCF CHARGES UP TO \$28 FOR EACH DAY YOUR ACCOUNT IS OVERDRAWN BY MORE THAN \$5, AND \$27 FOR ANY DAY THAT WE RETURN ITEMS WITHOUT PAYING THEM. SEE REVERSE SIDE FOR MORE INFORMATION ABOUT OVERDRAFTS.

HOW TO BALANCE YOUR CHECKBOOK

- 1. Check off in your check register each transaction shown on the front of this statement.
- 2. ENTER your ending balance from the front of your statement on this line:
- 3. ADD any deposits or additions not shown on the statement, including ATM deposits:

\$ _____ \$ _____ \$ _____ \$ _____ \$ _____ Total Additions (+) \$ _____
 Subtotal \$ _____

\$ 4300.02
 - 0 -
 \$ 4300.02

- 4. SUBTRACT any checks written or withdrawals made that are not shown on this statement, such as bill payment withdrawals, automatic withdrawals, ATM withdrawals, check printing charges, service fees, check card, and other transactions:

\$ 100.00 \$ _____ \$ _____ \$ _____ \$ _____ Total Subtractions (-) \$ _____
 \$ _____ \$ _____ \$ _____ \$ _____ \$ _____ This adjusted statement balance should agree with your check register balance \$ 4200.02 ✓

\$ 100.00
 \$ 4200.02 ✓

IF YOUR CHECKBOOK BALANCE AND ADJUSTED STATEMENT BALANCE DO NOT AGREE

- 1. Verify that all differences were corrected from your last month's statement.
- 2. Check additions and subtractions in your checkbook.
- 3. Make sure that you listed all of your outstanding checks and deposits.
- 4. Make sure you have recorded all electronic transfers, automatic deposits or withdrawals, fees, interest deposits, and all automatic bill payment and ATM activities and other transactions.
- 5. Compare the amount of each check and deposit with the amount recorded on this statement and in your checkbook.
- 6. Call us if you have a problem balancing your account.

WCM=C
 6/16/15

INFORMATION CONCERNING YOUR CHECKING OR SAVINGS ACCOUNT STATEMENT

You Choose How TCF Handles Your Overdrafts. You can tell TCF if you do not want us to authorize and pay overdrafts on your consumer checking account for: 1) your ATM and everyday debit card transactions; and 2) transactions that did NOT involve the use of your debit card if they were caused by checks, electronic transactions and transfers. You can select any of these options or change them by calling TCF Customer Service at 1-800-823-2265. Be aware that TCF charges fees for paying your overdrafts, and, depending on your account type, if we return items without paying them. We can change these fees at any time. For more information, call us at the number above, or see your account disclosures and the notice called *What You Need to Know about Overdrafts and Overdraft Fees*. You can get this at any TCF branch or at tcfbank.com.

In Case of Errors or Questions About Your Electronic Transfers. If you think your statement or receipt is wrong or if you need more information about a transfer on your statement or receipt, telephone us or write us at the phone number or address shown on the front of this statement as soon as you can. We must hear from you no later than 60 days after we sent you the FIRST statement on which the suspected error or problem appeared. Give us the following information: 1) your name and account number; 2) the dollar amount of the suspected error; and 3) a description of the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information. If you need more information, describe the item you are not sure about. We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation. This time period is extended to 20 business days if the error involves an electronic funds transfer to or from your account within 30 days after the first deposit to the account.

In Case of Errors or Questions Not Involving Electronic Transfers. You must promptly examine your statement and notify us of any errors. For any errors that do not involve electronic transfers, we must hear from you no later than 30 days after we sent you the FIRST statement on which the suspected error appeared. Give us the information desired in the previous paragraph for us to investigate the suspected error. We will correct any error promptly. If TCF does not hear from you within the 30 day period, we are released from all liability for the transactions unless otherwise stated in your Account Contract.

Checking Your Preauthorized Credit Deposits. If you have arranged direct deposits to your checking or savings account at least once every 60 days from the same person or company, you can check to see if the deposits were made by calling the phone number on the front of this statement.

Your Right to Stop Payment on Preauthorized Payments. If you have told us in advance to make regular payments out of your checking or savings account, you can stop any of these payments. Call us at the telephone number or write us at the address shown on the front of this statement in time for us to receive your request 3 Business Days or more before the payment is scheduled to be made. If you call, we may also require you to put your request in writing and get it to us within 14 days after you call. We will charge you a fee for each stop payment order you give.

CONSUMER BILLING RIGHTS SUMMARY FOR OVERDRAFT PROTECTION LINE OF CREDIT AND CHARGE CARD TRANSACTIONS

What to Do if You Think You Find a Mistake on Your Statement. If you think there is an error on your statement, write us on a separate sheet at the address listed on the front of this statement. In your letter, give us the following information: 1) *Account information:* Your name and account number; 2) *Dollar amount:* The dollar amount of the suspected error; 3) *Description of the Problem:* If you think there is an error on your bill, describe what you believe is wrong and why you believe it is a mistake. You must contact us within 60 days after the error appeared on your statement.

You must notify us of any potential errors in writing. You may call us, but if you do we are not required to investigate any potential errors and you may have to pay the amount in question. While we investigate whether or not there has been an error, the following are true:

- We cannot try to collect the amount in question, or report you as delinquent on that amount.
- The charge in question may remain on your statement, and we may continue to charge you interest on that amount. But, if we determine that we made a mistake, you will not have to pay the amount in question or any interest or other fees related to that amount.
- While you do not have to pay the amount in question, you are responsible for the remainder of your balance.
- We can apply any unpaid amount against your spending limit.

Your Rights if You Are Dissatisfied With Your Charge Card Purchases. If you are dissatisfied with the goods or services that you have purchased with your charge card, and you have tried in good faith to correct the problem with the merchant, you may have the right not to pay the remaining amount due on the purchase. To use this right all of the following must be true: 1) The purchase must have been made in your home state or within 100 miles of your current mailing address, and the purchase price must have been more than \$50. (Note: Neither of these are necessary if your purchase was based on an advertisement we mailed to you, or if we own the company that sold you the goods or services.) 2) You must have used your charge card for the purchase. Purchases made with cash advances from an ATM or with a check that accesses your charge card account do not qualify. 3) You must not yet have fully paid for the purchase.

If all of the criteria above are met and you are still dissatisfied with the purchase, contact us in writing at the address located on the front of this statement. While we investigate, the same rules apply to the disputed amount as discussed above. After we finish our investigation, we will tell you our decision. At that point, if we think you owe an amount and you do not pay we may report you as delinquent.

EXPLANATION OF INTEREST CHARGES ON YOUR OVERDRAFT PROTECTION LINE OF CREDIT

To determine your interest for the billing cycle. Multiply the average daily balance located in the Overdraft Protection Line section of your statement by the number of days in the billing cycle. Then multiply that value by the daily periodic rate. We figure the interest charge on your account by applying the periodic rate to the "daily balance" of your account each day in the billing cycle. To get the "daily balance" we take the beginning principal balance of your account each day, add any new advances and other charges added to your Previous Balance, and subtract the principal amount of any payments or credits. This gives us the daily balance.



TCF NATIONAL BANK
800 BURR RIDGE PKWY
BURR RIDGE, IL 60527



STATEMENT DATE
07-14-15

S T A T E M E N T

5441660591
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1 232 92
YORK TOWNSHIP DEMOCRATIC ORG
PO BOX 1233
LOMBARD IL 60148-8233

NO MATTER WHERE, WHEN OR WHY YOU WANT A NEW DEBIT CARD, TCF IS IN RHYTHM WITH YOUR IMMEDIATE NEEDS.
AT TCF BANK, YOU CAN GET YOUR NEW PERSONALIZED, PERMANENT DEBIT CARD ISSUED INSTANTLY AT EVERY
LOCATION. DON'T WAIT - GO TO TCF BANK.

FREE COMMUNITY GROUP CHECKING

ACCOUNT NUMBER 5441660591 STATEMENT PERIOD 06-12-15 THROUGH 07-14-15
ACCOUNT SUMMARY BALANCE 06-11-15 4,300.02 ✓ CHECKS/WITHDRAWALS 100.00 ✓ DEPOSITS/ADDITIONS 1,028.16 ✓ BALANCE 07-14-15 5,228.18 ✓

INTEREST EARNED IN STATEMENT PERIOD .00
ANNUAL PERCENTAGE YIELD EARNED .00%

CHECKS PAID

CHECK NUMBER	DATE	REF NUMBER	CHECK NUMBER	DATE	REF NUMBER	CHECK NUMBER	DATE	REF NUMBER
1069 ✓	100.00	0622	65796135					

DEPOSITS AND OTHER ADDITIONS

DATE	AMOUNT	DESCRIPTION	DATE	AMOUNT	DESCRIPTION
0623 ✓	1,028.16	DEPOSIT	REF # 82081495		

FOR BALANCE AND CHECKS PAID INFORMATION, DEPOSIT VERIFICATION, FUNDS TRANSFERS, AND OTHER CUSTOMER SERVICE, VISIT US ONLINE AT TCFBANK.COM OR CALL 1-800-823-2265 OR TDD 1-800-343-6145. YOU CAN ALSO DIRECT INQUIRIES TO THE ADDRESS SHOWN AT THE TOP OF THIS PAGE. FOR ALL ACCOUNTS OTHER THAN TCF CHOICE CHECKING, TCF CHARGES \$37 FOR OVERDRAFTS AND RETURNED ITEMS. FOR TCF CHOICE CHECKING, TCF CHARGES UP TO \$28 FOR EACH DAY YOUR ACCOUNT IS OVERDRAWN BY MORE THAN \$5, AND \$27 FOR ANY DAY THAT WE RETURN ITEMS WITHOUT PAYING THEM. SEE REVERSE SIDE FOR MORE INFORMATION ABOUT OVERDRAFTS.



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 in control, making it fast and easy
 to manage most of your payment
 needs from a single page.
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 at tofbank.com.

TRANSACTION RECEIPT
 Date 06/23/2015
 Account/Card *****3620
 Transaction Amount 1,028.16
 Current Balance 0.00

 W TL25729BR00254 REF#49951102647

 Your current balance is your available
 balance plus funds not yet available for
 withdrawal. All transactions are subject
 to proof and verification. Please keep
 this receipt for your records. Deposits
 may not be available for immediate
 withdrawal. Transactions accepted after
 the branch cutoff time will be processed
 as of the next Business Day. See a TCF
 Representative for more details.
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 Bank on the go
 with TCF® Mobile Banking.
 Download the TCF Mobile App and
 get connected to your TCF accounts
 anywhere and anytime.

TRANSACTION RECEIPT
 Date 06/23/2015
 Account/Card *****3620
 Transaction Amount 0.03
 Current Balance 1,028.16

 ID TL25729BR00254 REF#49950102623

 Your current balance is your available
 balance plus funds not yet available for
 withdrawal. All transactions are subject
 to proof and verification. Please keep
 this receipt for your records. Deposits
 may not be available for immediate
 withdrawal. Transactions accepted after
 the branch cutoff time will be processed
 as of the next Business Day. See a TCF
 Representative for more details.
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 Need flexible borrowing options?
 Let TCF Bank® help find a loan
 product that's right for you.
 Talk to an experienced TCF
 loan officer at 1-800-TCF-LEND/
 1-800-823-5363 or visit us online
 at tofbank.com and apply for a
 loan today. TCF Bank is an
 Equal Housing Lender.

TRANSACTION RECEIPT
 Date 06/23/2015
 Account/Card *****0591
 Transaction Amount 1,028.16
 Cash In 1,028.16
 Cash Back 0.00

 D TL25729BR00254 REF#49952102725

 5228.18
 Your current balance is your available
 balance plus funds not yet available for
 withdrawal. All transactions are subject
 to proof and verification. Please keep
 this receipt for your records. Deposits
 may not be available for immediate
 withdrawal. Transactions accepted after
 the branch cutoff time will be processed
 as of the next Business Day. See a TCF
 Representative for more details.
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 tofbank.com

Subject: YTDO Bank Account Balance

From: bill mccarthy (lincoln.capital@att.net)

To: gary@garykleppe.org; candj.5@comcast.net; steven.stawarz@oracle.com;
kudoimmigrationusa@gmail.com; tariqsaeed99@yahoo.com; amtsss@gmail.com;

Date: Tuesday, July 21, 2015 12:35 PM

YTDO's current bank balance is \$5,228.^{.18}~~16~~ at TCF Bank, plus we have an account receivable from Act Blue of \$400.00 from the St. Patrick's Day Fundraiser. Please note that when YTDO's Certificate of Deposit matured on 6/23/15, I closed it out for \$1,028.^{.18}~~16~~ and transferred all these funds into YTDO's checking acct. So the \$5,228.^{.18}~~16~~ is all the cash that YTDO now has on deposit.

On another subject, I timely filed a written appeal from the \$325.00 fine that the State Board of Elections Commission levied against YTDO for late filing of its 1st Quarter 2015 D-2 report. There's a story here. Here's the explanation:

I had entered the 1st Quarter 2015 D-2 report timely into the SBE's "IDIS3" computer system on 4/15/15, but IDIS3 wouldn't confirm our filing, and fined us for being late. After much research it was learned that there was a small math error in our 4th Quarter 2014 D-2 report. So the state's computer wouldn't let YTDO file its 1st quarter 2015 D-2 report until the 4th quarter 2014 report was fixed. However, the SBE's computer didn't display to us in any way we could easily understand and act upon, that they had a problem with our 4th quarter 2014 D-2 report. I contacted Mr. Michael Crowley at SBE and asked for help. He showed me how to work the IDIS3 system to fix the problems on 5/4/15. We appealed to vacate the late-filing fine and we're waiting for the SBE's decision. I hope it's favorable.

This brings you all up to date on all matters financial related to YTDO. Thanks. Nice to see you all last night

Sincerely, Bill McCarthy
LINCOLN CAPITAL CORP.
2021 Midwest Rd. # 200
Oak Brook, IL. 60523
Phone: 630-953-1230
CELL: 630-254-6377
FAX: 630-953-1238
Email: Lincoln.capital@att.net

June 3, 2015
York Township Democratic Organization
Financial Report for May, 2015

Checking account Balance as of 5-1-15	\$4,300.02
Certificate of Deposit Balance as of 5-1-15	\$1,027.86
Total Cash on Hand, 5-1-15	\$ 5,327.88

Income:

Event Income - St. Pat's Fundraiser	\$	-
Book Sales	\$	-
Donations	\$	-
Other Income	\$	-
C.D. Interest:		
31 Days Interest \$0.0057 Per Day	\$	0.18
C.D. Interest Adjustment due to Bank Annual Posting	\$	-
Total Income, May, 2015		\$ 0.18

Expenses:

Ck. #	Payee	Amount	
		\$	-
		\$	-
		\$	-
		\$	-
		\$	-
		\$	-
	Total Expenses, May, 2015		\$ -

Net Income (Loss) for this period \$ 0.18

Checking account Balance as of 5-31-15	\$4,300.02
Certificate of Deposit Balance as of 5-31-15	\$1,028.04
Total Cash on Hand, 5-31-15	\$ 5,328.06
Check-Sum	\$ 5,328.06

PLEASE NOTE: The IDIS3 SBOE Reporting System required an Amendment due to C.D. interest for 4th Qtr. 2014. Done.
 NOTE: Form D-2 for 2015-1st Quarter was filed late with SBOE through IDIS3 due to Q4 Amendment lock-out.
 NOTE: The SBOE as of 6/3 has not advised us concerning the amount of any late-filing assessment. I appealed for none.

PLEASE NOTE: Based on our just-completed Audit, the State Board of Elections requested that YTDO adjust its D-2 reports for the quarters ending 12/31/12 and 9/30/13. Their Mr. Michael Crowley assisted me with IDIS3. I was shown how to prior-period adjustments but I still have to select the items to be adjusted per their amounts.

NOTE: TCF Bank shows \$1,027.69 balance for the C.D. on 6/3/15. Bank doesn't post interest when we do.
 NOTE: The TCF Bank C.D. matures on 6/16/15. Quarterly TCF interest is posted to our C.D. on that date.

Respectfully Submitted,

Bill McCarthy, Jr.

Mr. W.C. "Bill" McCarthy, Jr., Treasurer

TCF NATIONAL BANK
800 BURR RIDGE PKWY
BURR RIDGE, IL 60527



STATEMENT DATE
05-12-15

S T A T E M E N T

5441660591
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1 232 92
YORK TOWNSHIP DEMOCRATIC ORG
PO BOX 1233
LOMBARD IL 60148-8233

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REWARDED! GET \$25 FOR EVERY FRIEND
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AT ANY TCF BANK OR PRINT THEM ONLINE
AT TCFBANK.COM. OFFER SUBJECT TO
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TO SEE TODAY'S RATES. LEARN MORE BY CALLING 1-800-TCF-LEND OR VISIT TCFBANK.COM.
EQUAL HOUSING LENDER.

FREE COMMUNITY GROUP CHECKING

STATEMENT PERIOD 04-15-15 THROUGH 05-12-15

ACCOUNT NUMBER 5441660591
ACCOUNT SUMMARY BALANCE 04-14-15 CHECKS/WITHDRAWALS DEPOSITS/ADDITIONS BALANCE 05-12-15
4,340.02 40.00 .00 4,300.02 ✓

INTEREST EARNED IN STATEMENT PERIOD .00
ANNUAL PERCENTAGE YIELD EARNED .00%

CHECKS PAID

CHECK NUMBER	DATE	REF NUMBER	CHECK NUMBER	AMOUNT	DATE PAID	REF NUMBER	CHECK NUMBER	AMOUNT	DATE PAID	REF NUMBER
1068 ✓	0416	65558606		40.00						

FOR BALANCE AND CHECKS PAID INFORMATION, DEPOSIT VERIFICATION, FUNDS TRANSFERS, AND OTHER CUSTOMER SERVICE,
VISIT US ONLINE AT TCFBANK.COM OR CALL 1-800-823-2265 OR TDD 1-800-343-6145. YOU CAN ALSO DIRECT INQUIRIES TO
THE ADDRESS SHOWN AT THE TOP OF THIS PAGE. FOR ALL ACCOUNTS OTHER THAN TCF CHOICE CHECKING, TCF CHARGES \$37
FOR OVERDRAFTS AND RETURNED ITEMS. FOR TCF CHOICE CHECKING, TCF CHARGES UP TO \$28 FOR EACH DAY YOUR ACCOUNT IS
OVERDRAWN BY MORE THAN \$5, AND \$27 FOR ANY DAY THAT WE RETURN ITEMS WITHOUT PAYING THEM. SEE REVERSE SIDE FOR
MORE INFORMATION ABOUT OVERDRAFTS.

My Bank

Printable View

Welcome YORK TOWNSHIP DEMOCRATIC ORG.

For checking and savings accounts, your available balance shown below is the amount available for withdrawal as of the date and time displayed. Click on the account to see additional information, including funds that may not be reflected in your available balance.

My Bank View:

Account Balances

Checking

Account Name	Account Number	Available Balance	As Of
Free Community Group Checking	*****0591	\$4,300.02	06/03/2015 11:30
Main Checking - Closed	*****3072	\$.00	01/04/2014 23:59
TCF Small Business Checking - Closed	*****0371	N/A	
Checking Total:		\$4,300.02	

Certificates

Account Name	Account Number	Current Balance	As Of
Certificate - 12 months	*****3620	\$1,027.69	06/03/2015 11:30
Certificates Total:		\$1,027.69	

Info Center

View Alerts: (2 unread)

View Reminders:

marketing image

Transactions

Select Account:

Date	Transaction	Description	Amount
------	-------------	-------------	--------

Top of Page

May 6, 2015
York Township Democratic Organization
Financial Report for April, 2015

Checking account Balance as of 4-1-15	\$4,275.02	
Certificate of Deposit Balance as of 4-1-15	\$1,027.69	
Total Cash on Hand, 4-1-15		\$ 5,302.71

Income:

Event Income - St. Pat's Fundraiser	\$ 65.00	
Book Sales	\$ -	
Donations	\$ -	
Other Income	\$ -	
C.D. Interest:		
30 Days Interest \$0.0057 Per Day	\$ 0.17	
C.D. Interest Adjustment due to Bank Annual Posting	\$ -	
Total Income, March, 2015		\$ 65.17

Expenses:

Ck. #	Payee	Amount	
1068	Ann Marie Testa St. Pats table decorations	\$ 40.00	
		\$ -	
		\$ -	
		\$ -	
		\$ -	
		\$ -	
		\$ -	
	Total Expenses, April, 2015		\$ 40.00

Net Income (Loss) for this period \$ 25.17

Checking account Balance as of 4-30-15	\$4,300.02	
Certificate of Deposit Balance as of 4-30-15	\$1,027.86	
Total Cash on Hand, 4-30-15		\$ 5,327.88
Check-Sum		\$ 5,327.88

PLEASE NOTE: The IDIS3 SBOE Reporting System required an Amendment due to C.D. interest for 4th Qtr. 2014. Done.

NOTE: Form D-2 for 2015-1st Quarter was filed late with SBOE through IDIS3 due to Q4 Amendment lock-out.

PLEASE NOTE: Based on our just-completed Audit, the State Board of Elections requested that YTDO adjust its D-2 reports for the quarters ending 12/31/12 and 9/30/13. Their Mr. Michael Crowley assisted me with IDIS3. I was shown how to prior-period adjustments but I still have to select the items to be adjusted per their amounts.

NOTE: TCF Bank shows \$1,027.69 balance for the C.D. on 4/30/15. Bank doesn't post interest when we do.

Respectfully Submitted,



Mr. W.C. "Bill" McCarthy, Jr., Treasurer

TCF NATIONAL BANK
800 BURR RIDGE PKWY
BURR RIDGE, IL 60527



STATEMENT DATE
04-14-15
5441660591
2

2 232 92
YORK TOWNSHIP DEMOCRATIC ORG
PO BOX 1233
LOMBARD IL 60148-8233

REFER YOUR FRIENDS TO TCF AND GET REWARDED! GET \$25 FOR EVERY FRIEND YOU REFER. PICK UP YOUR REFERRAL FORMS AT ANY TCF BANK OR PRINT THEM ONLINE AT TCFBANK.COM. OFFER SUBJECT TO LIMITATIONS AND REQUIREMENTS. SEE A TCF REPRESENTATIVE FOR DETAILS.

TCF OFFERS COMPETITIVE MORTGAGE RATES AND PERSONALIZED SERVICE. STOP IN TO ANY TCF BRANCH TO SEE TODAY'S RATES. LEARN MORE BY CALLING 1-800-TCF-LEND OR VISIT TCFBANK.COM. EQUAL HOUSING LENDER.

FREE COMMUNITY GROUP CHECKING

STATEMENT PERIOD 03-13-15 THROUGH 04-14-15

ACCOUNT NUMBER **5441660591**

ACCOUNT SUMMARY	BALANCE 03-12-15	CHECKS/WITHDRAWALS	DEPOSITS/ADDITIONS	BALANCE 04-14-15
	2,888.80	1,341.78	2,793.00	4,340.02

INTEREST EARNED IN STATEMENT PERIOD .00
ANNUAL PERCENTAGE YIELD EARNED .00%

CHECKS PAID

CHECK NUMBER	DATE	REF NUMBER	CHECK NUMBER	DATE	REF NUMBER	CHECK NUMBER	DATE	REF NUMBER
1066 ✓	0320	65027783	1067 ✓	0325	65124079			
AMOUNT PAID			AMOUNT PAID					
875.00			466.78					

DEPOSITS AND OTHER ADDITIONS

DATE	AMOUNT	DESCRIPTION	REF #	DATE	AMOUNT	DESCRIPTION	REF #
0313	610.00 ✓	DEPOSIT	85030683	0408	65.00 ✓	DEPOSIT	83029208
0324	2,118.00 ✓	DEPOSIT	82143106				

FOR BALANCE AND CHECKS PAID INFORMATION, DEPOSIT VERIFICATION, FUNDS TRANSFERS, AND OTHER CUSTOMER SERVICE, VISIT US ONLINE AT TCFBANK.COM OR CALL 1-800-823-2265 OR TDD 1-800-343-6145. YOU CAN ALSO DIRECT INQUIRIES TO THE ADDRESS SHOWN AT THE TOP OF THIS PAGE. FOR ALL ACCOUNTS OTHER THAN TCF CHOICE CHECKING, TCF CHARGES \$37 FOR OVERDRAFTS AND RETURNED ITEMS. FOR TCF CHOICE CHECKING, TCF CHARGES UP TO \$28 FOR EACH DAY YOUR ACCOUNT IS OVERDRAWN BY MORE THAN \$5, AND \$27 FOR ANY DAY THAT WE RETURN ITEMS WITHOUT PAYING THEM. SEE REVERSE SIDE FOR MORE INFORMATION ABOUT OVERDRAFTS.

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Today's Date: Wednesday, May 6, 2015
Last Login: Wednesday, March 4, 2015 9:59:28 AM CST

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Account Register

[Printable View](#) 

All of your outstanding transactions may not be shown. In addition, transactions may not be posted to your account in the order shown.

To make a payment on your Overdraft Protection Line of Credit or your TCF Premier Plus Charge Card, click on the Transfers tab or the Money Mover tool.

Account Register Selection

Select an account: 

Search by: 

Certificate - 12 months - *****3620

Account Number: *****3620
Current Balance: \$1,027.69
As Of: 05/06/2015 13:10
Issue Date: 11/06/2006
Last Renewal Date: 06/16/2014
Term: 012
Next Maturity Date: 06/16/2015
Year-To-Date Interest: \$.50
Last Year's Interest: \$2.05

Transactions pending

Date	Transaction	Description	Status	Amount	Image
No Pending Transactions					

Transactions Posted between 03/07/2015 and 05/06/2015

Date	Transaction	Description	Status	Amount	Balance	Image
03/30/2015	Interest Deposit	INTEREST PAID	✓	\$.50	\$1,027.69	

Transaction Status Legend:

✓ Posted	 Scheduled	 Pending	X Problem
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FORM D-2

REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES
Quarterly Report

FILED
8/2/2015 4:52:24 PM
FOR OFFICE USE ONLY
IDENTIFICATION NO.
Political Action 206

York Township Democratic Org
c/o Mr. Wm. C. McCarthy, Jr., Treas
1200 St. James Place, Apt. # 1-B
Glen Ellyn, IL 60137

<p>REPORTING PERIOD 4/1/2015 thru 6/30/2015</p> <p style="text-align: center;">SECTION A - RECEIPTS</p> <p>1. Individual Contributions:</p> <p style="margin-left: 20px;">a. Itemized (from Schedule A) \$ 0.00</p> <p style="margin-left: 20px;">b. Not-Itemized..... \$ 65.00</p> <p>2. Transfers In:</p> <p style="margin-left: 20px;">a. Itemized (from Schedule A) \$ 0.00</p> <p style="margin-left: 20px;">b. Not-Itemized..... \$ 0.00</p> <p>3. Loans Received:</p> <p style="margin-left: 20px;">a. Itemized (from Schedule A) \$ 0.00</p> <p style="margin-left: 20px;">b. Not-Itemized..... \$ 0.00</p> <p>4. Other Receipts:</p> <p style="margin-left: 20px;">a. Itemized (from Schedule A) \$ 1,028.16</p> <p style="margin-left: 20px;">b. Not-Itemized..... \$ 0.00</p> <p style="margin-left: 20px;">TOTAL RECEIPTS (1-4)..... \$ 1,093.16</p> <p>5. In-Kind Contributions:</p> <p style="margin-left: 20px;">a. Itemized (from Schedule I) \$ 0.00</p> <p style="margin-left: 20px;">b. Not-Itemized..... \$ 0.00</p> <p style="margin-left: 20px;">TOTAL IN-KIND..... \$ 0.00</p> <p style="margin-top: 20px;">Name and address of person submitting this report <u>if other</u> <u>than</u> the committee's candidate or treasurer:</p> <p>_____</p> <p>_____</p> <p>_____</p>	<p style="text-align: center;">CASH AVAILABLE AT THE BEGINNING OF THE REPORTING PERIOD</p> <p style="text-align: center;">\$4,275.02</p> <p style="text-align: center;">SECTION B - EXPENDITURES</p> <p>6. Transfers Out:</p> <p style="margin-left: 20px;">a. Itemized (from Schedule B) \$ 0.00</p> <p style="margin-left: 20px;">b. Not-Itemized..... \$ 0.00</p> <p>7. Loans made:</p> <p style="margin-left: 20px;">a. Itemized (from Schedule B) \$ 0.00</p> <p style="margin-left: 20px;">b. Not-Itemized..... \$ 0.00</p> <p>8. Expenditures:</p> <p style="margin-left: 20px;">a. Itemized (from Schedule B) \$ 0.00</p> <p style="margin-left: 20px;">b. Not-Itemized..... \$ 140.00</p> <p>9. Independent Expenditures:</p> <p style="margin-left: 20px;">a. Itemized (from Schedule B) \$ 0.00</p> <p style="margin-left: 20px;">b. Not-Itemized..... \$ 0.00</p> <p>TOTAL EXPENDITURES (6-9).. \$ 140.00</p> <p style="text-align: center;">SECTION C - DEBTS AND OBLIGATIONS</p> <p>10. a. Itemized (from Schedule C).... \$ 0.00</p> <p style="margin-left: 20px;">b. Not-Itemized..... \$ 0.00</p> <p>TOTAL DEBTS AND OBLIGATIONS \$ 0.00</p> <p style="text-align: center;">SECTION D - CASH BALANCE</p> <p>Funds available at the beginning of the reporting period..... \$ 4,275.02</p> <p>Total Receipts (Section A)..... \$ 1,093.16</p> <p style="margin-left: 20px;">Subtotal..... \$ 5,368.18</p> <p>Total Expenditures (Section B)..... \$ 140.00</p> <p>Funds available at the close of the reporting period..... \$ 5,228.18</p> <p style="text-align: center;">*****</p> <p>Investment Total..... \$ 0.00</p>
--	--

VERIFICATION

I DECLARE THAT THIS QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES (INCLUDING ACCOMPANYING SCHEDULES AND STATEMENTS) HAS BEEN EXAMINED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF IS A TRUE, CORRECT AND COMPLETE REPORT AS REQUIRED BY ARTICLE 9 OF THE ELECTION CODE. I UNDERSTAND THAT WILLFULLY FILING A FALSE OR INCOMPLETE REPORT IS SUBJECT TO A CIVIL PENALTY OF AT LEAST \$1001 AND UP TO \$5000.

William McCarthy

8/2/2015 4:52:24PM

SIGNATURE OF TREASURER OR CANDIDATE

DATE

NAME OF POLITICAL COMMITTEE
York Township Democratic Org

REPORTING PERIOD
4/1/2015 thru 6/30/2015

FILED
8/2/2015
4:52:24 PM

FOR OFFICE USE ONLY
IDENTIFICATION NO.
Political Action 206

SCHEDULE A

PART 4: OTHER RECEIPTS

ITEMIZED RECEIPTS FULL NAME, MAILING ADDRESS, AND ZIPCODE	DATE RECEIVED	AMOUNT OF EACH RECEIPT	AGGREGATE AMOUNT FOR THIS REPORTING PERIOD
TCF National Bank 500 W. Joliet Road Willowbrook, IL 60527	6/23/2015	\$554.93	\$554.93
Description: Investment sale, TCF National Bank			
Description: Investment sale, TCF National Bank	6/23/2015	\$473.23	\$1,028.16
TOTAL FOR THIS PART:			\$1,028.16

NAME OF POLITICAL COMMITTEE
York Township Democratic Org

REPORTING PERIOD
4/1/2015 thru 6/30/2015

FILED
8/2/2015
4:52:24 PM

FOR OFFICE USE ONLY
IDENTIFICATION NO.
Political Action 206

INVESTMENT SCHEDULE

ACQUISITION/LIQUIDATION OF INVESTMENTS

DATE OF PURCHASE DESCRIPTION OF INVESTMENTS INCLUDING NUMBER OF SHARES (IF APPLICABLE)	PURCHASE PRICE AT ACQUISITION	VALUE AT END OF REPORTING PERIOD	DATE AND VALUE UPON LIQUIDATION
TCF National Bank 500 W. Joliet Road Willowbrook, IL 60527			
11/4/2006 Certificate of Deposit	\$500.00	\$0.00	6/23/2015 \$554.93
7/9/2009 Certificate of Deposit	\$445.07	\$0.00	6/23/2015 \$473.23

TOTAL FOR THIS PART: \$0.00

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
August 28, 2015
ID# 206

York Township Democratic Org
c/o Steven Stawarz
191 Saddle Brook Drive
Oak Brook, IL 60523

7015 1730 0002 1035 4070

Dear York Township Democratic Org:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contribution and Expenditures
Report Period: April 1, 2015 through June 30, 2015
Filing Period: July 1, 2015 through July 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 8/2/2015, 12 day(s) late. As such, this committee has been assessed a fine of \$1200.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
March 2015	Quarterly	\$325
TOTAL AMOUNT NOW DUE		\$1525.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbøld at 217-782-1543.

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 AJ 019

Friends of John Fulgenzi ID# 18278
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received a \$1,000 contribution on 4/6/15 and two \$1,000 contributions on 4/13/15, and reported all three on a Schedule A-1 received by the Board on 6/17/15, 49 and 41 days late respectively, resulting in a civil penalty assessment of \$1,500. Additionally, the Committee was previously assessed a \$250 civil penalty (appealed, denied, paid) for delinquent filing of 2 Schedule A-1s in the first quarter of 2015. The total assessment is \$1,500.

John Fulgenzi, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Fulgenzi states that he entered the contributions into the IDIS system and thought he had filed the A-1s correctly. After coming to the Board to appeal the previous assessment for the same type of violation, he learned that although the contributions had been entered the A-1s had not actually been filed. Since he did not learn of the problem until after it had already been repeated, Mr. Fulgenzi asks that the current A-1 violations be considered part of the first violation for the Committee and assessed at the appropriate level.

I recommend the appeal be denied for lack of an adequate defense. However, I also agree with Mr. Fulgenzi that because of the timing involved, the current A-1 violations should be considered part of the Committee's first set of A-1 violations. Therefore, since there is no indication the violations were anything other than inadvertent and unintentional, I recommend the penalty be reduced to 10% of the original assessment, or \$150. If these recommendations are accepted by the Board, the \$150 civil penalty will be due and owing. (As of 6/30/15, this Committee showed a funds available balance of \$8,963.85)



Tom Newman – Hearing Officer
September 28, 2015

15 SEP 11 PM 3:21

State of Illinois)
County of _____)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of John Fulgenzi)
Respondent(s).)

Case No. 15A9019

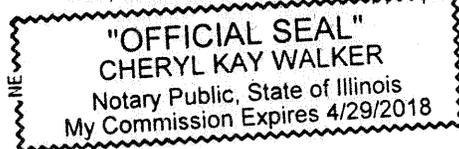
APPEAL AFFIDAVIT

I, John Fulgenzi, the Chairman of the
(Name) (Chairman/Treasurer)
Friends of John Fulgenzi
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I entered the contributions into the IDIS system, and thought I had filed the A-1s correctly. However, I later learned that I had not actually uploaded the A-1s, so they were not filed. Once I learned this, I filed the reports, but they were already late.

Additionally, I did not learn of my mistake until I came to the Board to appeal an assessment for previous A-1 violations, where I made the same mistake. So I had no way to correct the problem before it happened again. Therefore, I would like to request that if my appeal is denied, the current A-1 violations be considered to be part of the first violation for my committee, and assessed at the appropriate amount for a first violation.



Signed and Sworn to by:
John Fulgenzi
before me this 11th Day of
September, ~~2014~~ 2015
Cheryl Kay Walker
Notary Public

John Fulgenzi
(Signature of Chairman/Treasurer)

STATE BOARD OF ELECTIONS -
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

August 28, 2015

Friends of John Fulgenzi
c/o John Fulgenzi
1168 Sangamon Ave
Springfield, IL 62702-1853

ID# 18278

7015 1730 0002 1035 4889

Dear Friends of John Fulgenzi:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
J&J Ventures Gaming	4/13/2015	\$1000	6/17/2015	41	\$500
Lucys Place LLC	4/13/2015	\$1000	6/17/2015	41	\$500
Sangamon County Republican Central Committee	4/6/2015	\$1000	6/17/2015	49	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1500.00 for the delinquent filing. This total *does not* reflect any previously assessed fines.

Under the Board's present policy, since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$750.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the-forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 28, 2015 you forfeit the right to contest this assessment.**

Since this is a subsequent violation, if the above listed violation is not appealed, the previously stayed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
2015 March	A1	\$125
2015 March	A1	\$125
TOTAL AMOUNT NOW DUE		\$1000

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Tom Newman, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

15 JQ 036

Citizens to Elect Jeffrey A Schaefer ID# 19021
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2015 Quarterly Report

The Report was received by the Board on 7/30/15, 11 days late, resulting in a civil penalty assessment of \$550. Additionally, the Committee was previously assessed a \$75 civil penalty (not appealed, stayed) for delinquent filing of the September 2014 Quarterly Report. The total assessment is \$625.

Jeffrey A Schaefer, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Schaefer states that the Committee is only used a couple of times per year for small items such as buying parade candy and t-shirts. He says he thought he was doing the right thing by creating the Committee many years ago, but due to the lack of activity it is a burden to remember to file the Quarterly Reports. Mr. Schaefer asks that the fine be waived.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay will be lifted from the previous assessment and a \$625 civil penalty will be due and owing. Based on the lack of Committee activity over the last several years, if the Committee will no longer be raising or spending funds Mr. Schaefer may wish to close the Committee by filing a Final Report. (As of 6/30/15, this Committee showed a funds available balance of \$540.93)



Tom Newman – Hearing Officer
September 28, 2015

FAX 217-782-5959
ATTN: LAURA

pg 2 of 2
9-18-15

State of Illinois)
County of : _____)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

STATE BOARD OF ELECTIONS
15 SEP 18 PM 3:31

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
Citizens to Elect Jeffrey A. Schaefer)
#19021-14 Respondent(s))

Case No. 1390036

APPEAL AFFIDAVIT

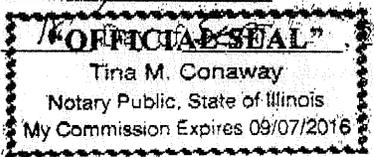
I, Jeffrey A. Schaefer, the chairman of the
(Name) (Chairman/Treasurer)
Citizens to Elect Jeffrey A. Schaefer
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

This account is used only a couple times per year to buy parade candy & t-shirts for the kids that help, it is not a major political fund or campaign operation. I am a alderman that is not full time and my mother is the treasurer by name only and does not work on this. I thought I was doing right thing by organizing this many years ago, but due to lack of activity & \$\$ it's a burden to remember to file quarterly. Please consider the elimination of this fine.

Jeffrey A. Schaefer
Signature of Chairman/Treasurer

signed and Sworn to by:
TINA M CONAWAY

before me this 15
Notary Public
seal) 
Tina M Conaway 9/18/15

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

August 28, 2015

ID# 19021

Citizens to Elect Jeffrey A Schaefer
Marilyn Schaefer
1411 N Court St
McHenry, IL 60050

7015 1730 0002 1035 4193

Dear Citizens to Elect Jeffrey A Schaefer:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contribution and Expenditures
Report Period: April 1, 2015 through June 30, 2015
Filing Period: July 1, 2015 through July 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/30/2015, 11 day(s) late. As such, this committee has been assessed a fine of \$550.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
September 2014	Quarterly	\$75
TOTAL AMOUNT NOW DUE		\$625.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

15 MA 061

Slocum for Senate 23909

Respondent

REPORT OF HEARING OFFICER

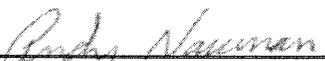
Appeal of Civil Penalty Assessment for Delinquently Filing
The December 2014 and March 2015 Quarterly Reports

The December 2014 Quarterly Report was received by the Board on February 20, 2015, 23 days late, resulting in a civil penalty assessment of \$1,150 and the March 2015 Quarterly Report was received by the Board on May 8, 2015, 17 days late, resulting in a civil penalty assessment of \$1,275. Additionally, the Committee had previously been assessed a \$50 civil penalty (not appealed, stayed) for delinquently filing the December 2013 Quarterly Report. The total assessment is \$2,475.

Richard Slocum, the candidate of the Committee, appeared at the August 13, 2015 appeal hearing.

Mr. Slocum stated that he had practiced Law full time until the fall of 2014 when he started having serious issues with fatigue and substantial numbness and tingling in his arms and legs. When he met with a doctor in November of 2014 he was diagnosed with severe spinal stenosis and four herniated discs in which the doctor recommended spinal fusion otherwise he would likely end up being in a wheelchair. Within four weeks of the diagnosis he underwent spinal surgery. Following the surgery Mr. Slocum was not allowed to start working again until the middle of January, 2015 and unbeknownst to him during that same period of time the lady who had been filing his reports electronically for his treasurer, Ralph Mooney, ceased working for the accounting firm that was filing the reports and the Committee's report fell through the cracks as the responsibility to file the report was not reassigned. Additionally, since Mr. Slocum was basically removed from his Law Practice he still had to make sure that everything was getting taken care of and this ended up being a very difficult period of time for him. Mr. Slocum was substantially medicated and he could not attend to his practice as required other than talking to people over the telephone trying to make sure everything was covered. Mr. Slocum had a very long recovery in which he attended physical therapy 3 times a week in which he has only recently finally completed although he still has numbness in his hands. After the surgery Mr. Slocum was not allowed to actually return to work until the middle of February, 2015 and he was not cleared to drive until the end of February. Furthermore, Mr. Slocum stated that he was not made aware the December of 2014 Quarterly Report or the March of 2015 Quarterly Report had not been filed until after the reports were already late however he has since taken over the filing responsibilities himself. Mr. Slocum stated that the accounting firm not properly reassigning his filings, his health, and his primary focus being on his Law Practice all lead into the perfect storm and the two quarterly reports were unintentionally delinquently filed. He said the Committee had no activity during either of the reporting periods and that no harm to third persons or parties were caused as a result of the failure to file the reports in a timely fashion. Mr. Slocum respectfully asks the Board to waive the penalties assessed even though he realizes he had a filing obligation to file the reports as he has never been disabled for such a period of time and the person who had been filing his reports had left the accounting firm unbeknownst to him.

It is unfortunate that Mr. Slocum has had to deal with spinal issues and continues to deal with the aftermath that those complications have caused him personally and professionally, and the unfortunate results that came about after the accounting firm failed to re-designate a new person to handle his campaign filings however the Committee had and continues to have a filing obligation to file reports even when they have no activity. For the forgoing reason, I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board a \$2,475 civil penalty will be due and owing (the stay will be lifted off of the \$50 Civil penalty, and penalties of \$1,150 and \$1,275 will be assessed for the delinquent filings of the December 2014 and March 2015 Quarterly Reports). As of 6/30/15, this Committee reported a funds available balance of \$2,547.51.



Andy Nauman – Hearing Officer
August 17, 2015

State of Illinois)
)
County of: Kane)

STATE BOARD OF ELECTIONS

15 JUL 13 PM 2:03

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

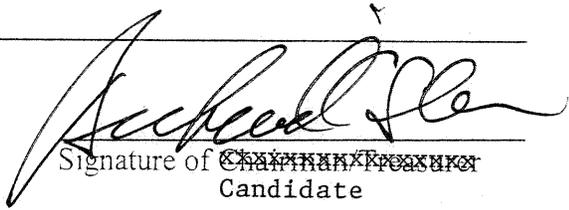
IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 15 MA 061
)
SLOCUM FOR SENATE,)
Respondent(s).)

APPEAL AFFIDAVIT

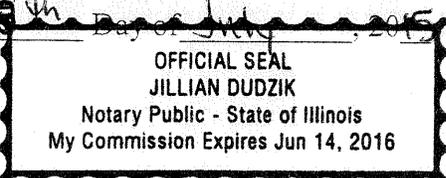
Richard C. Slocum, the Candidate of the
(Name) (Chairman/Treasurer)
Slocum for Senate
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attached Statement of Facts.


Signature of ~~Chairman/Treasurer~~
Candidate

Signed and Sworn to by:
Jillian Dudzik
before me this 8th Day of July, 2015
Notary Public
(Seal)



State of Illinois)
)
County of Kane)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
) Case No. 15 MA 061
Complainant,)
)
vs.)
)
SLOCUM FOR SENATE,)
)
Respondent.)

APPEAL AFFIDAVIT OF RICHARD C. SLOCUM, CANDIDATE
STATEMENT OF FACTS

1. That in the fall of 2014 the Affiant was diagnosed with severe spinal stenosis and four (4) herniated discs in the cervical spine. He was experiencing substantial numbness and tingling in the lower extremities and in both arms.
2. That the Affiant met with Dr. Tyler Koski at Northwestern Memorial Hospital in November, 2014, at which time the doctor recommended spinal fusion at four (4) places in the cervical spine.
3. That the Affiant had spinal surgery on December 5th, 2014 which included both a frontal (anterior) and back (posterior) fusion with the surgery lasting seven (7) hours.
4. That the Affiant was unable to work or drive until the middle of January, 2015.
5. That during this same period of time, the individual who had been filing the reports electronically for the Treasurer, Ralph T. Mooney, ceased working for the accounting firm.

6. Unbeknownst to the Affiant, the report for the end of December, 2014 had not been filed.

7. That the Affiant was severely restricted in his activities, was unable to drive or work through the middle of January, 2015 and was required to attend physical therapy three (3) times a week through June, 2015. The physical therapy continues twice a week at this time.

8. That the report for the first quarter of 2015 was not filed for the same reasons as the December 31, 2014 report. The Affiant continued to recover from his surgery and was not aware of the ongoing failure to file the report.

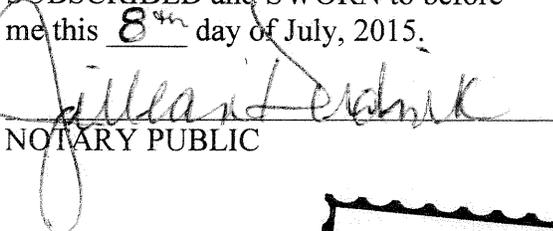
9. That during both quarters, there was no activity by the committee. That there has been no harm to third persons or parties for the failure to file the report in a timely fashion.

WHEREFORE, the Affiant, Richard C. Slocum, request that the committee waive the penalty assessed for the failure to timely file the October 1, 2014 through December 31, 2014 quarterly report and waive penalty assessed for the failure to timely file the quarterly report due for January 1, 2015 through March 31, 2015.

FURTHER THE AFFIANT SAYETH NAUGHT:


Richard C. Slocum

SUBSCRIBED and SWORN to before
me this 8th day of July, 2015.


NOTARY PUBLIC



In the Matter of: Illinois State Board of Elections vs. Slocum for Senate
Case No. 15 MA 061

PROOF OF SERVICE

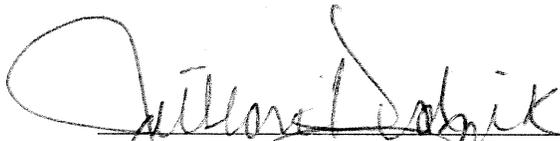
The undersigned certifies that on July 8, 2015, he/she served a copy of the attached Request for Hearing and Appeal Affidavit upon:

State Board of Elections
State of Illinois
Attn: Laura Marbold
2329 South MacArthur Blvd.
Springfield, Illinois 62704

_____ by personally delivering a copy thereof to each party or person, addressed as above.

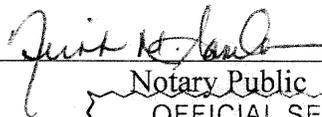
by depositing a copy thereof, enclosed in an envelope, in the United States Mail at Aurora, Illinois, proper postage prepaid, addressed as above.

_____ by transmitting a copy thereof by facsimile to the facsimile number noted under the respective name(s) above.

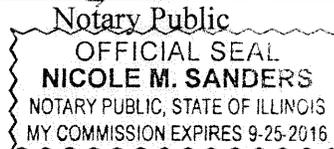


Attorney / Non-Attorney
(if non-attorney, must be notarized)

SUBSCRIBED and SWORN to before me
the 8 day of July, 2015.



Notary Public



Richard C. Slocum
274 Kenston Court
Geneva, Illinois 60134
(630) 638-1615

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Steven S. Sandvoss

June 8, 2015

BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Casandra B. Watson

Slocum for Senate
7 Walnut Circle
Sugar Grove, IL 60554

ID# 23909

7011 2970 0003 6440 7322

Dear Slocum for Senate:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contributions and Expenditures
Report Period:	October 1, 2014 through December 31, 2014
Filing Period:	January 1, 2015 through January 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 2/20/2015, 23 day(s) late. As such, this committee has been assessed a fine of \$1150.00.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contribution and Expenditures
Report Period:	January 1, 2015 through March 31, 2015
Filing Period:	April 1, 2015 through April 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 5/8/2015, 17 day(s) late. As such, this committee has been assessed a fine of \$1275.00.

The total for all new assessments is \$2425.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 8, 2015, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
December 2014	Quarterly	\$50
TOTAL AMOUNT NOW DUE		\$2475

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,



Tom Newman, Director
Campaign Disclosure Division

SS: Im
Enclosure(s):

appeal

packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

15 JQ 096

Edwardsville Education Association - IPACE ID# 25208
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2015 Quarterly Report

The Report was received by the Board on 7/28/15, 9 days late, resulting in a civil penalty assessment of \$450.

Nicole Starbuck, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Starbuck states that she was out of state during the filing period, due to a medical emergency involving her grandmother.

I recommend the appeal be denied for lack of an adequate defense. As a first violation, the penalty is stayed. (As of 6/30/15, this Committee showed a funds available balance of \$12,260.01)



Tom Newman – Hearing Officer
September 28, 2015

State of Illinois)
County of: Madison)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **15 SEP 17 PM 1:52**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

v/s.)
Edwardsville Education Assoc.)
Respondent(s).)

Case No. 1592096
IF 25208

APPEAL AFFIDAVIT

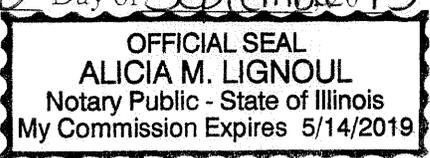
Nicole Starbuck, the Treasurer of the
(Name) (Chairman/Treasurer)
Edwardsville Education Association - ~~IPACE~~ IPACE
(Name of the Committee) ID # 25208

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I was out of town during the filing period
due to an emergency with my grandmother
out of state. She fell and broke her wrist and
ultimately needed to be relocated to our area.
She is 87 and now needs extra care.

Negharbuck
Signature of Chairman/Treasurer

signed and sworn to by: Alicia M. Lignoul
before me this 10 Day of September 2015



Notary Public
(Signature)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
August 28, 2015

Edwardsville Education Assn - IPACE
Beth Duncan
812 Hillsboro Ave
Edwardsville, IL 62025

ID# 25208

7014 3490 0000 5044 4900

Dear Edwardsville Education Assn - IPACE:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contributions and Expenditures
Report Period: April 1, 2015 through June 30, 2015
Filing Period: July 1, 2015 through July 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 7/28/2015, 9 days late. As such, this committee has been assessed a fine of \$450.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,


Tom Newman, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 AD 087

Friends of Richard Boykin ID# 25506
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a \$2,500 contribution on 11/19/14 and reported it on a Schedule A-1 received by the Board on 1/7/15, 26 days late, resulting in a civil penalty assessment of \$1,250.

Nicole Janes, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Janes states that the Committee inadvertently used the date on the check to report the contribution rather than the date the check was received and deposited, which she says was January 7.

Despite several attempts to contact the Committee for evidence to support their claim, such as a copy of the check in question or a bank deposit slip, no such evidence has been provided. Additionally, the Committee has not amended its quarterly reports to reflect a change in the receipt date for the contribution. Therefore, I recommend the appeal be denied. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$125. As a first violation, the penalty is stayed. (As of 6/30/15, this Committee showed a funds available balance of \$20,948.04)



Tom Newman – Hearing Officer
September 28, 2015

State of Illinois)
County of: Cook)

STATE BOARD OF ELECTIONS
15 JUN 25 PM 1:52

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of Richard Boykin)
Respondent(s).)

Case No. 13AD087

APPEAL AFFIDAVIT

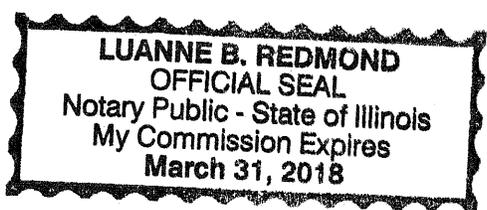
Nicole Janes, the Treasurer of the
(Name) (Chairman/Treasurer)
Friends of Richard Boykin
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

When reported, we included the date on the check (11/19/14)
but received and deposited check on January 7, 2015. This
check's received date was inadvertently reported as the
date on the check.

Nicole M. Janes
Signature of Chairman/Treasurer

signed and Sworn to by:
Nicole Janes
before me this 23rd Day of June 2015
Notary Public (Name)
Luanne B. Redmond



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
June 8, 2015

Friends of Richard Boykins
PO Box 805901
Chicago, IL 60680

ID# 25506

7014 2120 0001 1716 0573

Dear Friends of Richard Boykins:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Pepsico, Inc.	11/19/2014	\$2500	1/7/2015	26	\$1250

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1250.00 for the delinquent filings.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$125.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be *stayed* as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by July 8, 2015 you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink that reads "Tom Newman".

Tom Newman, Director
Campaign Disclosure Division

SS: lm
Enclosures: appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

15 JQ 107

Melisa Hammer for County Treasurer
Cmte ID: 25609
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing the June 2015 Quarterly Report

The Report was received July 26th, 2015, 7 days late, resulting in an assessment of \$175. The Committee was previously fined \$50 (not appealed, stayed) for failing to file a required Schedule A-1 Report during the first quarter of 2014. The total assessment is \$225.

Melisa Hammer, the Candidate, as well as the Chairperson and Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Ms. Hammer described the Committee as dormant and noted there was no activity during the second quarter of 2015. She also stated the Report in question was the first one for which the Committee elected to receive advance notification by e-mail.

I recommend the appeal be denied for lack of an adequate defense. There is no statutory basis for relief in this matter, and a review of Board records indicates the Committee was properly and timely notified of its filing requirement in advance of the Report deadline. If the Board accepts this recommendation, the stay on the \$50 assessment resulting from the A-1 infraction in 2014 would be lifted, and a total of \$225 would be due and owing. In light of the minimal level of recent activity and the small amount of funds held by the Committee at present, Ms. Hammer may want to consider closing the Committee and reactivating it, as needed, if and when activity increases. As of June 30th, 2015, the Committee's reported balance was \$20.77.



John Levin – Hearing Officer
September 10th, 2015

State of Illinois)
County of: _____)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **15 SEP -8 PM 4:00**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

v/s.)
Melisa Hammer for County Treasurer)
Respondent(s).)

Case No. 1590107

APPEAL AFFIDAVIT

Melisa Hammer, the Chairman of the
(Name) (Chairman/Treasurer)
Melisa Hammer for County Treasurer
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

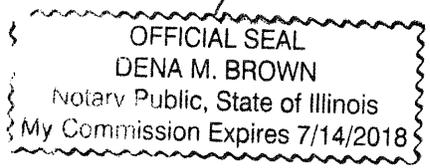
No activity and committee has been dormant. First
quarter for not receiving mail notification.

Melisa Hammer
Signature of Chairman/Treasurer

signed and Sworn to by:
Dena M. Brown

before me this 3 Day of Sept, 2015

Notary Public
(Signature)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

August 28, 2015
ID# 25609

Melisa Hammer for County Treasurer
Melisa Hammer
4744 NW Apple Canyon
Apple River, IL 61001

7014 3490 0000 5044 7444

Dear Melisa Hammer for County Treasurer:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contribution and Expenditures
Report Period: April 1, 2015 through June 30, 2015
Filing Period: July 1, 2015 through July 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/26/2015, 7 day(s) late. As such, this committee has been assessed a fine of \$175.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
March 2014	A1	\$50
TOTAL AMOUNT NOW DUE		\$225.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

15 AM 085

Citizens for Marvin McNeil
Cmte ID: 26124
Respondent

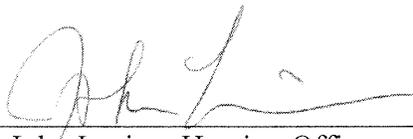
REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing a Schedule A-1 Report

The A-1 Report was received April 15th, 2015, 10 days late, with respect to a donation of \$1,500 from S & J Properties, Inc., dated March 30th, 2015. The assessment is \$750. The fine is automatically reduced to \$75 (10% of the above-referenced amount) as the product of a first Schedule A-1 offense. The Committee was previously fined \$250 (not appealed, stayed) for delinquently filing the March 2015 Quarterly Report. The total assessment is \$325.

Marvin McNeil, the Candidate, as well as the Chairman of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. McNeil stated the Committee Treasurer had been solely responsible for recording and reporting all funds raised by the Committee and has mistakenly assured him that transactions had been properly and timely reported. Mr. McNeil was campaigning through the Consolidated Election of 2015 and said he personally visited the Board's office to resolve the matter once he learned of the delinquent filing.

I recommend the appeal be denied for lack of an adequate defense. There is no statutory basis for relief in this matter. Mr. McNeil has stated his intent to take over all disclosure responsibilities in the future and is to be commended for his desire to improve future reporting by the Committee. If the Board accepts this recommendation, the stay on the \$250 assessment related to the delinquent March 2015 Quarterly Report would be lifted, and a total of \$325 would be due and owing. In light of minimal funds available and the potential for relative inactivity for some time, Mr. McNeil may want to consider dissolving the Committee for now and reactivating as needed should he run for public office again. As of June 30th, 2015, the Committee's reported balance was \$29.36.



John Levin – Hearing Officer
September 14th, 2015

State of Illinois
County of Cook

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:
ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs

Case No. 26124

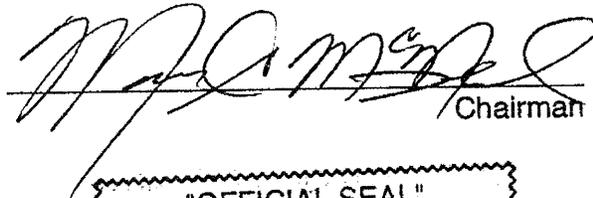
Citizens for Marvin McNeil
Marvin McNeil
Respondents

APPEAL AFFIDAVIT

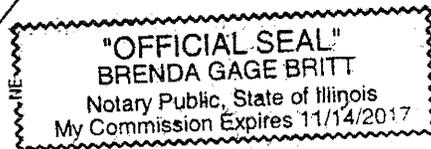
I, Marvin McNeil, the Chairman of the Citizens for Marvin McNeil Committee, first being duly sworn, deposes and states that he represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

- 1) The Treasurer of Citizens for Marvin McNeil Committee was the sole person designated and responsible for recording funds raised for and by the committee.
- 2) The Treasurer resigned from his position but assured the Chairman that all funds were properly recorded with the State Board of Elections.
- 3) The Chairman was actively engaged in the run off elections of April 2015 at the time of the Treasurer's resignation.
- 4) After the April 2015 elections, the Chairman visited the Illinois State Board of Elections to inquire about rules violations.
- 5) The Chairman had no further contact with the Treasurer and had to reconstruct the records of the Committee through bank records.
- 6) The Illinois State Board of Elections instructed the Chairman how to electronically file using the reporting website.
- 7) The Chairman examined the Committee bank records and amended committee reports to accurately reflect the Committee status.
- 8) The Chairman will hereafter handle reporting responsibilities of the Committee.

The Committee prays relief from the proposed penalties due to the unforeseen circumstances that were beyond the control of the Chairman.


Chairman

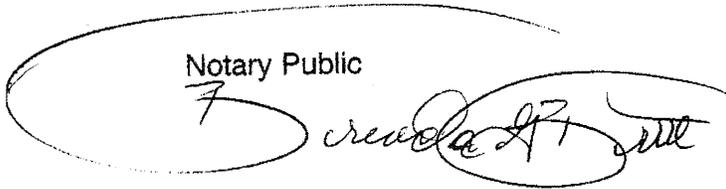
Signed and Sworn by:



Marvin A McNeil

Before me this 4th Day of September 2015

Notary Public



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
August 28, 2015

Citizens for Marvin McNeil
James Battieste
7937 S. Laflin
Chicago, IL 60620

ID# 26124

7015 1730 0002 1035 4391

Dear Citizens for Marvin McNeil:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
S & J Properties Inc	3/30/2015	\$1500	4/15/2015	10	\$750

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$750.00 for the delinquent filing. This total *does not* reflect any previously assessed fines.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$75.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.**

Since this is a subsequent violation, if the above listed violation is not appealed, the previously stayed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
2015 March	Quarterly	\$250
TOTAL AMOUNT NOW DUE		\$325

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink that reads "Tom Newman". The signature is written in a cursive style with a long horizontal line extending to the right.

Tom Newman, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

15 AM 027

Friends to Elect Toni L. Foulkes 20107

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
A Schedule A-1 in the 1st Quarter of 2015

This committee received nine in-kind contribution ranging from \$1,303 - \$5,871.95 between 1/28/15 - 3/31/15, which were between 1 - 25 days late, which resulted in a civil penalty assessment of \$11,872. In addition, the Committee has previously been assessed a \$25 civil penalty (not appealed, stayed) for delinquently filing the September 2014 Quarterly Report. The total assessment is \$11,897.

The treasurer, Samuel Rivers, attended the August 13th appeal hearing.

Mr. Rivers stated that all of the alleged violations pertained to in-kind contributions and the Committee reported the wrong receipt date as they reported the date on the notification forms rather than the date the notifications were received.

(1 & 2) Mr. Rivers stated that the campaign manager, Breanna Champion, was sent an e-mail from United Working Families PAC on 4/7/15 (election day) however she did not open the e-mail until 4/8/15. Furthermore, he stated that on the morning of 4/8/15 he left for vacation and he did not return until 4/13/15 (his flight itinerary was included with the appeal). While he was gone the campaign manager, Breanna Champion, received a second e-mail from United Working Families PAC which contained a corrected in-kind contribution notification form however this e-mail was not sent until the afternoon of 4/8/15. Mr. Rivers reported the receipt of the two in-kind contributions from United Working Families PAC for \$1,698.13 and \$3,611.41 on 4/14/15, the day after he returned from vacation which was within 5 business days of the day he was forwarded the notification form.

(3) Mr. Rivers stated that he received the AFSCME Council 31 \$5,871.95 in-kind contribution notification form on or around 2/17/15 and reported the contribution on a Schedule A-1 on 2/20/15 however he could not say for certain when the form was actually received.

(4) Mr. Rivers stated that the candidate's campaign manager received an in-kind notification form in an e-mail that was sent to her on 2/10/15. The notification listed SEIU Healthcare as the contributor of the \$5,000 in-kind contribution and Connection Strategies as the vendor that was paid. Mr. Rivers however accidentally reported that the contribution came from Connection Strategies on a Schedule A-1 Report that was filed on 2/12/15. In an attempt to correct the matter Mr. Rivers filed a second Schedule A-1 Report on 2/17/15 which reported the contributor was United Working Families PAC however he accidentally listed them as the vendor as well. Mr. Rivers also submitted a letter of correspondence to the State Board of Election in an attempt to clarify the situation however the letter still did not correct the situation. The letter said the Schedule A-1 Report filed on 2/12/15 was wrong and that the Schedule A-1 Report filed on 2/17/15 contained the proper information regarding the \$5,000 in-kind contribution however it did not. The in-kind notification form that was supplied with the appeal shows that the contributor was SEIU Healthcare Illinois Indiana PAC and the vendor was Connection Strategies. Therefore, the Hearing Officer had to contact Mr. Rivers after the conclusion of the hearing for clarification and Mr. Rivers stated that the in-kind notification had the wrong contributor on the form because the contribution was from United Working Families not SEIU Healthcare.

(5) Mr. Rivers stated that he personally picked up from the city hall mailbox on 2/18/15 a letter from Democracy for America stating that they provided an in-kind contribution to the Committee in relation to staff salaries, overhead and travel expenses for the February 24th Election. Mr. Rivers filed a Schedule A-1 Report on 2/18/15 reporting this contribution however he used a receipt date of 1/28/15 rather than the deemed receipt date in which the Committee received the notification, 2/18/15.

(6) Mr. Rivers stated that he has already been in contact with staff at the State Board of Elections regarding the improper reporting of the Daniel Potts contribution. He said the Schedule A-1 Report shows the contributor was Daniel Potts however he was the vendor as SEIU Healthcare Illinois Indiana PAC actually paid him to work on the campaign. Mr. Rivers also stated that he received the SEIU Healthcare Illinois PAC in-kind notification form in an e-mail that he received on 2/2/15 and since the contribution was reported on a Schedule A-1 Report on 2/4/15 that the contribution would have been timely reported as per the deemed receipt date of 2/2/15.

(7) Mr. Rivers stated that the United Working Families PAC \$1,338 in-kind notification form was received in an e-mail the campaign manager received on 2/19/15. Mr. Rivers filed a Schedule A-1 Report on 2/21/15 reporting the contribution however he used a receipt date of 2/13/15 rather than the deemed receipt date in which the Committee received the notification, 2/19/15.

(8) Mr. Rivers stated that he personally picked up the mail at the Committee's PO Box on 3/5/15 which contained a notification showing the Chicago Teachers Union PAC performed \$1,303 worth of door knocking on behalf of the Committee. Mr. Rivers filed a Schedule A-1 Report on 3/5/15 reporting the contribution however he used a receipt date of 2/23/15 rather than the deemed receipt date in which he received the notification, 3/5/15.

(9) Mr. Rivers stated that campaign manager received in an e-mail an in-kind notification form from Preckwinkle for President on 4/1/15 however the information was not provided to him until the following day. He noted that the Preckwinkle campaign did not supply on the form whom they had paid and he is still trying to obtain that information from them. Rivers filed a Schedule A-1 Report on 4/4/15 reporting the contribution however he used a receipt date of 2/28/15 rather than the date the campaign manager received the notification, 4/1/15 or the day he received the notification, 4/2/15.

In relation to all of the appealed in-kind contributions the Committee reported the wrong receipt date as they reported the date listed on the in-kind notification forms rather than the date the public official, candidate, or political committee received the notification.

(1 & 2) In relation to the two United Working Families PAC in-kind contributions of \$3,611.41 and \$1,698.13 I recommend the appeal be granted. The campaign manager received an in-kind contribution notification in an e-mail on 4/7/15 and Mr. Rivers reported these contributions on a Schedule A-1 Report filed on 4/14/15. Therefore, the Hearing Officer is of the opinion that the receipt date would be 4/7/15 as this is the date the campaign manager received the first in-kind notification form even if the dates provided on that form were not correct. The Committee filed amendments to the March and June 2015 Quarterly Reports on 8/31/15 which changed the receipt date from 1/28/15 to 4/7/15 which corrects the receipt date.

(3) In relation to the AFSCME Council 31 \$5,871.95 in-kind contribution I recommend the appeal be denied for lack of an adequate defense. The Respondent does not know when the form was actually received and he could not supply any information regarding when the form was received. Since, there is no indication this violation was anything other than inadvertent and unintentional, and since this is a first Schedule A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$294.

(4) In relation to the United Working Families PAC \$5,000 in-kind contribution I recommend the appeal be granted. The campaign manager received the in-kind notification form in an e-mail on 2/10/15 and Mr. Rivers reported the \$5,000 contribution on a Schedule A-1 Report on 2/12/15. However, the contributor and the receipt date were not correctly listed on that report, and in an attempt to amend the first Schedule A-1 Report the Committee filed a second Schedule A-1 Report for the same contribution on 2/17/15 however this

report listed the wrong vendor and the wrong receipt date. The Committee filed an amended March 2015 Quarterly Report on 8/31/15 which corrected the receipt date, the contributor, and the vendor of the \$5,000 in-kind contribution.

(5) In relation to the Democracy for America \$1,637.80 in-kind contribution I recommend the appeal be granted. Mr. Rivers stated that he personally picked up the in-kind notification form from the city hall mailbox on 2/18/15 and he reported this contribution on a Schedule A-1 Report on the same day. Therefore, the Hearing Officer is of the opinion that the receipt date would be 2/18/15 as this is the date the treasurer would have received the in-kind notification form. The Committee filed an amended March 2015 Quarterly Report on 8/31/15 which corrected the receipt date of this in-kind contribution.

(6) In relation to the Daniel Potts \$1,830.50 in-kind contribution I recommend the appeal be granted. For clarification purposes, Mr. Potts was actually the vendor for this in-kind contribution and SEIU Healthcare Illinois Indiana PAC was the actual contributor. Mr. Rivers stated that he received an e-mail containing the in-kind notification form from SEIU Healthcare Illinois Indiana PAC on 2/2/15 and he reported the contribution on a Schedule A-1 Report on 2/4/15. Therefore, the Hearing Officer is of the opinion that the deemed receipt date would be 2/2/15 as this is the date the treasurer received the in-kind notification form. The Committee filed an amended March 2015 Quarterly Report on 8/31/15 which corrected the contributor, the vendor and the receipt date.

(7) In relation to the United Working Families PAC \$1,338 in-kind contribution I recommend the appeal be granted. The campaign manager received an e-mail containing the in-kind contribution form on 2/19/15 and Mr. Rivers reported this contribution on a Schedule A-1 Report filed on 2/21/15. Therefore, the Hearing Officer is of the opinion that the receipt date would be 2/19/15 as this is the date the recipient Committee received the in-kind notification form. The Committee filed an amended March 2015 Quarterly Report on 8/31/15 which corrected the receipt date to show a date of 2/19/15.

(8) In relation to the Chicago Teachers Union PAC \$1,303 in-kind contribution I recommend the appeal be granted. Mr. Rivers stated that he personally picked up the in-kind notification form from the P.O. Box on 3/5/15 and he reported this contribution on a Schedule A-1 Report on the same day. Therefore, the Hearing Officer is of the opinion that the receipt date would be 3/5/15 as this is the date the treasurer received the in-kind notification form. The Committee filed an amended March 2015 Quarterly Report on 8/31/15 which corrected the receipt date.

(9) In relation to the Preckwinkle for President \$1,452.16 in-kind contribution I recommend the appeal be denied for lack of an adequate defense. The campaign manager received an e-mail containing the in-kind notification form on 4/1/15 and did not forward the information to the treasurer of the Committee until the following day. The treasurer filed a Schedule A-1 Report for this contribution on 4/4/15 which was within 2 business days of when he received the notification however the Hearing Officer believes that the clock would have started when the campaign manager received the in-kind notification form. The Hearing Officer believes a campaign manager would be considered part of a political committee in relation to when an in-kind contribution is deemed to be received. However, since there is no indication this violation was anything other than inadvertent and unintentional, and since this is a first Schedule A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$73. The Committee amended the March and June 2015 Quarterly Reports on 8/31/15 which corrected the receipt date for this contribution to reflect a date of 4/1/15.

If these recommendations are accepted by the Board, a \$367 (\$294 + \$73) civil penalty will be due and owing. (As of 6/30/15, this Committee showed a funds available balance of \$2,144.13)


Andy Nauman – Hearing Officer
September 25, 2015

State of Illinois)
County of: Cook)

STATE BOARD OF ELECTIONS

15 JUL 10 PM 3:03

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends To Elect Toni L. Foulkes)
Respondent(s).)

Case No. 15A4027

APPEAL AFFIDAVIT

I, Samuel Rivers, the Treasurer of the
(Name) (Chairman/Treasurer)
Friends To Elect Toni L. Foulkes
(Name of the Committee)

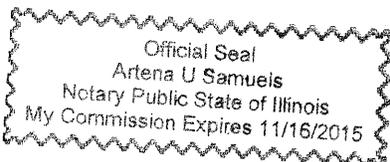
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

- ①② On vacation / cruise
- ③ I don't understand
- ④⑤⑥⑦⑧⑨ Filed late because they were sent late. Please see attached documents

Samuel Rivers
Signature of Chairman/Treasurer

Signed and Sworn to by:
Artena U. Samuels
before me this 6 Day of July, 2015

Notary Public seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
June 8, 2015

Friends to Elect Toni L Foulkes
Toni Foulkes, Sam Rivers
PO Box 369108
Chicago, IL 60636

ID# 20107

Dear Friends to Elect Toni L Foulkes:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

	<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
✓	United Working Families PAC (1)	3/31/2015	\$3611.41	4/14/2015	8	\$1806
✓	United Working Families PAC (2)	3/3/2015	\$1698.13	4/14/2015	25	\$849
✓	AFSCME Council 31 (3)	2/17/2015	\$5871.95	2/17/2015	1	\$2936
✓	United Working Families PAC (4)	2/6/2015	\$5000	2/17/2015	2	\$2500
✓	Democracy for America (5)	1/28/2015	\$1637.80	2/18/2015	11	\$819
✓	Daniel Potts (6)	1/30/2015	\$1830.50	2/4/2015	1	\$915
✓	United Working Families PAC (7)	2/13/2015	\$1338	2/21/2015	3	\$669
✓	Chicago Teachers Union PAC (8)	2/23/2015	\$1303	3/5/2015	5	\$652
✓	Preckwinkle for President (9)	2/28/2015	\$1452.16	4/4/2015	20	\$726

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$11872.00 for the delinquent filing. This total **does not** reflect any previously assessed fines.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1187.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

1
2

SCHEDULE A-1
REPORT OF CAMPAIGN CONTRIBUTIONS
OF
\$1000 or more

FILED
4/14/2015 9:34:04 PM
FOR OFFICE USE ONLY
IDENTIFICATION NO.
Candidate 20107

Friends to Elect Toni L. Foulkes
P.O. Box 369108
Chicago, IL 60636

DDIS
Received 4/14/15
Returned from vendor 4/15/15

ITEMIZED RECEIPTS		RECEIPT	DATE	AMOUNT OF
FULL NAME, MAILING ADDRESS, AND ZIP CODE	VENDOR	TYPE	RECEIVED	EACH RECEIPT
	In-Kind Contribution			
United Working Families PAC 2229 S. Halsted St. Chicago, IL 60608	Mack-Sumner Communications, LLC 2001N. Beauregard St. Alexandria, VA 22311		4/7/2015	\$1,698.13
Description: Printing				
	Mack-Sumner Communications, LLC 2001N. Beauregard St. Alexandria, VA 22311		4/7/2015	\$3,611.41
Description: Mailing				

Name and address of person submitting this report if other
than the committee's candidate or treasurer:

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, occupation & employer must be provided.

To Candidate/Political Committee:

From:

Friends to Elect Toni Fowlkes
3045 W. 63rd St. 1e
Chicago, IL 60629

United Working Families PAC

2229 W. Halsted St.

Chicago, IL 60608

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description
Mack Sumner Communications, LLC 2001 N. Beauregard St. #420 Alexandria, VA 22311	4.7.15	\$3,611.41	Mail

The political committee must report this contribution on their Form D-2, Section A, Part 5.


Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, occupation & employer must be provided.

To Candidate/Political Committee:

From:

Friends to Elect Toni Foukes
3045 W. 63rd St. 1e
Chicago, IL 60629

United Working Families PAC

2229 W. Halsted St.

Chicago, IL 60608

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date Value	
Mack Sumner Communications 2001 N. Beauregard St. #420 Alexandria, VA 22311	4.7.15	\$1,698.13
		Description
		Literature

The political committee must report this contribution on their Form D-2, Section A, Part 5.


Signature of Contributor

From: **Southwest Airlines** SouthwestAirlines@luv.southwest.com
 Subject: Your trip is around the corner!
 Date: April 4, 2015 at 5:03 PM
 To: Sam R SAMRIV@ATT.NET

You're all set for your Ft. Lauderdale trip!

[My Account](#) | [View My Itinerary Online](#)



Check In Online Check Flight Status Change Flight Special Offers Hotel Deals Car Deals

Pack your bags



Your Ft. Lauderdale trip is just a few days away! You don't need this email in order to checkin, but feel free to print it out and keep it on hand for your trip if youd like. Happy travels and thanks for choosing Southwest!

AIR Itinerary

Air Confirmation	Passenger(s)
FQNUHL	SAMUEL E RIVERS
FVHUH9	ANGEL PAGE RIVERS

Date	Flight	Departure/Arrival
Wed Apr 8	2521	Depart Chicago (Midway) (MDW) on Southwest Airlines at 10:36 AM Arrive in Ft. Lauderdale (FLL) at 02:25 PM
Mon Apr 13	2610	Depart Ft. Lauderdale (FLL) on Southwest Airlines at 03:00 PM Arrive in Atlanta (ATL) at 04:55 PM
	2700	Change planes to Southwest Airlines in Atlanta (ATL) at 07:00 PM Arrive in Chicago (Midway) (MDW) at 08:00 PM

What you need to know to travel:

- Don't forget to check in for your flight(s) 24 hours before your trip on southwest.com or your mobile device. This will secure your boarding position on your flights.
- Southwest Airlines does not have assigned seats, so you can choose your seat when you board the plane. You will be assigned a boarding position based on your checkin time. The earlier you check in, within 24 hours of your flight, the earlier you get to board.
- WiFi, TV, and related services and amenities may vary and are subject to change based on assigned aircraft. [Learn more.](#)

Remember to be in the gate area on time and ready to board:

- 30 minutes prior to scheduled departure time: We may begin boarding as early as 30 minutes prior to your flight's scheduled departure time. We encourage all passengers to plan to arrive in the gate area no later than this time.
- 10 minutes prior to scheduled departure time: All passengers must obtain their boarding passes and be in the gate area available for boarding at least 10 minutes prior to your flight's scheduled departure time. If not, Southwest may cancel your reserved space and you will not be eligible for denied boarding compensation.
- If you do not plan to travel on your flight: In accordance with Southwest's No Show Policy, you must notify Southwest at least 10 minutes prior to your flight's scheduled departure if you do not plan to travel on the flight. If not, Southwest will cancel your reservation and all funds will be forfeited.

Save up to 30%
 Plus earn up to 2,400 Rapid Rewards® points.

Let's go!

Earn
25,000 Points

Learn More

CLICK 'N SAVE

Join over 17 million email subscribers saving big on travel each week.

Sign Up

NOW BOARDING. BEATS MUSIC.

Try for free on your Southwest flight.

*with approved credit card only

Subject: Fwd: Scanned from a Xerox Multifunction Printer
From: Breanna Champion (brechampion@gmail.com)
To: samriv@att.net;
Date: Wednesday, April 8, 2015 4:05 PM

----- Forwarded message -----

From: **Hannah Lloyd** <hannah@unitedworkingfamilies.org>
Date: Wed, Apr 8, 2015 at 2:36 PM
Subject: Fwd: Scanned from a Xerox Multifunction Printer
To: Breanna Champion <brechampion@gmail.com>

Hey Breanna-

Two of the in kinds we submitted yesterday had the incorrect date. The corrected in kinds are attached. I'm really sorry for any inconvenience. Thank you, and please let us know if you need any additional help or support as the final votes are tallied.

-Hannah

----- Forwarded message -----

From: <xerox7970@seiuhcil.org>
Date: Wed, Apr 8, 2015 at 1:49 PM
Subject: Scanned from a Xerox Multifunction Printer
To: "hannah@unitedworkingfamilies.org" <hannah@unitedworkingfamilies.org>

Please open the attached document. It was scanned and sent to you using a Xerox Multifunction Printer.

Attachment File Type: pdf, Multi-Page

Multifunction Printer Location:
Device Name: XRX9C934E556920

For more information on Xerox products and solutions, please visit <http://www.xerox.com>

3

SCHEDULE A-1
REPORT OF CAMPAIGN CONTRIBUTIONS
OF
\$1000 or more

FILED
2/20/2015 3:49:55 PM
FOR OFFICE USE ONLY
IDENTIFICATION NO.
Candidate 20107

Friends to Elect Toni L. Foulkes
P.O. Box 369108
Chicago, IL 60636

ITEMIZED RECEIPTS	RECEIPT	DATE	AMOUNT OF
FULL NAME, MAILING ADDRESS, AND ZIP CODE	VENDOR TYPE	RECEIVED	EACH RECEIPT
In-Kind Contribution			
AFSCME Council 31 615 S. Second Street P.O. Box 2328 Springfield, IL 62705-2328	Mack-Sumner Communications, LLC 2001N. Beauregard St. Alexandria, VA 22311	2/17/2015	\$5,871.95
Description: Mailing			

Name and address of person submitting this report if other
than the committee's candidate or treasurer:

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

DDIS
2/20/15

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, occupation & employer must be provided.

To Candidate/Political Committee:

Friends to Elect Toni L. Foulkes
6633 South Ashland Avenue
Chicago, IL 60636

From:

AFSCME Council 31 PAC
615 S. Second Avenue
Springfield, IL 62703

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description
	Mack-Sumner Communications, LLC 2001 North Beauregard Street, Suite 420 Alexandria, VA 22311	02/17/15	

The political committee must report this contribution on their Form D-2, Section A, Part 5.

Roberta Lynch

Signature of Contributor

1
A

SCHEDULE A-1
REPORT OF CAMPAIGN CONTRIBUTIONS
OF
\$1000 or more

FILED
2/17/2015 10:00:39 AM
FOR OFFICE USE ONLY
IDENTIFICATION NO.
Candidate 20107

Friends to Elect Toni L. Foulkes
P.O. Box 369108
Chicago, IL 60636

Corrected A-1/In-Kind Contribution

ITEMIZED RECEIPTS	RECEIPT	DATE	AMOUNT OF
FULL NAME, MAILING ADDRESS, AND ZIP CODE	VENDOR TYPE	RECEIVED	EACH RECEIPT
In-Kind Contribution			
United Working Families PAC 2229 S. Halsted St. Chicago, IL 60608	United Working Families PAC 2229 S. Halsted St. Chicago, IL 60608	2/6/2015	\$5,000.00
Description: Polling			

Name and address of person submitting this report if other
than the committee's candidate or treasurer:



Toni L. Foulkes, Alderman 15th Ward

PO Box 369108 – Chicago, IL 60636 ☆ Ph: (773) 737-3470 ☆ Fax: (773) 863-8275

Illinois State Board of Elections
100 W. Randolph 14-100
Chicago, IL. 60601

February 17, 2015

RE: Friends To Elect Toni L. Foulkes (Candidate 20107)
Wrong information on an In-Kind Contribution

This committee is reporting an error on an In-Kind Contribution that was filed 2/12/2015 at 6:16:59 PM.

The original In-Kind Contribution/Schedule A-1 vendor reads:

Connection Strategies
2340 W. North Ave
Chicago, IL. 60647

When in-fact, it should read:

United Working Families
2229 S. Halsted
Chicago, IL. 60608

As, reported on the In-Kind Contribution/Schedule A-1 filed 2/17/2015 at 10:00:39 AM.

We do apologize for the error. Feel free to call should you have any questions or require additional information.

Sincerely,

Samuel Rivers
Treasurer

Paid for by Friends to Elect Toni L. Foulkes. A copy of our report filed with the State Board of Elections is (or will be) available on the Board's official website, <http://elections.il.gov>, or for purchase from the State Board of Election, Springfield, Illinois. All contributions are reported to the State Board of Elections.

Political Contributions are not deductible for tax purposes.



SCHEDULE A-1

**REPORT OF CAMPAIGN CONTRIBUTIONS
OF
\$1000 or more**

FILED

2/12/2015 6:16:59 PM

FOR OFFICE USE ONLY
IDENTIFICATION NO.

Candidate 20107

ads to Elect Toni L. Foulkes
P.O. Box 369108
Chicago, IL 60636

ITEMIZED RECEIPTS		RECEIPT	DATE	AMOUNT OF
FULL NAME, MAILING ADDRESS, AND ZIP CODE	VENDOR	TYPE	RECEIVED	EACH RECEIPT
In-Kind Contribution				
Connection Strategies 2340 W. North Ave. Chicago, IL 60647	Connection Strategies 2340 W. North Ave. Chicago, IL 60647			
Description: Polling			2/6/2015	\$5,000.00

Name and address of person submitting this report if other
than the committee's candidate or treasurer:

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

IDIS
2/6/15

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, occupation & employer must be provided.

To Candidate/Political Committee:

From:

Friends to Elect Toni L Foulkes

SEIU Healthcare Illinois Indiana PAC

P O Box 369108

2229 S Halsted St

Chicago, IL 60636

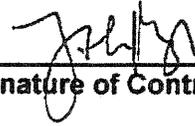
Chicago, IL 60608

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description
Connection Strategies 2340 W North Ave #3S Chicago, IL 60647	2/6/15	\$5000.00	polling

The political committee must report this contribution on their Form D-2, Section A, Part 5.



Signature of Contributor

Subject: Fwd: in-kind
From: Breanna Champion (brechampion@gmail.com)
To: samriv@att.net;
Date: Wednesday, February 11, 2015 4:05 PM

Breanna Champion | CM, Organizer
312.206.3120

Begin forwarded message:

From: Erica Bland <Erica.Bland@seiuhcil.org>
Date: February 10, 2015 at 6:30:24 PM CST
To: "tonilavai@yahoo.com" <tonilavai@yahoo.com>, Breanna Champion
<brechampion@gmail.com>
Cc: Josh Myles <Josh.Myles@seiuhcil.org>
Subject: in-kind

Please file this first thing tomorrow.

Thanks

Erica N. Bland-Durosinmi
SEIU Healthcare Illinois and Indiana
City and County Political Coordinator/ Vice President
Political Director
United Working Families
2229 S Halsted St
Chicago, IL 60608
312.980.9017 desk 312.296.9898 cell

(S)

SCHEDULE A-1
REPORT OF CAMPAIGN CONTRIBUTIONS
OF
\$1000 or more

FILED
2/18/2015 5:21:14 PM
FOR OFFICE USE ONLY
IDENTIFICATION NO.
Candidate 20107

Friends to Elect Toni L. Foulkes
P.O. Box 369108
Chicago, IL 60636

ITEMIZED RECEIPTS		RECEIPT	DATE	AMOUNT OF
FULL NAME, MAILING ADDRESS, AND ZIP CODE	VENDOR	TYPE	RECEIVED	EACH RECEIPT
In-Kind Contribution				
Democracy For America P.O. Box 1717 29 Church St. Burlington, VT 05402	Democracy For America P.O. Box 1717 29 Church St. Burlington, VT 05402		1/28/2015	\$1,637.80
Description: Staff salaries				
In-Kind Contribution				
Potts, Daniel 2638 W. Evergreen Ave. Chicago, IL 60622	Potts, Daniel 2638 W. Evergreen Ave. Chicago, IL 60622		2/13/2015	\$1,830.50
Description: Staffing				

Name and address of person submitting this report if other
than the committee's candidate or treasurer:



*Found
2/18/15
Picked up from
City Hall mailbox
2/18/15*

Mail:
P.O. Box 1717
Burlington, VT 05402
Location:
29 Church St
Ste 7
Burlington, VT 05401
Main Tel: 802-651-3200
Main Fax: 802-651-3299

www.democracyforamerica.com

January 28, 2015

Toni Foulkes
Friends to Elect Toni L Foulkes
121 N LaSalle St
Room 300, Office 26
Chicago, IL 60602

Re: Notice of In-kind Contribution

Dear Ms. Foulkes:

This is to inform you that Democracy for America, a federal multicandidate committee registered at the Federal Election Commission and in the state of Illinois, has made the following in-kind contributions to Friends to Elect Toni L Foulkes 2015 Primary Election. I am providing you with the following information:

Date of In-Kind:	January 28, 2015
Amount:	\$1,637.80
Purpose:	Staff salaries, overhead and travel expenses (Jan. 2015)
Election:	2015 Primary Election

If you have any questions, please do not hesitate to contact me at 802-651-3210. Our FEC committee # is C00370007.

Sincerely,

Laurie Childers
Accounting Manager
Democracy for America
lchilders@democracyforamerica.com



P.O. Box 1717
Burlington, VT 05402

Toni L Foulkes

Office 26

Handwritten:
Picked up from
City Hall mailbox
2/18/15

www.democracyforamerica.com

Mail:
P.O. Box 1717
Burlington, VT 05402
Location:
29 Church St
Ste 7
Burlington, VT 05401
Main Tel: 802-651-3200
Main Fax: 802-651-3299

ISLANDIA CT 06104
29 JAN 2015 PM 3 T

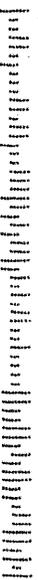


Toni Foulkes
Friends to Elect Toni L Foulkes
121 N LaSalle St
Room 300, Office 26
Chicago, IL 60602

Handwritten: 15



6060281205



Accounting Manager
Democracy for America
Ichilders@democracyforamerica.com

6

SCHEDULE A-1
REPORT OF CAMPAIGN CONTRIBUTIONS
OF
\$1000 or more

FILED
2/18/2015 5:21:14 PM
FOR OFFICE USE ONLY
IDENTIFICATION NO.
Candidate 20107

Friends to Elect Toni L. Foulkes
P.O. Box 369108
Chicago, IL 60636

ITEMIZED RECEIPTS		RECEIPT	DATE	AMOUNT OF
FULL NAME, MAILING ADDRESS, AND ZIP CODE	VENDOR	TYPE	RECEIVED	EACH RECEIPT
In-Kind Contribution				
Democracy For America P.O. Box 1717 29 Church St. Burlington, VT 05402	Democracy For America P.O. Box 1717 29 Church St. Burlington, VT 05402		1/28/2015	\$1,637.80
In-Kind Contribution				
Potts, Daniel 2638 W. Evergreen Ave. Chicago, IL 60622	Potts, Daniel 2638 W. Evergreen Ave. Chicago, IL 60622		2/13/2015	\$1,830.50

Name and address of person submitting this report if other
than the committee's candidate or treasurer:

6

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, occupation & employer must be provided.

To Candidate/Political Committee:

From:

Friends to Elect Toni L Foulkes

SEIU Healthcare Illinois Indiana PAC

P O Box 369108

2229 S Halsted St

Chicago, IL 60636

Chicago, IL 60608

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable). Daniel Potts 2638 W Evergreen Ave # 2F Chicago, IL 60622	Date	Value
	1/30/15	\$1830.50
Description		
staffing		

The political committee must report this contribution on their Form D-2, Section A, Part 5.

Joshua Myles

Signature of Contributor

Subject: Fwd: In kinds from SEIU HCII PAC for Foulkes
From: Erica Bland (Erica.Bland@seiuhcil.org)
To: friendstonifoulkes@att.net; tonilavai@yahoo.com; brechampion@gmail.com;
Cc: Josh.Myles@seiuhcil.org;
Date: Monday, February 2, 2015 2:30 PM

This needs to be reported. It's time in-kind for myself and Dan Potts.

Erica N. Bland-Durosinmi
City and County Political Director
SEIU Healthcare Illinois
Political Director
United Working Families
2229 S Halsted St.
Chicago, IL 60608

Begin forwarded message:

From: Josh Myles <Josh.Myles@seiuhcil.org>
Date: February 2, 2015 at 2:10:03 PM CST
To: Erica Bland <Erica.Bland@seiuhcil.org>
Subject: In kinds from SEIU HCII PAC for Foulkes

Hi Erica,

Hope you're enjoying your snow day.

Attached a two in kinds for Toni's campaign for your time and Dan's time. If you could please forward this to the appropriate person today I'd appreciate it so we can report on time.

Thanks,
Josh

7

SCHEDULE A-1
REPORT OF CAMPAIGN CONTRIBUTIONS
OF
\$1000 or more

FILED
2/21/2015 1:16:46 PM
FOR OFFICE USE ONLY
IDENTIFICATION NO.
Candidate 20107

Friends to Elect Toni L. Foulkes
P.O. Box 369108
Chicago, IL 60636

ITEMIZED RECEIPTS	RECEIPT	DATE	AMOUNT OF
FULL NAME, MAILING ADDRESS, AND ZIP CODE	VENDOR TYPE	RECEIVED	EACH RECEIPT
In-Kind Contribution			
United Working Families PAC 2229 S. Halsted St. Chicago, IL 60608	Sosseh, Kumba 6871 S. Crandon Chicago, IL 60649	2/13/2015	\$1,338.00
Description: Staffing			

Name and address of person submitting this report if other
than the committee's candidate or treasurer:

7

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

DIS
2/12/15

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, occupation & employer must be provided.

To Candidate/Political Committee:

From:

Friends to Elect Toni L Foulkes

United Working Families PAC

3045 W 63rd St. Ste 1E

2229 S Halsted St

Chicago, IL 60629

Chicago, IL 60608

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description
Kumba Sosseh 6871 S Crandon Ave #2 Chicago, IL 60649	2/13/15	\$1335	staffing

The political committee must report this contribution on their Form D-2, Section A, Part 5.



Signature of Contributor

From: **Breanna Champion** brechampion@gmail.com
Subject: Fwd: UWF PAC In kind notifications
Date: February 20, 2015 at 2:01 PM
To: Sam R samriv@att.net

Hey Sam,

These are recent in kinds to be entered.

Bre

----- Forwarded message -----

From: Hannah Lloyd

<hannah@unitedworkingfamilies.org>

Date: Thu, Feb 19, 2015 at 5:25 PM

Subject: Fwd: UWF PAC In kind notifications

To: Breanna Champion

<brechampion@gmail.com>

UWF PAC Inkind
2-13 - Foulkes.pdf

SCHEDULE A-1

**REPORT OF CAMPAIGN CONTRIBUTIONS
OF
\$1000 or more**

FILED
3/5/2015 5:16:53 PM
FOR OFFICE USE ONLY
IDENTIFICATION NO.
Candidate 20107

Friends to Elect Toni L. Foulkes
P.O. Box 369108
Chicago, IL 60636

ITEMIZED RECEIPTS		RECEIPT	DATE	AMOUNT OF
FULL NAME, MAILING ADDRESS, AND ZIP CODE	VENDOR	TYPE	RECEIVED	EACH RECEIPT
		In-Kind Contribution		
Chicago Teachers Union PAC 222 Merchandise Mart Plaza Suite 400 Chicago, IL 60654	Chicago Teachers Union PAC 222 Merchandise Mart Plaza Suite 400 Chicago, IL 60654			
Description: Ward work			2/23/2015	\$1,303.00

Name and address of person submitting this report if other
than the committee's candidate or treasurer:

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, occupation & employer must be provided.

To Candidate/Political Committee:

From:

FRIENDS TO ELECT TONI L. FOULKES

Chicago Teachers Union-PAC

PO BOX 369108

222 Merchandise Mart Plaza, Suite 400

CHICAGO, IL 60636

Chicago, IL 60654

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).

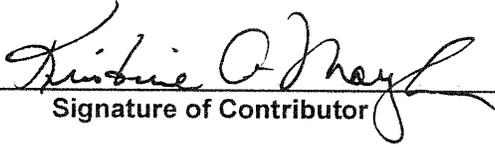
Date Value
2/23/2015

\$1,303.00

Description

Door Knocking

The political committee must report this contribution on their Form D-2, Section A, Part 5.


Signature of Contributor

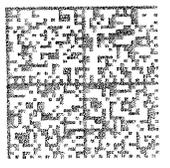


222 Merchandise Mart Plaza
Suite 400
Chicago, IL 60654-1016

Retrieved from
Mailbox Thar
3/5/15

Friends to Elect Toni L. Foulkes
P.O. Box 369108
Chicago, IL 60636

MAILING PERMIT
IL 604
27 FEB 2015
PM 3:1



U.S. POSTAGE® PITNEY BOWES
ZIP 60654 \$000.48⁰
02 1W
0001384217 FEB 27 2015

60636910808



19

SCHEDULE A-1
REPORT OF CAMPAIGN CONTRIBUTIONS
OF
\$1000 or more

FILED
4/4/2015 2:09:31 PM
FOR OFFICE USE ONLY
IDENTIFICATION NO.
Candidate 20107

Friends to Elect Toni L. Foulkes
P.O. Box 369108
Chicago, IL 60636

ITEMIZED RECEIPTS		RECEIPT	DATE	AMOUNT OF
FULL NAME, MAILING ADDRESS, AND ZIP CODE	VENDOR	TYPE	RECEIVED	EACH RECEIPT
In-Kind Contribution				
Preckwinkle for President 5135 S Greenwood Chicago, IL 60615	Preckwinkle for President 5135 S Greenwood Chicago, IL 60615		2/28/2015	\$1,452.16
Description: Paid communications				

Name and address of person submitting this report if other
than the committee's candidate or treasurer:

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Received April 2, '15

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, occupation & employer must be provided.

To Candidate/Political Committee:

From:

Friends to Elect Toni L. Foulkes

Preckwinkle for President

PO Box 369108

1516 E. 53rd St., 2nd Floor

Chicago, IL 60636

Chicago, IL 60615

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description
	TSG 321 N. Clark St., Ste. 500 Chicago, IL 60654	2/28/2015	

The political committee must report this contribution on their Form D-2, Section A, Part 5.

Toni Preckwinkle

Signature of Contributor

From: **Breanna Champion** brechampion@gmail.com
Subject: Fwd: In-kind contribution
Date: April 1, 2015 at 2:05 PM
To: Sam R samriv@att.net

----- Forwarded message -----

From: Nishanth Dittakavi

<nish@tonipreckwinkle.org>

Date: Wed, Apr 1, 2015 at 1:59 PM

Subject: In-kind contribution

To: brechampion@gmail.com

Hi Bre,

This is an in-kind contribution for the GOTV calls we made for the February election along with our robo call from

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
June 8, 2015

Friends to Elect Toni L Foulkes
Toni Foulkes, Sam Rivers
PO Box 369108
Chicago, IL 60636

ID# 20107

7014 2120 0001 1716 1402

Dear Friends to Elect Toni L Foulkes:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
United Working Families PAC	3/31/2015	\$3611.41	4/14/2015	8	— \$1806
United Working Families PAC	3/3/2015	\$1698.13	4/14/2015	25	— \$849
AFSCME Council 31	2/17/2015	\$5871.95	2/17/2015	1	— \$2936
United Working Families PAC	2/6/2015	\$5000	2/17/2015	2	— \$2500
Democracy for America	1/28/2015	\$1637.80	2/18/2015	11	— \$819
Daniel Potts	1/30/2015	\$1830.50	2/4/2015	1	— \$915
United Working Families PAC	2/13/2015	\$1338	2/21/2015	3	— \$669
Chicago Teachers Union PAC	2/23/2015	\$1303	3/5/2015	5	— \$652
Preckwinkle for President	2/28/2015	\$1452.16	4/4/2015	20	\$726

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$11872.00 for the delinquent filing. This total **does not** reflect any previously assessed fines.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1187.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in cursive script that reads "Tom Newman". The signature is written in black ink and is positioned above the printed name.

Tom Newman, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

15 MA 083

Garcia for Chicago 26214

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
The March 2015 Quarterly Report and
A Schedule A-1 Report in the 1st Quarter of 2015

The March 2015 Quarterly Report was received by the Board on April 16, 2015, 1 day late resulting in a civil penalty assessment of \$50. The Committee also received sixteen in-kind contributions ranging from \$1,505.53 - \$44,000 between 2/4/15 - 2/10/15, which were between 1 - 5 days late, which resulted in civil penalty assessments of \$70,339. The total assessment is \$70,389.

Tony Favela, the treasurer of the Committee, appeared at the August 13th appeal hearing.

Mr. Favela stated that since the Committee was rapidly receiving contributions they started using NGP's software to prepare their reports. However, once they attempted to file the March 2015 Quarterly Report they received an error. Mr. Favela stated that the Committee attempted to contact the State Board of Elections but were informed the error appeared to be within NGP so they were instructed to contact NGP. Once NGP was contacted they determined the error was the result of the # character being included in the password. Mr. Favela stated that the State Board of Elections was then called again in an attempt to resolve the matter however it took three calls to actually get the password changed. Once the password was changed the report was filed.

In relation to the Schedule A-1 Report violations Mr. Favela stated that the in-kind contributions were incorrectly reported due to a clerical error as they reported the receipt date as the date on the notification rather than the actual date the notifications were received by the Committee. Mr. Favela submitted a Group Exhibit as supporting evidence which the Hearing Officer numbered for simplification. Mr. Favela stated that the assessment for ULINE should actually be from SEIU as ULINE was the vendor not the contributor (pages 1 & 2 were supporting evidence to this fact). Mr. Favela stated that the four in-kind contribution forms (pages 3, 4, 8 & 9) that were received from the Chicago Teachers Union-PAC were all mailed to the Committee however they were mailed to the campaign office located on Archer Ave. rather than the campaign office on Washington which he primarily was located at. Therefore, Mr. Favela stated that he did not know the in-kind contribution notification forms were received as they were sent to a different campaign office. Mr. Favela could not determine when the forms would have been received at the Archer Ave. campaign office however he provided the Hearing Officer with a glimpse of a different in-kind contribution notification form and an envelope which was dated May 29th in which he said the mailed form did not arrive at the Archer Ave. campaign office until June 11th. The Respondent requests that since it took this notification 13 days to arrive via mail that they be given a similar leeway in considering the time it would have taken the other in-kind contribution notifications to be received and

since the four assessments were all reported within 4 or 5 business days of the date they were mailed that they be considered timely. Mr. Favela said the remaining in-kind notifications were sent via e-mail as outlined below and the receipt dates were not correct as they were reported as the date on the form rather than when the forms were received.

- SEIU Healthcare notification for \$5,250 (page 5) was received in an e-mail (page 6) on 2/20/15 and filed on Schedule A-1 Report on that same date.
- National Education Assoc. notification (page 10) was e-mailed (page 11) to a campaign consultant (Andrew Sharp) on 3/4/15 and filed on a Schedule A-1 Report on 3/15/15. However, Mr. Favela stated that the National Education Assoc. did not report paying for the actual expenses related to the in-kind contributions until 3/10/15 as shown on their report (page 13). The Respondent requests that the 3/10/15 date be considered as the receipt date as the contributor would not have paid the vendor until this date.
- The nine SEIU Healthcare notifications for \$11,100 (page 14), \$2,500 (page 15), \$1,559.31 (page 16), \$2,858.94 (page 17), \$15,375 (page 18), \$3,000 (page 19), \$2,000 (page 20), \$2,000 (page 21) and \$1,505.53 (page 22) were e-mailed to Mr. Favela on 3/5/15 and filed on a Schedule A-1 Report on 3/15/15.
- SEIU Healthcare notification for \$3,241.49 (page 25) was received by Mr. Favela in an e-mail (page 26) on 3/16/16 and filed on a Schedule A-1 Report on that same date.

The March 2015 Quarterly Report was filed one day late as the Committee filed the report on 4/16/15 at 2:11pm. The Respondent's defense is that the password had a # character in the password and the NGP program produced an error when they attempted to submit their report. Then the Committee had to contact the State Board of Elections to change the password. In order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously utilized such a defense, and particularly since the Committee was diligent in seeking help for the problem the day after the filing deadline, I recommend the appeal be granted even though the problem was a result of NGP. The IDIS system allows the # character to be used in passwords.

In relation to the four Chicago Teachers Union PAC in-kind contributions I recommend the appeal be denied for lack of an adequate defense. As per 10 ILCS 5/9-10(d) the deemed receipt date for these in-kind contributions would be the date the public official, candidate, or political committee receives the notification of contributions of goods or services. Therefore, the Hearing Officer is of the opinion that the notifications were deemed received on the date they arrived at the Archer Ave. campaign office even if the person who received the notifications did not inform the treasurer that the notifications were received. Furthermore, since the Committee did not document the date the notifications were received I recommend they advise their staff and volunteers that when in-kind notifications are received the date of receipt must be recorded and the appropriate people must be notified for proper reporting. I realize that this Committee has filed a Final Report however my recommendation would be for the new candidate committee that was filed with the State Board of Elections 5 days before this Committee submitted a Final Report. Since, there is no indication these violations were anything other than inadvertent and unintentional, and since this would be considered as a first Schedule A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessments, or \$2,315 (\$250, \$125, \$1,328, and \$612).

In relation to the SEIU Healthcare in-kind contribution of \$5,250 I recommend the appeal be granted. The e-mail provided by the respondent shows that the in-kind notification form was received on 2/20/15 which is the same day the Committee filed a Schedule A-1 Report for this contribution. Therefore, the contribution was timely reported and the deemed receipt date should have been 2/20/15.

The campaign consultant was e-mailed the in-kind notification from the National Education Assoc. Fund for Children and Public Education on 3/4/15 therefore it is the opinion of the Hearing Officer that 3/4/15 should be the deemed receipt date. The Committee did not report this contribution on a Schedule A-1 Report until 3/15/15 therefore the contribution was not reported within 5 business days as required. Furthermore, 10 ILCS 5/9-10 (d) says the deemed receipt date is the date the political committee receives the notification therefore the Hearing Officer believes the date the contributor actually paid the vendor is irrelevant. Lastly, even if the Board were to take into consideration the Respondents request to use the date the contributor paid the vendor as the receipt date the Hearing Officer would like to point out that the contribution would still have been delinquently reported. A receipt date of 3/10/15 would have required the contribution to have been reported within 2 business days as the date would have been within 30 days of the April 7th Election and the contribution was not reported within 2 business days. Therefore, I recommend the appeal be denied in relation to the National Education Assoc. Fund for Children and Public Education contribution for lack of an adequate defense. However, since there is no indication this violation was anything other than inadvertent and unintentional, and since this would be considered as a first Schedule A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$2,200.

In relation to the nine in-kind contributions that were reported as being received on 3/2/15 from SEIU Healthcare I recommend the appeal be denied for lack of an adequate defense. The notifications were e-mailed to the treasurer of the Committee on 3/5/15 therefore the Hearing Officer is of the opinion that this date should be the deemed receipt date. The Committee did not report the contributions on a Schedule A-1 Report until 3/15/15 therefore the contributions were not reported within 5 business days as required. However, since there is no indication these violations were anything other than inadvertent and unintentional, and since they would be considered as a first Schedule A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$2,095 (\$555, \$125, \$78, 143, \$769, \$150, \$100, \$100, \$75).

In relation to the SEIU Healthcare in-kind contribution of \$3,241.49 I recommend the appeal be granted. The email provided by the Respondent shows that the in-kind notification form was received on 3/16/15 which is the same day the Committee filed a Schedule A-1 Report for this contribution. Therefore, the contribution was timely reported and the deemed receipt date should have been 3/16/15.

I also recommend the Committee be ordered to amend the March 2015 Quarterly Report to correctly report the deemed receipt dates for their in-kind contributions within 30 days of the date of the Final Board Order in this matter. If this recommendation is accepted by the Board the total penalty of \$6,610 will be due and owing. (This Committee filed a Final Report on 8/10/15 and reported transferring \$61,532.11 to Mr. Garcia's new candidate committee, Friends of Chuy Garcia, between 7/24/15 – 8/10/15 however as per Section 100.110 (d) the committee is only permitted to exit the reporting system after payment has been made for any civil penalties that were assessed prior to the Committee seeking to go out of existence. The assessment letter assessing the fines was mailed out on 6/8/15 therefore the Hearing Officer is of the opinion that the civil penalty should be paid prior to permitting the Committee to exit the reporting system. Furthermore, the transfers between Garcia for Chicago and Friends of Chuy Garcia appears to be in excess of contribution limits.)


Andy Nauman – Hearing Officer
August 19, 2015

Group Exhibit

SCHEDULE A-1

REPORT OF CAMPAIGN CONTRIBUTIONS
OF
\$1000 or more

FILED

3/15/2015 08:37:49 PM

FOR OFFICE USE ONLY

IDENTIFICATION NO.

26214

04

Garcia for Chicago
4249 S Archer Ave
Suite 100
Chicago, IL 60632

ITEMIZED RECEIPTS		RECEIPT	DATE	AMOUNT OF
FULL NAME, MAILING ADDRESS, AND ZIP CODE	VENDOR	TYPE	RECEIVED	EACH RECEIPT
In-Kind Contribution				
National Education Association Fund for Children and Public Education 1201 16th St., N.W. Washington, DC 20036 Description: Research book			3/3/2015	\$44,000.00
In-Kind Contribution				
SEIU Healthcare IL IN PAC HCII PAC 2229 S. Halsted St Chicago, IL 60608 Description: Yard Signs	American Campaigns 5333 W. Lake St. Chicago, IL 60644		3/2/2015	\$11,100.00
Description: Phone calls	The Spoken Hub LLC 259 W. 30th St, Fl 9 New York, NY 10001		3/2/2015	\$2,500.00
Description: Office supplies	Warehouse Direct 2001 S. mount Prospect Rd. Des Plaines, IL 60018		3/2/2015	\$1,559.31
Description: Office supplies	Staples 1130 S. Canal St. Chicago, IL 60607		3/2/2015	\$2,858.94
Description: Door hangers	NDS On Door Direct 2641 N. Clybourn Ave. Chicago, IL 60614		3/2/2015	\$15,375.00
Description: Hub Dialer Minutes	The Spoken Hub LLC 259 W. 30th St, Fl 9 New York, NY 10001		3/2/2015	\$3,000.00
Description: Hub Dialer Minutes	The Spoken Hub LLC 259 W. 30th St, Fl 9 New York, NY 10001		3/2/2015	\$2,000.00
Description: Door Hangers	NDS On Door Direct 2641 N. Clybourn Ave. Chicago, IL 60614		3/2/2015	\$2,000.00

SCHEDULE A-1

REPORT OF CAMPAIGN CONTRIBUTIONS
OF
\$1000 or more

FILED

3/15/2015 08:37:49 PM

FOR OFFICE USE ONLY

IDENTIFICATION NO.

26214

04

Garcia for Chicago
4249 S Archer Ave
Suite 100
Chicago, IL 60632

ULINE
PO Box 88741
Chicago, IL 60680

Description: Hand Warmers

3/2/2015

\$1,505.53

Name and address of person submitting this report if other
than the committee's candidate or treasurer.

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, occupation & employer must be provided.

To Candidate/Political Committee:

From:

Garcia for Chicago

Chicago Teachers Union-PAC

4249 S Archer Ave Suite 100

222 Merchandise Mart Plaza, Suite 400

Chicago, IL 60632

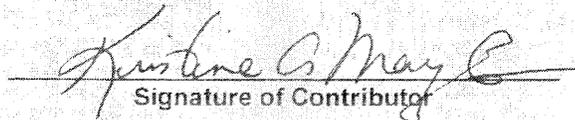
Chicago, IL 60654

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable)	Date	Value	Description
Jay Travis 4235 S. King Drive Chicago, IL 60653	2/4/2015	\$5,000.00	Assist and monitor ongoing canvass activities

The political committee must report this contribution on their Form D-2, Section A, Part 5.


Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

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within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, occupation & employer must be provided.

To Candidate/Political Committee:

From:

Garcia for Chicago

Chicago Teachers Union-PAC

4249 S Archer Ave Suite 100

222 Merchandise Mart Plaza, Suite 400

Chicago, IL 60632

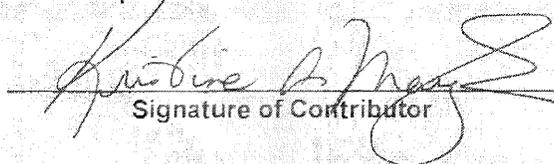
Chicago, IL 60654

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable). Jay Travis 4235 S. King Drive Chicago, IL 60653	Date 2/4/2015	Value \$2,500.00	Description Assist and monitor ongoing canvass activities
---	------------------	---------------------	--

The political committee must report this contribution on their Form D-2, Section A, Part 5.


 Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

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Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, occupation & employer must be provided.

To Candidate/Political Committee:

From:

Garcia for Chicago

SEIU Healthcare Illinois Indiana PAC

4249 S Archer Ave Ste 100

2229 S Halsted St

Chicago, IL 60632

Chicago, IL 60608

Occupation & Employer (If applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date Value		Description
	EF Design Group, Inc 3501 S. King Drive Ave., Unit 2E Chicago, IL 60653	2/17/2015	

The political committee must report this contribution on their Form D-2, Section A, Part 5.

Signature of Contributor

Fwd: FW: In kind notifications from SEIU HCII PAC

Manuel Perez <manny.prz@gmail.com>

Fri, Feb 20, 2015 at 12:25 PM

To: "tony@chicagoforchuy.com" <tony@chicagoforchuy.com>, Tara Orris <tara.orris@gmail.com>

----- Forwarded message -----

From: **Alex Han** <Alex.Han@seiuhcii.org>

Date: Fri, Feb 20, 2015 at 11:47 AM

Subject: FW: In kind notifications from SEIU HCII PAC

To: "manny.prz@gmail.com" <manny.prz@gmail.com>

From: Josh Myles

Sent: Thursday, February 19, 2015 3:42 PM

To: Alex Han

Subject: In kind notifications from SEIU HCII PAC

Hi Alex,

Please give these in kinds to the campaign tomorrow.

Thanks,

Josh

Josh Myles

Deputy Political Director

SEIU Healthcare Illinois & Indiana

312-498-4425

2 attachments

In kind from SEIU HCII PAC - 2-17-15 - Garcia.pdf

25K

In kind from SEIU HCII PAC - 2-19-15 - Garcia.pdf
24K

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

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Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

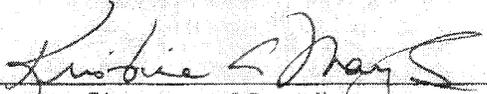
If the In-Kind was donated by an Individual, occupation & employer must be provided.

To Candidate/Political Committee:	From:
GARCIA FOR CHICAGO	Chicago Teachers Union-PAC
4249 S. ARCHER, SUITE 100	222 Merchandise Mart Plaza, Suite 400
CHICAGO, IL 60632	Chicago, IL 60654
	Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description
	2/23/2015	\$26,553.00	

The political committee must report this contribution on their Form D-2, Section A, Part 5.


Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, occupation & employer must be provided.

To Candidate/Political Committee:

From:

GARCIA FOR CHICAGO

Chicago Teachers Union-PAC

4249 S. ARCHER, SUITE 100

222 Merchandise Mart Plaza, Suite 400

CHICAGO, IL 60632

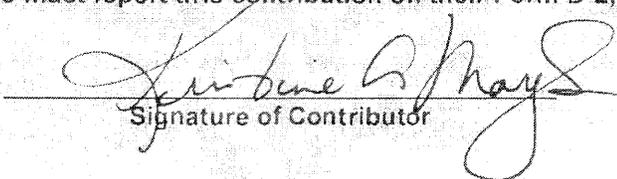
Chicago, IL 60654

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description
	2/24/2015	\$12,232.66	

The political committee must report this contribution on their Form D-2, Section A, Part 5.


Signature of Contributor



Great Public Schools
for Every Student

1201 16th St., N.W. | Washington, DC 20036 | Phone: (202) 833-4000

Lily Eskelsen Garcia
President

Rebecca S. Pringle
Vice President

Princess R. Moss
Secretary-Treasurer

John C. Stocks
Executive Director

March 3, 2015

Andrew Sharp
Campaign Manager
Garcia for Chicago
651 W Washington Blvd
Chicago, IL 60661-2122

Andrew:

Please be advised that the research book delivered to you on February 27, 2015 is an in-kind contribution to Garcia for Chicago from the NEA Fund for Children and Public Education (non-federal itemized account #26210). The value of the in-kind contribution is \$44,000. Please let me know if you have any questions.

Sincerely,

Carrie Pugh
Director
NEA Campaigns & Election Department



Tony Favele <tony@chicagoforchuy.com>

Fwd: FW: In-Kind Contribution

Manuel Perez <manny.prz@gmail.com>
To: "tony@chicagoforchuy.com" <tony@chicagoforchuy.com>

Sun, Mar 8, 2015 at 11:31 PM

----- Forwarded message -----

From: **Matthew Piers** <MPiers@hsplegal.com>
Date: Thu, Mar 5, 2015 at 5:40 PM
Subject: FW: In-Kind Contribution
To: Manuel Perez <manny.prz@gmail.com>

From: Pugh, Carrie [NEA] [mailto:CPugh@nea.org]
Sent: Wednesday, March 04, 2015 1:47 PM
To: Matthew Piers; clembalanoff@gmail.com
Subject: Fwd: In-Kind Contribution

Fyi for filing in kinds

Sent from my iPhone

Begin forwarded message:

From: "Long, Crystal [NEA]" <CrLong@nea.org>
Date: March 4, 2015 at 2:03:41 PM EST
To: "andrewsharpdc@gmail.com" <andrewsharpdc@gmail.com>
Cc: "Pugh, Carrie [NEA]" <CPugh@nea.org>, "Onukwubiri, Chinasa [NEA]" <COnukwubiri@nea.org>, "Kurtz, Amy [NEA]" <AKurtz@nea.org>, "Farfaglia, Rick [NEA]" <RFarfaglia@nea.org>
Subject: In-Kind Contribution

Dear Andrew:

Please see the attached letter regarding our in-kind contribution to the Garcia for Chicago campaign. Feel free to contact Carrie Pugh if you have any questions.

Crystal J. Long

Campaigns and Elections Department

National Education Association

1201 16th Street, N.W. Suite 510

Washington, DC 20036

202-822-7389

202-822-7741 Fax

crlong@nea.org

Only the individual sender is responsible for the content of the message, and the message does not necessarily reflect the position or policy of the National Education Association or its affiliates.

2 attachments

 ATT00001.htm
1K

 Garcia for Chicago.pdf
143K

NEA Fund for Children & Public Education Non-Federal Itemized Acct ¹³

D-2 Quarterly Report
1/1/2015 to 3/31/2015

Received By	Address	Amount	Expended By	Purpose / Beneficiary
IDR	222 West Ontario St. Suite 500 Chicago, IL 60654	\$17,000.00 3/10/2015	Expenditure NEA Fund for Children & Public Education Non-Federal Itemized Acct	In-kind Contribution: Campaign Research Garcia for Chicago
IDR	222 West Ontario St. Suite 500 Chicago, IL 60654	\$27,000.00 3/10/2015	Expenditure NEA Fund for Children & Public Education Non-Federal Itemized Acct	In-kind contribution: Campaign Research Chicago for Rahm Emanuel

This report has 2 itemized Expenditures totaling \$44,000.00

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, occupation & employer must be provided.

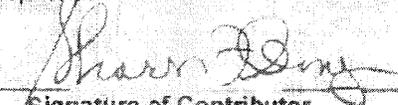
To Candidate/Political Committee:	From:
Garcia for Chicago	SEIU Healthcare Illinois Indiana PAC
4249 S Archer Ave Ste 100	2229 S Halsted St
Chicago, IL 60632	Chicago, IL 60608

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable)	Date	Value	Description
The Spoken Hub LLC PO Box 615 Manhasset, NY 11032-0615	3/2/15	\$2500.00	phone calls

The political committee must report this contribution on their Form D-2, Section A, Part 5.



 Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

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Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

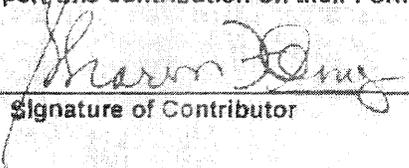
If the In-Kind was donated by an Individual, occupation & employer must be provided.

<p>To Candidate/Political Committee:</p> <hr/> <p>Garcia for Chicago</p> <hr/> <p>4249 S Archer Ave</p> <hr/> <p>Suite 100</p> <hr/> <p>Chicago, IL 60632</p> <hr/> <hr/> <hr/> <hr/>	<p>From:</p> <hr/> <p>SEIU Healthcare Illinois Indiana PAC</p> <hr/> <p>2229 S Halsted St</p> <hr/> <p>Chicago, IL 60608</p> <hr/> <hr/> <hr/> <hr/> <p>Occupation & Employer (if applicable)</p>
---	---

In-Kind Contribution

<p>Full Name, Mailing Address and Zip Code of Vendor (if applicable).</p> <p>Warehouse Direct 2001 S Mount Prospect Rd Des Plaines, IL 60018</p>	<p>Date</p> <p>3/2/11</p>	<p>Value</p> <p>\$1559.71</p>	
	<p>Description</p> <p>office supplies</p>		

The political committee must report this contribution on their Form D-2, Section A, Part 5.



 Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, occupation & employer must be provided.

To Candidate/Political Committee:

Garcia for Chicago

4249 S Archer Ave

Suite 100

Chicago, IL 60632

From:

SEIU Healthcare Illinois Indiana PAC

2229 S Halsted St

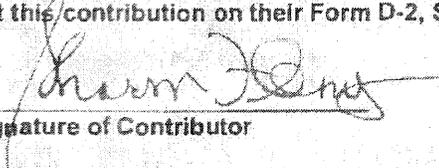
Chicago, IL 60608

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description
Staples 1130 S Canal Street Chicago, IL 60607	3/2/15	\$2858.94	office supplies

The political committee must report this contribution on their Form D-2, Section A, Part 5.


Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, occupation & employer must be provided.

To Candidate/Political Committee:

From:

Garcia for Chicago

4249 S. Archer Ave Ste 100

Chicago, IL 60632

SEIU Healthcare Illinois Indiana PAC

2229 S. Halsted St

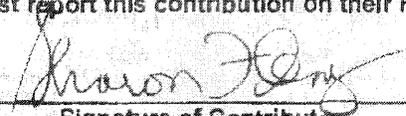
Chicago, IL 60608

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable): NDS On Door Direct 2641 N. Clybourn Ave. Chicago, IL 60614	Date	Value	Description
	3/2/15	\$15375	
			Door Hangers

The political committee must report this contribution on their Form D-2, Section A, Part 5.



 Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, occupation & employer must be provided.

To Candidate/Political Committee:

From:

Garcia for Chicago

SEIU Healthcare Illinois Indiana PAC

4249 S. Archer Ave Ste 100

2229 S. Halsted St

Chicago, IL 60632

Chicago, IL 60608

Occupation & Employer (if applicable)

In-Kind Contribution

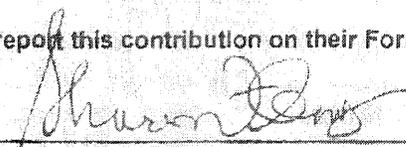
Full Name, Mailing Address and Zip Code of Vendor (if applicable).

Date Value
3/2/15 \$3000

The Spoken Hub LLC
PO Box 615
Manhasset, NY 11032-0615

Description
23,076 Hub Dialer Minutes

The political committee must report this contribution on their Form D-2, Section A, Part 5.


Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

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Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, occupation & employer must be provided.

To Candidate/Political Committee:

Garcia for Chicago

4249 S. Archer Ave Ste 100

Chicago, IL 60632

From:

SEIU Healthcare Illinois Indiana PAC

2229 S. Halsted St

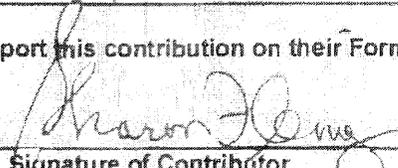
Chicago, IL 60608

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description
The Spoken Hub LLC PO Box 615 Manhasset, NY 11032-0615	3/2/15	\$2000	15,384 Hub Dialer Minutes

The political committee must report this contribution on their Form D-2, Section A, Part 5.



 Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

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Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, occupation & employer must be provided.

To Candidate/Political Committee:

From:

Garcia for Chicago

SEIU Healthcare Illinois Indiana PAC

4249 S. Archer Ave Ste 100

2229 S. Halsted St

Chicago, IL 60632

Chicago, IL 60608

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).

Date Value

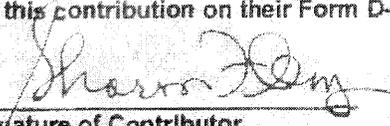
3/2/15 \$1505.53

ULINE
PO Box 88741
Chicago, IL 60680-1741

Hand Warmers

Description

The political committee must report this contribution on their Form D-2, Section A, Part 5.


Signature of Contributor



FW: Chuy Vendor In-Kinds

Alex Han <Alex.Han@seiuhcil.org>
To: "tony@chicagoforchuy.com" <tony@chicagoforchuy.com>
Cc: "manny.prz@gmail.com" <manny.prz@gmail.com>

Thu, Mar 5, 2015 at 1:01 PM

More in-kinds to report!

From: Sharon Fleming
Sent: Thursday, March 5, 2015 12:59 PM
To: Alex Han
Cc: Josh Myles; Sharon Fleming
Subject: Chuy Vendor In-Kinds

Alex,

I'm resending these as well they were included in the group fax I initially sent. Please give these to the campaign to file today as well.

Thanks

Sharon Fleming
SEIU Health Care IL/IN
SEIU Political & Public Affairs Assistant
2229 S. Halsted
Chicago, IL 60608
sharon.fleming@seiuhcil.org
(312) 596-9361 - Office
(312) 315-1313 - Cell
(312) 784-3187 - Fax

344K

24

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an individual, occupation & employer must be provided.

To Candidate/Political Committee:

From:

Garcia for Chicago

4249 S. Archer Ave Ste 100

Chicago, IL 60632

SEIU Healthcare Illinois Indiana PAC

2229 S. Halsted St

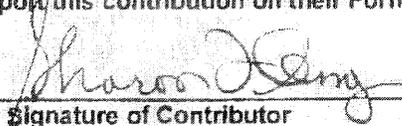
Chicago, IL 60608

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description
	3/2/15	\$2000	
NDS On Door Direct 2841 N. Clybourn Ave. Chicago, IL 60614	Door Hangers		

The political committee must report this contribution on their Form D-2, Section A, Part 5.



 Signature of Contributor

IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

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Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, occupation & employer must be provided.

To Candidate/Political Committee:

From:

Garcia for Chicago

4249 S. Archer Ave., Ste. 100

Chicago, IL 60632

SEIU Healthcare Illinois Indiana PAC

2229 S. Halsted St.

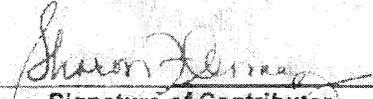
Chicago, IL 60608

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value
	03/10/15	\$ 324.49
Get Out The Vote 132 West 31st St. 15th Floor NY, NY 10001	Description Out-Bound Automated Phone Program	

The political committee must report this contribution on their Form D-2, Section A, Part 5.



 Signature of Contributor

FW: Scanned from a Xerox Multifunction Printer

Alex Han <Alex.Han@seiuhcil.org>
To: "tony@chicagoforchuy.com" <tony@chicagoforchuy.com>
Cc: "manny.prz@gmail.com" <manny.prz@gmail.com>

Mon, Mar 16, 2015 at 4:19 PM

-----Original Message-----

From: Sharon Fleming
Sent: Monday, March 16, 2015 4:07 PM
To: Alex Han
Subject: FW: Scanned from a Xerox Multifunction Printer

Chuy In-Kinds for 3/13/15 pay period.

-----Original Message-----

From: xerox7970@seiuhcil.org [mailto:xerox7970@seiuhcil.org]
Sent: Monday, March 16, 2015 3:48 PM
To: Sharon Fleming
Subject: Scanned from a Xerox Multifunction Printer

Please open the attached document. It was scanned and sent to you using a Xerox Multifunction Printer.

Attachment File Type: pdf, Multi-Page

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442K

State of Illinois)
County of Cook)

STATE BOARD OF ELECTIONS
15 JUN 26 AM 3:05

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Garcia for Chicago)
Respondent(s).)

Case No. 15MA083

APPEAL AFFIDAVIT

I, Antonio Favela, the Treasurer of the
(Name) (Chairman/Treasurer)
Garcia for Chicago
(Name of the Committee)

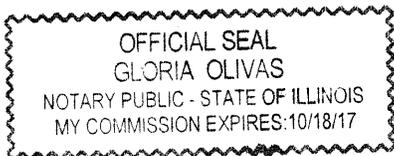
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The quarterly report was untimely filed due to a technical issue with the Illinois State Board of Elections electronic filing system. Multiple attempts were made to file prior to the deadline.

The Schedule A-1 filings were incorrectly reported due to clerical error. The date reported of the in-kind contributions reflected the date on the notification rather than the actual date received by the Committee.

Signed and Sworn to by:
Antonio Favela
before me this 24th Day of
June, 2015
Notary Public

Antonio Favela
(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Jesse R. Smart, Chairman
Charles W. Scholz, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
June 8, 2015

Garcia for Chicago
Jesus G. Garcia
4226 W. 25th Place
Chicago, IL 60623

ID# 26214

Dear Garcia for Chicago:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: March 2015 Quarterly Report of Campaign Contributions and Expenditures
Report Period: January 1, 2015 through March 31, 2015
Filing Period: April 1, 2015 through April 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 4/16/2015, 1 day(s) late. As such, this committee has been assessed a fine of \$50.00.

In addition, this committee failed to timely file the Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Chicago Teachers Union-PAC	2/4/2015	\$5000	2/16/2015	5	\$2500
Chicago Teachers Union-PAC	2/4/2015	\$2500	2/16/2015	5	\$1250
SEIU Healthcare IL IN PAC HCII PAC	2/17/2015	\$5250	2/20/2015	1	\$2625
Chicago Teachers Union-PAC	2/23/2015	\$26553	3/4/2015	4	\$13277
Chicago Teachers Union-PAC	2/24/2015	\$12232.66	3/10/2015	4	\$6116
National Education Assoc. Fund for Children and Public Education	3/3/2015	\$44000	3/15/2015	4	\$22000
SEIU Healthcare IL IN PAC HCII PAC	3/2/2015	\$11100	3/15/2015	5	\$5550
SEIU Healthcare IL IN PAC HCII PAC	3/2/2015	\$2500	3/15/2015	5	\$1250
SEIU Healthcare IL IN PAC HCII PAC	3/2/2015	\$1559.31	3/15/2015	5	\$780
SEIU Healthcare IL IN PAC HCII PAC	3/2/2015	\$2858.94	3/15/2015	5	\$1429

SEIU Healthcare IL IN PAC HCII PAC	<i>[Signature]</i>	3/2/2015	\$15375	3/15/2015	5	\$7688
SEIU Healthcare IL IN PAC HCII PAC	<i>[Signature]</i>	3/2/2015	\$3000	3/15/2015	5	\$1500
SEIU Healthcare IL IN PAC HCII PAC	<i>[Signature]</i>	3/2/2015	\$2000	3/15/2015	5	\$1000
SEIU Healthcare IL IN PAC HCII PAC	<i>[Signature]</i>	3/2/2015	\$2000	3/15/2015	5	\$1000
ULINE	<i>[Signature]</i>	3/2/2015	\$1505.53	3/15/2015	5	\$753
SEIU Healthcare IL IN PAC HCII PAC	<i>[Signature]</i>	3/10/2015	\$3241.49	3/16/2015	2	\$1621

The committee is fined a **total** of \$70338.00 for delinquently filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$7034.00, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all assessments in this letter is \$70338.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed by July 8, 2015. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, it is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd, Springfield, IL 62704.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Newman", written over a horizontal line.

Tom Newman, Director
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS)
) SS
COUNTY OF SANGAMON)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
State Board of Elections)
Complainant(s),)
)
Vs.) Case No. 14 CD 113
)
Friends of Sherman C Jones)
Respondent(s).)

ORDER

TO: Friends of Sherman C Jones ID #22764
Po Box 6362
Broadview, IL 60155-6362

This matter coming to be heard this 21st day of September, 2015 due to the failure of the Committee to comply with a Board Order previously issued under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections now being fully advised in the premises,

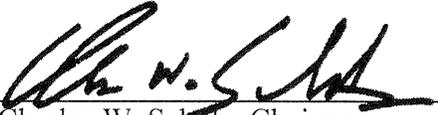
THE BOARD FINDS:

1. The Committee did not comply with the Final Order dated June 18, 2015 commanding such committee to conduct an audit of its financial records , with an auditor to be selected within 10 days and the audit completed within 60 days of the effective date of the Order, pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the “Act”); and
2. Counsel for the committee appeared before the Board and requested additional time in which to complete the audit of its financial records.

IT IS ORDERED:

1. The matter is continued to the October 9, 2015 meeting of the State Board of Elections; and
2. The effective date of this Order is September 23, 2015.

DATED: 9/23/2015


Charles W. Scholz, Chairman

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
Judy Brown Marino,)
 Complainant(s),)
 Vs.) 14 CD 113
)
Friends of Sherman C. Jones,)
 Respondent(s).)
)

FINAL ORDER

TO: Judy Brown Marino Friends of Sherman C. Jones
2841 S. 10th Avenue PO Box 6362
Broadview, IL 60155 Broadview, IL 60155

This matter coming to be heard this 16th day of June, 2015 due to the failure of the Respondent to comply with a Board Order previously issued under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections now being fully advised in the premises,

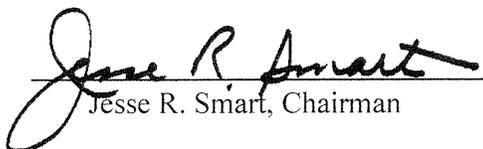
THE BOARD FINDS:

1. The Respondent did not comply with the Final Board Order dated December 17, 2014, which ordered the committee to file amended June 2013, September 2013, December 2013, March 2014 and June 2014 Quarterly reports within 30 days of the effective date of the Order and to submit bank records, receipts, invoices, proof of existing outstanding debts & obligations, as well as any supporting documents, to Board staff to verify the information submitted in the amended D-2 reports; and
2. The Respondent submitted the required amended Quarterly reports on February 14, 2015; however, many of the discrepancies at issue still exist.

IT IS ORDERED:

1. The recommendation of the General Counsel is adopted; and
2. The Respondent is ordered to conduct an audit of its financial records,
3. The Respondent must select an auditor within 10 days and complete the audit within 60 days of the effective date of this Order, and
4. The effective date of this Order is June 18, 2015, and
5. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 6/18/2015



Jesse R. Smart, Chairman

STATE BOARD OF ELECTIONS
100 W. Randolph, Suite 14-100
Chicago, Illinois 60601
312/814-6456

Andy Nauman

Deputy Director, Division of Campaign Disclosure

To: Members of the Board, Steve Sandvoss, Executive Director

Re: Failure to comply with a Board Order, Friends of Sherman C. Jones

Date: May 28, 2015

At the December 16, 2014 Board meeting the Board ordered the Friends of Sherman C. Jones to submit records to the State Board of Elections staff and file amended reports within 30 days. The Committee submitted some records within the 30 day period however a good portion of the records were submitted beyond the 30 day deadline and only after they were contacted by staff. I met with a representative of the Committee after reviewing all of the supplied material on February 19, 2015 and sat down and worked with her for a couple of hours going over what all needed to be done. The Committee submitted amended reports on 4/14/15 however many of the items I pointed out were not addressed. I have reached out to Mr. Jones multiple times on the phone number that he provided to me however as of this date the Committee has not amended the reports. The following highlights some of the items that still need attention:

- The bank balance as of 1/1/12 was \$3,979.15 and the Quarterly report shows a balance of \$606.45.
- A 4/3/12 deposit of \$635.66 has not been reported as of yet.
- Check 1049 for \$430, 1059 for \$300, 1070 for \$550, were all processed through the bank but have not been reported as of yet.
- It is still unknown if the 1/24/13 \$5,000, 3/15/13 \$5,000, 4/16/13 \$3,000, 7/19/13 \$1,500, and the 9/18/14 \$7,000 payments to Edmark Press were for payments on the outstanding debt or if these payments were for new bills.
- The payments and debts owed to Michael Kasper do not add up.
- The Klein, Thorpe, and Jenkins records show that payments were made for \$2,500, \$1,091.50, \$1,361.50 and \$2,490.39 however the Committee has not reported any of these payments on their Quarterly Reports as of yet and none of these payments would have been from the bank account that was provided to the State Board of Elections.
- As of the end of 2014 the Committee reported an outstanding debt owed to Klein, Thorpe and Jenkins of \$22,849.03 and as per the statements from the company the debt is only \$4,905.64.
- It appears that the Committee may deal with a rather high volume of cash or they may have an additional account that was not supplied because they have reported almost \$17,500 in receipts and \$15,200 in expenses on their Quarterly Reports that did not appear on the material submitted to staff.
- The Committee failed to report over \$4,900 in receipts and over \$13,800 in expenses on their Quarterly Reports as per the bank statements that were supplied.

STATE BOARD OF ELECTIONS
2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141

Tom Newman

Director, Campaign Disclosure Division

To: Members of the Board, Steve Sandvoss, Executive Director, Ken Menzel, General Counsel

Re: Civil Penalty Assessments Necessitating a Final Board Order

Date: September 30, 2015

Listed below are committees that have been assessed a civil penalty for the delinquent filing of the December 2014, March 2015 and June 2015 Quarterly reports, Schedule A-1's and "multi-assessments". These violations were not appealed & should be issued a Final Board Order.

December 2014 Quarterly Report			
Cmte No	Cmte Name	Amt of Fine	Prev Violations
25865	Citz for Nancy 'Sprehe" Eighenrauch	2725	none
March 2015 Quarterly Report			
Cmte No	Cmte Name	Amt of Fine	Prev Violations
14785	Friends of James G Burke **	75	None
25285	Hispanic American Community Leadership Network **	2550	4 q's
25368	Friends of Mike Carberry	2025	D1, A-1, q's
25600	Friends for TJ Lewis	1225	None
25607	Citizens for Mike Webster **	3050	Q, A-1's
25933	Ballot Access Illinois	1350	None
27270	YES for District 112 Referendum	675	None
27393	Citz Supporting a Yes Vote for Woodland & Dist 50's **	650	None
29500	Friends of Dorothy Meyer	100	None
29504	Citizens for Joe Vito **	725	A-1
29525	DGEA Coalition 99	1350	None
June 2015 Quarterly Report			
Cmte No	Cmte Name	Amt of Fine	Prev Violations
327	Washington County Democratic Central Comm	200	None
374	Wood River Twp Dem Precinct Committeemen's Org	500	1 q
1049	Rich Township Democratic Org	300	A-1's
1420	Indo-American Democratic Org	200	A-1's (pd)
2887	John C (Jack) McGuire for Representative **	75	None
4415	Milton Twp Republican Central Cmte	50	None
5180	Wauconda Twp Republican Club	300	None
5594	Friends of Mickey Goral	150	None
5787	Edwardsville Twp Republican Committeemen	200	None
6352	Local 392 PAC Fund	800	1 q
7484	Wheaton Warrenville PAC for Education	50	None
10109	Friends of Mark Burris **	550	1 q
11310	5th District Ward Pac	450	None

11420	Teamsters Local 916 D.R.I.V.E.	300	None
11881	Bonnie Thomson Carter Committee	400	1 q
13184	Citizens for Steve Kim	400	A'l's, q (pd)
13269	Friends of Von Nida	25	None
13601	Evanston Firemans PAC	350	None
14146	McHenry County Republican Foundation Committee	175	None
15349	Our Kids 1 st **	150	1 q
18183	Citizens for Spitz	525	2 q
18496	Citizens for Judy Fiske	450	1 q
18758	Winfield Residents United for Effective Village Govt	50	None
18786	People to Elect Chris Healy	350	1 q
19342	McGuire for Senate	50	None
19472	Mindy Kuntz Hagan for Treasurer **	225	None
19543	Peoria Firefighters Local 50 PAC	250	None
19847	Real Court Reform Now **	75	None
20022	International Assn of Firefighters Local	100	None
20148	Friends of Rachel Goodstein **	50	1 q
20195	Friends of Dan Irving	525	1 q
20205	Friends of Thomas Malesh	150	3 q's'
20221	Friends of Kevin Perkins Committee	350	1 q
20245	Friends of Tina Jannazzo	175	None
20651	Committee to Elect Dan Duffy	50	None
20829	Citizens to Elect Gideon Abraham Baum for Judge **	225	3 q's (pd)
20867	The Committee to Elect Thomas R Mahoney **	450	Semi, 2 q's
21579	Committee to Elect Rich Janor	50	None
21701	Citizens for Gray Noll	150	None
21740	Friends of Jeff Smith	75	2 q's (pd)
21778	Citizens for Joseph E Watrach	75	Semi, 2 q's
22082	DuPACE	400	None
22103	Citizens for Mike Quiroz	50	None
22191	Citizens for Collins	75	5 q's
22319	Citizens for Trutin	150	3 q's
22467	Citizens for Terrence J Collins	100	None
22642	Madison County Republicans for Truth	75	None
22670	Cicero Republican Org	100	1 q
22671	Illinois 4th District Republican Org	100	1 q
22742	Committee to Elect Denise Winfrey	25	None
22863	Committee to Re-Elect Paul F Petty	500	None
22955	Citizens for Eric Hermosillo	200	None
22998	Friends for Nancy Schiavone	100	None
23130	Friends of Enrique	150	Semi, q's A-1's
23343	Friends of Scott McFarland	75	None
23491	Westchester First Party	250	None
23825	Friends of Rob Russell	50	None
23841	Citizens to Elect Julie Bigham Eggers	25	None
23925	Friends of Ed Erwin	50	1 q

23989	Friends of Sandra Wortham	900	Q's, A-1's
24044	Jeff Smith for State Representative	200	2 q's (pd)
24073	Friends of Marleen Suarez	700	1 q
24109	Committee to Elect Amy Cesich to County Board	100	None
24162	Friends for Harry J Fournier	25	None
24362	Citizens for Marcus C Evans Jr	200	Q's, A-1's (pd)
24615	Citizens for Competitive Electricity	75	A-1
24629	Coalition for an Ind Judiciary (William Williams)	450	2 q's
24712	35th Ward Chicago Democrats	50	None
24717	44th Ward GOP	100	A-1, q (pd)
24891	Friends of Noel Beard	50	None
25034	Committee to Elect Jason VanderMeer **	150	3 q's
25090	Friends of Kevin Lower for Ald Ward 1 Bloomington IL	50	1 q
25312	Taxpayers for Bussey	75	2 q's
25318	Better Broadview Party	50	1 q
25320	Steve Eaves Committee to Elect for Sheriff **	75	None
25332	7th Ward Regular Democratic Organization	50	None
25371	Committee to Elect Karen Elyea	200	1 q
25432	Latinos for Political Progress PAC	25	None
25498	Citizens for Krishna Bansal	350	None
25581	Citizens for Tim Bradford	200	1 q
25603	Friends of Sharon **	175	None
25729	Forte for Judge	150	None
25731	Working Families of the 33rd Ward	500	None
25765	Elect Michael A Urban	25	None
25819	Friends of Amara Enyia	900	Q's, A-1's
25826	Johnson Co Citz Opposed to the Fracking Proposition **	175	None
25869	The Friends of Andre Smith **	150	2 q's
25913	Citizens to Elect Scott Davis	25	A-1
26002	Citizens to Elect John Gedney	50	None
26010	Jamal Williams for Alderman	75	None
26021	Carlos for Chicago	100	Q, a-1's
26051	Citizens for David M Nelson	125	None
26065	Citizens for Curtis	400	None
26079	Friends of Maureen Sullivan	300	A-1
26104	Students 1 st for Monticello Bond Issue and Piatt 1 Cent Sales Tax	75	None
26119	Friends of Glenda Franklin	275	D-1
26150	Friends of Kankakee County Schools	325	A-1's (pd)
26160	Friends to Elect Jasmine Jackson **	700	1 q
26165	Walls for Mayor **	50	A-1's
26173	Citizens for Webb	100	None
26179	Nonprofit VOTE IL	150	1 q
26193	Citizens to Elect John Cianci **	250	None
26226	Friends for Joe Lomanto	300	None
27249	Friends of LaShonda Shonnie Curry	225	None

27256	Friends of Steven McClellan	225	2 q's
27284	Citizens for Julie Schmidt	200	None
27312	EMS Professionals for a Safer Tomorrow, Inc	600	None
27340	Committee to Elect Jim Haselhorst	425	None
27343	Oak Brook for Natalie Cappetta	200	None
27354	Elect Don Pratl Committee **	25	None
27379	Broadview Against Home Rule **	75	None
27406	Friends of Zora Baron **	225	None
28417	Committee to Elect David Villalobos	350	1 q
28452	Committee to Elect Michelle Hoereth	150	1 q
28457	For Wauconda	175	None
29502	Friends of Joe Galvan	400	None
29512	Broadview Forward Party **	225	None
29543	Families & Schools	425	None
29559	Citizens to Elect Cary J Collins	75	None
29565	Friends of Diane Wolf	600	1 q
29593	Bloomingtondale 2015 Unity Party	150	None
30602	People for Change	250	None
30605	Committee to Re-Elect Ed Krzemenski	150	None
30612	Friends of Joe Byrnes **	350	None
31639	4th Ward Republican Organization	200	None
Schedule A-1 (14 AM)			
Cmte No	Cmte Name	Amt of Fine	Percentage Reduction
25724	Friends of Keith Matune	2500	10%
Schedule A-1 (15 AM)			
Cmte No	Cmte Name	Amt of Fine	Percentage Reduction
553	8th Ward Regular Democratic Org	6536	50%
15657	Citizens for Martin T Tully **	500	10%
17003	Cmte to Elect Howard B Brookins, Jr	500	100%
17348	Friends of Schaumburg-Supporting Trustees & Clerk	500	10%
19830	Friends of Nicholas Sposato	2718	10%
20328	Citizens for Al Riley	500	10%
20930	Harper College Adjunct Faculty Assoc	1448	10%
22210	Friends of Elgie Sims	2500	50%
22882	Friends of Rita Mayfield	2500	50%
23641	Cook County Coalition of Tradesmen	500	10%
24146	Friends for Anne Shaw	3435	10%
25314	Friends of Paul Mandrik	500	10%
25374	Citizens for Saviano	6000	50%
26199	Friends of Elise Doody Jones	500	10%
26228	The Friends of Tracey Y Bey	1000	10%
27328	Citizens to Re-Elect Mayor Jim Daley **	500	10%
27376	Illinois Coalition for New Leadership PAC	1000	10%
29476	Friends of Jasen W Howard	2688	10%
29501	Re-Elect Traci O'Neal Ellis	500	10%

29566	Team D230	3014	10%
Schedule A-1 (15 AJ)			
Cmte No	Cmte Name	Amt of Fine	Percentage Reduction
177	Peoria County Republican Central Committee	712	10%
749	Boone County Republican Central Cmte	750	100%
909	Illinois Optometric Assn PAC	500	10%
4250	Illinois Interior Design Coalition	4000	10%
11463	39th Ward Regular Democratic Org	2500	10%
16063	West Aurora Volunteers for Education (WAVE)	5511	50%
16505	Citizens to Elect Deborah L Graham	500	100%
17137	Citizens for Kyle McCarter	500	10%
19152	Cicero Voters Alliance (The Larry Dominick Team)	500	10%
19466	The East St Louis Democratic Club	500	10%
23127	Citizens to Elect David Moore	1276	50%
24241	IL Lunch Pail Republicans PAC	750	10%
25047	Friends of Thomas McNamara	4000	10%
25433	Citz for Jerry Esrig for Judge	1000	10%
25653	Hopkins for Chicago	500	100%
25887	47th Ward Regular Democratic Organization	500	50%
25960	Chicago Forward	12500	10%
25969	Community Health PAC, Inc	2500	10%
27297	Friends of Sean McDermott	500	10%
27346	Friends of Frank Napolitano	765	10%
27350	Action Now Political Action Committee	718	10%
27418	Friends of Darren Tillis	2000	10%
29481	Kuhn for Mayor **	500	10%
29571	Citizens for Accountability	10800	10%
29595	Comte to Elect Daniel Patrick Duffy Judge	2000	10%
31625	Illinois Great PAC	10000	10%
Schedule A-1 (15 AD)			
Cmte No	Cmte Name	Amt of Fine	Percentage Reduction
26025	Friends of Litesa Wallace	3000	50%
MA "Multi-assessments" (A-1's from 2 quarters)			
Cmte No	Cmte Name	Amt of Fine	Percentage Reduction
25940	Dianne4Ward40	583/2585	10%/50%

MA “Multi-assessments” (Quarterly & A-1)			
Cmte No	Cmte Name	Amt of Fine	Percentage Reduction
24890	Supporters of Anthony Davis	600/500	10%
25961	Friends for Brian Garner	25/500	100%
26169	Friends of Deborah Williams	550/500	10%
MA “Multi-assessments” (2 Quarterly)			
Cmte No	Cmte Name	Amt of Fine	Previous Violations
1219	LaSalle County Republican Century Club	5000/2475	3 q’s (pd)
14895	Cmte for a Better Berwyn	2250/225	6 q’s (pd)
24888	Friends of Patrick Hanlon	3150/975	2 q’s
25831	Citz to Elect Matthew Fitton **	5000/5000/2325	1 q
25854	Citz for David James Howard	3050/3100	None
25898	Il Republican National Hispanic Assembly	1425/275	None
25934	Joliet for 8 Districts **	4600/5000/1575	A1’s
26218	Citz for CM Winters	3200/50	None
27257	Land of Reagan	3075/3150	None
27271	Citz to Elect Bill Rekus **	2100/1200	None
27307	Cmte to Elect Jeffrey Baker	1875/300	None
29532	Friends for Gerald Witter **	1625/50	None

(- DENOTES COMMITTEES THAT HAVE FILED A FINAL REPORT)**

STATE BOARD OF ELECTIONS
2329 S. MacArthur Blvd
Springfield, Illinois 62708
217/782-4141

Tom Newman

Director, Campaign Disclosure Division

To: Steven S. Sandvoss, Executive Director, Members of the Board

Re: Payment of Civil Penalty Assessments - Informational

Date: September 29, 2015

The following committees have made payment of outstanding civil penalties for the period 9/10/2015 - 9/29/2015

- Friends of Terry Link - \$1500.00
- Citizens for Jacqueline Collins - \$250.00
- Citizens to Elect John McCabe - \$1070.53
- Citizens to Elect Lona Lane - \$1500.00
- Citizens in Support of the EPG Building Referendum - \$250.00
- Rosemont Voters League - \$484.00
- Brian Hopkins for Chicago - \$3063.00
- Citizens to Elect Pat Liston - \$150.00
- Citizens for O'Connor - \$1254.00
- Illinois Injured Workers Coalition PAC - \$1600.00
- Citizens for Shane Cultra 105 - \$750.00
- Friends of Roderick Sawyer - \$250.00
- Action Party - \$180.00
- Underground Contractors Association - \$155.00
- Friends of Byron Sigcho - \$275.00
- Action Party of Alsip - \$750.00
- Democratic Women of the South Suburbs - \$83.00
- Friends of Tyrone Ward - \$1800.00
- Jo Daviess County Republican Central Committee - \$100.00
- Voters for Sean M Morrison (\$3.53 Credit Card Fee) - \$150.00
- Wheeling Township Democrats - \$157.00
- Cass County Republican Central Committee - \$375.00
- Committee to Elect Daniel P Conness - \$150.00
- Friends of Anna Goral - \$225.00
- Democratic Women of Knox County - \$425.00
- Lisle Twp Republican Org - \$120.00
- Painters & Allied Trades District Council #30 - \$6100.00
- Citizens to Elect Jeff Bloemaker - \$225.00
- Frankfort Township Republican Org. - \$500.00
- Illinois Transportation Trade Assn PAC - \$276.00
- Friends of Charles "Chuck" Maher (\$2.35 Credit Card Fee) - \$100.00
- Friends of Timothy Whelan - \$1025.00
- Madison County Good Government Team - \$100.00
- Committee to Elect Laura J Powers - \$150.00
- Citizens for Lightford - (\$8.23 Credit Card Fee) - \$350.00

- Committee to Elect Jennifer Pritchett – (\$60.99 Credit Card Fee) - \$2595.50
- Illinois Assoc. of Chiefs of Police PAC - \$400.00
- Regular Republican Organization of River Forest - \$500.00
- Gallatin County Republican Central Committee - \$600.00
- Friends of Kenneth Dunkin - \$625.00
- Friends of Edward J. Lechowicz - \$500.00
- Citizens for Gary Farha -\$425.00
- McDonough County Democratic Coalition -\$250.00
- Riverdale - \$775.00
- Citizens for Tim Moore - \$101.00
- South Impact PAC - \$75.00
- Friends of Jamie Saam - \$50.00
- Area Labor Education Cmte - \$250.00
- Friends of Carol Blackwood - \$150.00
- Friends of John Scaletta - \$300.00
- Citizens for Steve Reeb - \$300.00
- Champaign County Young Republicans - \$425.00

TOTAL : \$

Monthly Total:

01/09/15 to 2/6/15: \$85,439.85
 2/7/15 to 3/4/15: \$1788.00
 3/5/15 to 4/9/15: \$30,077.31
 4/10/15 to 5/6/15: \$24,458.00
 5/7/15 to 6/3/15: \$16,103.50
 6/4/15 to 8/5/15: \$52,464.60
 8/6/15 to 9/9/15: \$11,221.00
 9/10/15 to 9/29/15: \$34,214.03

Year to Date Total: \$255,766.29

Start Date	End Date	Activity	Division
9/9/2015		Begin to update the COUNTY OFFICERS book for the year 2016. (Target completion date: 12/7/2015) 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
9/19/2015		Send letter to those jurisdictions where in-precinct counting equipment is utilized requesting a list of those precincts involved in the election. 10 ILCS 5/24B-15, 24C-15	VRS
9/21/2015		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
10/1/2015		First day that any political committee shall file its 2015 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.	CAMP DISC
10/9/2015		Begin to develop 2016 SBE Legislative Program - 100th General Assembly - for the upcoming legislative session, beginning January 2016. 10 ILCS 5/1A-8 (8)	LEG
10/15/2015		Last day that a political committee shall file its 2015 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.	CAMP DISC
10/09/2015		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
10/27/2015	10/29/2015	(date subject to change) Veto Session	LEG
11/2/2015		Begin preparation of packet materials for 2015 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Packets to be mailed or e-mailed December 2, 2015. 10 ILCS 5/9-15.	CAMP DISC
11/4/2015		Date upon which the State Board of Election shall notify political committees that have failed to file the 2015 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES by the deadline that an assessment will be levied against the committee for failure to file the report in a timely fashion. Rules and Regulations 125.425.	CAMP DISC
11/10/2015	11/12/2015	(date subject to change) Veto Session	LEG
11/16/2015		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
11/23/2015	11/30/2015	Candidate filing period for General Primary (March 15, 2016). 10 ILCS 5/7-12	EL INFO

**STATE BOARD OF ELECTIONS
INTER-OFFICE MEMORANDUM**

To: Steve Sandvoss, Executive Director
From: Kevin Turner, Director of Information Technology
Subject: Alternative to Current Agency Credit Card Service
Date: September 29, 2015

The Office of the Illinois State Treasurer offers a viable alternative to the current method the SBE uses to process credit card charges.

At no cost to the Board, the Treasurer's office will provide a web developer to work with our staff to design a custom website for the SBE to facilitate payments online directly through their office. Turnaround time from initial development to production is 4-6 weeks.

When complete, we would provide a link on SBE's public website to the credit card website. Additionally, we have computers in both SBE offices that members of the public may use to connect to the Treasurer's website.

We have submitted the paperwork to the Treasurer's office to initiate the process; however, we await Board approval to proceed with the project.

5. **Comments from the general public.**
6. **Next Board Meeting scheduled for Monday, November 16, 2015 in Springfield.**
7. **Executive session.**